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1   **The influence of Al_2O_3 particle morphology on the coating formation and dry sliding wear**

2   **behavior of cold sprayed Al-Al_2O_3 composites**

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10   **Abstract**

11   Five Al-Al_2O_3 coatings were deposited by gas dynamic cold spray, using feedstocks containing

12   0, 10, and 50 wt.% of Al_2O_3 particles admixed into commercially pure Al powders. Two

13   feedstocks were made using Al_2O_3 powders with an angular, blocky Al_2O_3 morphology, two

14   feedstocks used Al_2O_3 powders with a spherical morphology, and the fifth consisted of 100% Al.

15   The influence of Al_2O_3 concentration and morphology in the feedstock powders on the cold

16   spray coating formation was measured in terms of Al_2O_3 recovery in the coatings, deposition

17   efficiency, and microstructural analysis. The Al-Al_2O_3 coatings were then subjected to dry

18   sliding wear experiments from which the friction, wear, and microevolution of third body

19   structures in the wear track and transfer film were observed. The spherical Al_2O_3 morphology

20   was associated with improved tribological behavior compared to the angular morphology when

21   comparing similar coating concentrations of Al_2O_3.

22   **1 Introduction**

23   Aluminum matrix composite (Al-MMC) materials with hard reinforcements are valued for their

24   corrosion resistance, low density, and improved wear resistance over the unreinforced alloy

25   materials [1]. Depending on the processing route and application, the reinforcement phase may

26   consist of long fibers, short fibers, or particulates, and are often based on SiC or Al_2O_3, among

27   other materials [2]. Particulate-reinforced Al-MMC materials may be produced through bulk

1 processing or applied as coatings, and have been found to have substantially lower wear rates

2 compared to the un-reinforced matrix alloy in most wear regimes [2-6].

3 Although thermal spray routes are the most conventional choice for depositing Al-MMC

4 coatings, a more recent alternative is the cold gas dynamic spray technique, more often known as

5 cold spray [5, 7-9]. The cold spray process operates by accelerating feedstock powders through a

6 preheated inert gas stream that is passed through a de Laval-type nozzle. The gas and powders

7 attain supersonic velocities, and upon impact with a substrate, extensive plastic deformation is

8 induced and metallurgical bonding takes place by way of adiabatic shear instability [10-14]. In-

9 flight chemical reactions and substrate heating during cold spray are minimal compared to

10 conventional thermal spray processes because of the lower gas temperatures. Furthermore, the

11 high velocities during deposition lead to a very high density in the cold sprayed deposits [10].

12 Cold spray of pure aluminum and Al-MMC materials has become a mode of repair and corrosion

13 protection for magnesium aerospace gearbox components, among other applications [9, 15].

14 To produce Al-MMC coatings by cold spray, reinforcement particles are admixed into the

15 feedstock powder, and during deposition some particles are recovered into the final coating.

16 Hard reinforcement particles do not participate in the adiabatic shear instability process, so the

17 particles must embed themselves in the coating during formation and the recovery of hard

18 particles may be less than in the initial feedstock [5, 7]. The commonly available Al_2O_3 powders

19 are fused and crushed, which are dense with no internal porosity and have blocky, angular

20 morphologies. One means of modifying the Al_2O_3 particle morphology is by post-treating

21 angular Al_2O_3 by way of a plasma spheroidization process. Previous studies by the authors

22 explored the dry sliding wear behavior and wear mechanisms of cold sprayed Al-Al_2O_3

23 composite coatings using the angular morphology [6, 16]. In these studies, the role of angular

24 Al_2O_3 particles in the tribological processes was explained through the use of *in situ* tribometry

25 and microanalysis of the "third bodies," which are the mechanically modified structures formed

26 at the sliding interface [17]. However, given the commercial availability of both angular and

27 spherical Al_2O_3 particle morphologies, understanding the inuence of Al_2O_3 morphology in the

28 cold spray deposition process and tribological behaviors of cold sprayed Al-Al_2O_3 is of potential

29 significance to the coatings industry.

1   In the present study, the influence of Al_2O_3 particle morphology on the cold spray deposition and

2   subsequent dry sliding wear behavior of Al-Al_2O_3 coatings is explored. Two Al_2O_3 powders, one

3   angular and one spherical, were admixed into commercially pure Al powder at varying

4   concentrations and the powder mixtures were cold sprayed at similar deposition conditions.

5   Analysis of deposition efficiency was conducted through in situ monitoring of powder flow rates,

6   and Al_2O_3 recovery in the coating was analyzed through microstructural analysis. Then dry

7   sliding wear tests were conducted against a sapphire counterface, by which the evolution of wear

8   rate, frictional forces, and the subsurface microstructure were studied. Through the study of cold

9   spray deposition behavior, coating microstructure, and dry sliding wear behavior, a discussion of

10 the implications of Al_2O_3 morphology will be developed.

11 **2 Experimental Methods**

12 **2.1 Cold spray deposition and coating characterization**

13 Four batches of feedstock powder were prepared by admixing commercially available spherical

14 Al powder (Valimet H-15, d50= 22.57 μm) with 10 and 50 wt.% of either angular morphology

15 Al_2O_3 powder (Plasmatec, d50= 25.5 μm) or a plasma spheroidized spherical morphology Al_2O_3

16 powder (Tekna, d50 = 24.26 μm) (see Figure 1). Coatings were deposited onto aluminum alloy

17 AA6061 substrates using a Plasma Giken PCS-800 cold spray system, achieving a thickness of

18 4-5 mm. A nitrogen carrier gas was used at a 400° chamber temperature and 3 MPa chamber

19 pressure. The 76.2 mm wide substrates were kept stationary and the gun nozzle moved at a 200

20 mm/s traverse speed with a 1 mm step size. Powder consumption was monitored in situ using

21 digital scales upon which the powder hopper was mounted. Deposition efficiency was calculated

22 by dividing the total mass of the deposited coating by the mass of powder sprayed.

23 Cross-sections of each coating were prepared for analysis by sectioning using a diamond

24 wafering saw, cold mounting in epoxy, fine grinding using 400, 800, 1200, and 2400 grit sizes,

25 and polishing using 9 μm, 3 μm and 1 μm diamond pastes followed by 0.05 μm colloidal silica.

26 The concentration of Al_2O_3 recovered in each coating was measured by image analysis, during

27 which ten random backscattered electron (BSE) images at 700x magnification of polished cross-

28 sections were taken in a scanning electron microscope (SEM) and analyzed by pixel count to

29 calculate the volumetric Al_2O_3 concentration, which was converted to mass concentration. Prior

1 to wear testing, the coating surfaces were subjected to fine grinding and cleaned with ethanol.

2 The root mean square roughness value fell between 0.4 and 0.8 µm for all samples after

3 preparation. Microhardness measurements were taken using a Vickers indenter applied at a 200

4 gf (1.96 N) load with a hold time of 5 seconds, and each hardness value reported here shows the

5 average of at least ten indents taken at random locations on the polished coatings.

6 **2.2 Sliding wear test conditions**

7 Sliding wear tests on the prepared coatings were performed in dry air (below 1% relative

8 humidity) at room temperature (21-24° C). All tests were conducted with a sliding speed of 3

9 mm/s, a track length of 10 mm, and normal load of 1 N. Friction forces were measured at a

10 sampling rate of 800 Hz using a piezoelectric sensor mounted underneath the sample stage.

11 Monocrystalline a-Al_2O_3(sapphire) spheres (or hemispheres for in situ tests) of 6.35 mm

12 diameter were used as the counterface material. Wear tests were run to 50, 500 and 2000 sliding

13 cycles, corresponding to total sliding distances of 0.5, 5, and 20 m, respectively. Three repeats

14 were used for the 50 and 500 cycle condition, while two repeats were used for the 2000 cycle

15 condition. One 500-cycle test per coating was performed in the in situ configuration, in which

16 microscopic observation of the contact region was carried out through the transparent

17 hemispherical counterface using an optical microscope [6, 16, 18]. For this purpose, the

18 microscope was mounted above the counterface and was equipped with a 10x objective lens and

19 a commercially available camcorder. The use of the in situ configuration was not found to

20 influence the friction or wear rate behavior compared to the conventional configuration.

21 **2.3 Analysis of wear tracks**

22 Using an SEM, wear track surface features were observed and elemental contrast was revealed

23 by energy dispersive x-ray spectroscopy (EDXS). Surface morphologies of the wear tracks and

24 counterface transfer material were extracted using a Wyko NT8000 optical interferometer. Wear

25 rates were calculated in accordance with ASTM Standard G99 by first integrating height profiles

26 across the wear track above and below the original surface height to calculate a net worn cross-

27 sectional area. This was then multiplied by the wear track length to obtain a net volume of

28 material removed from the surface. Between 80-100 surface profile measurements per wear track

29 were obtained.

1 Sub-surface regions of the worn surfaces were revealed by cross-sectioning. Wear tracks were

2 cut transverse to the sliding direction using a lubricated slow-speed abrasive cutting wheel,

3 followed by cold mounting in epoxy and mechanical polishing. Microstructural analysis was

4 carried out in an SEM with a backscattered electron detector to reveal aluminum grains through

5 electron channeling contrast imaging (ECCI).

6 Nanoindentation was performed on wear track cross-sections and directly on wear track surfaces

7 using a Hysitron Triboindenter equipped with a Berkovich diamond indenter with a tip defect

8 radius of approximately 125 nm. Indents were performed using a 5 second loading time to a

9 maximum force of either 400 or 2000 μN, a 5 second hold time at maximum load, and a 5

10 second unloading time. To precisely control the location of the indents, the Berkovich indenter

11 was used to collect *in situ* scanning probe microscopy (SPM) images that were matched to

12 previously acquired electron micrographs of the areas of interest. Each hardness value reported

13 was calculated using the Oliver and Pharr method [19], and represents the average of at least 8

14 indents.

15 **3 Coating formation**

16 The recovery of Al_2O_3 varied according to the feedstock content and Al_2O_3 particle morphology

17 (see Table 1 and Figure 2). The angular Al_2O_3 recovery was similar to that measured in previous

18 studies, in spite of the use of different cold spray guns and deposition velocities [5,7]. The

19 amount of recovery of spherical Al_2O_3 was considerably lower than the amount of recovery of

20 angular Al_2O_3. The deposition efficiency (DE) of the 10 wt.% Al_2O_3 feedstock powder was

21 unchanged from that of the pure Al powder for the angular Al_2O_3 morphology and slightly

22 higher for the spherical morphology. The DE of both 50 wt.% Al_2O_3 feedstock powders was

23 significantly lower for both morphologies (see Figure 2).

24 In cold spray of pure metals, coating formation has been attributed to plastic deformation at the

25 interface of the impacting particle, which leads to "jetting" of the interfacial material and

26 metallurgical bonding by way of adiabatic shear instabilities [10-14]. Thus a hard elastic

27 secondary phase, in this case Al_2O_3, is not believed to participate in this aspect of the coating

28 process due to its lack of plasticity in this temperature range. When hard ceramics are cold

29 sprayed alone, they behave erosively and no coating is formed [5, 20]. When admixed into Al

1 powder, they can be recovered in the coating, but generally the coating contains a lower hard

2 particle content than the feedstock powder [5]. The results presented in Figure 2 showed lower

3 Al_2O_3 concentration than their feedstock powders, with the exception of ANG10, which had

4 roughly the same amount. This Al_2O_3 recovery behavior is consistent with that observed in

5 previous studies [5,7]. The change of deposition efficiency as a function of feedstock Al_2O_3 has

6 also been previously observed, where at lower Al_2O_3 contents it can be beneficial to the DE, but

7 beyond a certain point is detrimental [5, 21]. The initial benefit to DE may be attributed to

8 peening and cleaning of the surface by the hard particles, but at higher concentrations, the DE is

9 lowered through erosive behavior and a lack of bonding between Al_2O_3 particles.

10 The lower recovery of spherical Al_2O_3 compared to the angular morphology can be partly

11 explained by modelling and experimental work by Getu et al. [22] on the embedding behavior of

12 hard spherical and angular particles in ductile substrates. In both cases, the elastic rebound forces

13 during impact could be sufficient to prevent embedding unless certain criteria were met. For

14 angular particles, the directions of the normal and tangential components of elastic rebound

15 forces remain constant due to the flat sides and sharp corners, and so long as the static friction

16 forces between particle and substrate materials were sufficiently high, the particle will imbed

17 [22]. For spherical particles, the constant curvature of the particle boundary leads to higher

18 elastic rebound forces normal to the impact direction, and to embed the sphere must impact to a

19 depth greater than its radius and be enveloped by material. Furthermore, spherical particles were

20 not predicted to embed at all at impact angles perfectly normal to the substrate, as the elastic

21 rebound forces were always higher than the friction forces retaining it [22]. Although this study

22 does not consider the multi-particle impact scenario of cold spray, nor does it consider possible

23 velocity differences between the angular and spherical particles, nonetheless the more stringent

24 criteria for embedding spherical particles may be the cause for the lower spherical Al_2O_3

25 recovery seen here.

26 Microstructural examination revealed that the angular and spherical Al_2O_3 morphologies were

27 retained after the cold spray process, although evidently some particle fracture occurred during

28 deposition (see Figure 3). The porosity was below 2% for each coating. Microhardness

29 measurements showed a linear relationship as a function of coating Al_2O_3 content, regardless of

30 particle morphology (see Figure 4). Grain sizes in the aluminum matrix for all coatings showed a

1 variation between roughly 200 nm and 5 µm; the arithmetic mean of several hundred grains on

2 sample CS0 was 1.0 ± 0.8 µm (see Figure 5).

3 **4 Dry sliding wear tests**

4

5

6 **4.1 Friction and transfer film behavior**

7 The friction behavior during sliding wear tests was strongly influenced by the presence,

8 concentration, and morphology of Al_2O_3 (see Figure 6). Sample CS0 exhibited a randomly

9 fluctuating friction coefficient, varying between 0.45 and 1.2. Samples ANG10 and SPH3

10 showed less fluctuation behavior than CS0, while samples ANG22 and SPH11 showed the least

11 fluctuation after an initial run-in period. Average friction data from all tests in the ranges of 0-50

12 cycles, 200-500 cycles, and 1000-2000 cycles were compiled as histograms (see Figure 7).

13 Friction fluctuation corresponds to wide peaks, and in many cases peaks appear to be composed

14 of multiple smaller peaks. As friction stabilizes, the peaks become narrower; this effect was

15 clearly visible for samples ANG22 and SPH11 after 500 and 2000 cycles. Although the pattern

16 for the friction spikes was semi-stochastic, the general friction behavior of samples as revealed

17 through the histograms in Figure 7 was generally reproducible from test to test.

18 Behavior of third body material, monitored through in situ tribometry, also varied according to

19 Al_2O_3 concentration and morphology (see Figure 8) [6, 16, 18]. Samples SPH3 and ANG10

20 showed similar behavior, where the transfer films were highly active; plowing and material

21 transfer were clearly visible, as were plastic flow and material detachment. The holes left by

22 material detachment were soon filled in by new transfer material. In some cases friction events

23 could be directly correlated to events in the transfer film, while in other cases no change in the

24 transfer film was visible. After 100 sliding cycles, samples SPH3 and ANG10 show large

25 transfer films that take up most of the roughly 2 mm field of view (see Figure 8). The transfer

26 films continued growing after this point and, by 500 sliding cycles, became larger than the field

27 of view. Viewed ex situ, the SPH3 and ANG10 transfer film morphologies exhibited a maximum

28 thicknesses of 90 - 100 µm at the prows, the leading edge of material transfer [6, 23]. In contrast

1   to SPH3 and ANG10, in situ observations of samples SPH11 and ANG22 showed less transfer

2   film activity after the initial transfer film formation. Activity such as hole formation and plastic

3   flow was less obvious, if at all. The apparent area of transfer film of SPH11 remained relatively

4   constant after the first 100 cycles, and by 500 cycles a central region opened where movement

5   was visible, as evidenced through the in situ observations as well as the transfer film

6   morphology. For ANG22, the center of the transfer film remained stable and present after 500

7   sliding cycles. For both SPH11 and ANG22, the thickness of transfer film viewed ex situ

8   adhering to the sapphire did not exceed roughly 25 μm.

9   **4.2 Wear track surface analysis**

10   Surface analysis of wear tracks in an SEM revealed differences in the morphologies of third

11   body material, which varied as a function of Al_2O_3 particle content, morphology, and sliding

12   cycles (see Figure 9, left). After 50 cycles, samples SPH3, SPH11, and ANG10 showed some

13   evidence of adhesive wear. This is characterized by smearing behavior, which results in tongue-

14   shaped, layered structures on the surface of the wear track, and is commonly observed in

15   aluminum tribology [6, 7, 24]. Sample ANG22, in contrast, showed the development of a

16   coherent and smooth tribofilm after 50 cycles. Some regions of the SPH11 wear track also

17   showed early stages of this development after 50 cycles, as evidenced by the smoother band of

18   material in the center compared to the smeared material around it.

19   After 500 and 2000 cycles, surface analysis revealed a mix of adhesive wear and the

20   development of third bodies (see Figure 9, middle and right). Sample SPH3 showed the

21   beginning of tribofilm development after 500 cycles, and by 2000 cycles distinct patches of

22   smooth tribofilm were present in the wear track, surrounded by regions of adhesive smearing and

23   wear debris. SPH11 showed uniform coverage of a third body film after 500 cycles, present as

24   half-cylinder regions about 100 μm wide, while after 2000 cycles these regions had flattened into

25   a single coherent, smooth film. ANG10 showed continued evidence of adhesive wear after 500

26   and 2000 cycles, although tribofilm patches were visible in the wear track as well. Finally,

27   ANG22 showed smooth, coherent tribofilms throughout testing, in keeping with previous studies

28   [6, 7].

1   Wear rates were dependent on Al_2O_3 concentration and morphology (see Figure 10). For all

2   samples except ANG22, wear rates were highest at 50 cycles and lower at 500 and 2000 cycles.

3   CS0, SPH3 and ANG10 had comparable wear rates, although the wear rate of SPH3 was slightly

4   higher than that of CS0. Sample SPH11 consistently showed a lower wear rate than ANG10, in

5   spite of similar Al_2O_3 concentrations. This effect was most prominent at 50 and 500 cycles,

6   where the mean wear rate of SPH11 was roughly one third of that measured for ANG10, while

7   after 2000 cycles it was roughly one half.

8   **4.3 Wear track microstructural analysis and mechanical properties**

9   Transverse cross-sections of a wear track of SPH3 after 500 sliding cycles revealed a mix of

10   smooth and rough sections as was visible through surface analysis in Figure 9. In a smooth

11   section shown in Figure 11(a) and 11(b), a coherent layer of ultrafine-grained Al (UFG Al) is

12   present 3-10 µm thick. Underneath the UFG Al layer is large-grained aluminum (CG Al)

13   appearing similar to that observed in the as-sprayed microstructure (see Figure 5). Similar to

14   SPH11, the UFG Al runs up the side and partially envelops the spherical Al_2O_3 particle. In the

15   rough region shown in Figure 11(c), an extruding region of material largely consisting of UFG

16   Al is visible, with cracks visible between various sections.

17   Cross-sections of the near-surface material in ANG10 after 500 sliding cycles revealed

18   deformed, ultrafine-grained material in a region 10 - 20 µm thick on top of the underlying

19   coating which appears to be very little changed from the as-sprayed microstructure (see Figure

20   12). The deformed region consists of a mixture of ultrafine grained aluminum (UFG Al) and

21   Al_2O_3 particles, some of which have been fragmented during the cold spray process or during

22   sliding. Cracks are visible between layers of UFG Al and at the interface with the underlying

23   coarse grained aluminum (CG Al), and these cracks often run to the corners of Al_2O_3 particles.

24   The underlying CG Al microstructure shows grain refinement in roughly the top 5 µm.

25   Wear track microstructures from sample SPH11 after 500 sliding cycles (Figure 13) show the

26   formation of a coherent, fine-grained layer across the entire wear track surface. Generally, this

27   layer is roughly 5-10 µm thick, consisting of a UFG Al enveloping and partially spread along the

28   top of Al_2O_3 particles. Below this layer is CG Al. Compared to the thick region of fine grained

29   material of ANG10, few cracks are visible in sample SPH11, nor are any visible at the interface

1   with the underlying first body. In the UFG layer, regions of dark contrast closer to the sliding

2   surface contained elevated levels of oxygen.

3   Wear track microstructures of CS0 and ANG22 (not pictured) were examined in a previous study

4   on cold sprayed Al-Al$_2$O$_3$ [6]. In that study it was observed that for CS0, layers of material 10-20

5   μm thick, exhibiting a mix of UFG and CG Al material were smeared on top of the underlying

6   material in a similar fashion as ANG10 in Figure 12, and grain refinement was visible

7   penetrating 5-10 μm deep into the underlying microstructure. For ANG22 (not pictured), a

8   coherent UFG Al layer 2-5 μm deep with occasional fragments of Al$_2$O$_3$ was observed on top of

9   a microstructure that appeared unchanged from the as-deposited state.

10 Nanoindentation on the UFG Al regions of the SPH3, ANG10, SPH11, and ANG22 wear tracks

11 after 500 sliding cycles were found to be of elevated hardness compared to the CG Al regions

12 (see Figure 14). For SPH11 and ANG22, the average hardness was roughly 3.8 GPa, which is

13 very close to ANG22 wear track measurements in a previous work [6]. For both SPH3 and

14 ANG10, the average hardness was around 2 GPa. This is slightly higher than previous

15 measurements on CS0 which were on average around 1.5 GPa [6]. In all cases the UFG regions

16 were harder than the underlying CG Al which had an average hardness of roughly 1 GPa, which

17 is similar to that observed previously [6] and is the same as the hardness of the as-sprayed

18 aluminum matrix prior to any wear testing.

19 **5 Connections between Al$_2$O$_3$ particle morphology and the observed tribological behavior**

20 For the tested Al-Al$_2$O$_3$ materials, contrasts were revealed in the friction, wear rates, transfer film

21 behavior, and sub-surface microstructural changes that occurred during dry sliding. As

22 tribological behavior is ultimately dictated by the behavior of third bodies at the sliding interface,

23 it is clear through these results that both the morphology and the concentration of Al$_2$O$_3$ particles

24 help drive the material transformations that create an "efficient" third body layer that lowers

25 friction and wear.

26 Samples CS0, ANG10, and SPH3 exhibited the highest wear rates and the most unstable friction

27 coefficients. Correspondingly, the third body behavior was very similar between ANG10 and

28 SPH3. When viewed in situ, the third bodies were highly dynamic and exhibited frequent

29 detachment of material; and when viewed ex situ, thick prow-shaped regions on the order of 100

1   μm thick were observed (see Figure 8). These so-called Antler prows are associated with the

2   active transfer of material from one surface to another, and it can lead to generation of new third

3   body material [6, 23]. In the SPH3 and ANG10 wear tracks, surface analysis revealed evidence

4   of adhesive wear, characterized by extensive plastic deformation causing a smearing effect. For

5   ANG10, microstructural analysis showed that the adhesive wear led to thick third body layers

6   settling in the bottom of the wear track, consisting of UFG Al and Al_2O_3 particles. For SPH3, the

7   smooth regions of UFG Al show the beginning of a coherent third body layer forming, but the

8   rough regions show that the process of wear debris formation was still very active. In spite of the

9   different morphologies between the ANG10 and SPH3 third bodies, they were of comparable

10   similar hardness values (Figure 14). The behavior of ANG10 and SPH3 in terms of friction,

11   wear, and third body behavior is similar to that observed for CS0 in a previous study [6],

12   indicating that the contents of 3 wt.% spherical Al_2O_3 and 10 wt.% angular Al_2O_3 were

13   insufficient to greatly modify the tribological behavior in dry sliding.

14   Samples SPH11 and ANG22 exhibited the lowest wear rates and the most stable friction

15   coefficients. The third body behavior viewed in situ for both samples was far less active than for

16   the SPH3 and ANG10, and ex situ analysis revealed thin transfer films adhering to the

17   counterfaces without any visible Antler prows. Surface analysis of SPH11 and ANG22 revealed

18   wear tracks that were relatively smooth, and in cross-sections SPH11 revealed the formation of

19   smooth, coherent third body layers 5-10 μm thick of UFG Al that partially enveloped the

20   spherical Al_2O_3 particles. The microstructures of SPH11 are quite comparable to those observed

21   in ANG22 [6], where a consistent, coherent third body layer consisting of UFG Al and fragments

22   of Al_2O_3 was formed. Furthermore, the SPH11 and ANG22 third body layers were of very

23   similar hardness values of roughly 3.8 GPa. Although the wear rates were lower for ANG22 than

24   for SPH11, and the friction coefficient of SPH11 was more unstable than that of ANG22 at early

25   sliding cycles, the tribological processes were nonetheless similar between the two samples after

26   the initial running-in period, which was longer for SPH11 than ANG22.

27   Therefore to achieve lower wear rates and friction, the most effective third body for this system

28   consisted of a single coherent layer of UFG Al that is between 2 and 10 μm thick, is relatively

29   free of cracks, and has a hardness of roughly 3.8 GPa. Third body layers of these criteria formed

30   only for samples SPH11 and ANG22. The formation of this layer was linked to a coupling of

11

1 sufficiently high concentration of Al_2O_3 particles and of the particle morphology. As aluminum

2 is transferred and smeared across Al_2O_3 particles, strain localization and high strain gradients in

3 the vicinity of the hard particles results in enhanced dislocation formation and grain refinement.

4 This effect of localized grain refinement in the vicinity of hard particles has been observed in

5 severe plastic deformation (SPD) process such as high pressure torsion [25-27]. The increase in

6 hardness is linked to the extreme amount of grain refinement and work hardening in this layer, as

7 well as tribo-oxidation. Kim et al. studied the oxygen contained in wear debris formed after dry

8 sliding on pure aluminum and found that it could be present as a metastable oxygen solid

9 solution, formed through the extreme plastic deformation processes occurring at the sliding

10 interface [28]. Although a quantitative analysis of oxygen content in the mechanically mixed

11 material was not carried out in this study, a previous study by the authors on the third body

12 material of a similar material system found significant oxygen content, yet it was lower than that

13 of stoichiometric Al_2O_3 [6].

14 SPH11 and ANG10 had comparable Al_2O_3 contents and microhardness values; yet at this

15 concentration of Al_2O_3, the most effective third body layer formed only in the case of the

16 spherical Al_2O_3 morphology. Reinforcement particle morphology in Al-MMC materials has been

17 previously shown to strongly influence tribological behavior. Tribological studies on Al-Si

18 alloys, which are considered in situ Al-MMC materials and are frequently used in tribological

19 applications, have found that the sphericity of the Si phase can have a strong effect on wear rates.

20 Elmadagli et al. found that in Al-Si alloys, heat treatments could cause the as-cast acicular Si

21 morphology to spheroidize [29]. This spheroidization step was attributed to a reduction of wear

22 rates by roughly 30%. Yang et al. measured similar reductions in wear rates after adding alloy

23 elements promoting Si spheroidization, and partly attributed this to the observation that cracks in

24 angular Si particles could propagate into the third body layer and lead to detachment of wear

25 debris [30]. Such third body instability would lead to greater wear debris generation, and this is

26 likely the case in the present study as well.

27 Further study of literature on the deformation behavior of Al-MMCs offers a partial explanation

28 for the influence of particle morphology. When Al-MMC materials are deformed, whether in

29 tension, compression, or shear, the plastic flow of the matrix is constrained in the vicinity of stiff

30 reinforcement particles [31]. The resulting stress concentration induces strengthening to the

1   composites compared to the unreinforced matrix material [32], and the microstructural changes

2   responsible for this strengthening have been observed in tensile loading [33] and in tribological

3   scenarios [34]. However, reinforcement morphology strongly influences the constrained plastic

4   strain fields in the matrix, with severe stress concentration in the regions close to sharp corners

5   of angular particles [31]. Although angular reinforcements can result in higher strength in tensile

6   loading compared to spherical, they also lead to reduced ductility due to enhanced particle

7   fracture and matrix damage accumulation at stress concentration sites [33]. It follows that in a

8   tribological scenario like the present study, it is possible that the reduced ductility and damage

9   accumulation at the sharp corners of angular particles act to allow material detachment during

10 sliding, more readily generating wear debris and clearing away regions of MML. This would be

11 closely linked to spikes in the friction coefficient and activity in the transfer film, which were

12 associated with higher overall wear rates.

13 Therefore for this sliding system, two competing processes occurred in the vicinity of hard

14 particles: third body formation through strain localization and oxidation, and third body

15 disturbance/removal contributing to the detachment of wear debris. For sample SPH3, some

16 smooth patches of third bodies formed in the wear track, but the amount of Al_2O_3 was

17 insufficient to prevent its disturbance and removal as wear debris. For ANG10 and SPH11, with

18 similar concentrations of particles, the sharp corners of the angular Al_2O_3 in ANG10 promoted

19 crack formation and detachment. The stability of the third body layer for SPH11 allowed it to

20 contribute to work hardening and oxidation, leading to its elevated hardness. ANG22 had such

21 high quantities of angular Al_2O_3 that the third body formation process dominated over the

22 detachment process, leading to the elevated hardness and stability of the third body layer similar

23 to that of SPH11, but at a lower wear rate.

24 **6 Conclusions**

25 The present study has explored the influence of Al_2O_3 morphology on the deposition behavior

26 and dry sliding wear behavior of cold sprayed Al-Al_2O_3 using admixed feedstock powders.

27 During cold spray deposition, significantly less spherical Al_2O_3 compared to angular Al_2O_3 was

28 recovered at similar feedstock concentrations. Coating microhardness was found to depend only

29 on the concentration of Al_2O_3 in the coating and not on the particle morphology.

1 In dry sliding wear tests, coatings with 3 wt.% spherical (SPH3) and 10 wt.% angular (ANG10)

2 Al_2O_3 were found to have similar wear rates as unreinforced cold sprayed pure Al and similarly

3 high, unstable friction coefficients. Coatings of 11 wt.% spherical (SPH11) and 22 wt.% Al_2O_3

4 (ANG22) were found to have lower wear rates and lower, more stable friction coefficients

5 compared to the coatings of lower Al_2O_3 content. Tribological mechanisms were observed

6 through in situ tribometry and ex situ analysis of worn material. Samples exhibiting high wear

7 rates and high friction were found to have high levels of material flow at the sliding interface,

8 and this resulted in disordered, inhomogeneous microstructures in the third body material in the

9 wear track. Samples exhibiting low wear rates and low friction were found to have stable third

10 body material present in a thin, hardened, coherent layer of fine-grained material that was highly

11 oxidized. The "critical" concentration of Al_2O_3 required to form this layer was strongly

12 dependent on the morphology of the Al_2O_3 particles, with less spherical Al_2O_3 being needed

13 compared to angular.

14 **Acknowledgments**

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17

Sample Designation	Al_2O_3 Particle Morphology	Al_2O_3 in Feedstock Powder (wt.%)
CS0	n/a	0
ANG10	Angular	10
ANG22	Angular	50
SPH3	Spherical	10
SPH11	Spherical	50

1

2 Table 1 - Sample designations and Al_2O_3 content in the feedstock powder. Sample designations
3 refer to the morphology and amount of Al_2O_3 recovered in the coating (see Figure 2).
4
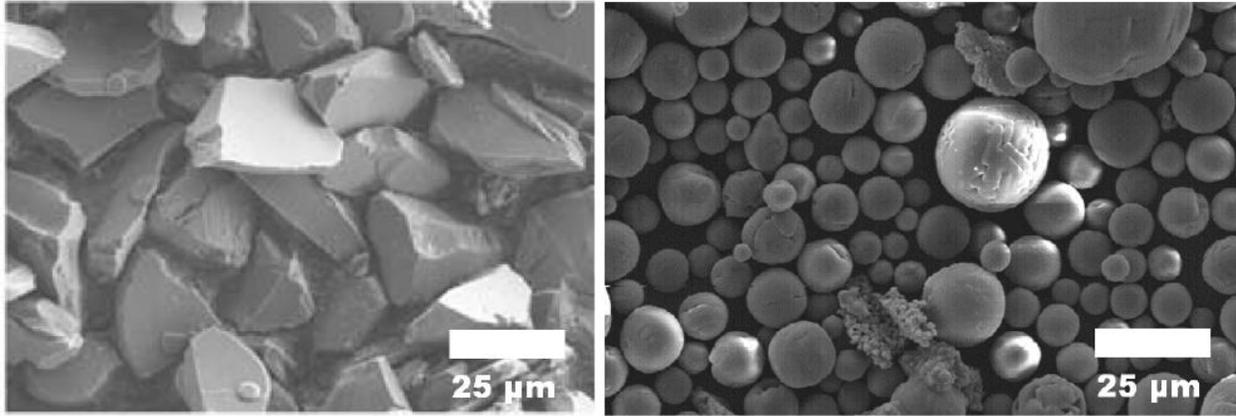
1

2 Figure 1 - Feedstock Al_2O_3 powders before admixing. Left: angular Al_2O_3 (from [5]). Right:

3 spherical Al_2O_3.

4

5

6

7
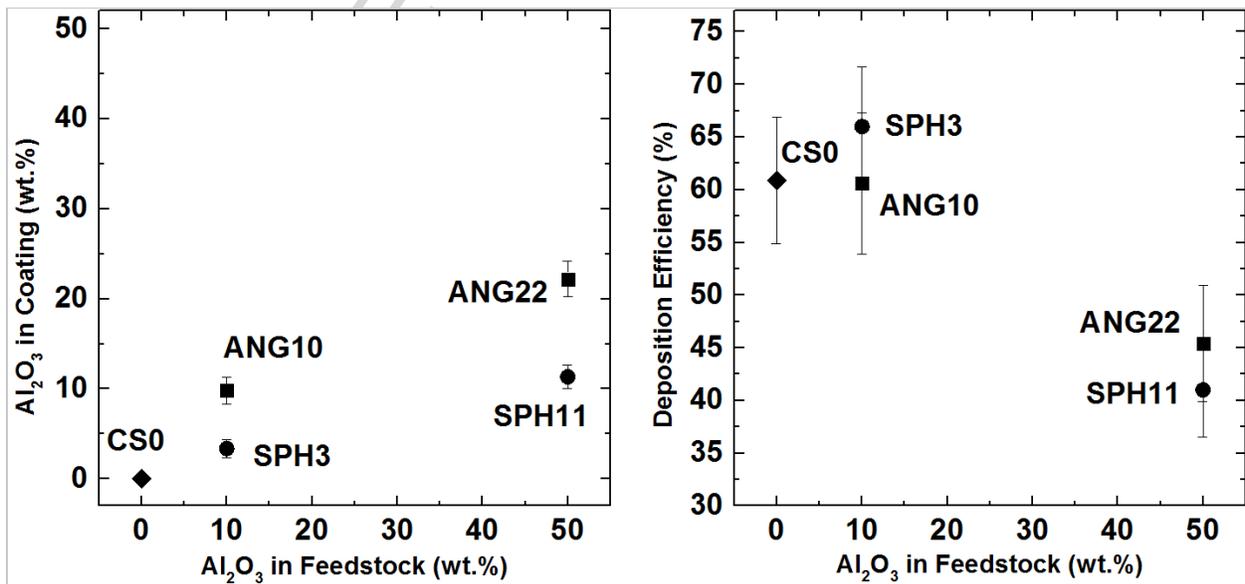
8

9 Figure 2 - Recovery of Al_2O_3 (left) and deposition efficiency (right) of the sprayed coatings.
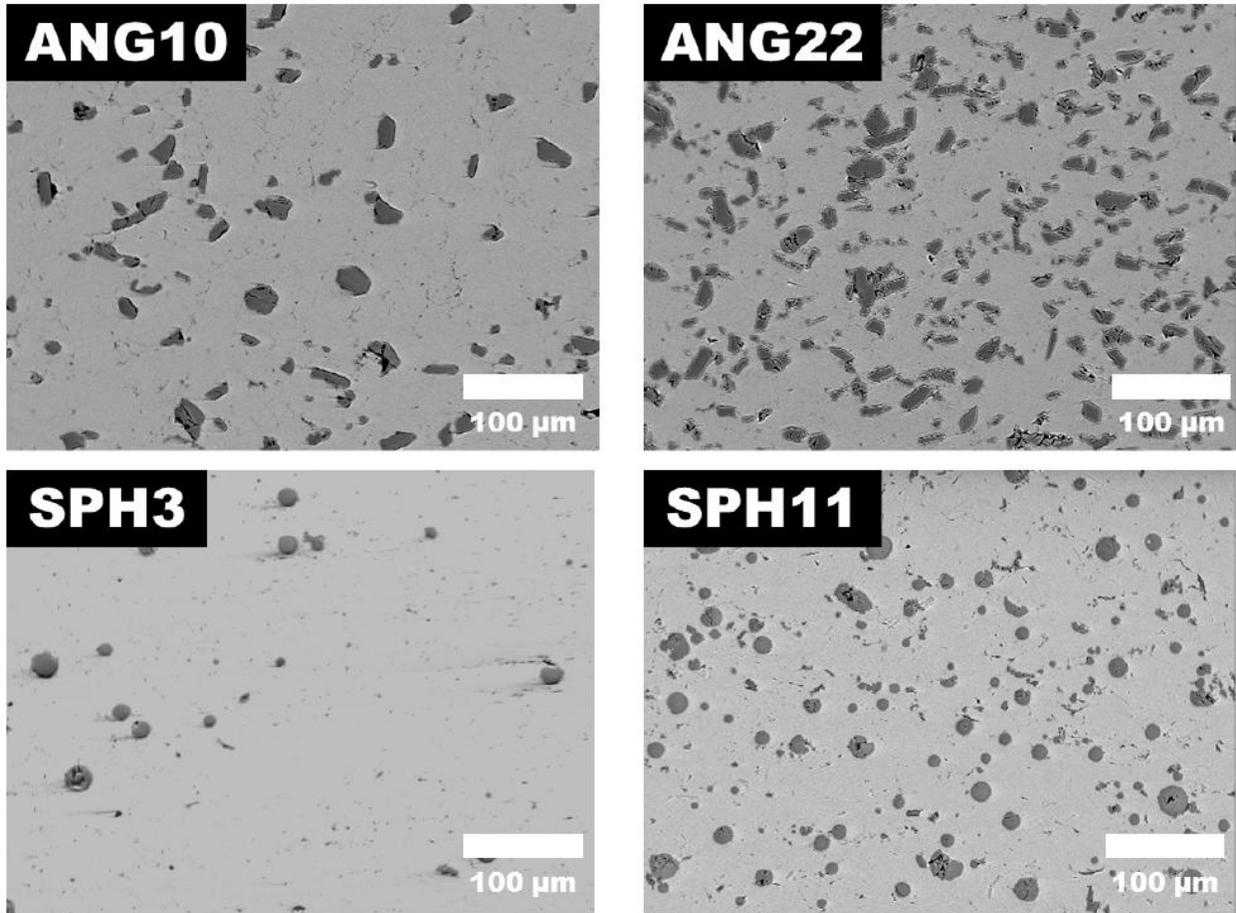
1

2 Figure 3 - Cross-sections of the as-sprayed microstructures viewed roughly 1 mm below the top

3 surface of the deposits.
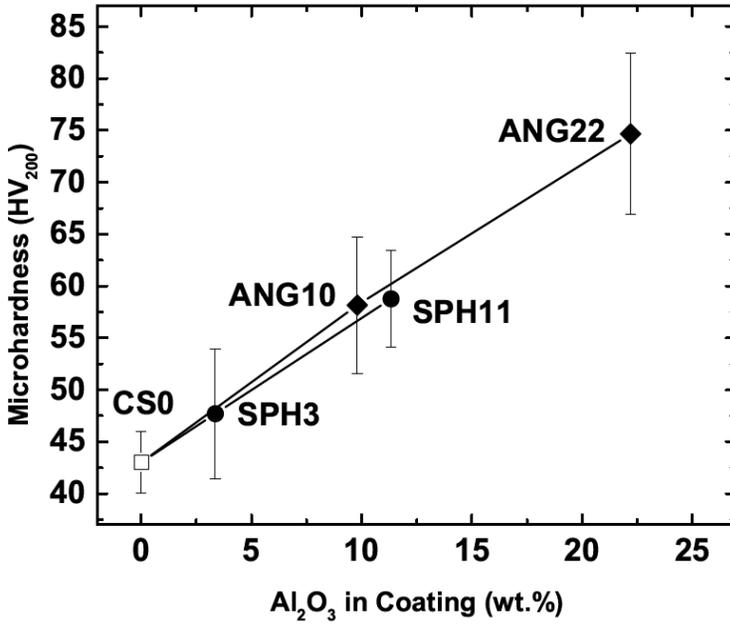
1

2   Figure 4 - Microhardness measurements of the tested coatings.

3
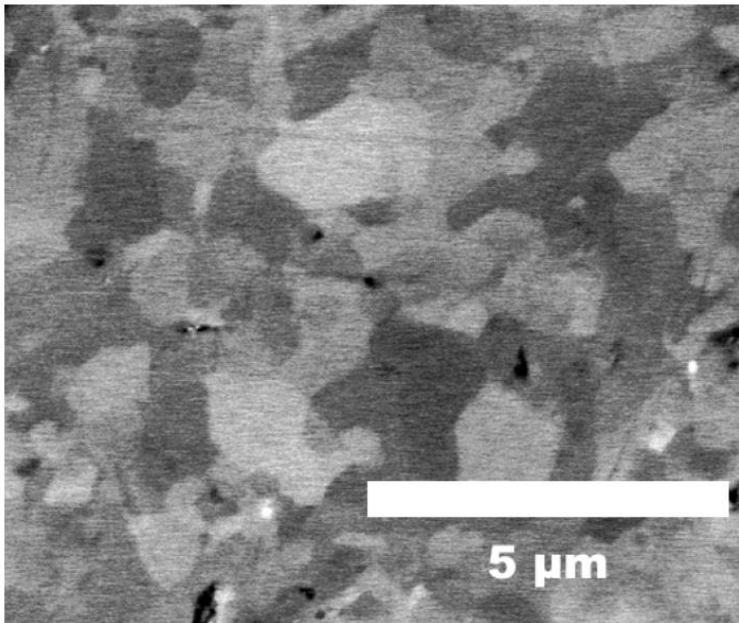
4

5   Figure 5 - Representative ECCI micrograph of typical as-sprayed grain sizes in the aluminum

6   matrix for all samples prior to sliding wear testing.
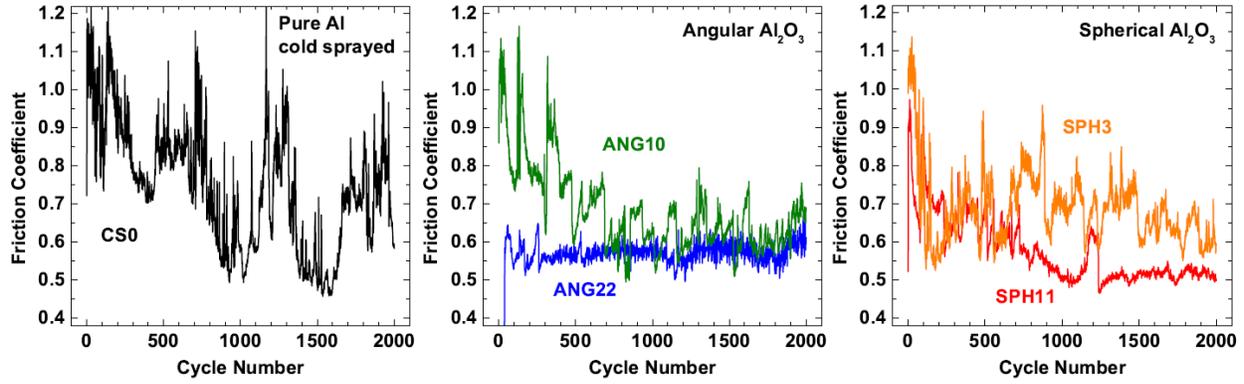
Figure 6 - Representative plots of average friction coefficient plotted versus cycle number: pure cold sprayed Al (left), angular Al_2O_3 samples (middle), and spherical Al_2O_3 samples (right).
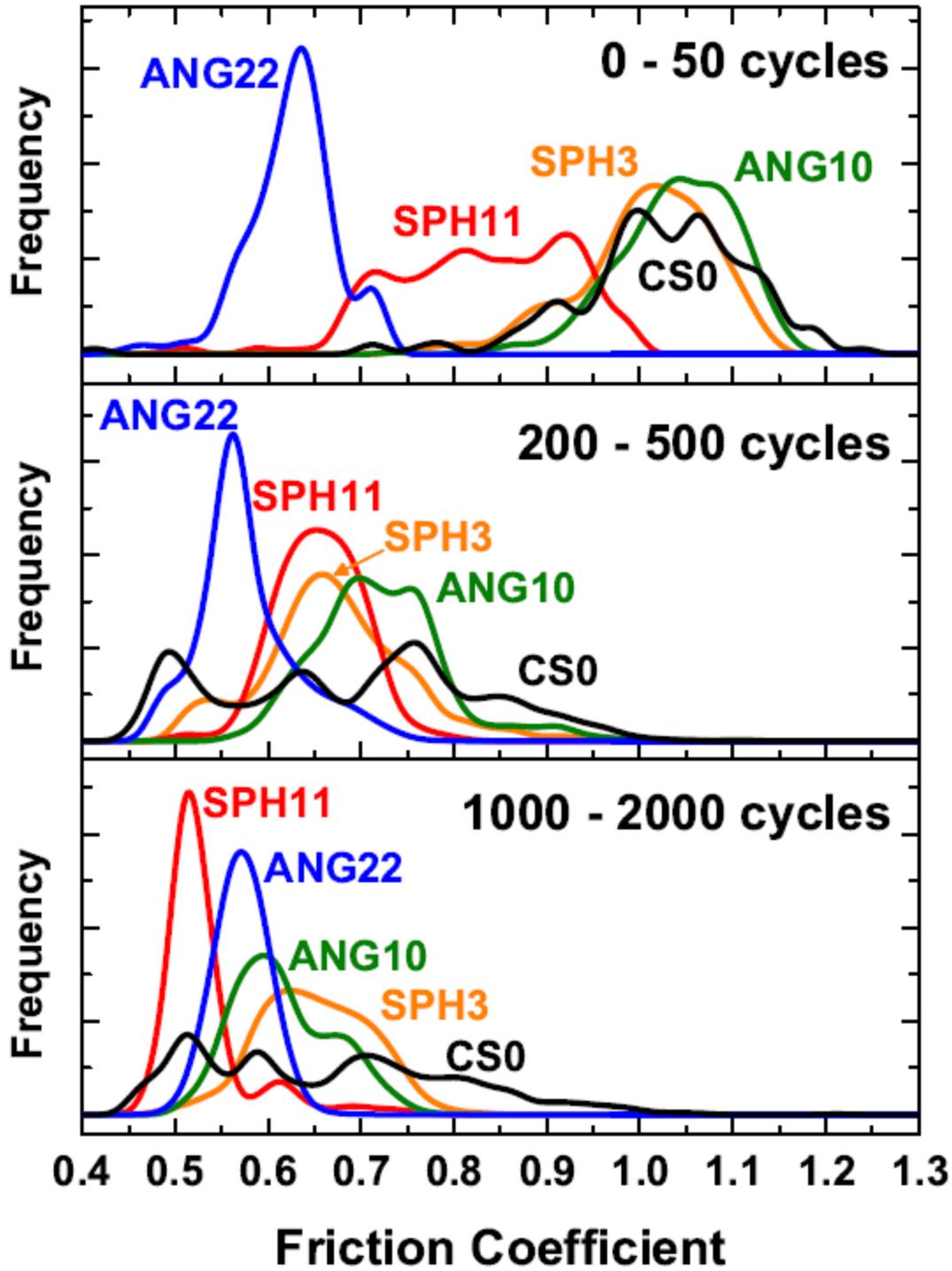
1

2   Figure 7 - Friction plotted as frequency distributions; a spline fit was used plot the binned data.
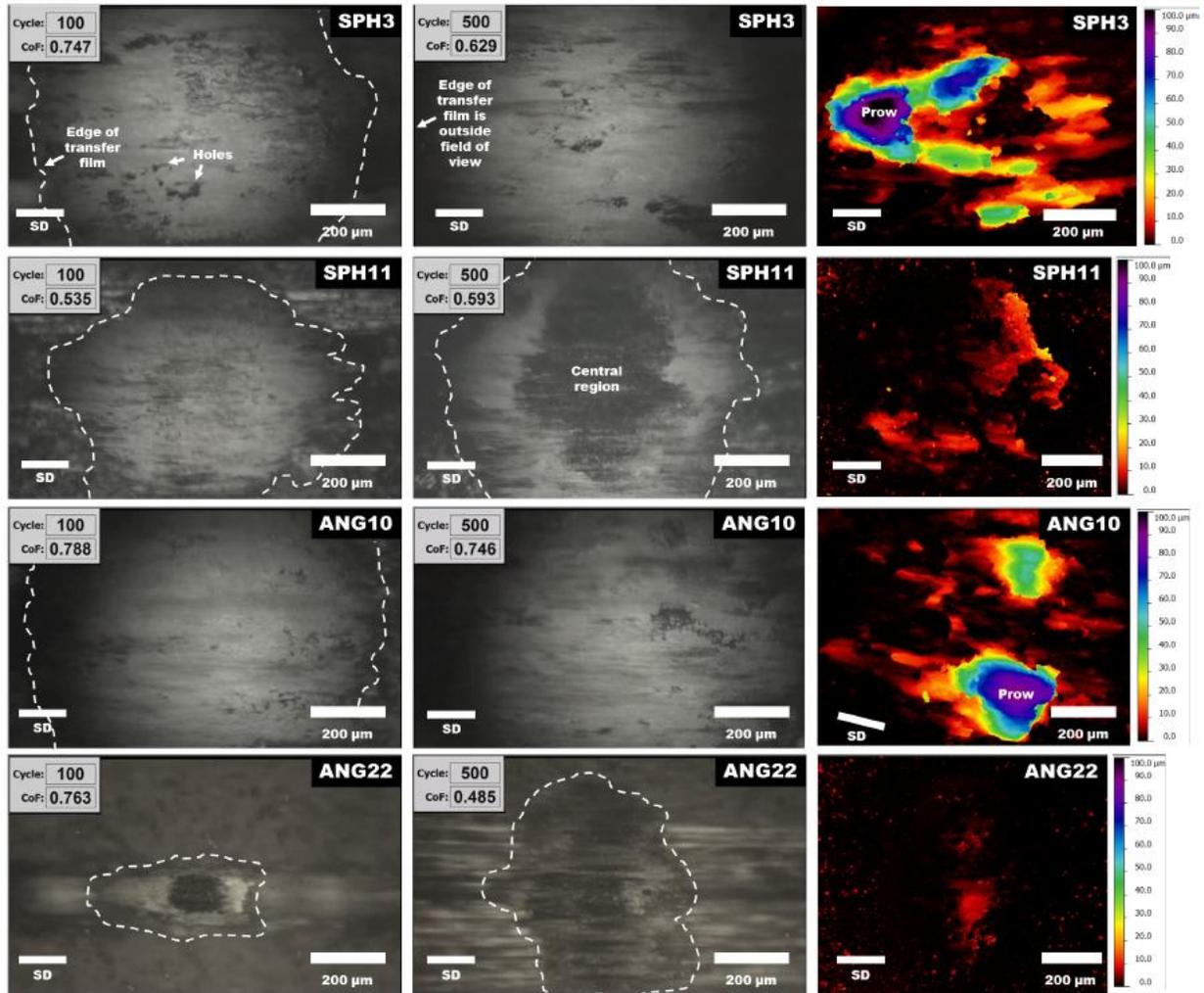
Figure 8 - Left and middle: Transfer films observed in situ at 100 and 500 sliding cycles.
Transfer film borders are marked where visible. Right: morphologies of transfer films adhering
to the counterface after 500 cycles. "SD" indicates sliding direction.
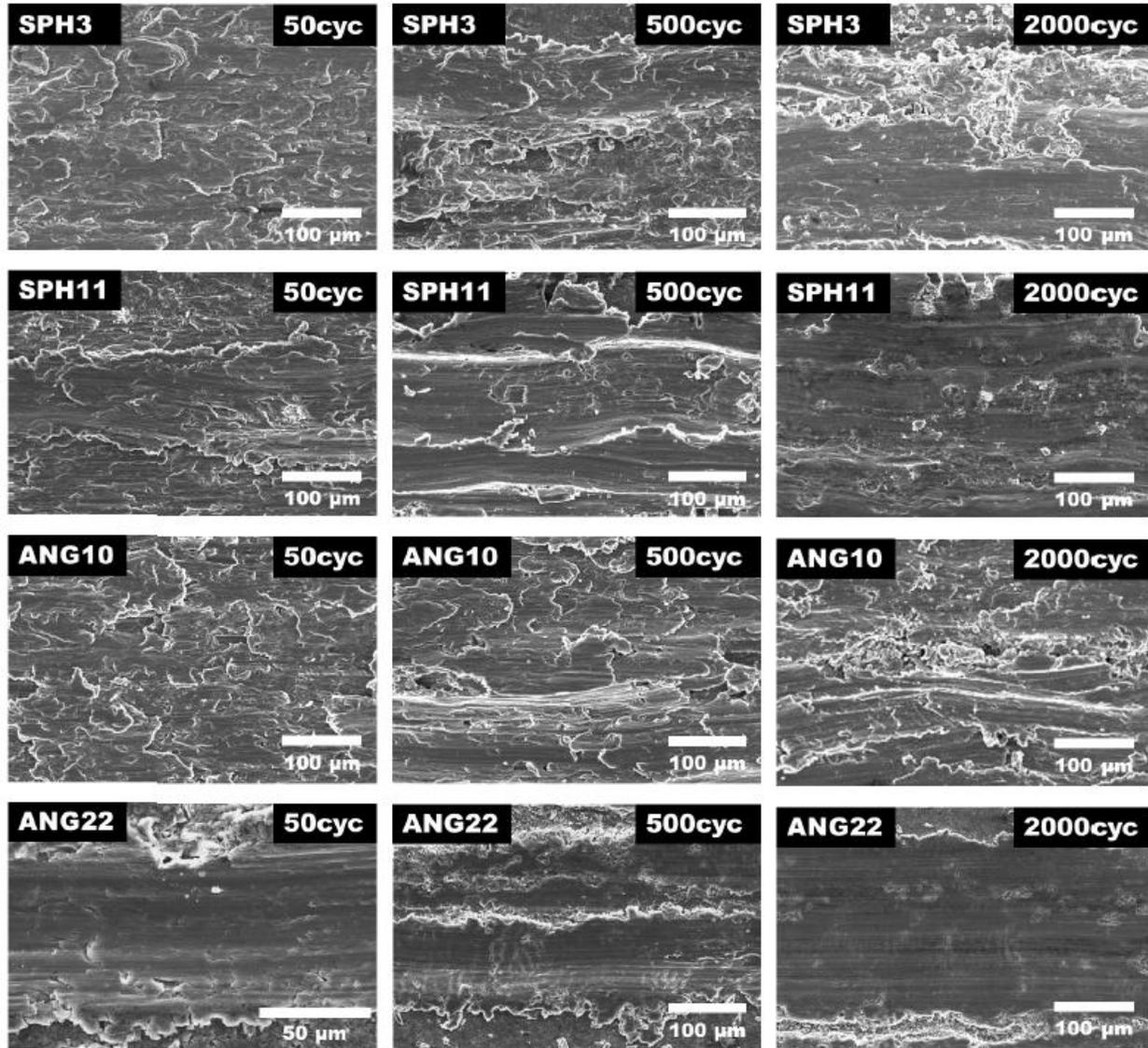
1

2 Figure 9 - Surface features of the wear tracks after 50, 500, and 2000 sliding cycles. Sliding

3 direction is horizontal.
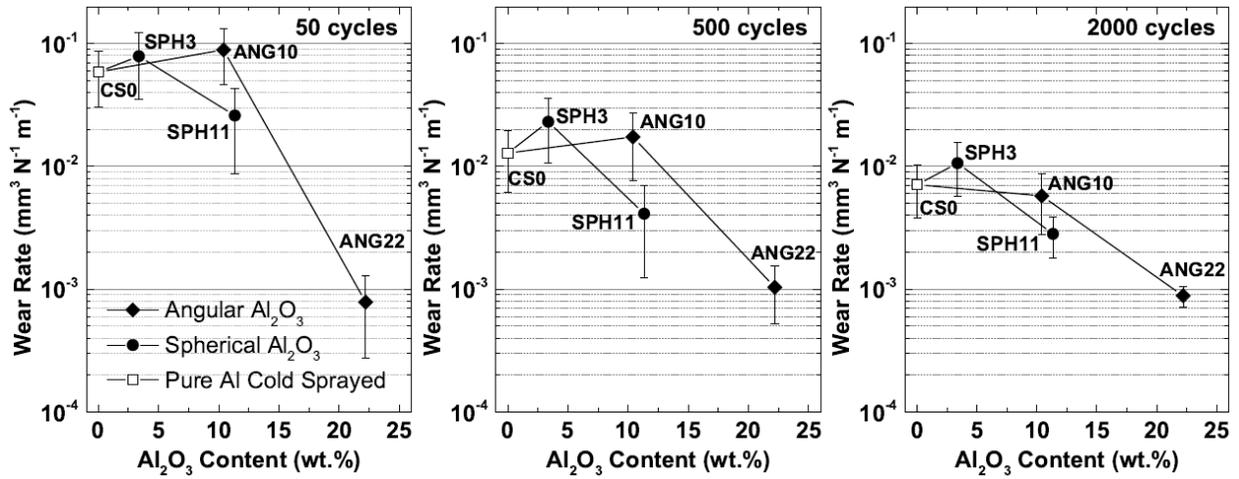
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2 Figure 10 - Volumetric wear rate plotted versus cycle number. The error bars show plus and

3 minus one standard deviation.
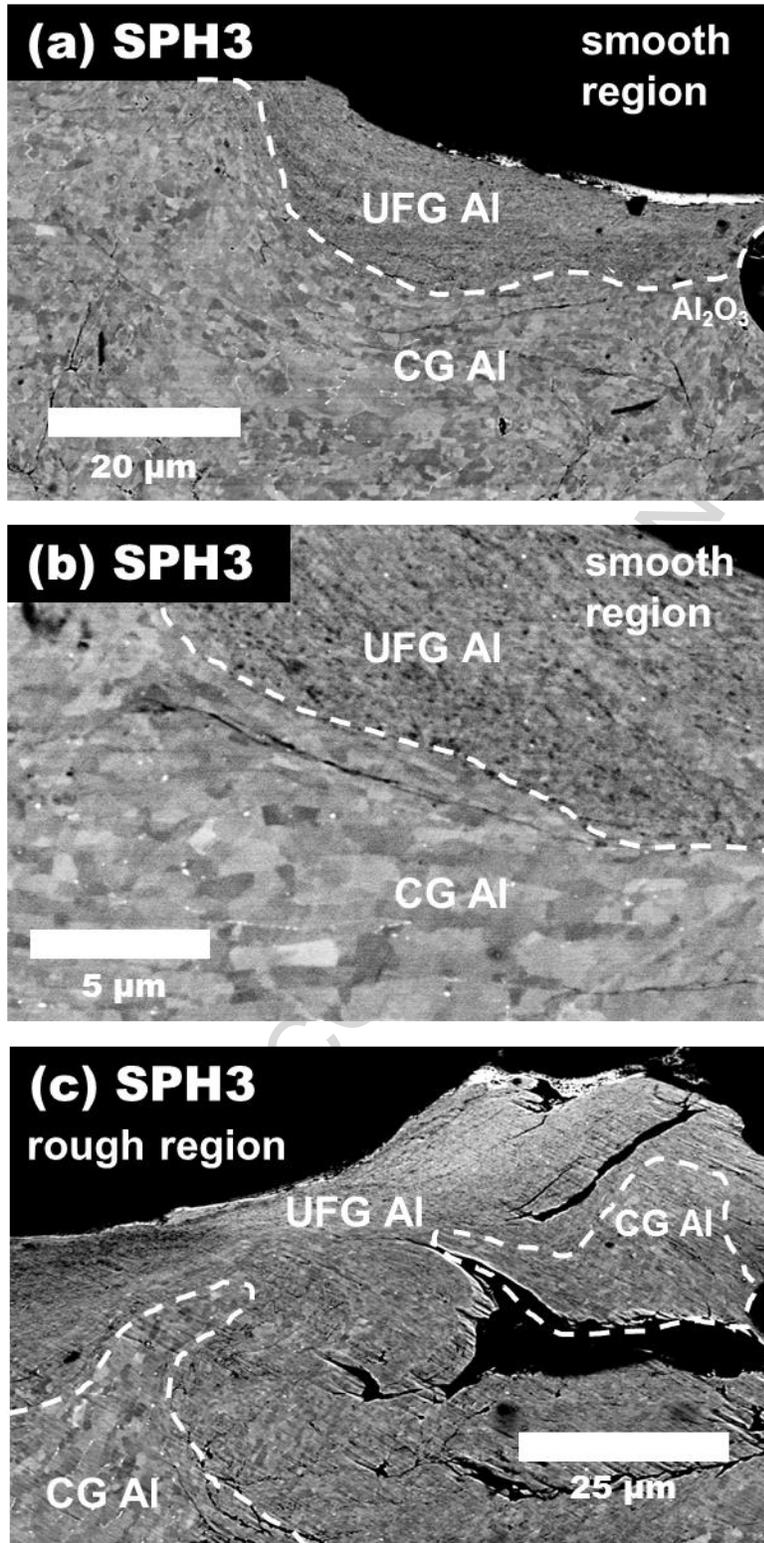
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2 Figure 11 - Cross-sections of the near-surface microstructures after 500 sliding cycles for sample
3 SPH3.
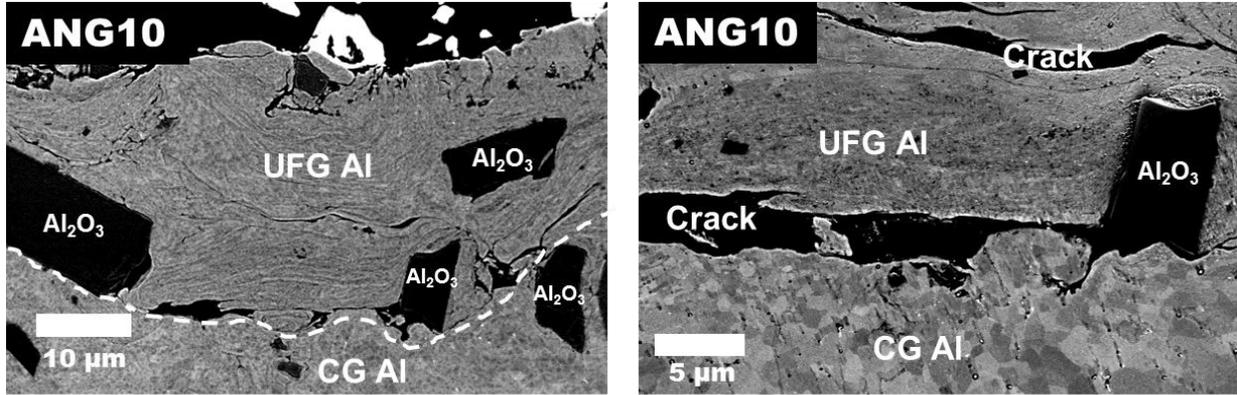
Figure 12 - Cross-sections of the near-surface microstructures after 500 sliding cycles for sample ANG10.
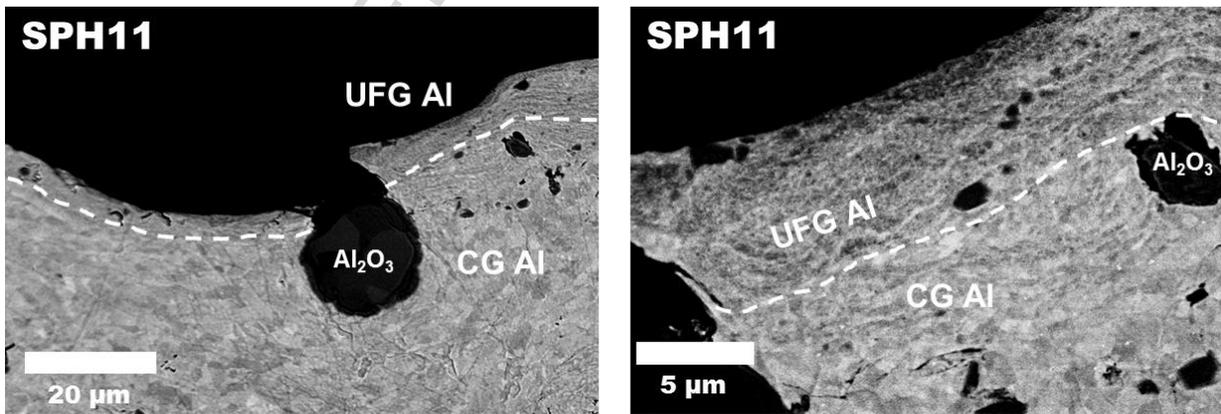

Figure 13 - Cross-sections of the near-surface microstructures after 500 sliding cycles for sample SPH11.
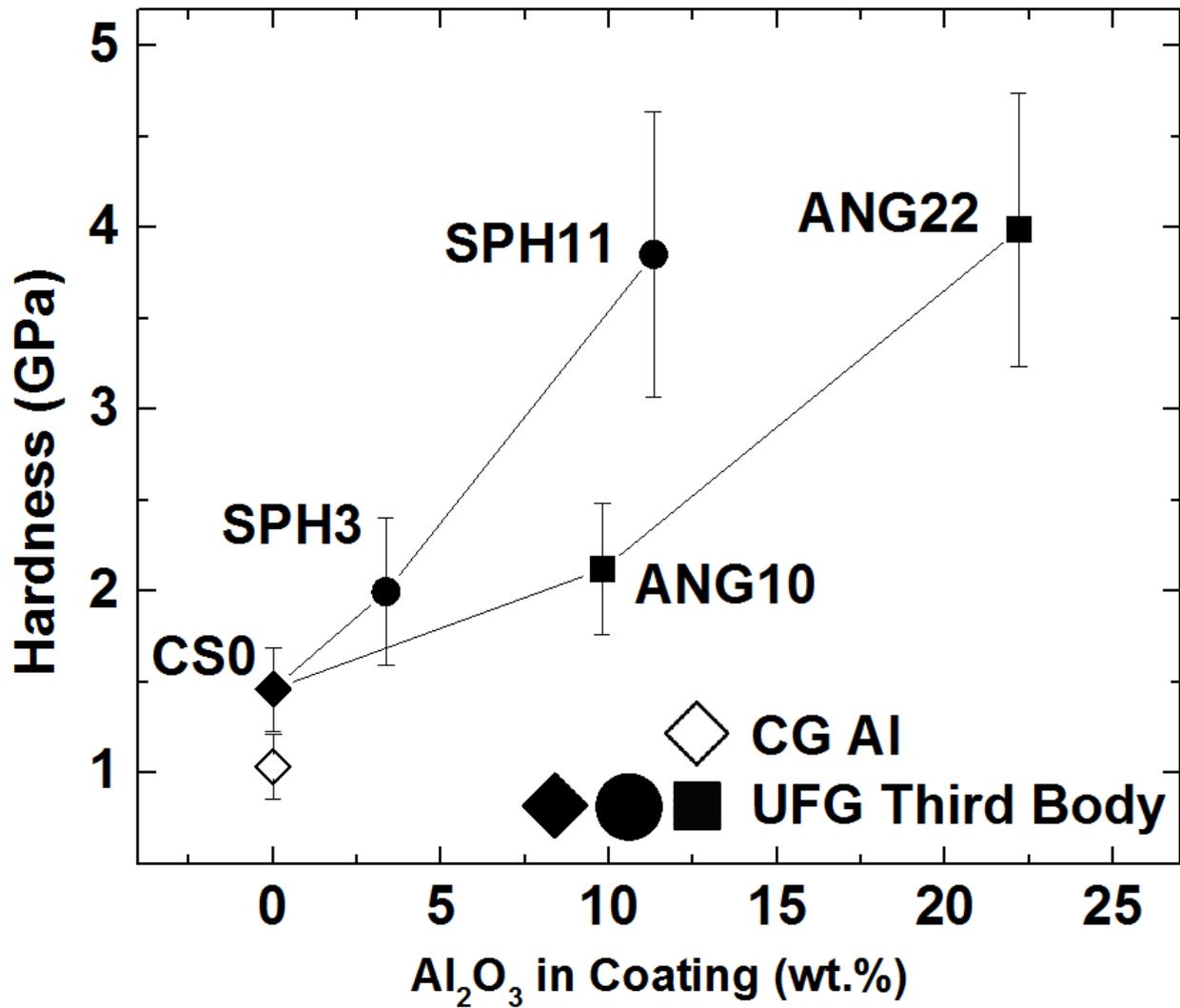
Figure 14 - Hardness of CG Al and UFG material (from Figures 12, 13, and 11) in the tested samples. Data for CS0 UFG Al was taken from a previous study by the authors [6].

1 **Highlights from "The influence of Al_2O_3 particle morphology on the coating formation and**
2 **dry sliding wear behavior of cold sprayed Al-Al_2O_3 composites" :**

3

4 • Al-Al_2O_3 coatings were cold sprayed with either angular or spherical Al_2O_3

5

6 • Al_2O_3 recovery was greater for angular than spherical morphologies

7

8 • Dry sliding wear test were performed on the coatings

9

10 • Spherical Al_2O_3 led to a lower wear rate and more stable friction than angular

11

12 • Tribological behaviors were linked to the evolution of third body material