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# Markers of glutamate and GABA neurotransmission in the prefrontal cortex of schizophrenia subjects: Disease effects differ across anatomical levels of resolution

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## ABSTRACT

Cognitive dysfunction in individuals with schizophrenia is thought to reflect, at least in part, altered levels of excitatory and inhibitory neurotransmission in the dorsolateral prefrontal cortex (DLPFC). Studies of the postmortem human brain allow for interrogation of the disease-related alterations in markers of excitatory and inhibitory neurotransmission at different levels of anatomical resolution. Here, we re-analyzed six published datasets from postmortem studies of schizophrenia to assess molecular markers of glutamate and GABA neurotransmission in the DLPFC at three levels of anatomical resolution: 1) total cortical gray matter, 2) gray matter restricted to layer 3, and 3) a layer 3 local circuit composed of excitatory pyramidal cells and inhibitory, parvalbumin-containing, GABA neurons. We formulated composite measures of glutamate and GABA neurotransmission from z-scores of key transcripts that regulate these functions. Relative to unaffected comparison subjects, the composite glutamate measure was higher in schizophrenia subjects in total gray matter homogenates but lower in samples restricted to layer 3 or the layer 3 local circuit. The composite index of GABA neurotransmission did not differ between subject groups in total gray matter homogenates but was lower in schizophrenia subjects in layer 3 and lower still in the local layer 3 circuit. These findings suggest that the balance of excitation and inhibition in the DLPFC of schizophrenia subjects differs depending on the level of anatomical resolution studied, highlighting the importance of layer- and cell type-specific studies to understand disease-related alterations in cortical circuitry.

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## 1. Introduction

Cognitive dysfunction, such as working memory impairments, in individuals with schizophrenia are thought to reflect, at least in part, imbalances between excitatory and inhibitory elements of neural circuitry in the dorsolateral prefrontal cortex (DLPFC) (Foss-Feig et al., 2017; Hoftman et al., 2017; Krystal et al., 2017). For example, working memory performance and its neural correlate in the DLPFC, gamma oscillation power, are both lower in subjects with schizophrenia (Chen et al., 2014; Cho et al., 2006; Minzenberg et al., 2010; Senkowski and Gallinat, 2015). As gamma oscillations are generated by a local circuit composed of excitatory, glutamatergic pyramidal neurons and inhibitory, GABAergic neurons in DLPFC layer 3 (Bartos et al., 2007; Gonzalez-Burgos et al., 2015; Whittington et al., 2000), disturbances to either excitatory (Tatard-Leitman et al., 2014) or inhibitory (Cardin et al., 2009; Fuchs et al., 2007;

Sohal et al., 2009) strength in this circuit can impair gamma oscillatory activity. Thus, altered levels of glutamate and/or GABA neurotransmission might contribute to lower gamma oscillation power and working memory deficits in schizophrenia (Lewis and Moghaddam, 2006).

Consistent with this notion, in vivo studies of subjects with schizophrenia found that glutamate levels in the frontal cortex were positively correlated with performance on verbal working memory tasks (Rowland et al., 2016) and other indices of global cognitive performance (Bustillo et al., 2011). Similarly, lower frontal GABA levels predicted poorer working memory performance (Marsman et al., 2014) and abnormal gamma oscillatory activity (Chen et al., 2014). In addition, a diminished capacity to increase extracellular GABA levels was associated with lower gamma oscillation power and poorer cognitive performance in schizophrenia subjects (Frankle et al., 2015).

Although not conclusive, these findings suggest a relationship between altered frontal glutamate and GABA levels and cognitive performance in schizophrenia subjects. Thus, lower levels of glutamate or GABA neurotransmission might contribute to cognitive impairments in schizophrenia. However, in vivo magnetic resonance spectroscopy

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(MRS) studies of the levels of these molecules in schizophrenia and comparison subjects have produced mixed results. Thus, many meta-analyses and reviews of in vivo MRS studies of frontal cortical glutamate in schizophrenia do not find evidence of a deficit in schizophrenia subjects (Marsman et al., 2013; Merritt et al., 2016; Poels et al., 2014, 2013), and one recent study using MRS at high strength 7 T magnetic fields found no group differences in DLPFC glutamate levels between control and schizophrenia subjects (Wang et al., 2019). Similarly, meta-analyses and reviews of in vivo GABA levels in frontal cortex failed to find consistent evidence of differences between schizophrenia and comparison subjects (de Jonge et al., 2017; Egerton et al., 2017; Taylor and Tso, 2015; Wang et al., 2019), although effect sizes suggest modestly lower levels in schizophrenia (Egerton et al., 2017). In addition to the variability in findings across studies, which might be due to differences in methodology (i.e., MRS field strength, spectral editing, methods for normalization, etc.) or subject cohorts (i.e., duration of illness, antipsychotic exposure, etc.), two other key issues limit the interpretive value of these in vivo studies for understanding excitatory/inhibitory imbalance in the DLPFC of subjects with schizophrenia. First, most MRS imaging studies require large voxel sizes to quantify levels of the molecules of interest, and thus do not possess the anatomical resolution needed to assess disease effects at the level of cortical layers or local circuits. Second, these studies index total tissue levels of glutamate and GABA, and therefore cannot distinguish levels of these molecules involved in synaptic neurotransmission versus other metabolic processes.

Clearly, understanding abnormalities in cortical excitatory and inhibitory neurotransmission in schizophrenia requires the ability to quantify markers that directly regulate glutamate and GABA signaling at laminar and circuitry levels of resolution. One study, which assessed gene products involved in glutamate and GABA synthesis, vesicular packaging and terminal reuptake, as well as critical postsynaptic receptor subunits, found evidence of lower levels of both excitatory and inhibitory markers in layer 3 of the DLPFC of schizophrenia subjects (Hoftman et al., 2018). These findings support the idea that lower levels of both excitation and inhibition in this layer might contribute to impaired gamma oscillatory activity and working memory deficits in schizophrenia (Lewis et al., 2012). However, this study was limited to layer 3 tissue homogenates and did not determine if these same alterations are present across all cortical layers or in the layer 3 glutamatergic pyramidal cell/parvalbumin (PV) GABAergic neuron circuit that appears to be crucial for generating gamma oscillations and mediating working memory (Goldman-Rakic, 1995; Gonzalez-Burgos et al., 2015; Miller et al., 2018).

Here, we explored how the schizophrenia disease effect on composite measures of the gene products regulating glutamate and GABA neurotransmission is influenced by these different levels of anatomical resolution. Utilizing six previously published datasets in the DLPFC of schizophrenia subjects (Arion et al., 2015; Curley et al., 2011; Enwright et al., 2018; Fromer et al., 2016; Hoftman et al., 2018; Volk et al., 2016),

we examined composite measures of glutamate and GABA neurotransmission in 1) total gray matter homogenates containing all layers of the DLPFC, 2) isolated layer 3 tissue homogenates, and 3) the layer 3 circuit composed of pyramidal cells and PV neurons.

## 2. Methods

### 2.1. Datasets and transcripts selection

Gene expression data were re-analyzed from six previously published datasets of schizophrenia and unaffected comparison subjects; summary characteristics of the subjects used in each study are shown in Table 1. In all six studies, each schizophrenia subject was matched for sex, and as closely as possible for age, to one unaffected comparison subject. As shown in Fig. 1, most subject pairs were common across studies. To compare findings across datasets, we constrained our study to the same key transcripts indexing glutamatergic and GABAergic neurotransmission studied by Hoftman and colleagues (Hoftman et al., 2018): glutamate and GABA neurotransmitter synthesis (glutamine synthetase, GLS; and 67 kDa isoform of glutamic acid decarboxylase, GAD67), vesicular transport (vesicular glutamate transporter 1, vGLUT1; and vesicular GABA transporter, vGAT), reuptake (solute carrier family 1 member 2, SLC1A2, also known as excitatory amino acid transporter 2, EAAT2; and GABA reuptake transporter 1, GAT1), and critical postsynaptic receptors (the obligatory subunit of the N-methyl-D-aspartate (NMDA) receptor, GRIN1; the calcium-impermeable receptor subunit of the alpha-amino-3-hydroxy-5-methyl-4-isoxazole propionic acid (AMPA) receptor, GRIA2; and the obligatory subunit of the GABA<sub>A</sub> receptor,  $\gamma 2$  (GABRG2)). In cases where a given transcript was represented by more than one probe, the highest expressing probe was selected for study.

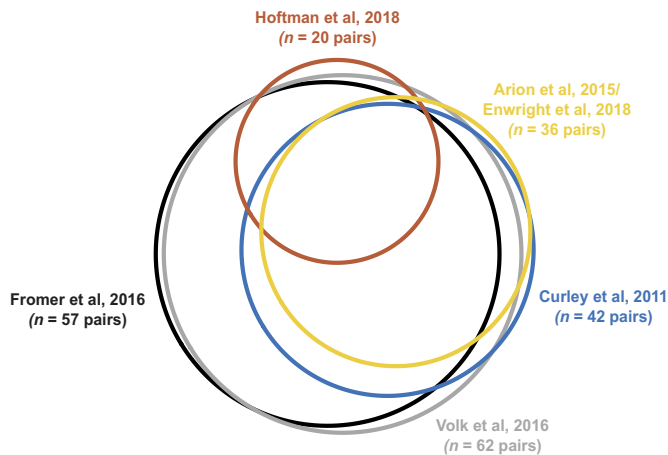
### 2.2. Tissue samples and methodology for transcript quantification

Transcript quantification was performed by RNA sequencing (RNAseq) in samples of total gray matter homogenates containing all DLPFC layers (Fig. 2A; 2B) from 57 pairs of schizophrenia and unaffected comparison subjects (Fromer et al., 2016). Using quantitative PCR (qPCR), quantification of GAD67 was performed in comparable DLPFC total gray matter homogenates from 62 (Volk et al., 2016) or 42 (Curley et al., 2011) pairs of schizophrenia and unaffected comparison subjects. Similarly, qPCR was used to quantify all nine transcripts of interest in isolates of layer 3 tissue homogenates (Fig. 2C; D), collected by laser-capture microdissection, from 20 matched pairs of schizophrenia and unaffected comparison subjects (Hoftman et al., 2018). Finally, microarray platforms were used to quantify transcripts in layer 3 pyramidal cells (Fig. 2E; F) (Arion et al., 2015) or PV neurons, identified by the presence of perineuronal nets (Fig. 2G) (Enwright et al., 2018), captured

**Table 1**  
Cohort characteristics and methods used for mRNA quantification.

Study	RNAseq		Quantitative PCR				Microarray			
	(Fromer et al., 2016)		(Volk et al., 2016)		(Curley et al., 2011)		(Hoftman et al., 2018)		(Arion et al., 2015; Enwright et al., 2018)	
	All Layers		All Layers		All Layers		Layer 3		PCs/PVins	
Sample										
Subject Group	UC	SZ	UC	SZ	UC	SZ	UC	SZ	UC	SZ
n	57	57	62	62	42	42	20	20	36	36
Sex	44 M/13 F	44 M/13 F	47 M/15 F	47 M/15 F	31 M/11 F	31 M/11 F	14 M/6 F	14 M/6 F	27 M/9 F	27 M/9 F
Race	48 W/9 B	41 W/16 B	52 W/10 B	46 W/16 B	34 W/8 B	29 W/13 B	16 W/4 B	13 W/7 B	30 W/6 B	24 W/12 B
Age (y)	49.0 (14.1)	48.1 (13.0)	48.8 (13.8)	47.6 (12.7)	48.1 (13.3)	47.0 (12.8)	47.2 (9.9)	45.6 (9.5)	48.1 (13.0)	46.9 (12.4)
PMI (h)	19.1 (5.6)	20.1 (8.4)	18.8 (5.5)	19.2 (8.5)	17.8 (5.9)	18.1 (8.7)	15.4 (5.8)	14.4 (6.2)	17.6 (7.1)	18.0 (8.8)
Brain pH	6.7 (0.2)	6.6 (0.3)	6.7 (0.2)	6.6 (0.3)	6.8 (0.2)	6.6 (0.4)	6.7 (0.2)	6.5 (0.3)	6.7 (0.2)	6.6 (0.4)
RIN	8.1 (0.6)	8.0 (0.6)	8.1 (0.6)	8.1 (0.6)	8.3 (0.6)	8.2 (0.7)	8.3 (0.5)	8.2 (0.6)	8.3 (0.6)	8.2 (0.6)

Abbreviations: PCR, polymerase chain reaction; PCs, pyramidal cells; PVins, parvalbumin interneurons; UC, unaffected comparison; SZ, schizophrenia; M, male; F, female; W, white; B, black; PMI, postmortem interval; RIN, RNA integrity number. Values are mean (s.d.).



**Fig. 1.** Euler diagram illustrating, in proportionally-sized circles, the number of subject pairs in each study and the overlap of identical subject pairs across studies. Between some studies, a different unaffected comparison subject was paired to the same schizophrenia subject, and these cases were omitted from the overlap in the diagrams. Plot was made in R using the *eulerr* package (Larsson, 2018).

by laser-capture microdissection in 36 matched pairs of schizophrenia and unaffected comparison subjects.

### 2.3. Calculation of Z-scores, composite measures, and effect sizes

For each of the nine transcripts from four studies (Arion et al., 2015; Enwright et al., 2018; Fromer et al., 2016; Hoftman et al., 2018), expression levels were normalized by calculating a z-score for each transcript, using the mean and standard deviation of the given study sample. For each subject, glutamate and GABA composite measures were calculated by averaging the normalized value of excitatory (vGLUT1, GLS, EAAT2, GRIN1, GRIA2) and inhibitory (vGAT, GAD67, GAT1, and GABRG2) transcripts, thus providing equal weighting to each transcript in the composite measure. The mean and standard deviation of the z-scores across subjects in each group were then calculated, and 95% confidence intervals were plotted with the group means. Cohen's D effect sizes were calculated for the group differences in composite glutamate and GABA measures between schizophrenia and unaffected comparison subjects and used to compare the disease effect on the composite measures across the three levels of anatomical resolution. Our interpretations of the effect sizes were as follows: 0.2 for small effects, 0.4 for medium effects, and 0.6 or greater for large effects. Because of the nature of the comparisons across studies, effect sizes and confidence intervals of the mean are reported, rather than *p*-values (Wasserstein et al., 2019). Pearson's correlations and the associated *p*-values were used to compare methods for GAD67 mRNA quantification between studies.

## 3. Results

### 3.1. Glutamate and GABA composite measures in Total versus layer 3 gray matter homogenates

In gray matter homogenates containing all layers of the DLPFC (Fromer et al., 2016), the glutamate composite measure was higher in schizophrenia subjects with a medium effect size ( $D = +0.42$ , Fig. 3A), whereas the GABA composite measure did not differ between subject groups ( $D = -0.05$ , Fig. 3B). In contrast, in samples restricted to DLPFC layer 3 (Hoftman et al., 2018), both glutamate and GABA measures were lower in schizophrenia, with small-medium effect sizes ( $D = -0.33$ , Fig. 3A, and  $D = -0.37$ , Fig. 3B, respectively).

To determine if the different findings between these studies might be attributable to differences in the subject pairs sampled, we recalculated the z-scores based on the new sample means and standard

deviations of the 18 subject pairs common to both studies (Fig. 1). The differences between total gray matter and layer 3 gray matter for both glutamate (All layers:  $D = +0.47$ ; Layer 3:  $D = -0.14$ , Fig. 3C) and GABA (All layers:  $D = +0.15$ ; Layer 3:  $D = -0.25$ , Fig. 3D) composite measures were comparable to the findings from the full cohorts. In concert, these findings suggest that the disease effect of schizophrenia on the composite measure of glutamate neurotransmission is in the opposite direction between total gray matter and layer 3 samples. For GABA neurotransmission, the disease effect was not apparent in samples of total gray matter but was clearly seen in layer 3 samples.

These two studies also differed in the methods used for transcript quantification (RNAseq versus qPCR). Thus, to determine the potential influence of the quantification method, we compared within-subject levels of GAD67 mRNA, the only transcript that was quantified in the same subject pairs from 3 studies of total DLPFC gray matter (Fig. 1). Between two studies using qPCR (Curley et al., 2011; Volk et al., 2016), GAD67 mRNA levels were highly positively correlated ( $r = 0.96$ ,  $p < 0.0001$ ,  $n = 82$  subjects, Fig. 4A). Similarly, GAD67 mRNA levels were highly positively correlated between the Curley et al. qPCR dataset and the Fromer et al. RNAseq dataset ( $r = 0.70$ ,  $p < 0.0001$ ,  $n = 72$  subjects, Fig. 4B) and between the Volk et al. qPCR dataset and the Fromer et al. RNAseq dataset ( $r = 0.73$ ,  $p < 0.0001$ ,  $n = 112$  subjects, Fig. 4C). These findings, in concert with prior reports of highly correlated mRNA levels between RNAseq and qPCR measures for many transcripts (Fromer et al., 2016), suggest that the differences in the disease effect between DLPFC total gray matter and layer 3 described above do not reflect methodological issues.

### 3.2. Comparisons to the layer 3 local circuit

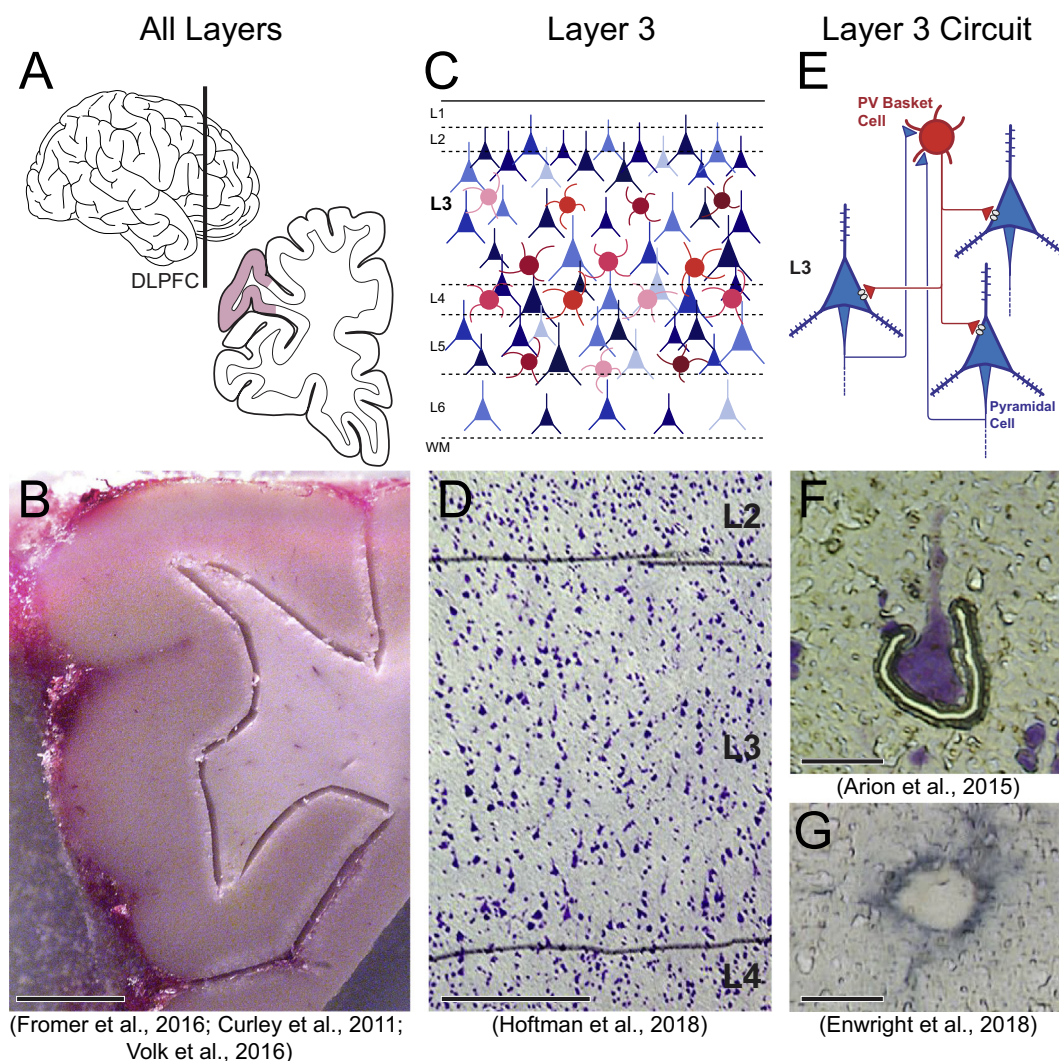
To determine if the observed differences in the disease effect between DLPFC total gray matter might be attributable to specific neural elements within layer 3, we compared the above findings to those obtained from layer 3 pyramidal cells and PV neurons. For this comparison, we excluded EAAT2 mRNA levels, given the relatively low expression of EAAT2 in neurons compared to astrocytes (Danbolt et al., 2016; Roberts et al., 2014; Rothstein et al., 1996), and re-calculated the glutamate composite measure in total gray matter and in layer 3 homogenates. The glutamate composite measure was elevated in schizophrenia subjects in total gray matter ( $D = +0.58$ ) but lower in layer 3 ( $D = -0.53$ ) (consistent with the previous analysis using all transcripts), and the lower glutamate measure found in layer 3 was also present in the cellular level analyses of the layer 3 local circuit ( $D = -0.25$ ) (Fig. 5A). The GABA composite measure did not differ between subject groups in total gray matter homogenates ( $D = -0.05$ ) but was lower in schizophrenia subjects for layer 3 tissue homogenates ( $D = -0.37$ ) and appeared to be more pronounced in the local layer 3 circuit ( $D = -0.59$ ) (Fig. 5B).

Because these findings might be influenced by differences in the cohorts used in each dataset, we re-calculated the composite measures for the same transcripts in the subset of 13 subject pairs common to all three datasets (Fig. 1). The patterns of effect size differences across levels of anatomical resolution for both glutamate (Fig. 5C: all layers,  $D = +0.72$ ; layer 3,  $D = -0.53$ ; layer 3 circuit,  $D = -0.40$ ) and GABA (Fig. 5D: all layers,  $D = +0.26$ ; layer 3,  $D = -0.34$ ; layer 3 circuit,  $D = -0.92$ ) composite measures were similar to those observed in the full datasets (Fig. 5A and B, respectively).

## 4. Discussion

In the present study, we utilized existing datasets to identify differences across anatomical levels of resolution in the disease effect of schizophrenia on composite measures of glutamate and GABA transcripts in the DLPFC. Relative to unaffected comparison subjects, the composite glutamate measure was higher in schizophrenia subjects at the level of total gray matter, but lower in samples restricted to layer 3 or the layer 3





**Fig. 2.** Approaches for studying postmortem tissue at multiple levels of anatomical resolution and techniques for capturing tissue at these resolutions for RNA quantification. (A) Schematic of the whole brain (left); the vertical line indicates the approximate location of the coronal tissue blocks (right) from which the DLPFC was sampled. Shaded area indicates the approximate location of the tissue block shown in (B). (B) Total gray matter tissue homogenates were dissected from the underlying white matter and collected for RNAseq or qPCR analyses (scale bar = 5 mm). (C) Schematic of the laminar organization of the DLPFC. Objects of different colors and sizes represent the various cell types present in different cortical layers. (D) Under a laser microdissection 5x microscope objective (scale bar = 400  $\mu$ m), the layer 2/3 and 3/4 borders were identified, and samples restricted to layer 3 tissue were collected for qPCR analysis. (E) Schematic drawing indicating the principal excitatory/inhibitory circuit within layer 3 between pyramidal cells (blue) and parvalbumin-containing interneurons (red). (F) Individual pyramidal cells were microdissected using a similar laser microdissection approach with a 40x microscope objective (scale bar = 25  $\mu$ m). (G) Individual parvalbumin cells, identified by aggrecan which labels the perineuronal net surrounding parvalbumin cells, were collected using a laser microdissection approach with a 40x microscope objective (scale bar = 10  $\mu$ m). Images D, F and G were adapted from Hoftman et al., 2018, Arion et al., 2015 and Enwright et al., 2018, respectively.

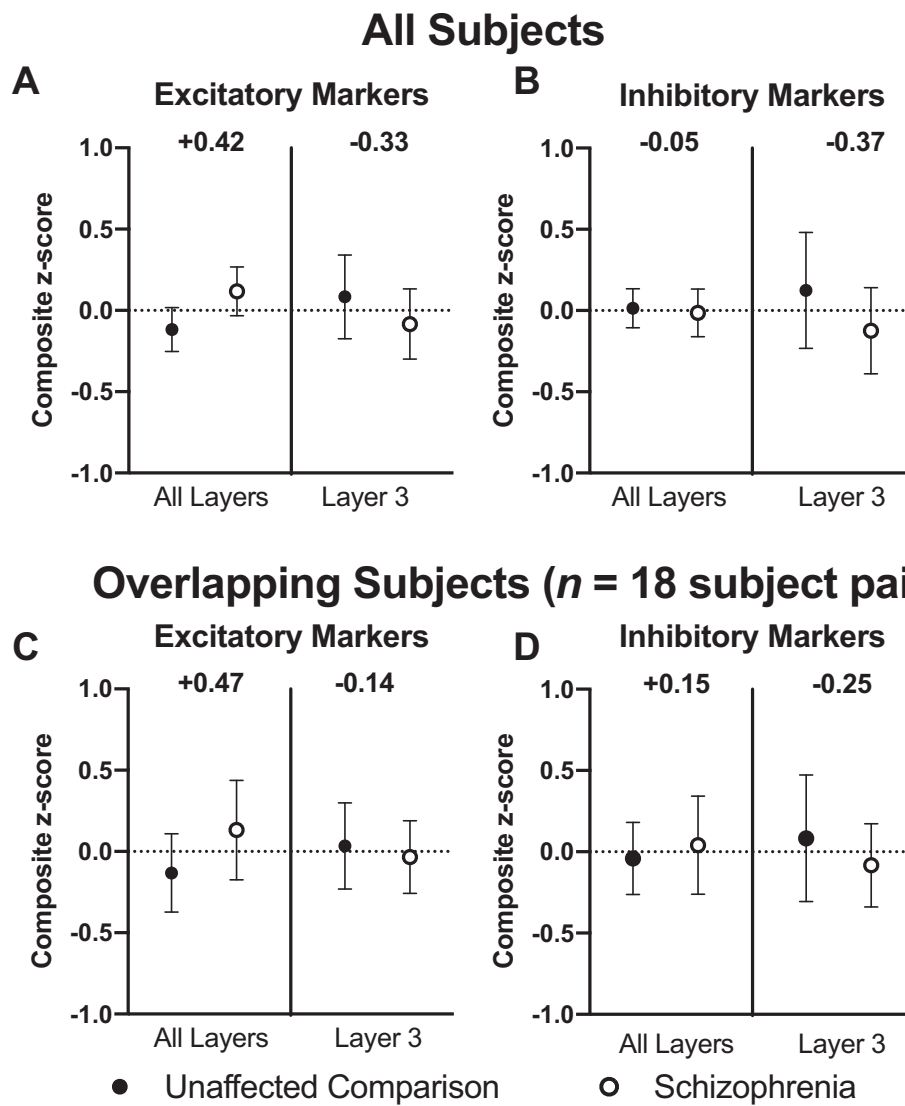
local circuit. The composite GABA measure did not differ between subject groups in total gray matter but was lower in schizophrenia subjects in layer 3 and lower still in the layer 3 local circuit. These findings do not seem to be attributable to differences in the mRNA quantification methods or in the subject cohorts used in each study.

#### 4.1. Differences in disease effect on DLPFC excitatory neurotransmission markers between gray matter and layer 3

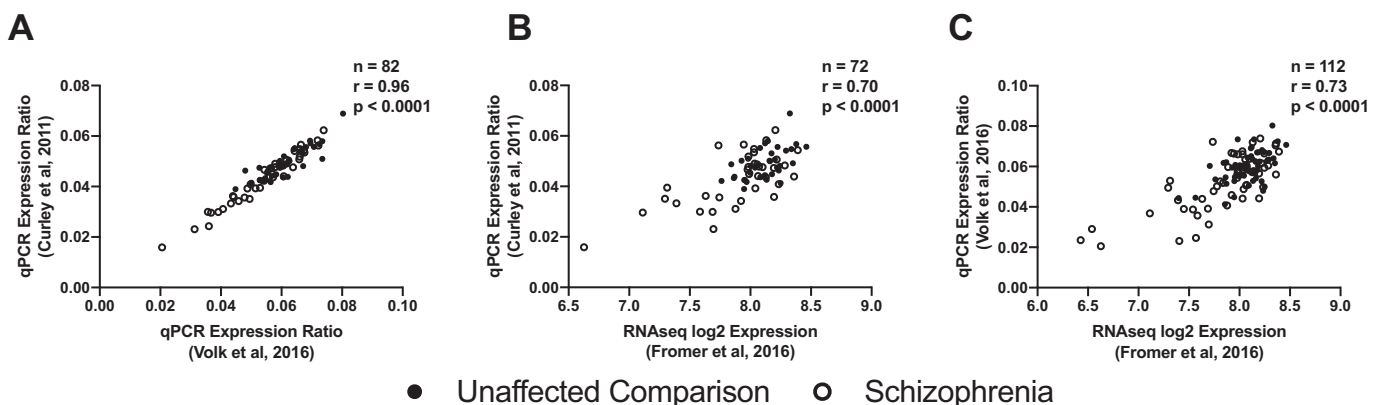
Our finding of different disease effects on the composite glutamate and GABA measures in total versus layer 3 gray matter highlights the importance of the anatomical level of resolution used in studies of schizophrenia. In total gray matter homogenates, we found evidence of higher levels of markers of glutamate neurotransmission, consistent with a model of cortical disinhibition in schizophrenia (Murray et al., 2014; Starc et al., 2017). This hypothesis was first proposed based on findings that antagonism of NMDA receptors by ketamine led to psychotic symptoms in psychiatrically-unaaffected subjects (Krystal et al.,

1994) and is supported by more recent studies reporting elevated global connectivity in schizophrenia subjects (Glahn et al., 2014). In addition, studies of schizophrenia subjects early in the disease course reported elevated glutamate levels in the prefrontal cortex by *in vivo* MRS (Poels et al., 2014, 2013) and greater prefrontal functional connectivity (Anticevic et al., 2015), although the latter appears to normalize over time. Together, these findings have been interpreted as evidence that the prefrontal cortex is disinhibited in schizophrenia due to reduced signaling from GABA neurons, as observed in an animal model of NMDA receptor antagonism (Homayoun and Moghaddam, 2007). Our finding in total DLPFC gray matter of a higher composite glutamate measure in schizophrenia is consistent with the hypothesis of a hyperactive DLPFC in the illness, although we did not find evidence of lower GABA neurotransmission in the same subjects at this level of anatomical resolution.

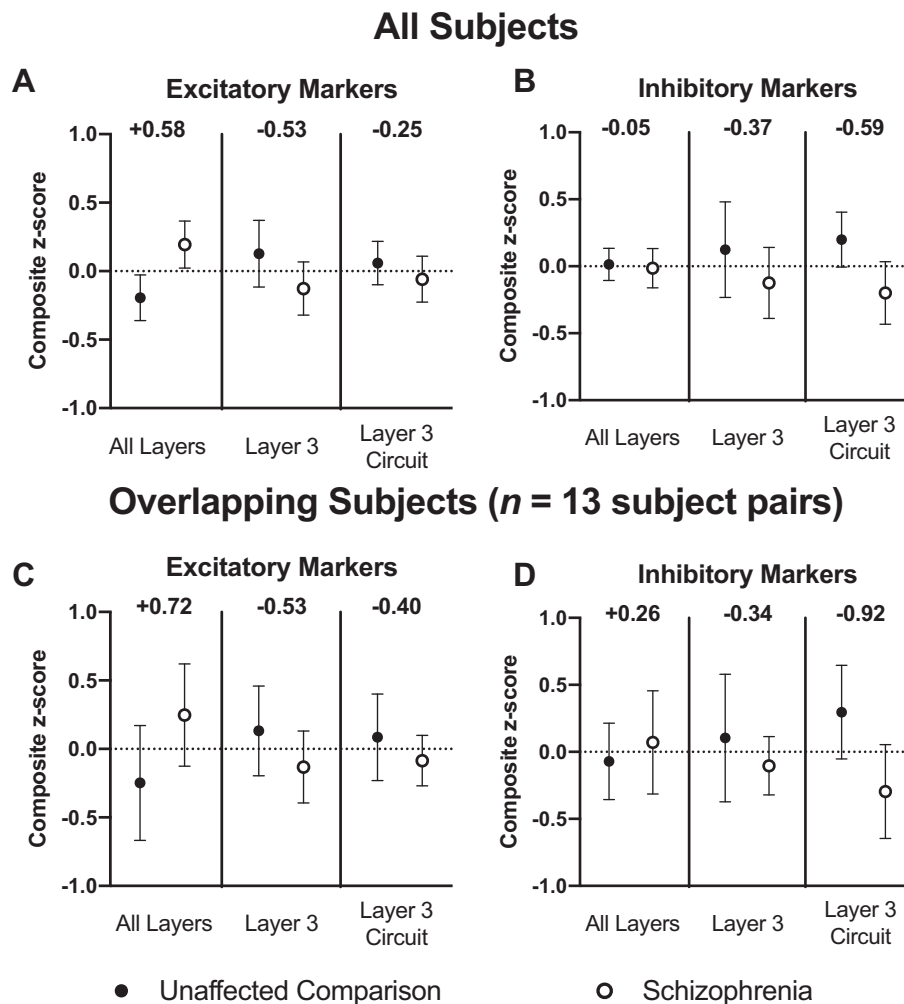
It is important to note, however, that layer 3 pyramidal cells in the DLPFC (and other cortical regions) in schizophrenia exhibit 1) a lower complement of dendritic spines (Garey et al., 1998; Glantz and Lewis,



**Fig. 3.** Comparison of composite measures for (A) excitatory and (B) inhibitory markers in all layers (i.e., total cortical gray matter homogenates) and in layer 3 tissue homogenates. Cohen's D effect sizes are shown between the schizophrenia (open circles) and unaffected comparison (closed circles) subject groups. Data in (A) and (B) are from all subjects in each study ( $n = 57$  subject pairs for total gray matter,  $n = 20$  subject pairs for layer 3). Data in (C) and (D) show composite measures for excitatory and inhibitory markers after these scores were re-calculated using only the subset of subjects ( $n = 18$  subject pairs) common to both studies. Values are mean  $\pm$  95% confidence interval of the mean.



**Fig. 4.** Plots of individual subject data for GAD67 mRNA levels in total gray matter homogenates from three studies. (A) Correlation of GAD67 mRNA levels between Volk et al., 2016, and Curley et al., 2011 for separate qPCR analyses in the same 41 subject pairs. (B) Correlation of GAD67 mRNA levels as determined by RNAseq (Fromer et al., 2016) or qPCR (Curley et al., 2011) in the same 36 subject pairs. (C) Correlation of GAD67 mRNA levels as determined by RNAseq (Fromer et al., 2016) or qPCR Volk et al., 2016 (qPCR) in the same 56 subject pairs.



**Fig. 5.** Comparison of composite measures for (A) excitatory and (B) inhibitory markers in all layers ( $n = 57$  subject pairs, left panels), layer 3 tissue homogenates ( $n = 20$  subject pairs, middle panel), and layer 3 local circuit consisting of excitatory pyramidal cells and inhibitory PV cells ( $n = 36$  subject pairs, right panels). Cohen's D effect sizes are shown for the composite z-scores between schizophrenia (open circles) and unaffected comparison (closed circles) subjects. Data for (A) and (B) show all subjects from each dataset, and (C) and (D) show the same composite excitatory and inhibitory measures, respectively, re-calculated using only the subset of subject pairs ( $n = 13$ ) common to all three studies. Values are mean  $\pm$  95% confidence interval of the mean.

2000; Konopaske et al., 2014), suggesting reduced excitatory input into these cells, 2) lower expression of activity-dependent transcripts (Kimoto et al., 2015), and 3) lower expression of gene products that index energy production (Arion et al., 2017, 2015). Together, these findings suggest that DLPFC layer 3 pyramidal cells are *hypoactive*, rather than hyperactive, in schizophrenia. Consistent with these findings, here we found that schizophrenia is associated with a lower composite measure of glutamate neurotransmission in layer 3, in contrast to the higher composite measure in total DLPFC gray matter. The higher composite measure in total gray matter might be due to elevated glutamate neurotransmission in other cortical layers, suggesting that studies comparable to that of Hoftman et al., 2018 should be conducted in these layers. Thus, depending on the level of anatomical resolution studied, schizophrenia appears to be associated with either hyperactive or hypoactive excitatory signaling.

#### 4.2. Differences in disease effect on DLPFC inhibitory neurotransmission markers between gray matter and layer 3

Lower DLPFC inhibitory signaling is thought to contribute to (or to reflect a compensation for) neural network alterations underlying working memory impairments in schizophrenia (Dienel and Lewis, 2018). However, findings of alterations in GABA neurons are not uniform across all cell types; for example, PV-containing GABA

neurons are clearly affected in schizophrenia, whereas calretinin-containing GABA neurons appear to be unaffected (Chung et al., 2016a, 2016b; Enwright et al., 2018; Fung et al., 2010; Hashimoto et al., 2003; Mellios et al., 2009). Because calretinin cells make up approximately 50% of the GABA neurons in the DLPFC (Condé et al., 1994; DeFelipe, 1993; Gabbott and Bacon, 1996), and are largely found outside of layer 3, these unaffected GABA neurons might mask a deficit in GABA neurotransmission when measured at the level of total gray matter, consistent with our finding that the composite GABA measure in total gray matter did not differ between subject groups.

In contrast, DLPFC layer 3 is enriched for the PV cells that are affected in schizophrenia; this enrichment might explain our finding of a lower composite measure of GABA neurotransmission in layer 3. Consistent with this interpretation, the effect size of the lower GABA composite measure in schizophrenia was even larger when the analysis was restricted to cellular level studies of the PV neuron-pyramidal cell circuit in layer 3. Thus, the contrasting findings, for both excitatory and inhibitory neurotransmission indices, between DLPFC total gray matter and layer 3 in schizophrenia subjects suggest that alterations in the excitatory/inhibitory balance in schizophrenia might not be a uniform elevation or reduction; instead, findings of alterations in this balance might depend on the level of anatomic resolution of the research methods employed.



### 4.3. Interpretative considerations

The goal of the present study was to utilize composite measures that provide comparable indices of excitatory and inhibitory neurotransmission across multiple levels of anatomical resolution in the postmortem human brain (Lewis, 2002). At the protein level, synaptic strength is directly related to the protein levels of the synthesizing enzymes (Asada et al., 1997; Danbolt, 2001); vesicular transporters which regulate quantal size (Erickson et al., 2006); and the number and type of postsynaptic receptors (Henley and Wilkinson, 2016; Jacob et al., 2008; Traynelis et al., 2010). In addition, inhibition of EAAT2 or GAT1, the key reuptake transporters for glutamate and GABA, respectively, leads to a reduction in presynaptic release of the associated neurotransmitters (Jensen et al., 2006; Kalivas, 2009; Maki et al., 1994), likely through activation of presynaptic receptors by excess neurotransmitter in the synaptic cleft, suggesting that levels of EAAT2 and GAT1 also directly index the strength of glutamate and GABA neurotransmission, respectively. Thus, the composite measures employed in this study provide insight into alterations in the strength of glutamate and GABA signaling in schizophrenia. However, it is important to note that these composite measures are limited by 1) the inclusion of only some of the many factors that can influence synaptic strength and 2) measures of only mRNA levels which may not reflect the levels or functional state of their cognate proteins.

Many studies of schizophrenia are potentially confounded by exposure to antipsychotic medications. Three lines of evidence suggest the findings of the present study are not driven by antipsychotic use. First, antipsychotic use at time of death was not found to be a significant covariate of altered transcript expression levels in the published studies used in the present analysis (Arion et al., 2015; Enwright et al., 2018; Fromer et al., 2016; Hoftman et al., 2018). Second, findings from a large study of schizophrenia subjects suggest that the transcriptomic alterations observed in the disease were directionally discordant to transcript alterations observed in antipsychotic exposed monkeys (Gandal et al., 2018; Martin et al., 2015). Third, the key finding in the present study, that these measures of excitatory and inhibitory neurotransmission differ as a function of anatomical resolution, was true even for comparisons made within the same subject pairs, providing a within-subject control of antipsychotic use. Thus, the differences observed in these composite measures across different levels of anatomical resolution are likely not confounded by antipsychotic use.

### 4.4. Conclusions

Our findings, that alterations in indices of cortical excitatory and inhibitory neurotransmission in schizophrenia appear to differ depending on the level of anatomic resolution studied, illustrate that although studies at the level of total gray matter can reveal disease-related alterations, these findings require additional studies to determine the layers and cell types that contribute to such findings. Furthermore, gray matter studies might also conceal disease-related alterations that can only be resolved with more focused studies of cortical layers or circuits. Thus, the results of the present study highlight the importance of designing future studies to assess laminar- and cell type-specificity of cortical abnormalities in schizophrenia and other psychiatric illnesses. Indeed, understanding the disease process of schizophrenia requires study designs capable of interrogating disease-related differences in a manner that reflects the remarkable inherent diversity of cortical microcircuits.

### Contributors

Samuel Dienel and Dr. David Lewis designed the study. Drs. John Enwright and Gil Hoftman contributed data. Mr. Dienel and Dr. Enwright analyzed the data. Mr. Dienel and Dr. Lewis drafted the manuscript. All authors provided input to the revisions to the manuscript and approved the final version.

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### Declaration of Competing Interest

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