

Efficiency and survivability analysis of a point-absorber wave energy converter using DualSPHysics

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ABSTRACT

Smoothed Particle Hydrodynamics (SPH) method is used here to simulate a heaving point-absorber with a Power Take-Off system (PTO). The SPH-based code DualSPHysics is first validated with experimental data of regular waves interacting with the point-absorber. Comparison between the numerical and experimental heave displacement and velocity of the device show a good agreement for a given regular wave condition and different configurations of the PTO system. The validated numerical tool is then employed to investigate the efficiency of the proposed system. The efficiency, which is defined here as the ratio between the power absorbed by the point-absorber and its theoretical maximum, is obtained for different wave conditions and several arrangements of the PTO. Finally, the effects of highly energetic sea states on the buoy are examined through alternative configurations of the initial system. A survivability study is performed by computing the horizontal and vertical forces exerted by focused waves on the wave energy converter (WEC). The yield criterion is used to determine that submerging the heaving buoy at a certain depth is the most effective strategy to reduce the loads acting on the WEC and its structure, while keeping the WEC floating at still water level is the worst-case scenario.

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1. Introduction

Wave energy is nowadays recognised as one of the renewable energy resources with the highest potential, availability, and predictability [1]. However, the wave energy potential is still not fully exploited. Despite the efforts of the scientific community [2,3], an agreement about the proper type of Wave Energy Converters (WECs) has not been achieved yet. The wave devices are, in most cases, placed offshore, where wave energy potential is higher but where they are subjected to great forces. Eventual rogue waves arising from a random sea state are potentially dangerous for the device and need to be correctly characterised. This may be

accomplished by means of single events with a specific crest height and an associated period, known as focused waves. Therefore, the WEC design needs to be based not only on the efficiency but also on the survivability of the devices, which is key to harness wave energy in a safe and cost-effective way. Many ingenious systems have been developed but only a few are generating electricity commercially [4]. One of the most widespread devices are the point-absorbers, which typically consist of a floater whose oscillating motion, heaving and/or pitching, is converted into electricity by means of a Power Take-Off (PTO) system [5]. They are non-directional devices that can absorb energy from all directions through their movement at/near the water surface. Their simplicity makes point-absorbers more resilient to extreme wave conditions than other wave energy devices.

Numerical modelling plays a fundamental role as a complementary tool for physical experiments during the design stage of WECs. It has become a game-changer in the wave energy industry thanks to the exponential growth of the computational resources,

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Nomenclature			
A_{cr}	maximum free-surface elevation of the focused wave (m)	R	the centre of mass of the floating object (m)
A_{rod}	cross-section area of the rod (m^2)	S	sea state power density spectrum ($m^2 \cdot s$)
A_{wet}	wetted surface (m^2)	t	time (s)
b_{PTO}	damping coefficient of the PTO system ($N \cdot s/m$)	T	wave period (s)
c	numerical speed of sound (m/s)	t_f	time when the focused wave reaches its maximum free-surface elevation (m)
C	numerically obtained value of a generic variable	T_p	peak period (s)
d	depth (m)	Ur	Ursell number
d_1	index of agreement	\mathbf{v}	velocity (m/s)
D	diameter (m)	\mathbf{V}	linear velocity of the floating object (m/s)
dp	initial interparticle distance (m)	$\mathbf{v}_{a,0}$	initial velocity of fluid particle a (m/s)
D_{rod}	rod diameter (m)	v_z	heave velocity (m/s)
E	experimental or theoretically obtained value of a generic variable	x_0	initial longitudinal position of the numerical damping zone (m)
\mathbf{f}	force per unit of mass (m/s^2)	x_1	final longitudinal position of the numerical damping zone (m)
f_y	yield stress of the material (Pa)	x_a	longitudinal position of fluid particle a (m)
F_{net}	vertical net force (N)	x_f	position at which the focused wave reaches its maximum free-surface elevation (m)
F_{PTO}	force exerted by the PTO system (N)	x, y, z	Cartesian coordinates (m)
F_s	spring force (N)	W	kernel function
F_w	Weibull distribution of exceedance of wave height	W_{rod}	elastic section modulus (m^3)
F_x	force in the x -direction (N)	Z	heave displacement (m)
F_z	force in the z -direction (N)	<i>Greek letters</i>	
\mathbf{g}	gravitational acceleration (m/s^2)	α	beach slope
h	smoothing length (m)	β	reduction function coefficient
H	wave height (m)	α_w, β_w and γ_w	Weibull distribution parameters
H_d	design wave height (m)	γ	polytropic constant
H_f	focused wave height (m)	γ_{M0}	partial factor of the cross-section
H_s	significant wave height (m)	η	free-surface elevation (m)
I	moment of inertia of the floating object ($kg \cdot m^2$)	λ	average number of storms in a year
J	wave power per meter of width of the wave front (J/m)	Π	artificial viscosity ($m^5/kg \cdot s^2$)
k	wavenumber (rad/m)	ρ	density (kg/m^3)
k_s	spring stiffness (N/m)	ρ_0	reference density (kg/m^3)
K_R	reflection coefficient	σ^2	variance of the discrete irregular sea state (m^2)
l	spring length (m)	σ_x	longitudinal local stress (Pa)
L	wavelength (m)	σ_z	transverse local stress (Pa)
l_{arm}	lever arm (m)	τ	local shear stress (Pa)
l_{eq}	equilibrium length (m)	Ω	rotational velocity of the floating object (s^{-1})
L_{WEC}	lifetime of the WEC (years)	ω	the angular wave frequency (rad/s)
m	mass (kg)	ω_0	natural frequency (rad/s)
M	mass of the floating object (kg)	<i>Acronyms</i>	
m_{add}	added mass (kg)	BEM	Boundary Element Method
p	pressure (Pa)	CFD	Computational Fluid Dynamics
P_a	averaged power captured by the device (J)	CW	Capture Width
$P_{a,max}$	theoretical maximum absorbed power by the device (J)	CWR	Capture Width Ratio
P_{abs}	instant wave power captured by the device (J)	PTO	Take-Off system
P_{ann}	annual exceedance probability	SPH	Smoothed Particle Hydrodynamics
P_L	exceedance probability	SWL	Still Water Level
P_w	available wave power contained within the width of the device (J)	WCSPH	Weakly Compressible Smoothed Particle Hydrodynamics
q	generic floating particle	WEC	Wave Energy Converter
\mathbf{r}	position (m)		

which makes possible to simulate large and complex systems at reasonable computational runtime [6]. On one hand, numerical methods allow reducing costs and time when different configurations need to be evaluated. The data obtained from the simulations can be of great help to determine design loads, stresses, or any other meaningful information, which is hard or even impossible to

evaluate during physical tests. On the other hand, numerical models purposely developed for efficiency analysis of WECs may not be appropriate to evaluate their survivability. The numerical model should be able to solve the interaction between incoming waves and floating structures, and to reproduce the behaviour of the PTO systems in an accurate way. Several modelling approaches

have been employed to analyse the hydrodynamic response of WECs as shown in the following review papers: [7–12]. However, only a few numerical pieces of research include the mechanical constraints of the PTO system.

Traditionally, the most widely used models to describe the response of a WEC under operational sea states are based on potential flow theory (see e.g., Ref. [13]. They are either time or frequency domain models that apply the boundary element method (BEM) to solve the frequency-dependent dynamics of the device. Many works have assessed the performance of point-absorbers using potential flow theory, e.g. Refs. [14,15]; and [16]. Nevertheless, potential flow-based codes, such as WAMIT [17] or NEMOH [18], assume the fluid to be incompressible, inviscid and irrotational, the motion of the device to have small amplitude, and the waves to be linear. These assumptions are likely to be violated when a WEC is placed at sea, especially under energetic sea states. Conversely, CFD (Computational Fluid Dynamics) methods are more time consuming and complex, but they do not require any of the previous simplifications. They are based on the Navier-Stokes equations, which may be solved following an Eulerian approach (mesh-based methods) or a Lagrangian approach (mesh-free methods). The mesh-based methods have proved to be very robust since they have been developed for many years. In particular, the finite volume method has been applied to a wide range of free-surface problems providing accurate results. Power efficiency analysis of point-absorbers using these methods have been conducted by Refs. [19,21]; and [22]; amongst others. The interaction of focused waves with vertical cylinders has been studied by Ref. [23,24] using the mesh-based codes STAR-CCM+ and OpenFOAM, respectively. Nevertheless, defining an appropriate mesh can be very inefficient for complex systems with moving boundaries. On the other hand, meshless methods can be applied to highly nonlinear problems with arbitrary and changing geometries, difficult to handle with mesh-based methods.

Different meshless approaches have been developed in the last decades. One of the most popular methods is the Smoothed Particle Hydrodynamics (SPH), which has reached the required maturity level to be used for engineering purposes [25]. The continuum fluid in SPH is treated as discrete *smoothed* quantities at locations named *particles*. The physical quantities are computed at each *particle* as an interpolation of the quantities of the surrounding particles using a weighted function (kernel) based on the distance between particles and solving the Navier-Stokes equations. The SPH technique presents several advantages over mesh-based methods to simulate free-surface flows since there is no special detection of that free surface. Large deformations can be efficiently treated (there is no mesh distortion), and violent impacts of extreme waves with fixed or fluid-driven objects can be easily tackled. In addition, rapidly moving complex geometries are handled with SPH in a straightforward way, without problems related to mesh generation or updating at each time step. There are several papers that show the robustness of SPH for coastal engineering applications, such as [26,27]; and [28]. With a focus on the WEC modelling, the pioneering works of [29,30] presented the SPH simulation of oscillating wave surge devices [31], compared the hydrodynamic response of a point-absorber obtained with SPH and with a finite volume method, whilst [32,33] are the first works to deal with the interaction between extreme waves and point-absorbers using SPH methods.

Among the different SPH codes, DualSPHysics software is considered one of the most efficient SPH solvers [34]. DualSPHysics is open-source (www.dual.sphysics.org) and allows applying the SPH method to real engineering problems. It can be executed not only on CPUs, but also on GPU (Graphics Processing Unit) cards with powerful parallel computing that can be installed in a personal computer [35]. The DualSPHysics code has been applied in

this work since it includes the coupling with the open-source multiphysics platform Project Chrono [36], which is capable of simulating collisions and kinematic restrictions such as springs, hinges, pulleys, etc. In this manner, the coupling of DualSPHysics with Project Chrono allows the complex mechanisms of the PTO system to be reproduced within the same meshless framework. DualSPHysics has proven its capability to generate and propagate waves [37,38] and to simulate satisfactorily their interaction with WECs such as an Oscillating Water Column in Ref. [39,40] and an Oscillating Wave Surge Converter in Ref. [41]. The first work where DualSPHysics was employed to simulate a point-absorber device was presented in Refs. [42]. Other works, like [43,44]; combined the capabilities of a fully nonlinear potential flow solver and DualSPHysics, allowing the simulation of large domains and, at the same time, accurate and detailed modelling of the interactions between waves and the WEC.

This research is focused on the simulation of a wave energy converter consisting of a cylindrical heaving-buoy attached to a PTO system, as described by Ref. [45]; who conducted experiments with a model scale of 1:10. The PTO system is a direct-drive linear generator in which the rod connected to the buoy moves the alternator in the presence of a stationary magnetic field, inducing an electric current in the stator, according to Faraday's law of induction [46]. The present manuscript includes a complete numerical study in terms of SPH modelling of a point-absorber converter since it contains: i) validation with experiments, ii) efficiency analysis and iii) survivability under extreme waves. This work is organised as follows: Section 1 is the introductory part and provides the state-of-the-art, Section 2 describes the DualSPHysics code, Section 3 shows the validation comparing numerical results with experimental data using one regular wave condition, Section 4 includes an efficiency study simulating several conditions of regular waves, Section 5 presents the loads exerted onto the point-absorber under the action of focused waves considering different scenarios and, finally, conclusions are synthesised in Section 6.

2. Numerical model

The fundamental concept in the SPH methodology is to discretise the fluid into a set of particles, where the physical quantities (position, velocity, density, and pressure) are obtained as an interpolation of the corresponding quantities of the surrounding particles. The weighted contribution of those particles is obtained using a kernel function (W_{ab}) with an area of influence that is defined using a characteristic *smoothing length* (h). The quintic Wendland kernel [47] is used in DualSPHysics and it is defined to vanish beyond $2h$. Note that particles are initially created with an inter-particle distance, dp , which is used as a reference value to define the smoothing length using $h = 2dp$.

The Navier-Stokes equations can be then written in a discrete SPH formalism using W_{ab} as the kernel function, which depends on the normalised distance between particle a and neighbouring b particles

$$\frac{d\mathbf{r}_a}{dt} = \mathbf{v}_a \quad (1)$$

$$\frac{d\mathbf{v}_a}{dt} = - \sum_b m_b \left(\frac{p_b + p_a}{\rho_b \cdot \rho_a} + \Pi_{ab} \right) \nabla_a W_{ab} + \mathbf{g} \quad (2)$$

$$\frac{dp_a}{dt} = \sum_b m_b \mathbf{v}_{ab} \cdot \nabla_a W_{ab} + 2\delta hc \sum_b (\rho_b - \rho_a) \frac{\mathbf{v}_{ab} \cdot \nabla_a W_{ab}}{r_{ab}^2} \frac{m_b}{\rho_b} \quad (3)$$

where t is the time, \mathbf{r} is the position, \mathbf{v} is the velocity, p is the

pressure, ρ is the density, m is the mass, c is the numerical speed of sound, and \mathbf{g} is the gravitational acceleration. The artificial viscosity (Π_{ab}) proposed in Ref. [48] and the density diffusion term proposed by Ref. [49] (using $\delta = 1$) are applied here.

The previous equations allow computing the position, velocity, and density of each SPH particle. However, a new equation to compute pressure is required. In the DualSPHysics code, the fluid is treated as weakly compressible (WCSPH), so that an equation of state is used to calculate fluid pressure as a function of density, rather than solving a Poisson-like equation. Hence the system is closed by using the polytropic equation, Eq. (4), where the speed of sound has been adjusted to obtain a reasonable time step:

$$p = \frac{c^2 \rho_0}{\gamma} \left[\left(\frac{\rho}{\rho_0} \right)^\gamma - 1 \right] \quad (4)$$

with $\gamma = 7$ the polytropic constant [50], and $\rho_0 = 1000 \text{ kg/m}^3$, the reference density of the fluid.

One of the most interesting capabilities of SPH models is the simulation of fluid-driven objects [51]. First, the net force on each individual particle of a floating object is computed as the summation of the contributions of all surrounding fluid particles (b). In this way, each floating particle q experiences a force per unit of mass \mathbf{f}_q given by:

$$\mathbf{f}_q = \frac{d\mathbf{v}_q}{dt} = \sum_{b \in \text{fluid}} \frac{d\mathbf{v}_{qb}}{dt} \quad (5)$$

where the interactions between particles q and b are solved according to Eq. (2).

It is important to note that here the object is being considered as rigid, so the basic equations of rigid body dynamics are solved to obtain the motion of the floating object:

$$M \frac{d\mathbf{V}}{dt} = \sum_{q \in \text{body}} m_q \mathbf{f}_q \quad (6)$$

$$I \frac{d\mathbf{\Omega}}{dt} = \sum_{q \in \text{body}} m_q (\mathbf{r}_q - \mathbf{R}) \times \mathbf{f}_q \quad (7)$$

where M is the total mass of the object, I the moment of inertia, \mathbf{V} the velocity, $\mathbf{\Omega}$ the rotational velocity, \mathbf{R} the centre of mass, and m_q and \mathbf{r}_q are, respectively, the mass and position of each floating particle q . Equations (6) and (7) are integrated in time in order to obtain the values of \mathbf{V} and $\mathbf{\Omega}$ at the beginning of the next time step. Each particle that belongs to the object moves according to the velocity, \mathbf{v}_q , given by:

$$\mathbf{v}_q = \mathbf{V} + \mathbf{\Omega} \times (\mathbf{r}_q - \mathbf{R}) \quad (8)$$

The accuracy of DualSPHysics to simulate fluid-driven objects under the action of regular waves was studied in Ref. [52]; where the numerical results of nonlinear waves interacting with a freely floating box were compared with the experimental data from Ref. [53]. A good agreement was obtained for the motions of the box in terms of heave, surge, and pitch time series.

The capabilities of DualSPHysics are extended, thanks to the coupling with the multiphysics library Project Chrono (<https://projectchrono.org/>) that allows solving mechanical constraints applied on rigid bodies during the fluid-structure interaction. Among the different features that can be defined, springs and dampers are straightforward. A more complete description of the coupling between DualSPHysics and Chrono is presented in Ref. [54]; which also provides validation of the features as

implemented into the new framework.

The coupled DualSPHysics-Chrono code is employed in this work to simulate a heaving point-absorber whose PTO system is modelled as a linear damper:

$$F_{PTO}(t) = b_{PTO} v_z(t) \quad (9)$$

where F_{PTO} represents the force exerted by the PTO system, b_{PTO} its damping coefficient and v_z the heave velocity.

3. Validation

The WEC under study is the point-absorber described in Ref. [45]. It is composed of a heaving buoy connected to a PTO system at its bottom. More specifically, the PTO system is a direct-drive linear generator, whose effects on the dynamics of the WEC were simulated in the experimental campaign thanks to various air-dampers [45] while, mathematically, they can be modelled simply as a linear damper [46], as shown in Eq. (9). The heaving buoy is a cylinder 0.22 m high with a diameter (D) of 0.50 m and density 500 kg/m^3 , which results in a mass of 21.6 kg. Therefore, the draft of the buoy at equilibrium is half its height (0.11 m).

[45] conducted several experiments to study the response of the WEC under regular waves for different values of the damping coefficient b_{PTO} (Eq. (9)). The physical tests conducted with regular waves of wave height $H = 0.16 \text{ m}$, period $T = 1.5 \text{ s}$, water depth $d = 1.10 \text{ m}$, and an associated wavelength $L = 3.40 \text{ m}$ are considered here to validate the numerical code. Three values of the damping coefficient, $b_{PTO} = 0, 240, 1100 \text{ N/m}$, are used in the validation to take the effect of the PTO into account.

A numerical tank (Fig. 1) is designed to mimic the physical flume. The width of the numerical domain is reduced to twice the buoy diameter ($2D$), lateral periodic boundary conditions are applied to minimise the effects of radiated waves from the lateral walls. A piston, whose movement generates the desired wave, is located on the left of the tank (as seen in Fig. 1). The buoy is located at one wavelength (L) from the piston. Wave dissipation is guaranteed on the right side of the tank (Fig. 1) thanks to the combination of a dissipative beach with a slope of $\alpha = 1:2$, starting at $L/4$ from the buoy, and a numerical damping applied along the longitudinal axis (x) of the beach.

The numerical damping system consists in gradually reducing the velocity of the fluid particles at each time step according to their location, as suggested in Ref. [37]. In this manner, the velocity of a fluid particle a located within the damping zone is reduced from its initial velocity $\mathbf{v}_{a,0}$ to its final velocity \mathbf{v}_a according to $f_r(x_a, \Delta t)$:

$$\mathbf{v}_a = \mathbf{v}_{a,0} \cdot f_r(x_a, \Delta t) \quad (10)$$

where x_a is the longitudinal position of the particle, Δt is the duration of the last time step and $f_r(x_a, \Delta t)$ is the reduction function, which employs a quadratic decay:

$$f_r(x_a, \Delta t) = 1 - \Delta t \cdot \beta \cdot \left(\frac{x_a - x_0}{x_1 - x_0} \right)^2 \quad (11)$$

being x_0 and x_1 the initial and final position of the damping zone along the x -axis, respectively, and β a coefficient that is fixed at $\beta = 10$ for all simulations.

The overall absorption capabilities of the beach with numerical damping are quantified by means of the reflection coefficient, K_R , which is calculated here using the Healy method [55]:

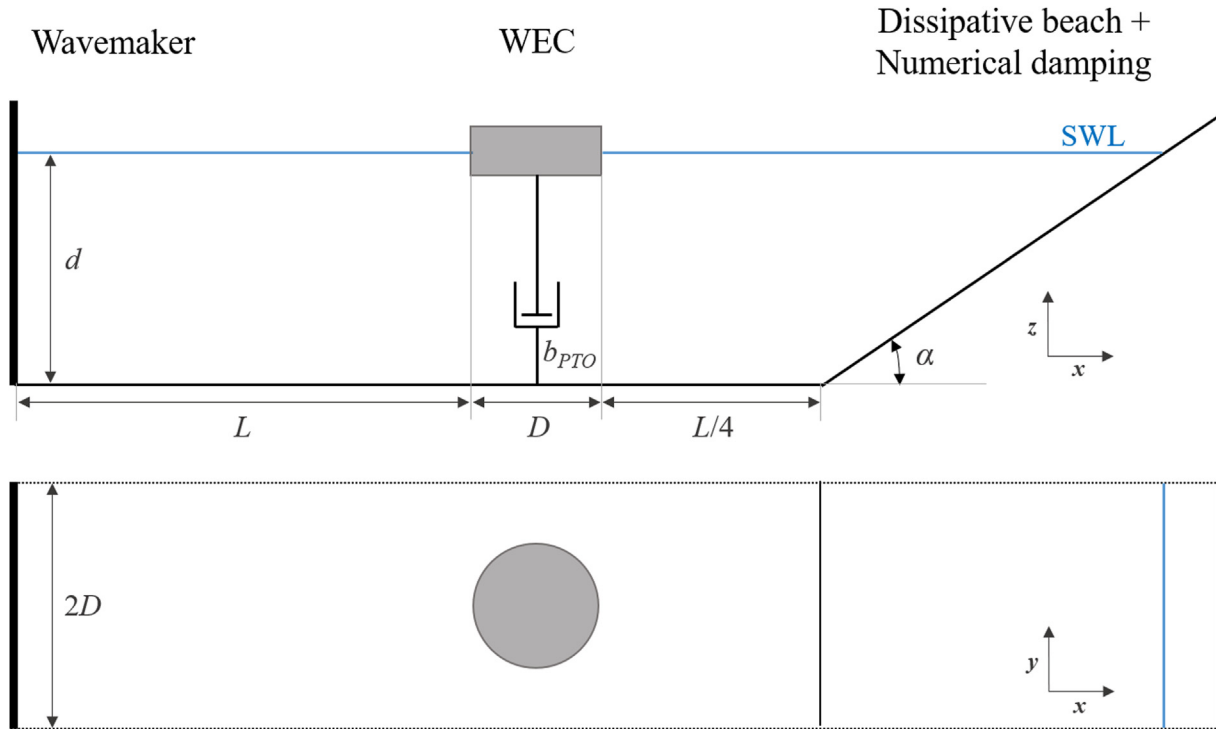


Fig. 1. Numerical tank to simulate the interaction of the WEC under regular waves.

$$K_R = \frac{H_{max} - H_{min}}{H_{max} + H_{min}} \quad (12)$$

where H_{max} and H_{min} are, respectively, the maximum and minimum numerical wave height. In this way, the reflection coefficient of the numerical tank shown in Fig. 1, for the regular wave previously described, is lower than 2%, which means that over 98% of the incident wave energy is being dissipated.

The resolution is given by the initial interparticle distance dp , which is employed to create the particles involved in the simulation [37,56]. proved that using around ten particles per wave height ($H/dp = 10$) provides a reasonable compromise between accuracy and computational time. In this validation, two different resolutions are employed: $dp = 0.02$ m and $dp = 0.01$ m corresponding to $H/dp = 8$ and $H/dp = 16$, respectively. The total number of particles is approximately 800,000 for the simulations with $dp = 0.02$ m, and 6,500,000 with finer resolution $dp = 0.01$ m, as presented in Table 1. The table also shows the computational time required to simulate 15 s of physical time using a GeForce RTX 2080 Ti GPU card. It can be observed that the lower the dp , the higher the number of particles and, therefore, longer runtimes are needed.

Fig. 2 compares the experimental and numerical time series of heave displacement and velocity of the device for the three values of b_{PTO} . Qualitatively, the agreement for the three cases is

satisfactory in terms of both amplitude and phase. Fig. 2 shows that when $b_{PTO} = 0$ N/m, the heave displacement amplitude is maximum, and its value is comparable to the incident wave height ($H = 0.16$ m) since the buoy is freely floating on the surface. As it is expected, the higher the damping coefficient of the PTO system, the lower the amplitude of the heave displacement and velocity, reaching a reduction of over 2/3 when comparing $b_{PTO} = 0$ N/m with $b_{PTO} = 1100$ N/m. Fig. 2 also proves that, regardless of the value of b_{PTO} , the period of the heave movement is always equal to the wave period ($T = 1.5$ s) and the phase lag between heave displacement and velocity is of $\pi/2$ rad. On the other hand, looking closely at Fig. 2 it can be noted that varying b_{PTO} causes a slight phase shift in the time series of both Z and v_z . This shift was analysed in detail by Refs. [45].

To quantify the accuracy of the results, the index of agreement d_1 defined by Refs. [57] is used here as non-dimensional error estimator:

$$d_1 = 1 - \frac{\sum_{n=1}^N |C_n - E_n|}{\sum_{n=1}^N (|C_n - \bar{E}| + |E_n - \bar{E}|)} \quad (13)$$

where N is the total number of records of the studied variable, C and E are, respectively, the values obtained numerically and experimentally (or theoretically when possible) and the overbar represents the average. The index of agreement is bounded between 0 and 1, where 1 means that the numerical and experimental (or theoretical) time series are coincident.

Table 2 collects the different values of d_1 for the time series of Z and v_z shown in Fig. 2, i.e. for three values of b_{PTO} and two values of dp . The index of agreement ranges from 0.91 to 0.94 in all cases, which implies a very high level of coincidence between the numerical and experimental time series. Table 2 also shows that the improvement in accuracy obtained when using the finest resolution ($dp = 0.01$ m) is barely noticeable. Consequently, the lower resolution ($dp = 0.02$ m) was chosen for all simulations hereinafter

Table 1
Number of particles and GPU runtimes (GeForce RTX 2080 Ti).

b_{PTO} [Ns/m]	dp [m]	Particles	Runtime [h]
0	0.02	$0.8 \cdot 10^6$	1.9
	0.01	$6.7 \cdot 10^6$	19.1
240	0.02	$0.8 \cdot 10^6$	2.3
	0.01	$6.7 \cdot 10^6$	33.5
1100	0.02	$0.8 \cdot 10^6$	2.2
	0.01	$6.7 \cdot 10^6$	33.5

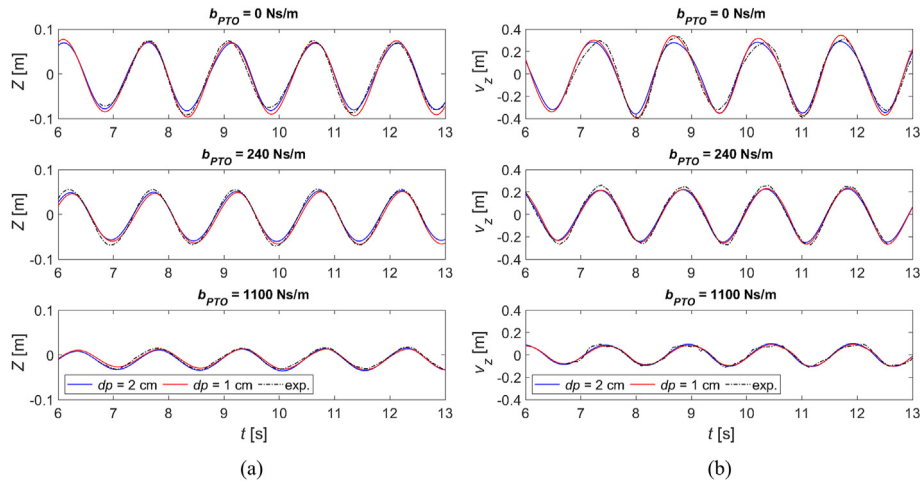


Fig. 2. Numerical and experimental time series of heave displacement (a), and velocity (b) of the point-absorber for $b_{PTO} = 0, 240$ and 1100 N/m.

Table 2

Index of agreement of the heave displacement and velocity for each simulation.

b_{PTO} [N/m]	dp [m]	d_1	
		Z	v_z
0	0.02	0.93	0.92
	0.01	0.94	0.93
240	0.02	0.94	0.91
	0.01	0.93	0.91
1100	0.02	0.91	0.91
	0.01	0.91	0.91

since the computational time increases tenfold when using $dp = 0.01$ m (see Table 1). This proves the capability of DualSPHysics to reproduce with accuracy the response of a point-absorber under these regular waves for different configurations of the PTO system at very reasonable computational times.

Five different instants of the simulations with $b_{PTO} = 0$ N/m and $b_{PTO} = 1100$ N/m ($dp = 0.02$ m) are shown in Fig. 3. Note that the instants cover one complete wave period (in fact, the first and last instants are coincident). The colourmap represents the velocity of the fluid particles in the longitudinal axis. Minimum values are observed at wave troughs and maximum values at the crests. The black solid line represents the initial still water level; it emphasises the differences in the motion of the buoy when varying the damping coefficient of the PTO system. For the frames at $1/4T$ and $3/4T$, it can be easily observed that the heave amplitude is significantly lower using $b_{PTO} = 1100$ N/m than using $b_{PTO} = 0$ N/m.

4. Efficiency

The previous section has proved the ability of the DualSPHysics numerical code to provide an accurate response of the point-absorber under regular waves of $T = 1.50$ s, $H = 0.16$ m, and $d = 1.10$ m, and for three different values of the damping coefficient. In this section, a study of the evolution of the absorbed power and the system efficiency with the wave frequency, considering the effect of different configurations of the PTO, is performed. Regular waves with the same wave height and depth, but with periods ranging from 0.97 s to 4.40 s are simulated for several values of the PTO damping coefficient.

First, it is important to define the wave power per meter of width of the wave front, denoted as J and obtained as indicated in Ref. [58]:

$$J = \frac{1}{16} \rho g H^2 \frac{\omega}{k} \left[1 + \frac{2kd}{\sinh(2kd)} \right] \quad (14)$$

where $k = 2\pi/L$ is the wavenumber and $\omega = 2\pi/T$ the angular wave frequency.

The absorbed power by the point-absorber under study is analysed by comparison with J in order to obtain the efficiency for different regular wave conditions. Table 3 contains the characteristics of the regular waves that are simulated, namely period (T), angular frequency (ω), wavelength (L), Ursell number (Ur) and wave power per meter of width of the wave front (J).

In Fig. 4, the Le Méhauté abacus [59] shows the most appropriate theory to model each regular wave. All of them fall within the Stokes' theory zone of the abacus: waves with period equal and lower than 1.70 s are of third order, being the rest second order Stokes' waves. Nevertheless, all of them are generated according to the second order theory implemented in DualSPHysics [60]. This implies the assumption that the third order terms of the Stokes' perturbative series are negligible with respect to the second order terms. Furthermore, to guarantee that the second order terms do not cause spurious crests and troughs that may prevent the wave free-surface profile from having a constant form in time, it is required that the second order terms are significantly lower than the first order terms of the Stokes' expansion. The Ursell number [61], mathematically defined as $Ur = HL^2/d^3$, provides the relation between the amplitudes of the second and first order terms of the free-surface elevation. According to the theory developed by Ref. [60] and implemented in DualSPHysics, the wave free-surface profile is constant if $Ur < 8\pi^2/3$. Table 3 shows that the Ursell number increases with the wave period but it is always below the required threshold.

The numerical tank used to perform the efficiency analysis is the same as used before (Fig. 1). The width and still water depth are the same used for the validation case. However, since the buoy is located one wavelength away from the piston and one quarter of wavelength away from the beginning of the beach, the total length of the domain now varies in accordance with the wavelength of each condition. The slope of the dissipative beach, α , is chosen for each wave condition such that, in combination with the numerical damping previously explained, it yields a reflection coefficient always lower than 6%. Specifically, $\alpha = 1:2$ is used for regular waves with $T = 1.5$ s and lower; $\alpha = 1:4$ for $T = 1.7, 1.9, 2.1$ and 2.4 s; $\alpha = 1:4$ for $T = 2.8$ and 3.3 s; and $\alpha = 1:12$ for $T = 4.4$ s.

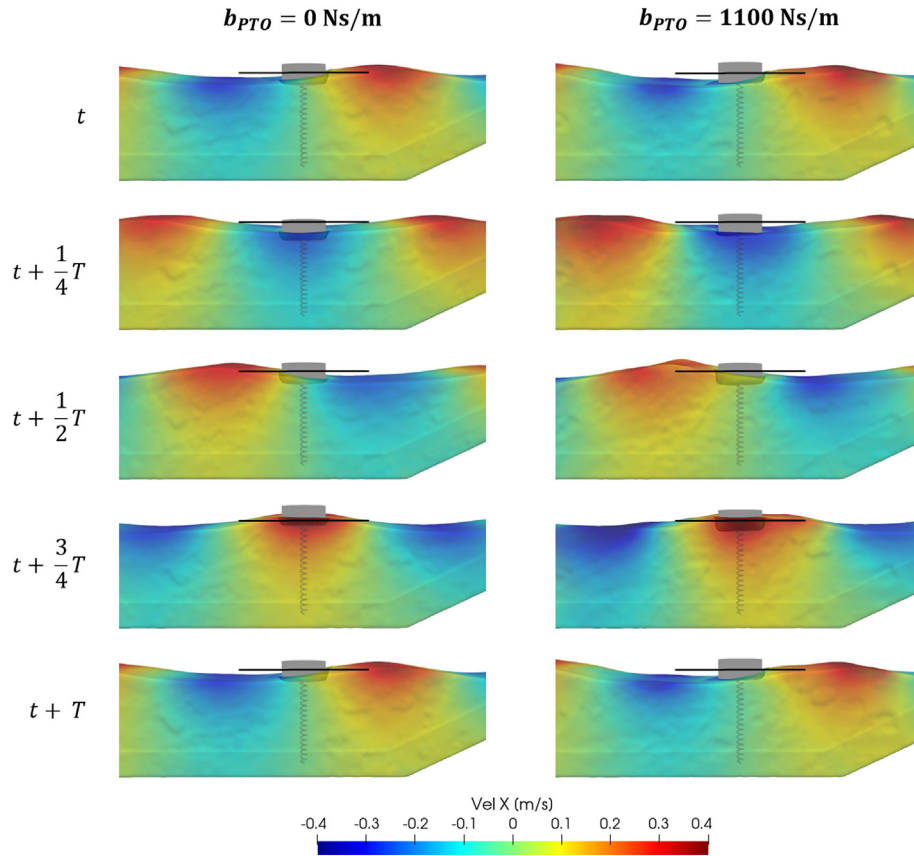


Fig. 3. Different instants of the simulation using DualSPHysics with $b_{PTO} = 0$ and 1100 N/m.

Table 3

Wave conditions simulated in the efficiency analysis.

T [s]	ω [rad/s]	L [m]	Ur	J [W/m]
0.97	6.48	1.47	0.26	23.8
1.00	6.28	1.56	0.29	24.56
1.05	5.98	1.72	0.36	25.84
1.09	5.76	1.85	0.41	26.92
1.15	5.46	2.06	0.51	28.58
1.20	5.24	2.24	0.60	30.04
1.30	4.83	2.61	0.82	33.22
1.50	4.19	3.40	1.39	40.46
1.70	3.70	4.19	2.11	48.14
1.90	3.31	4.98	2.98	55.36
2.10	2.99	5.75	3.97	61.74
2.40	2.62	6.87	5.68	69.56
2.80	2.24	8.33	8.34	77.28
3.30	1.90	10.10	12.27	83.84
4.40	1.43	14.15	23.23	91.86

The power absorbed by the device and its energetic efficiency are computed as explained below. The instant wave power captured by the WEC is proportional to the damping force of the PTO system, given by Eq. (9), following:

$$P_{abs}(t) = F_{PTO}(t)v_z(t) = b_{PTO}v_z^2(t) \quad (15)$$

The integral of Eq. (15) over a time period provides the averaged power absorbed by the device:

$$P_a = \frac{1}{T} \int_{t_0}^{t_0+T} P_{abs}(t) dt \quad (16)$$

Taking a constant time interval Δt , the averaged absorbed power can be further approximated by a discrete summation:

$$P_a = \frac{1}{N} \sum_{n=1}^N P_{abs}(t_0 + n\Delta t) \quad (17)$$

where $T = N \cdot \Delta t$, being N the total number of records taken in a period.

[62,63]; and [64] independently derived the expression for the theoretical maximum absorbed power by an axisymmetric body oscillating only in heave, such as the point-absorber considered in this paper, as:

$$P_{a, max} = \frac{J}{k} \quad (18)$$

where J denotes the wave power per meter of width of the wave front (Eq. (14)) and k is the wavenumber.

The efficiency of the wave energy converter can be characterised as the ratio between the power absorbed by the device and its theoretical maximum:

$$\frac{P_a}{P_{a, max}} = 2\pi \frac{P_a}{JL} \quad (19)$$

The capture width (CW) and capture width ratio (CWR) are two parameters often used when performing an efficiency analysis. The former represents the width of the wave front that is being completely absorbed by the device, whereas the latter represents the ratio between the absorbed power and the available power contained in the wave interacting with the device, which is defined

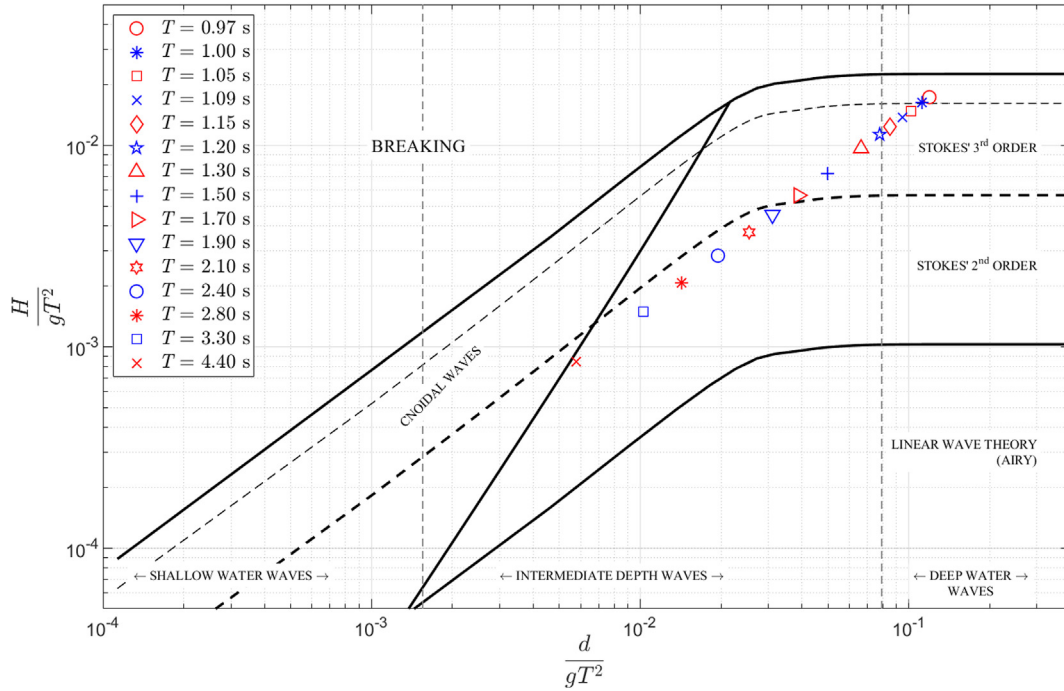


Fig. 4. Regular wave conditions as classified in Le Méhauté abacus.

as $P_w = JD$ (being D the buoy diameter). They can be mathematically described as:

$$CW = \frac{P_a}{J} \quad (20)$$

$$CWR = \frac{P_a}{P_w} \quad (21)$$

Capture width has units of meters, hence CWR is a dimensionless parameter given by CW over the device dimension perpendicular to wave propagation, in this case the buoy diameter D . Their maximum values can be obtained from Eq. (18). Therefore, the energetic efficiency can also be characterised using the ratio CW/CW_{max} or CWR/CWR_{max} since:

$$\frac{CWR}{CWR_{max}} = \frac{CW}{CW_{max}} = \frac{P_a}{P_{a,max}} = 2\pi \frac{P_a}{JL} \quad (22)$$

The response of the heaving point-absorber is highly frequency-dependent, being the energy conversion more important near the resonance condition. When the WEC is operating at resonance, its heaving velocity and the excitation force are in phase. The excitation force is made up of the force due to the non-perturbed incoming wave acting on the WEC (Froude-Krylov force) and the force due to the diffraction of the flow bypassing the buoy. As shown in Ref. [58]; the resonance condition is automatically satisfied when the wave frequency matches the natural frequency of the device, which is given by:

$$\omega_0 = \sqrt{\frac{\rho g A_{wet}}{M + m_{add}(\omega)}} \quad (23)$$

where A_{wet} is the wetted surface (cross-section of the cylinder), M is the mass of the buoy, and m_{add} is the added mass. The added mass term is due to the radiated waves emitted by the oscillating buoy,

and it varies with the wave frequency, which implies that the natural frequency is frequency-dependent as well. The open-source solver NEMOH [18] is used to obtain the added mass. NEMOH is a boundary element method (BEM) code that solves the radiation-diffraction problem assuming linear waves and neglecting viscosity. Note that the calculation of the natural frequency is only used here to define the non-dimensional variable ω/ω_0 , which allows identifying whether the point-absorber is operating near its resonance condition. Therefore, the simplifications made to obtain the natural frequency have no effect on the calculus of the absorbed power, since this is obtained from the heave velocity time series computed with DualSPHysics, which simulates with accuracy non-linear waves and does include viscous forces.

Fig. 5 shows the evolution of the absorbed power and the energetic efficiency as functions of the ratio ω/ω_0 for different values of b_{PTO} , namely 240, 480, 720, and 1100 N/m. When ω tends to zero or infinity, so does the ratio ω/ω_0 , since ω_0 takes finite (and non-zero) values for all ω , and the absorbed power and energetic efficiency tend to be zero. Both the absorbed power and energetic efficiency reach a maximum between $\omega/\omega_0 = 0$ and $\omega/\omega_0 = 1$, respectively. However, the wave frequency that maximises P_a is different from the one that maximises the energetic efficiency. This is due to the fact that the wave power per meter of width of the wave front, Eq. (14), decreases when the wave frequency increases, as shown in Table 3. Fig. 5(a) shows that the maximum absorbed power occurs at around $\omega/\omega_0 = 0.5$ for $b_{PTO} = 1100$ N/m, and around $\omega/\omega_0 = 0.8$ for $b_{PTO} = 240$ N/m. The peak of P_a tends to appear at frequencies close to the natural frequency as the damping coefficient of the PTO decreases. A similar behaviour is observed in Fig. 5(b) for the energetic efficiency (defined here as CWR/CWR_{max}) but, in this case, the peak of efficiency takes place at frequencies slightly lower than ω_0 for all the values of b_{PTO} . Note as well that the maximum energetic efficiency is higher as b_{PTO} decreases, being around 0.6 for $b_{PTO} = 1100$ N/m and close to 0.9 for $b_{PTO} = 240$ N/m.

Fig. 6 shows the dependence on the damping coefficient of the

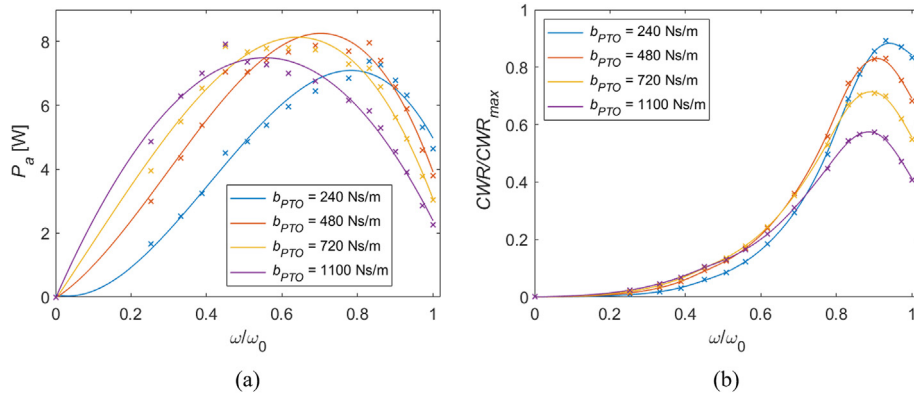


Fig. 5. Variations of absorbed power (a) and CWR/CWR_{max} (b) with the frequency of regular waves for different values of b_{PTO} .

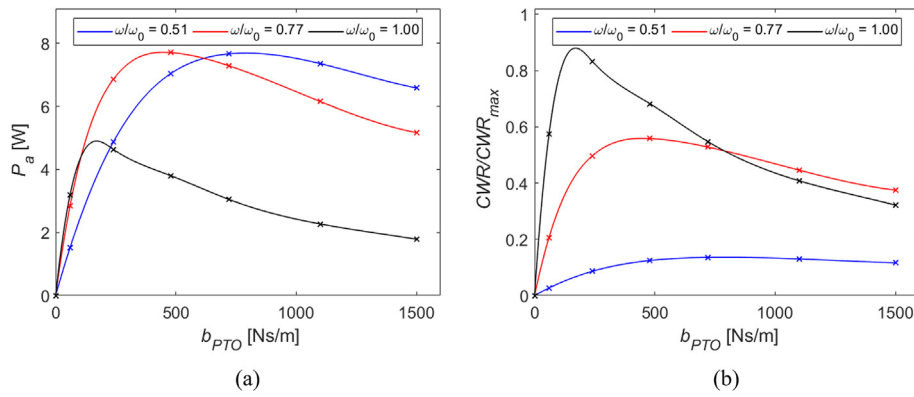


Fig. 6. Variations of absorbed power (a) and CWR/CWR_{max} (b) with b_{PTO} for different values of ω/ω_0 .

PTO of the absorbed power (P_a) and energetic efficiency (CWR/CWR_{max}) for three different wave frequencies, namely $\omega/\omega_0 = 0.51$, 0.77 and 1.00 . When $b_{PTO} = 0$ N/m, the PTO system is disconnected and the wave energy is not being harvested, as indicated mathematically in Eq. (15). On the other hand, when b_{PTO} tends to infinity the device response is overdamped and the absorbed power, thus the efficiency, tends asymptotically to zero. There is a value of b_{PTO} for each wave condition that maximises both P_a and CWR/CWR_{max} . When the device is operating at resonance ($\omega/\omega_0 = 1$), the maximum efficiency is achieved when b_{PTO} is between 60 and 240 N/m. Comparing the three different wave conditions shown in Fig. 6, it is clear that the further away from resonance, the higher the optimum value of b_{PTO} and the less steep the curves, i.e. the range of b_{PTO} for which P_a and energetic efficiency are near their maximum is wider.

5. Survivability

The final numerical analysis with the point-absorber under study in this work is related to survivability. As previously introduced, the use of an SPH-based code presents several advantages, which make the simulation of violent impacts between sea waves and floating devices easy and straightforward. In this section, the loads acting on the device under an extreme wave condition are obtained numerically with DualSPHysics. Different survival strategies are defined, considering the effects of submerging the device and simulating the WEC fixed or oscillating. A simplified structure is assumed to show a general methodology that may be followed to design the structure for a point-absorber.

5.1. Extreme wave description

Puertos del Estado (www.puertos.es) provides measures of the sea-state under extreme weather conditions in the northern coast of Spain. The survivability of the WEC is analysed at a location in the north coast of Spain 4 km offshore from the Port of Gijón, where the water depth is 54 m. A directional buoy owned by Puertos del Estado provides the irregular extreme sea-state at this location from data recorded from March 2004 to January 2017. A storm is defined as a situation during which the significant wave height H_s (mean wave height of the highest third of the records) exceeds a predefined threshold, following the Peak Over Threshold method. The irregular sea-state of each storm is characterised by the maximum H_s in a five-day period and its associated peak period, T_p , is obtained from an empirical equation based on a least-squares fitting. Given a desired lifetime of the device L_{WEC} , and a limit state which has an associated exceedance probability P_L , the design wave height H_d of the irregular extreme sea-state at the specified location can be obtained as explained below. The exceedance probability P_L is the probability that the design wave height H_d is exceeded during the lifetime L_{WEC} and is given by:

$$P_L(H_d) = 1 - (1 - P_{ann}(H_d))^{L_{WEC}} \quad (24)$$

where $P_{ann}(H_d)$ is the probability that H_d is exceeded in a year, defined as

$$P_{ann}(H_d) = 1 - \exp(-\lambda(1 - F_w(H_d))) \quad (25)$$

being λ the average number of storms in a year and F_w the

Weibull distribution [65] of exceedance of wave height, given by

$$F_w(H_d) = 1 - \exp\left(-\left(\frac{H_d - \alpha_w}{\beta_w}\right)^{\gamma_w}\right) \quad (26)$$

The parameters α_w , β_w and γ_w define the specific Weibull distribution and are provided by Puertos del Estado, along with λ . Considering a lifetime L_{WEC} of 22 years and an exceedance probability $P_L = 0.53$, corresponding to the Damage Limitation limit state, a design wave height of $H_d = 0.985$ m (after 1:10 Froude scaling) is obtained from Eq. (24)–(26). The corresponding peak period, T_p , is calculated from the design wave height by means of the empirical equation provided by Puertos del Estado, obtaining a value of $T_p = 5.30$ s, calculated at 1:10 model scale.

These design wave height and peak period define the irregular extreme sea-state at a specific location for the Damage Limitation limit state of a device with a lifetime of 22 years. A complete statistic representation of a real sea state consists of an irregular wave train of at least 300 waves [66]. The importance of the time series duration in wave-structures interactions has been highlighted by other authors (e.g. Ref. [67]). In practice, 1000 waves are employed to represent real sea states, when reproduced experimentally. Numerical models based on full Navier-Stokes equations, either mesh-based or meshless, must often cope with huge computational costs associated with such long test durations, especially for 3-D modelling. Therefore, instead of a full sea state, a focused wave group is simulated. To account for the possibility of a sporadic freak wave of wave height significantly higher than H_d within this sea-state, a focused wave is defined as follows: a 1000-wave train is used to build the Rayleigh distribution of the wave height and the one with only 3% probability to be exceeded is selected as the focused wave height, being in this case $H_f = 1.31$ m.

In the present work, a unidirectional crest-focused wave, defined according to the so-called NewWave method [68] is employed. The NewWave linear theory developed by Ref. [69] defines the free-surface elevation $\eta(x,t)$, which is related to the Fourier Transform of the sea state power density spectrum $S(\omega)$, as a linear superposition of N wave modes

$$\eta(x,t) = \frac{A_{cr}}{\sigma^2} \sum_{n=1}^N S(\omega_n) \cos\left(k_n(x - x_f) - \omega_n(t - t_f)\right) \omega \quad (27)$$

being $\sigma^2 = \sum S(\omega_n) \Delta\omega$ the variance of the discrete irregular sea state, ω_n and k_n the angular frequency and wavenumber of each n -mode, and x_f and t_f the position and time, respectively, at which the free-surface elevation reaches its maximum, $\eta(x_f, t_f) = A_{cr}$, i.e. where and when the wave train focuses [68]. noted that whenever a focused wave group is generated by a wavemaker that moves according to the NewWave linear theory spurious waves arise. To prevent this, the second-order wave generation theory proposed by Ref. [60] is used here. Correction for bound-long waves is neglected in the present application.

The generation and propagation of the focused wave at a desired focus location is validated by running a 2-D simulation without the WEC. The focused wave is generated using $H_f = 1.31$ m, $T_p = 5.30$ s and $d = 5.40$ m (obtained after the 1:10 Froude scaling of the sea depth). The free-surface elevation measured numerically with DualSPHysics at $x_f = 15.00$ m is compared with the second-order analytical solution given by Ref. [60] in Fig. 7. The crest-focused wave reaches the focus location, where the mid-point of the device will be placed, at $t_f = 18.30$ s. The matching between the numerical and theoretical free-surface elevation is quantified by means of the index of agreement defined in Section 3. By applying Eq. (13) to the time series of η shown in Fig. 7, a value of $d_1 = 0.86$ is obtained, which validates the generation and propagation of the

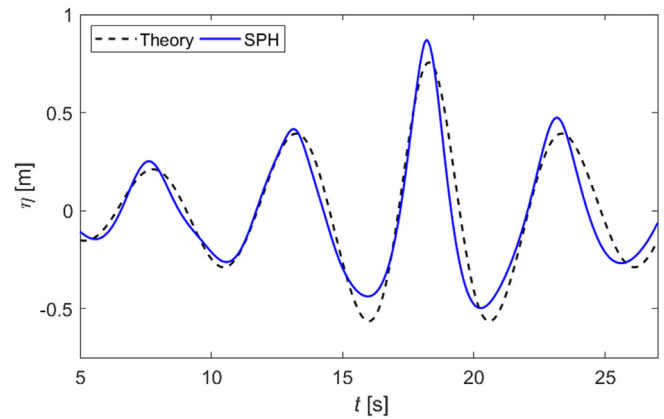


Fig. 7. Numerical and theoretical time series of the free-surface elevation at x_f .

focused wave with DualSPHysics.

5.2. Numerical tank and setup of the cases

Fig. 8 depicts a lateral view of the 3-D numerical tank employed for the simulations hereinafter. As in the previous cases, the tank width is twice the diameter of the buoy, and periodic boundary conditions are applied to the lateral walls. Nevertheless, the still water level is now at $d = 5.40$ m above the sea bottom, and the mid-point of the device is placed 15.00 m away from the wavemaker. In addition, a different anti-reflective beach has to be arranged at the end of the tank because of the high energetic content of the wave to be absorbed. To guarantee an adequate wave dissipation, a 1:3 steep beach (beginning at 5.00 m from the axis of the buoy) acts together with a numerical damping, as defined in Eqs. (10) and (11). The wavemaker is a piston-type one that moves according to a steering function, which guarantees that the focused wave described in the previous section focuses at x_f (Fig. 7).

Fig. 8 also illustrates the different depths to submerge the device, being $H_f = 1.31$ m as explained in the previous section. Six different cases are considered in the survivability study. In all of them the PTO system is temporarily switched off to avoid an eventual damage to the most expensive and fragile part of the WEC, which means $b_{PTO} = 0$ N/m. The loads exerted on the device are measured for the different scenarios that differ about the degrees of freedom of motion and the location of the device. Table 4 helps to define the different scenarios, where they are named with an upper-case letter and a number. The letter refers to the different levels of submergence, denoting A, B, and C that the centre of mass of the device is at still water level (SWL), submerged 1.42 m below SWL, or submerged 2.73 m below SWL, respectively. The number that follows refers to the degrees of freedom of the device, being 1 only-heave motion and 2 all degrees of freedom restricted, i.e. the device is completely fixed.

When the buoy is fully submerged, the difference between the upward buoyancy force (equal to the weight of the displaced fluid) and the downward force due to its own weight results in a vertical net force F_{net} . Since the density of the buoy is half the density of the fluid, the net force is positive (upward) and equal to the weight of the buoy: $F_{net} = 212$ N. In the cases B1 and C1, the device is fully submerged and heaving around the desired depth, thus it is necessary to have a downward force that balances the upward net force in still water. Numerically, it can be modelled as an elastic force (F_s) using:

$$F_s(t) = -k_s(l(t) - l_{eq}) \quad (28)$$

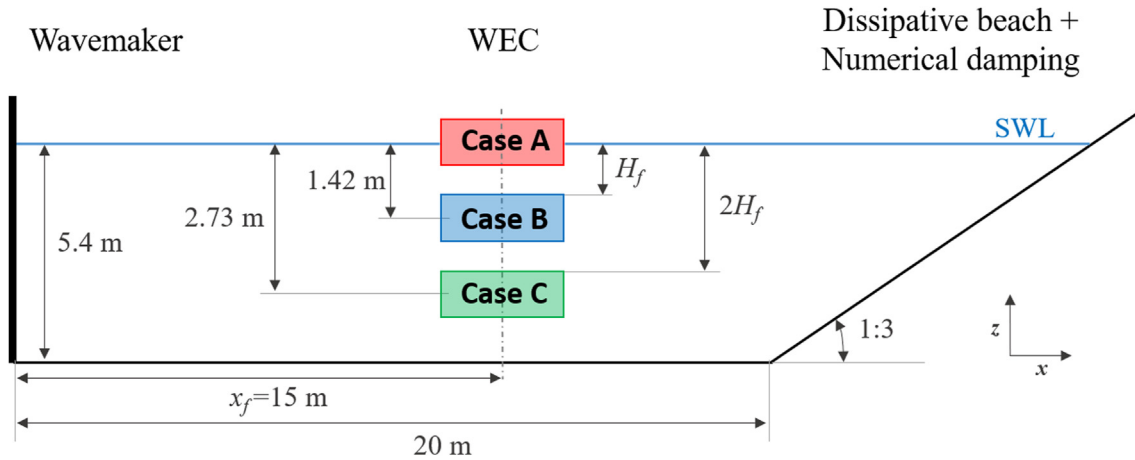


Fig. 8. Numerical tank configuration for the different cases in the survivability study.

Table 4
Setup of the different cases.

Case	Initial depth [m]	Fixed/Heaving
A1	0 (SWL)	Heaving
A2	0 (SWL)	Fixed
B1	1.42	Heaving
B2	1.42	Fixed
C1	2.73	Heaving
C2	2.73	Fixed

such that $F_s(t=0) = -F_{net}$ and that the spring length $l(t)$ is longer than the equilibrium length, l_{eq} , during the simulation to guarantee that the spring force direction remains unchanged. Setting the spring stiffness to $k_s = 321$ N/m, these requirements are satisfied, and the buoy is able to oscillate at the desired depth.

5.3. Results

The focused wave presented in Section 5.1 is simulated for each scenario described in Table 4 using the numerical tank shown in Fig. 8. The forces acting on the device in each case are calculated using the post-processing tools of DualSPHysics. Figs. 9 and 10 show the time series of the forces in the x (longitudinal direction) and z (vertical direction) axis, respectively, along with the theoretical time series of the free-surface elevation at x_f in the secondary axis. For the sake of clarity, the results are split into two plots in both figures, corresponding to the cases where heave motion is allowed (a) and where the device remains fixed (b). Note that, since the focused wave is unidirectional (along the x -axis) and the geometry of the buoy is axially symmetric, the force acting in

the y -axis is not taken into account.

As shown in Fig. 9, the time series of the force in the x -direction, F_x , follows the trend of the free-surface elevation, η . The maximum values of the horizontal force take place approximately during the peaks of the elevation time series. Fully submerging the buoy significantly reduces the maximum amplitude of F_x , since it is lower for cases B and C than for cases A. This difference in the behaviour of F_x with the submergence is due to the variation of the longitudinal acceleration of the fluid in the vertical direction. Comparing the results of F_x for the heaving and fixed devices initially placed at the same depth, the magnitude of F_x is lower when the device is fixed. However, the effect of holding the device fixed is minimized significantly when the WEC is completely submerged.

Fig. 10 shows that the forces in the z -direction, F_z , oscillate around zero when the device is initially at SWL and around the value of the vertical net force ($F_{net} = 212$ N) when it is fully submerged, since the density of the floater is lower than the density of the water. Although a slightly lower amplitude of F_z is observed for case C1, the values of the vertical force are very similar for the cases when the device is completely submerged (cases B1, B2, C1, C2), regardless of whether it remains fixed or it oscillates. However, comparing the results of F_z for the heaving and fixed device initially semi-submerged (cases A1 and A2, respectively), a great difference can be observed in Fig. 10. As a matter of fact, configuration A1 minimises the vertical force, while configuration A2 maximises it.

In absence of any stronger physical phenomenon, the behaviour of F_z is driven by the vertical acceleration of the fluid particles during wave propagation. This acceleration is in antiphase with the wave free-surface elevation, so that F_z will be in antiphase with η as well. Different situations can be found in Fig. 10. Case A1 (where the WEC is moving at SWL) and cases B2 and C2 (where the WEC is

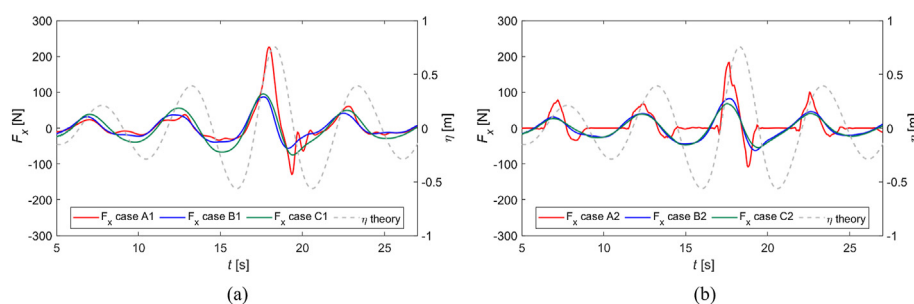


Fig. 9. Time series of the forces in the x -direction (F_x) acting on the heaving (a) and fixed (b) device for each case.

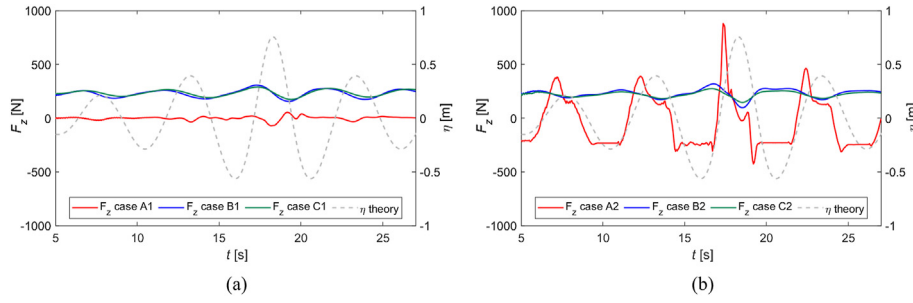


Fig. 10. Time series of the forces in the z-direction (F_z) acting on the heaving (a) and fixed (b) device for each case.

fixed at a certain depth) follow the general behaviour mentioned before, i.e., F_z is in antiphase with η . However, in cases B1 and C1 (where the WEC is submerged and heaving), the spring force, needed to keep the device oscillating at the given depth, slightly shifts F_z , being consequently in phase with the heave motion.

The atypical behaviour of the forces observed for case A2 (fixed device at SWL) deserves a more detailed explanation. Fig. 7 showed that the absolute maximum and minimum values of the free-surface elevation are clearly higher than the height of the buoy. Therefore, when the device is fixed at SWL, the focused wave crest leads to a huge and sudden overtopping, whereas the troughs cause the free surface to be below the bottom of the cylinder. In this way, the forces acting on the WEC increase suddenly during the crest of the focused wave. On the other hand, the only force acting on the device during the troughs is its own weight, which explains the interval of time observed in Figs. 9 and 10 during which F_x and F_z are constant, specifically at $F_x = 0$ N and $F_z = 212$ N. It is also worth noting that there is an instant, after the wave crest has passed the buoy and before the next trough arrives, in which the device is also bearing the weight of the overtopping water that remains on its top surface, leading to the negative peaks of F_z .

The analysis of the forces alone does not clearly determine the best and worst-case scenario. If only forces in the x-axis are considered, case A1 would seem to be the most harmful to the structure. However, case A1 would be the least harmful when only vertical forces are considered. Thus, a criterion that takes into account both contributions is needed.

The structure considered in the present paper is a simplification of the one depicted in Ref. [45]; which assumes that the buoy is connected to the seabed by means of a clamped rod of circular cross-section. In this manner, it is possible to characterise the effects of the wave field on the buoy and its structure by performing an elastic verification based on the yield criterion. The Designers' Guide to EN 1993-1-1 Eurocode 3 [20] defines the yield criterion for a critical point of a steel cross-section in the following general way:

$$\left(\frac{\sigma_x}{f_y/\gamma_{M0}}\right)^2 + \left(\frac{\sigma_z}{f_y/\gamma_{M0}}\right)^2 - \left(\frac{\sigma_x}{f_y/\gamma_{M0}}\right) + \left(\frac{\sigma_z}{f_y/\gamma_{M0}}\right) + 3\left(\frac{\tau}{f_y/\gamma_{M0}}\right)^2 \leq 1 \quad (29)$$

where σ_x is the longitudinal local stress, σ_z is the transverse local stress, τ is the local shear stress, f_y is the yield stress of the material and γ_{M0} is the partial factor, which is taken as 1. Since the structure considered here is a slender rod of circular cross-section, the transverse and shear stresses are negligible compared with the longitudinal stress. Thus, the yield criterion in the present

Table 5

Maximum values of yield criterion for each case.

D_{rod}	Case A1	Case A2	Case B1	Case B2	Case C1	Case C2
40 mm	0.989	0.666	0.068	0.051	0.037	0.016
50 mm	0.259	0.175	0.018	0.013	0.010	0.004
60 mm	0.087	0.059	0.006	0.004	0.003	0.001

application is simply given by:

$$\left(\frac{\sigma_x}{f_y}\right)^2 \leq 1 \quad (30)$$

where the longitudinal local stress is defined as:

$$\sigma_x = \frac{F_z}{A_{rod}} + \frac{F_x l_{arm}}{W_{rod}} \quad (31)$$

being $A_{rod} = \pi D_{rod}^2/4$ the cross-section area, $W_{rod} = \pi D_{rod}^3/32$ the elastic section modulus, D_{rod} the diameter of the rod and l_{arm} the lever arm (distance between the point of application of the forces in the floater and the base of the rod). A value of the yield criterion (Eq. (30)) higher than 1 indicates a failure of the structure under the load produced by the event considered in the survivability analysis. Eq. (31) shows that the elastic verification considers the contribution of both F_x and F_z . Nevertheless, since $l_{arm} \gg D_{rod}$ and therefore $W_{rod}/l_{arm} \ll A_{rod}$, its behaviour is dominated by the term containing F_x .

The time series of the yield criterion for each scenario are obtained assuming a rod made of S235 steel ($f_y = 235$ MPa) and for different values of D_{rod} . The maximum value for each case is presented in Table 5. If the diameter of the rod is 40 mm and the buoy is heaving at SWL (case A1), the maximum value of the yield criterion is very close to 1 and therefore, the structure of the WEC could collapse under the extreme event considered here. To avoid

this, three strategies are studied: i) fixing the buoy, ii) submerging the buoy, and iii) increasing the rod diameter of the structure. Table 5 shows that when the device is initially placed at SWL, restraining all its movements reduces by approximately one third the value of the yield criterion. Submerging the buoy 1.42 m below SWL (cases B1 and B2) reduces over thirteen times the maximum

yield criterion, which proves that the common practice of submerging the device is highly effective. If the initial depth of submergence is increased from 1.42 to 2.73 m below SWL (cases C1 and C2), the maximum yield criterion is approximately halved, which is, in fact, a very slight reduction compared with the one obtained between cases A and B. The elastic verification can also be satisfied by increasing the diameter of the rod. However, an increase of 50% in the rod diameter (from $D_{rod} = 40$ mm to $D_{rod} = 60$ mm) is needed in order to achieve values of the yield criterion similar to those obtained when fully submerging the buoy.

The most effective strategy to reduce the wave-induced effects caused by an extreme event on the system is to submerge the device such that the top surface of the buoy is initially H_f below SWL (cases B1 and B2). Increasing the initial depth of immersion (cases C1 and C2) would require an extra economic cost very difficult to justify, since the associated reduction of the yield criterion is minimum. Fixing the device (case B2) reduces slightly the maximum yield criterion with respect to the heaving device (case B1), thus the costs and reliability of the mechanical systems needed in each case should be considered when making that choice.

6. Conclusions

The hydrodynamic response of a point-absorber under regular waves can be accurately obtained with DualSPHysics. The numerical results for different configurations of the PTO system match satisfactorily the experimental results for a given regular wave condition. Once validated, it has been shown that DualSPHysics provides a unique framework to study numerically two key aspects in the design of a WEC: efficiency and survivability under eventual extreme wave conditions.

The power captured by the point-absorber as well as its energetic efficiency have been obtained from the time series of the device motion for a wide range of regular waves, and for several values of the damping coefficient b_{PTO} . It has been shown that when the WEC operates near its resonance condition, the efficiency is maximised. However, the wave frequency at which the absorbed power reaches its maximum depends on the value of b_{PTO} : it approaches the natural frequency (resonance condition) as b_{PTO} decreases. The analysis has also proven that there is a certain configuration of the PTO system that maximises both the absorbed power and the efficiency for each wave condition. In particular, the optimum b_{PTO} value is here between 60 and 240 N/m when the point-absorber is operating close to resonance and, it can be also observed that, the further away from this condition the higher the optimum value of b_{PTO} .

The survivability analysis has been conducted by means of a focused wave, whose characteristics are defined from the design spectrum corresponding to a certain limit state and lifetime of a device, placed at a specific location. DualSPHysics has been used to generate and propagate the desired focused wave, and the forces acting on the WEC were numerically computed. The yield criterion quantifies the effect of the loads exerted by the extreme waves on the highly-simplified structure of the WEC for each scenario. It was shown that fully submerging the device when an extreme event occurs is more effective than fixing the device or increasing the size of the structure. Results for the two different depths of submergence show only a slight improvement when submerging the device significantly deeper. This indicates the existence of an optimum depth of submergence. However, its calculation would require a more extensive analysis as well as considering economic factors and its environmental impact.

CRedit authorship contribution statement

Pablo Ropero-Giralda: Methodology, Validation, Formal

analysis, Writing - original draft. **Bonaventura Tagliaferro:** Conceptualization, Methodology, Writing - original draft. **Corrado Altomare:** Methodology, Writing - review & editing. **Moncho Gómez-Gesteira:** Validation, Formal analysis, Writing - review & editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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