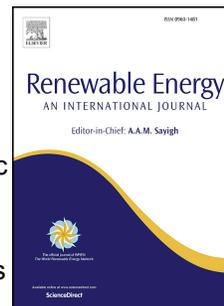


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Influence of pretreatment severity on structural changes, lignin content and enzymatic hydrolysis of sugarcane bagasse samples

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1 Influence of pretreatment severity on structural changes, lignin content and enzymatic
2 hydrolysis of sugarcane bagasse samples
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24 **Abstract**

25 The structural changes, lignin content and enzymatic hydrolysis of dilute acid
26 pretreated bagasse from 19 varieties of sugarcane were investigated. Chemical compositions
27 varied significantly between the materials. Glucose yield after enzymatic hydrolysis also
28 differed significantly among the samples. The differences in glucose yields were not
29 eliminated by increasing the pretreatment severity. Glucose yield showed a positive
30 correlation with total dye and orange dye adsorption, whereas with blue dye adsorption it
31 showed a weak correlation. The crystallinity index increased with the increasing pretreatment
32 severity as a result of the removal of the amorphous components of the biomass. The degree
33 of polymerization decreased with the increase in pretreatment severity. However, the change
34 in either crystallinity index or degree of polymerization did not correlate with glucose yield.
35 The results suggest that the lignin modification/reallocation is a key factor for improving
36 cellulose accessibility of sugarcane bagasse.

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38

39 **Keywords:** Bioethanol, Accessibility, Sugarcane bagasse, Degree of polymerization,
40 Crystallinity index, Lignin content.

41
42

43 1. Introduction

44 Bioethanol represents an important biomass-derived fuel, which can be produced via
45 the biochemical conversion of lignocellulosic biomass. Lignocellulosic biomass materials
46 such as crop residues, sawdust, wood chips, grasses, waste paper and municipal solid waste
47 can be used for bioethanol production. The bioethanol produced from lignocellulosic
48 materials is named as second-generation (2G) ethanol or cellulosic ethanol, while first
49 generation ethanol is produced from sucrose (juice extracted from sugarcane, sugarbeet or
50 sweet sorghum) or starch (typically extracted from grains). Typically, bioethanol can be
51 produced in a four step process, i.e. pretreatment, enzymatic hydrolysis, fermentation and
52 distillation [1], where hydrolysis and fermentation may be combined. However, the
53 recalcitrance of lignocellulosic material hinders the effectiveness of the pretreatment and
54 enzymatic hydrolysis steps.

55 Lignocellulosic biomass is made up of three main components, lignin, cellulose and
56 hemicelluloses, which are interlinked and chemically joined by both non-covalent and
57 covalent cross-connections [2]. Cellulose is the most important component of lignocellulose
58 and has a crystalline structure. Hemicelluloses are a diverse class of polysaccharides with a
59 structural function in lignocellulose. Lignin is a component that contributes to biomass
60 recalcitrance, protecting cellulose fibres from microorganisms and enzymatic action. The
61 complexity of these three components and their arrangement makes the vegetal cell wall
62 naturally resistant and therefore making pretreatment necessary for its deconstruction, so that
63 it becomes amenable to enzymatic hydrolysis. A pretreatment should modify the
64 lignocellulosic material structure, exposing the polysaccharides to enzyme action [3].

65 Dilute acid is one among the leading pretreatment methods employed in the
66 deconstruction of biomass. The target of this method is the solubilization of hemicelluloses
67 leaving the pretreated material porous, enriched with cellulose and lignin and suitable for
68 enzyme action [4]. During this process the molecular lignin bonds may also be broken
69 followed by radical condensation and precipitation on the fibre surface [5]. The modification
70 of lignin further allows for better enzyme action and catalytic adsorption to cellulose [6],
71 thereby increasing cellulose accessibility [7]. Furthermore, hemicelluloses have some sugars
72 with functional groups such as acetyl, which can hinder the action of both the hemicellulases
73 [8] as well as cellulases enzymes [9]. The removal of these functional groups increases the

74 hemicellulose accessibility to hemicellulases and consequently the exposure of the cellulose
75 to cellulases.

76 Therefore, a low lignin content and a change in the substrate recalcitrance through
77 cellulose exposure are key factors for biomass conversion to bioethanol [7]. In this context,
78 this work is aimed at investigating the changes in the structural morphology, measured in
79 cellulose accessibility, of different sugarcane bagasse samples in response to dilute acid
80 pretreatment. Physicochemical properties such as the degree of polymerization of cellulose
81 and the biomass crystallinity index were evaluated. Fourier transform infrared spectroscopy
82 analysis (FTIR) and Principal component analysis (PCA) were used to identify structural
83 differences or modification in the samples before and after alternative pretreatment
84 conditions with different severities. The glucose yield from enzymatic hydrolysis was
85 compared for the different pretreatment condition and modifications of the material
86 properties.

87

88 **2. Materials and Method**

89 **2.1 Raw materials and sample preparation**

90 Nineteen samples of bagasse from different varieties of sugarcane were supplied by
91 the South African Sugarcane Research Institute (SASRI). The samples were milled using a
92 laboratory ultra-centrifugal mill model ZM200 basic (Resch GmbH, Germany). Prior to use,
93 the milled samples were sieved in a vibratory sieve shaker model AS200 basic (Resch
94 GmbH, Germany) and the particles retained between 425 and 825 μm sieve porosity were
95 packed in zipped plastic bags and stored in a temperature and moisture controlled room.

96

97 **2.2 Pretreatment**

98 The pretreatment of sugarcane bagasse samples was conducted according to Benjamin
99 et al., (2013) [10]. According to this method, 1.5 g (dry weight) of sample material and 5 mL
100 of a sulphuric acid solution of desired concentration was loaded into the reactor. The
101 pretreatment was carried out at three different conditions, varied according to pretreatment
102 severity i.e. low severity (150°C, 0.96 % w/w for 15 min), medium severity (160°C, 0.96 %
103 w/w, 15 min) and high severity (180°C, 0.5 % w/w for 15 min). The samples were also
104 pretreated at 200°C for 15 min without acid addition.

105 After the pretreatment, the pretreated slurry was washed with 500 mL of distilled
106 water to separate the dissolved sugars from the water insoluble solids (WIS). The WIS was

107 divided into two portions. One portion was used to study the effect of pretreatment on
 108 enzymatic hydrolysis and the other portion was used to investigate the change in
 109 physicochemical properties of the bagasse after pretreatment. The removal of components
 110 such as cellulose, hemicellulose and lignin was calculated considering their content (g/g) in
 111 the untreated and pretreated material. The initial biomass for the pretreatment is 1.5 g, and
 112 after pretreatment a solid was recovered (WIS).

113

114 **2.3 Enzymatic hydrolysis**

115 Two hundred milligrams (200 mg dry weight) of untreated or control sample as well
 116 as each of the pretreated samples materials were transferred into 40 mL flasks containing a
 117 total volume of 10 mL solution containing 0.05 M acetate buffer and an enzyme cocktail. The
 118 samples were placed in a shaking waterbath set at 50°C, at 120 rpm mixing speed for 60 min
 119 to allow for adequate mixing to occur. The cellulase enzyme (Spezyme CP, Genencor-
 120 Danisco, Denmark) was added at a loading rate of 15 FPU/g substrate. The cellulase was
 121 supplemented by adding 15 IU/g substrate of β -glucosidase (Novozym 188 -Novozymes A/S,
 122 Denmark). The reaction was stopped after 72 hours of hydrolysis of the samples. The
 123 supernatant solution was collected and prepared for sugar analysis using high performance
 124 liquid chromatography (HPLC) as described below. The enzymatic hydrolysis assays were
 125 performed in duplicate and average results were reported. The glucan conversion was
 126 calculated according to Eq. (1):

$$127 \quad \text{Glucan conversion (\%)} = \frac{[\text{Glucose}] + 1.053 [\text{Cellobiose}]}{1.111 f [\text{Biomass}]} \times 100\% \quad (1)$$

128 Where:

129 [Glucose]	Glucose concentration (g/L) released during enzymatic hydrolysis
130 [Cellobiose]	Cellobiose concentration (g/L) released during enzymatic hydrolysis
131 [Biomass]	Dry biomass concentration at the beginning of the enzymatic hydrolysis (g/L)
132 f	Glucan fraction in dry biomass (g/g)
133 1.053	Correction factor of cellobiose to equivalents of glucose.
134 1.111	Conversion factor of glucan to equivalents of glucose

135

136 **2.4 FT-ATR analysis**

137 Fourier-Transform Attenuated Total Reflectance (FT-ATR) spectroscopy was used to
 138 compare the changes in chemical characteristics between untreated and pretreated materials.
 139 Each sample was milled into a powder that passed through an 80 mesh screen. Each milled
 140 sample was analysed using a Perkin Elmer Spectrum GX. Five mg of sample material was
 141 pressed uniformly against a diamond surface using a spring-loaded anvil and analyzed with a

142 universal ATR accessory (Smart Performer from Thermo, equipped with ZnSe lenses).
143 Infrared spectra were obtained with 32 scans in the range of 400 cm⁻¹ to 4000 cm⁻¹. The base
144 line was corrected at 1800, 1550, 1200 and 750 cm⁻¹.

145

146 **2.5 Crystallinity Index**

147 X-ray diffraction data was obtained using a PANalytical X'Pert Pro MPD with Bragg-
148 Brenatno geometry, PreFix optics, with a Cu tube and an X'Celerator detector at an
149 accelerating voltage of 40 kV and a current of 30 mA. The scans were done at a 2θ angle
150 between 8° and 28° with a step of 0.05° and a scan rate of 2°/min. The crystallinity index
151 (CrI) was determined as the percentage of the crystalline material in biomass as shown in Eq
152 2:

$$153 \quad CrI = \frac{(I_{002} - I_{am})}{I_{002}} \times 100\% \quad (2)$$

154 Where: CrI = relative degree of crystallinity; I₀₀₂ = intensity of the diffraction from the 002
155 plane at 2θ = 22.5°; I_{am} = intensity of the background scatter at 2θ = 18.7°

156

157 **2.6 Degree of polymerization**

158 Alpha-cellulose extraction and viscosity measurement of the extracted pulp using the
159 standard TAPPI T230 test method was performed as reported elsewhere [11]. The α-cellulose
160 in the samples was determined by incubation of the 0.5 g of the solid in beakers containing 20
161 mL deionized water, 0.188 g sodium chlorite, and 63 μL glacial acetic acid in a water bath at
162 70°C. Three more doses of sodium chlorite (0.188 g) and glacial acetic acid (63 μL) were
163 added after 2, 3 and 4 h. The α-cellulose viscosities were measured using the TAPPI test
164 method where 0.125 g α-cellulose was placed in a test tube with 12.5 mL distilled water for 1
165 h. Thereafter, 12.5 mL Cupriethylenediamine solution was added and stirred for 5 min and
166 left for 2 h at room temperature until solubilization of glucan was complete. The soluble
167 glucan pulp viscosity was measured using a Brookfield viscometer with 0.5 % glucan
168 solution, using 0.5 M Cupriethylenediamine as a solvent. The pulp viscosity measurement
169 was repeated three times and the average value was used. The pulp viscosity determined as
170 centipoise (cp) was converted to degree of polymerization (DP) of polysaccharides according
171 to Eq 3.

172

$$173 \quad DP^{0.905} = 0.75[954 \log (X) - 325], \quad (3)$$

174

175 where X is the TAPPI viscosity in centipoises. The assays were performed in triplicate and
176 average values were reported.

177

178 **2.7 Interior and exterior specific surface area**

179 Dye adsorption on the fibres was measured using a modified Simons' stain method
180 [12]. 50 mg (dry mass) of each pretreated sample was placed into a 15 mL centrifuge tube
181 and 0.5 mL of a saline phosphate buffer solution (pH 6, 0.3M PO₄, 1.4 mM NaCl) was added.
182 The Direct Orange (DO) solution (10 mg/mL) was also added at different volumes (0.06,
183 0.25, 0.37, 0.5, 0.75, 1.0 mL). The Direct Blue (DB) solution (10 mg/mL) was then added to
184 obtain a mixture of DO and DB dyes at a ratio of 1:1. The tubes were filled to a final volume
185 of 5 mL with distilled water. The tubes were incubated at 70°C for 6 h at 120 rpm. After the
186 incubation period, the tubes were centrifuged at 10,000 rpm for 5 min. The absorbance of the
187 supernatant was measured using a spectrophotometer at a wavelength of 455 and 624 nm.
188 The concentration of the dye adsorbed onto the fiber was indirectly determined by calculating
189 the difference between the initial and the final dye concentration in the supernatant. The
190 concentrations of the DO and DB dyes were determined using Eq 3 and 4, respectively.

$$191 A_{455\text{nm}} = E_{O/455} LC_O + E_{B/455} LC_B \quad (\text{Eq 3})$$

$$192 A_{624\text{nm}} = E_{O/624} LC_O + E_{B/624} LC_B \quad (\text{Eq 4})$$

193 Where $A_{455\text{nm}}$ and $A_{624\text{nm}}$ are the adsorption of the mixture at 455 and 624 nm respectively.
194 $E_{O/455}$ and $E_{O/624}$ represent the extinction coefficients of each component at the respective
195 wavelengths, and L is the path length (width of the cuvette -1 cm). The extinction coefficients
196 of the dyes were calculated from the slopes of their standard absorbance calibration curves at
197 455 and 624 nm. The values calculated and used in this study were: $E_{O/455} = 25.61$; $E_{B/455} =$
198 0.86 ; $E_{O/624} = 3.1$; and $E_{B/624} = 16.45 \text{ L g}^{-1} \text{ cm}^{-1}$.

199

200 **2.8 Chemical composition of raw and pretreated materials**

201 Extractions with 95% ethanol and then water were done according to the standard
202 method to remove free sugars and extractives. The procedure described elsewhere was used
203 for the determination the extractives content, ash and chemical composition of the extractive-
204 free biomass samples [13]. The carbohydrate compositions of the respective samples were
205 analysed via high performance liquid chromatography (HPLC) using an Aminex HPX-87H
206 Ion Exclusion Column equipped with a Cation-H Cartridge (Bio-Rad, Johannesburg, South

207 Africa). The carbohydrate concentrations were measured via an RI detector (Shodex, RI-101)
208 operated at 65°C with a mobile phase of 5 mM sulphuric acid and a flow rate of 0.6 mL/min.
209 All analytical determinations were performed in duplicate and average results are reported.

210

211 **3. Results and discussion**

212 **3.1 Chemical composition of the bagasse samples prior and after pretreatment**

213 The chemical composition varied significantly between the samples as shown in
214 Table 1. In general, the percentage of glucan, arabinoxylan, lignin, extractive and ash
215 contents of the materials expressed on a dry weight basis ranged from 36.9 to 48.6%, 24.6 to
216 32.8 % 13.3 to 21.5%, 4.2 to 9.2% and 0.7 to 2.5%, respectively. The values were however in
217 the range of the typical bagasse samples reported in literature [14,15]. The structural
218 carbohydrates content, specifically the sum of glucan and arabinan ranged between 64.0%
219 and 79.2 %. The samples with reduced lignin content had generally a high structural
220 carbohydrate content as well as low ash content, except for sample 10. The observed
221 difference could be attributed to the outcome of the breeding process used during crop
222 development. The samples that showed a reduced lignin content were obtained from
223 precision breeding varieties while those with high lignin were obtained from classical
224 breeding varieties as reported previously [10]. The precision breeding varieties were
225 developed by engineering the cell wall through down-regulating the expression of an
226 endogenous enzyme UDP glucose dehydrogenase to increase sucrose content [16]. The
227 reduction of lignin and ash content are the crucial steps toward the reduction of the
228 recalcitrant of biomass for bioethanol production (my reference evaluation of bagasse).

229 The pretreated materials showed higher proportionally glucan and lignin content than
230 untreated materials (Tables 1 and 2), due to the removal of hemicelluloses (arabinoxylan and
231 acetyl group) [5]. The removal of arabinoxylan was increased with an increase in
232 pretreatment severity (88.1 to 97.6 %). However, the removal of arabinoxylan in the biomass
233 was slightly lower when no acid was used compared to dilute acid pretreated material.
234 Uncatalysed pretreatment largely depends on the acetic acid released during cleavage of
235 acetyl group. The infiltration of hot water into the fibers causes solubilization of xylan,
236 cleavage of acetyl group to produce acetic acid [17]. The organic acids generated act as
237 catalysts which accelerate the auto-hydrolysis reaction. The pretreated material generated
238 through this method has a lower inhibitive effect. However, most of the sugars hydrolyzed
239 during pretreatment remain in oligomeric form and therefore, cannot be directly fermented.

240 Consequently, a post hydrolysis step is usually required prior to fermentation [18,19], which
241 could possibly increase the production cost.

242

243 **3.2 FT-IR analysis of untreated materials**

244 The FT-IR spectra of untreated materials are shown in Figure 1A and were typical of
245 the structure of sugarcane bagasse [7]. Principal component analysis (PCA) was applied to
246 the FT-IR spectral data to allow for the discrimination of samples based on spectral
247 information, and the identification of groups of samples that bear similarities or differences
248 [20]. The PCA score plot depicts that samples were separated mainly on PC1 axis (Figure
249 2A). This axis contained most of the spectral information (63.3 %) compared to PC2 (13.3
250 %). The samples were widely dispersed on the PC1 axis without group identification,
251 indicating that the samples were different. This result is in agreement with the chemical
252 composition of the materials (Table 1). Furthermore, the load plot for principle components
253 showed several discrepancies (Figure 2B). The main differences were observed in 900, 1200,
254 1370, 1510 and 1550 cm^{-1} the regions, which are related to C-O-C of glycosidic linkage, C-O
255 and C-H in polysaccharides and lignin, O-H phenolic compounds, C=C in lignin and C-O in
256 aromatic ring, respectively.

257

258 **3.3 FT-IR analysis of pretreated materials**

259 The FT-IR spectra of untreated and pretreated materials were different (Figure 1). The
260 FT-IR spectra of the pretreated materials showed new bands at 1100 and 1050 cm^{-1} , while in
261 untreated material the spectrum showed a soft shoulder in this region. The acid pretreatment
262 caused several modifications in the structure and the composition of the pretreated materials
263 via the removal of hemicelluloses and alteration of the lignin structure (Table 2). This result
264 was in agreement with the data reported elsewhere [5].

265 Furthermore, a new band appeared at 1100 and 1050 cm^{-1} (Figure 1, severity: low (B),
266 medium (C), high (D) and high with no acid (E)), while in the spectra of the untreated
267 material it was a soft shoulder. The formation of this band is due to the deformation of C-O,
268 which is related to glucose ring [21]. The enrichment of cellulose could probably be
269 interfering with the intensity of this band. However, the band at 1730 cm^{-1} decreased due to
270 the removal of arabinoxylan component (acetyl and uronic ester groups) after pretreatment
271 [15,20]. Generally, the intensity of the bands between 1600 and 1300 increased with
272 increasing pretreatment severity. Conversely, the intensities of the bands between 1200 and

273 1300 cm^{-1} were reduced compared to other bands. These bands represent C-OH deformation
274 and C-O stretching of phenolics [22]. This was expected due to modification of lignin but its
275 content was less affected (Table 2). This region is related to lignin, and modification in its
276 content or structure by the pretreatment can change the band intensity. The band at 1240 cm^{-1}
277 was ascribed to a C-O of a guaiacyl ring. Thus, it can be used to evaluate lignin removal
278 during delignification processes [21]. Similarly, a sharp band observed at 1510 cm^{-1} signifies
279 a proportional increase in lignin concentration due to the removal of hemicelluloses in the
280 biomass after pretreatment (Figure 1).

281 The FT-IR spectrum bands provide evidence of structural modifications of the
282 biomass after pretreatment. Glucan was removed in average values between 4.7, 7.9–11.1 %
283 (based on residual mass) and lignin (3.8–15.3%) depending on pretreatment severity. Most of
284 modifications observed were related to the presence and nature of lignin and lignin-
285 carbohydrate complexes (Figure 1). Thus upon pretreatment, the lignin in the biomass
286 became more hydrophilic due to increases in carbonyl and hydroxyl group contents as
287 observed in the identified spectral bands (Figure 1). The principal component analysis
288 separated the samples into two groups based on the pretreatment conditions (Figure 3A). The
289 first group comprised samples treated at a moderate temperature (150-180°C) and the other
290 group belongs to those treated at a high temperature (200°C). The PCA score plot showed
291 that pretreated samples were separated mainly on PC1 axis, which contained most of the
292 spectral information of 62.7 %, while PC2 axis showed 26.4 %. The samples pretreated at
293 high severity with no catalyst were discriminated in one group, while the samples pretreated
294 at low, medium and high severity converged into a mixed group. The observed groups could
295 be due to differences in chemical composition between the pretreated materials as revealed
296 by the loading plot (Figure 3B). The differences were related to wavenumbers in the spectral
297 region between 1200 and 1600 cm^{-1} particularly at 1200, 1370 and 1510 cm^{-1} . These
298 wavenumbers could be attributed to C-O and C-H in polysaccharides and lignin, O-H
299 phenolic compounds and C=C in lignin.

300

301 **3.4 Enzymatic hydrolysis yield**

302 Glucose yield after enzymatic hydrolysis varied significantly among the samples
303 (Figure 4). The observed differences could be attributed to the varied chemical composition
304 between the samples. The three samples with low glucose yield (samples 5, 11 and 12) also
305 had high lignin and ash contents (Table 1). The exception was on sample 9, which despite

306 having an intermediate lignin content (17.4%), exhibited the lowest levels of glucose yield
307 (30.8–54.3%) at all instances. However, this sample (sample 9) showed the highest ash
308 content (Table 1). The highest glucose yield in the samples was a function of the severity of
309 the pretreatment. Further, high glucose yields were generally observed on the samples with
310 low lignin as well as low ash contents. The lignin matrix can impose various structural
311 barriers on the cellulase and prevent effective binding of the enzymes thereof, leading to low
312 cellulose digestibility [23]. Other factors that contribute to the recalcitrance of the biomass
313 include lignin distribution, type of cell and composition [24,25]. On the other hand, ash has
314 been proven to have negative effect on the cellulose digestibility due to its neutralization
315 capacity when dilute acid pretreatment is used [10].

316 Furthermore, the increase in the pretreatment severity could not eliminate the
317 difference in glucose yields between the samples (Figure 1). Three groups could be
318 distinguished based the pretreatment severity (at low severity (30.8– to 69.5 %), at medium
319 severity (47.3%–70.3 %) and at high severity (54.2–88.5 %)). This means that the
320 digestibilities of the materials were largely determined by the chemical composition of the
321 material (Table 1 and 2). This finding is in agreement with the previous studies on the
322 evaluation of cellulose digestibility of pretreated materials [10,26].

323

324 **3.5 Accessible surface area**

325 The enzyme accessibility as measured through the specific interior and exterior
326 surface areas of the pretreated materials was correlated to glucose yield using the Simons'
327 staining technique. The method is based on the measure of the adsorption of Direct Orange
328 (exterior surface area) and Direct Blue (interior surface area) dyes on biomass materials [27].
329 According to this method, the total dye adsorption is determined as the sum of the adsorption
330 of Direct Blue and Orange dyes. The total dye adsorption represents the sum of internal and
331 external surface areas as determined by the adsorption of Direct Blue and Orange dyes,
332 respectively. The results showed that glucose yield was linearly correlated with total dye
333 adsorption ($R^2 = 0.9049$) (Figure 5A). The dye adsorption onto the lignocellulosic fibre is an
334 indication of the material's available physical contact surface area for enzyme action [28].
335 The removal of arabinoxylan combined with lignin modification increases the exposed
336 specific surface area of the cellulose for enzymatic hydrolysis (Table 2, Figure 1). The acid
337 pretreatment removed arabinoxylan resulting in a proportional increase in the lignin content
338 of the samples (Figure 1), which in turn reallocated on the fibre surface [29].

339 The glucose yield also showed a linear relationship with the available external surface
340 area (measured by Direct Orange dye) as depicted in Figure 5B ($R^2 = 0.9064$). However, a
341 weak correlation coefficient ($R^2 = 0.7168$) was obtained between internal specific surface
342 area (measured by Blue dye) and the glucose yield (Figure 5C). The Direct Orange dye has
343 larger molecules with a high affinity for cellulose and easily adsorbs to the external surface of
344 the exposed cellulose fibre. The Direct Blue dye on the other hand has smaller molecules
345 which easily penetrate into the porous structure of the fibres without adsorbing onto the
346 cellulose [27]. The affinity of the dyes to material components was determined and it was
347 found that the amount of Blue dye adsorbed was not correlated with cellulose content.
348 Furthermore, the amount of Blue and Orange dye adsorbed did not correlate with lignin
349 content (data not shown). The direct comparison of adsorbed dye in relation to the chemical
350 composition of the material showed that the method was not influenced by the components of
351 the material. The method is based on the application of 6 different dyes concentrations in an
352 increasing sequence until dyes adsorption stabilizes with no further adsorption. Further, the
353 maximum adsorption is based on the linearization of the data [30]. Accordingly, the
354 calculated maximum adsorption is considered in the linear phase adsorption and not in the
355 stabilized phase to avoid a multilayer type of adsorption. These characteristics bring more
356 confidence and robustness in the analysis of lignocellulosic material to predict the enzymatic
357 digestibility.

358 Apart from weak correlation shown between Blue dye and glucose yield, this
359 measurement allows for the comparison between the available internal and external surfaces
360 of the biomass materials. In general, biomass materials pretreated at different severities will
361 generate WIS with variable enzyme accessibility based on the characteristics of their internal
362 and external surface areas [31,32], or even by enzyme action [33]. The Blue dye molecules
363 are small and probably penetrate into the pores where enzyme could not reach. This kind of
364 behavior is observed also with techniques such as the BET analysis, which is applied in
365 materials with small pores sizes. The determination of pores sizes using different dextran
366 probes indicates that there is a huge diversity in the structure and sizes of pores in different
367 material [35,35]. On the other hand, the Simons' staining technique measures individually the
368 internal and external specific surface area and these together can efficiently predict the
369 glucose digestibility. The determination of the external surface areas is important for dilute
370 acids pretreated biomass as this method results in lignin precipitation onto the fibre surface.
371 As a consequence, its percentage content increases proportionally (Table 2). This behavior is

372 typically observed for high severity pretreatment, concisely, at higher temperatures. The
373 precipitated lignin on the fibre surface creates a barrier to enzymes access to the cellulose
374 [5,23]. Further, lignin removal which promotes enzyme access to the cellulose was found to
375 decrease with the increase in the pretreatment severity (Table 1).

376 The pretreatment of the samples at different conditions generated a large range of
377 substrates with different chemical compositions, specific surface areas, and consequently
378 different degrees of digestibility. The material with higher dye adsorption were observed at
379 high pretreatment severity when no acid catalyst was used. The best enzymatic hydrolysis
380 glucose yield was also obtained at these pretreatment conditions. These conditions were also
381 directly responsible for arabinoxylan removal and lignin modification (Figure 1), which in
382 turn resulted in increases in the substrate accessibility by increasing the proportion of
383 exposed pores and also the fragmentation of the fibres (Figure 5). The comparison of
384 different pretreatment conditions using dye adsorption is useful to determine the accessible
385 cellulose to enzyme action [12], which also can be dependent of the cellulose content of the
386 material [7].

387 The comparison of pretreated samples can lead to the identification of relations
388 between biomass digestibility based on glucose yield and total dye adsorption. For instance,
389 sample 3, 5, 7, 9 and 11 showed lower increments in glucose yield when the pretreatment
390 severity was increased (Supplemental Table 1). The samples had a low content of total dye
391 adsorbed; suggesting a poor response of the samples to pretreatment. Conversely, the best
392 samples in terms of glucose yield such as sample 19 showed high glucose yield even at low
393 severity. This sample also had a low initial lignin content (Table 1 and 2). The same result
394 was also observed for other samples with low lignin contents (3, 14 and 17).

395

396 **3.6 Crystallinity index (CrI) of biomass and cellulose degree of polymerization (DP)**

397 The samples with different behavior to the enzymatic hydrolysis yield (4, 8, 9, 11, 12,
398 14, 17, 18 and 19) were analysed for biomass crystallinity. A CrI higher than 40 % was
399 obtained in all samples, except for sample 4, (Table 3). As expected, the CrI was increased
400 after the materials were pretreated and the increase was related to the increase in pretreatment
401 severity [3]. Dilute acid pretreatment of the biomass removes the amorphous part of the
402 biomass (mainly arabinoxylan) and leaves the pretreated material enriched with cellulose
403 (Table 2). However, the differences in CrI between the samples did not correlate with the
404 enzymatic hydrolysis yield. The most severe pretreatment conditions could attack cellulose

405 component most likely the amorphous fraction, generating residual cellulose that is even
406 more crystalline [7]. This residual cellulose could be more resistant to enzyme action due the
407 crystallinity property resulting from high severity pretreatment.

408 Pretreated samples 11, 14, 18 and 19 were then selected for the evaluation of the
409 degree of polymerization (DP) and the obtained results are summarized in Table 3. The DP
410 ranged from 553 to 818. The highest DP was observed on sample 19 and the lowest value
411 was obtained from sample 14. The observed results were in agreement with the data reported
412 on literature, indicating a common DP value below 1000 [36,37]. Acid pretreatment can
413 break down of glycosidic bonds linking cellulose units, decreasing the length of the cellulose
414 chain or specifically the number of monomeric glucose units comprising a cellulose chain.
415 However, an increase in the process severity parameters did not result in extensive reduction
416 of the DP of cellulose. Probably, a much higher acid concentration could contribute to DP
417 decrease due to the splitting of glucose units from the cellulose chain which is a typical
418 carbohydrate reaction under acidic conditions as mentioned above. When the final DP was
419 plotted against enzymatic hydrolysis yield, no clear trend was observed. Moreover, literature
420 has not shown any consensus on the effect of the DP on the hydrolysis of cellulose in the
421 lignocellulosic materials. Furthermore, even for pure cellulose the DP effect has not been
422 shown to influence the total sugar yield, but enzyme synergism has been shown to be more
423 important in this regard [38]. The DP reduction is a desirable effect of the pretreatment
424 process due to the increase in the content of individual cellulose ends which are exposed to
425 the exoglucanase action. Similarly, a low DP cellulose chain could be hydrolysed by enzymes
426 with much ease due a lower degree of hydrogen bonding resulting into a much simpler
427 supramolecular structure of the cellulose, compared to high DP cellulose [37]. In the present
428 work, physicochemical properties have been shown to be the major contributor to the
429 similarity in the glucose yield for samples 14 and 18 which are different varieties in terms of
430 DP.

431

432 **3.7 Relationship between physicochemical properties and enzymatic hydrolysis**

433 This work investigated the relationship between the physicochemical and structural
434 properties of the several samples of sugarcane bagasse and enzymatic hydrolysis yield after
435 they have been subjected to optimized pretreatment conditions. The results indicated that
436 some of the selected samples were suitable as raw materials in an optimized industrial
437 production process [10,19]. The structural and morphological properties changed after

438 pretreatment resulting in increased cellulose accessibility of sugarcane bagasse [3,7]. The
439 results showed that sample with high enzymatic hydrolysis glucose yield also showed high
440 accessibility (Figure 5, Supplemental Table 1). Infrared analyses showed similar spectra for
441 both non-acid and acid catalyzed pretreatment on the samples. Acid catalysis also reduced the
442 acetyl content of the biomass samples through the solubilisation of the arabinoxylan fraction
443 [39]. However, the Principal Component Analysis (PCA) indicates that there are several
444 structural differences between untreated and pretreated samples (Figure 3B). Therefore,
445 cellulose accessibility is one of the best analyses methods that can be used to predict
446 enzymatic hydrolysis yield for sugarcane bagasse hydrothermal (acid or not added) pretreated
447 in addition to chemical composition and physicochemical properties.

448

449 **Conclusion**

450 Several samples of sugarcane bagasse pretreated with dilute sulfuric acid were
451 analyzed to establish the relationship between pretreatment severity and lignin content,
452 substrate accessibility and enzymatic digestibility. The specific surface area of the materials
453 was altered after pretreatment, improving cellulose accessibility, which was confirmed by
454 glucose yield after enzymatic hydrolysis. The specific internal and external surface areas
455 (accessibility) of the pretreated samples were correlated with enzymatic digestibility. The
456 results also showed that crystallinity increased with an increase in pretreatment severity while
457 degree of polymerization was decreasing. High pretreatment severity contributes to lignin
458 modification/reallocation and as a consequence, as the results suggest, improved the cellulose
459 accessibility. Further, while physicochemical properties are important, measuring cellulose
460 accessibility is also a very important tool as a means for predicting enzymatic digestibility of
461 pretreated biomass.

462

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597 **Tables captions:**

598
 599 Table 1: Chemical composition of untreated varieties sugarcane bagasse.

600
 601 Table 2: Chemical composition of varieties pretreated at different conditions severity for 15
 602 min: low, 150°C, 0.96 % w/w; medium, 160°C, 0.96 % w/w; high, 180°C, 0.5 % w/w; and
 603 high 200°C, no acid).

604
 605 Table 3: Crystallinity index (CrI) and degree of polymerization (DP) of the extreme varieties
 606 based on enzymatic hydrolysis glucose yield.

609 **Figures caption**

610 Figure 1: FT-ATR spectra of untreated sugarcane bagasse varieties (A) and pretreated at
 611 different conditions severity for 15 min: low, 150°C, 0.96 % w/w (B); medium, 160°C, 0.96
 612 % w/w (C); high, 180°C, 0.5 % w/w (D); and high 200°C, no acid (E).

613
 614 Figure 2: Score plots of FT-ATR of different samples of untreated sugarcane bagasse (A).
 615 Load plot for a data matrix containing FT-ATR spectra (B).

616

617 Figure 3: Score plots of FT-IR of varieties pretreated with dilute acid (severity for 15 min:
618 low, 150°C, 0.96 % w/w; medium, 160°C, 0.96 % w/w; high, 180°C, 0.5 % w/w; and high
619 200°C, no acid) (A). Loads plot for a date matrix containing FT-IR spectra (B).

620

621 Figure 4: Profile of enzymatic hydrolysis of different condition dilute acid pretreated
622 (severity for 15 min: low, 150°C, 0.96 % w/w; medium, 160°C, 0.96 % w/w; high, 180°C,
623 0.5 % w/w; and high 200°C, no acid) varieties with 15 FPU/g, supplemented by 15 U/g of β -
624 glucosidase.

625

626 Figure 5: Correlation between Total dye adsorption (A), Orange dye (B) and Blue dye
627 adsorption (C) and enzymatic hydrolysis glucose yield (15 FPU/g for 72h + 15 U/g of β -
628 glucosidase) of the sugarcane bagasse varieties pretreated.

629

630

631 **Supplemental Table caption:**

632

633 Table 1: Enzymatic hydrolysis glucose yield and total day adsorption for the different
634 severity pretreated material (severity for 15 min: low, 150°C, 0.96 % w/w; medium, 160°C,
635 0.96 % w/w; high, 180°C, 0.5 % w/w; and high 200°C, no acid).

Table 1.

Sample	Untreated material % (g)*				
	Glucan	Arabinoxylan	Lignin	Ash	Extractives
1	44.30 (0.66)	24.97 (0.37)	16.40 (0.25)	1.46 (0.02)	8.87 (0.13)
2	40.36 (0.61)	26.31 (0.39)	18.91 (0.28)	1.60 (0.02)	6.39 (0.10)
3 ⁽⁻⁾	44.06 (0.66)	24.57 (0.37)	14.93 (0.22)	1.57 (0.02)	7.32 (0.11)
4	42.23 (0.63)	27.55 (0.41)	16.88 (0.25)	1.78 (0.03)	4.56 (0.07)
5	41.60 (0.62)	28.44 (0.43)	18.30 (0.27)	1.53 (0.02)	6.71 (0.10)
6 ⁽⁺⁾	40.50 (0.61)	27.89 (0.42)	19.71 (0.30)	1.40 (0.02)	6.11 (0.09)
7	41.13 (0.62)	26.98 (0.40)	18.95 (0.28)	1.69 (0.03)	7.29 (0.11)
8 ⁽⁺⁾	42.74 (0.64)	28.19 (0.42)	19.52 (0.29)	1.56 (0.02)	6.88 (0.10)
9	42.98 (0.64)	32.76 (0.49)	17.43 (0.26)	2.50 (0.04)	4.21 (0.06)
10	42.45 (0.64)	27.12 (0.41)	16.80 (0.25)	2.37 (0.04)	7.54 (0.11)
11 ⁽⁺⁾	48.60 (0.73)	30.62 (0.46)	21.48 (0.32)	1.04 (0.02)	5.51 (0.08)
12 ⁽⁺⁾	41.23 (0.62)	26.31 (0.39)	19.80 (0.30)	2.05 (0.03)	8.81 (0.13)
13	42.75 (0.64)	25.34 (0.38)	17.37 (0.26)	1.90 (0.03)	9.22 (0.14)
14 ⁽⁻⁾	43.97 (0.66)	29.12 (0.44)	13.31 (0.20)	0.80 (0.01)	7.45 (0.11)
15	40.19 (0.60)	28.23 (0.42)	15.88 (0.24)	0.94 (0.01)	8.06 (0.12)
16	36.90 (0.55)	27.07 (0.41)	16.74 (0.25)	0.95 (0.01)	7.26 (0.11)
17 ⁽⁻⁾	42.85 (0.64)	29.74 (0.45)	15.59 (0.23)	0.92 (0.01)	7.96 (0.12)
18	41.95 (0.63)	29.97 (0.45)	16.31 (0.24)	0.68 (0.01)	7.80 (0.12)
19 ⁽⁻⁾	40.68 (0.61)	29.93 (0.45)	15.31 (0.23)	0.87 (0.01)	6.12 (0.09)
Average	42.18 (0.63)	27.95 (0.42)	17.34 (0.26)	1.45 (0.02)	7.06 (0.11)

(-): sample with low lignin content; (+): sample with high lignin content; (*) Numbers in bracket represents the component mass in gram present in the material; Standard deviation lower than 5%.

Table 2:

Sample	Pretreated, composition base dry material % (g)*											
	Low severity			Medium severity			High severity			High severity no-acid		
	Glucan	Arabinoxylan	Lignin	Glucan	Arabinoxylan	Lignin	Glucan	Arabinoxylan	Lignin	Glucan	Arabinoxylan	Lignin
1	67.32 (0.64)	4.27 (0.04)	23.17 (0.22)	69.77 (0.62)	1.54 (0.01)	25.17 (0.22)	68.59 (0.61)	1.68 (0.01)	28.17 (0.25)	67.25 (0.61)	3.88 (0.04)	27.17 (0.25)
2	63.14 (0.60)	4.53 (0.04)	26.02 (0.25)	67.13 (0.58)	1.76 (0.02)	28.02 (0.24)	66.21 (0.57)	1.07 (0.01)	31.02 (0.27)	65.25 (0.57)	3.13 (0.03)	30.02 (0.26)
3 ⁽⁻⁾	67.76 (0.66)	4.20 (0.04)	22.77 (0.22)	69.45 (0.64)	1.16 (0.01)	24.77 (0.23)	70.65 (0.63)	1.19 (0.01)	27.77 (0.25)	68.11 (0.63)	3.53 (0.03)	26.77 (0.25)
4	67.05 (0.63)	4.85 (0.05)	24.69 (0.23)	67.53 (0.60)	1.57 (0.01)	26.69 (0.24)	67.39 (0.59)	1.52 (0.01)	29.69 (0.26)	65.50 (0.59)	3.58 (0.03)	28.69 (0.26)
5	63.64 (0.59)	5.20 (0.05)	25.09 (0.23)	66.28 (0.56)	1.69 (0.01)	27.09 (0.23)	66.52 (0.55)	1.32 (0.01)	30.09 (0.25)	64.91 (0.55)	3.78 (0.03)	29.09 (0.25)
6 ⁽⁺⁾	61.03 (0.57)	5.28 (0.05)	27.60 (0.26)	62.32 (0.54)	1.68 (0.01)	29.60 (0.26)	63.00 (0.53)	1.39 (0.01)	32.60 (0.28)	61.59 (0.53)	3.80 (0.03)	31.60 (0.27)
7	64.07 (0.60)	4.90 (0.05)	25.69 (0.24)	65.60 (0.58)	1.75 (0.02)	27.69 (0.24)	64.98 (0.57)	1.54 (0.01)	30.69 (0.27)	61.60 (0.57)	3.90 (0.04)	29.69 (0.27)
8 ⁽⁺⁾	60.90 (0.54)	5.84 (0.05)	25.94 (0.23)	61.18 (0.52)	1.82 (0.01)	30.94 (0.26)	62.95 (0.51)	2.35 (0.02)	30.94 (0.25)	60.23 (0.51)	4.35 (0.04)	31.94 (0.27)
9	65.85 (0.62)	5.54 (0.05)	22.41 (0.21)	69.28 (0.60)	1.69 (0.01)	24.41 (0.21)	68.11 (0.59)	1.20 (0.01)	27.41 (0.24)	65.46 (0.59)	3.72 (0.03)	26.41 (0.24)
10	65.92 (0.59)	4.65 (0.04)	24.66 (0.22)	63.09 (0.56)	1.64 (0.01)	27.66 (0.25)	66.69 (0.55)	1.32 (0.01)	29.66 (0.25)	65.03 (0.53)	3.54 (0.03)	28.66 (0.23)
11 ⁽⁺⁾	65.39 (0.60)	5.54 (0.05)	24.81 (0.23)	67.48 (0.58)	1.48 (0.01)	26.81 (0.23)	66.72 (0.57)	1.42 (0.01)	29.81 (0.25)	64.78 (0.57)	3.88 (0.03)	28.81 (0.25)
12 ⁽⁺⁾	62.59 (0.59)	5.60 (0.05)	25.50 (0.24)	67.44 (0.57)	1.60 (0.01)	27.50 (0.23)	66.70 (0.56)	1.36 (0.01)	30.50 (0.26)	65.51 (0.57)	3.02 (0.03)	29.50 (0.25)
13	57.28 (0.53)	6.35 (0.06)	26.63 (0.25)	60.47 (0.51)	1.64 (0.01)	31.63 (0.27)	63.53 (0.50)	1.67 (0.01)	31.63 (0.25)	59.71 (0.46)	4.17 (0.03)	33.63 (0.26)
14 ⁽⁻⁾	69.83 (0.66)	5.69 (0.05)	19.38 (0.18)	71.74 (0.64)	1.45 (0.01)	21.38 (0.19)	71.63 (0.63)	1.57 (0.01)	24.38 (0.21)	69.11 (0.72)	4.90 (0.05)	23.38 (0.25)
15	63.96 (0.59)	6.40 (0.05)	23.43 (0.21)	67.97 (0.56)	1.75 (0.01)	25.43 (0.21)	66.56 (0.55)	1.68 (0.01)	28.43 (0.24)	68.43 (0.55)	3.64 (0.03)	27.43 (0.22)
16	61.65 (0.56)	5.60 (0.04)	25.70 (0.23)	64.64 (0.53)	1.64 (0.01)	27.70 (0.23)	65.62 (0.52)	1.80 (0.01)	30.70 (0.24)	60.37 (0.46)	3.96 (0.03)	32.70 (0.25)
17 ⁽⁻⁾	65.93	4.44	21.59	68.73	1.97	23.59	68.98	2.09	26.59	67.39	5.05	25.59

	(0.62)	(0.04)	(0.20)	(0.60)	(0.02)	(0.20)	(0.59)	(0.02)	(0.23)	(0.65)	(0.05)	(0.25)
18	64.82	4.44	23.95	66.80	1.89	25.95	65.25	1.84	28.95	61.96	5.85	29.95
	(0.56)	(0.04)	(0.21)	(0.54)	(0.02)	(0.21)	(0.52)	(0.01)	(0.23)	(0.48)	(0.05)	(0.23)
19 ⁽⁻⁾	69.11	4.01	21.13	68.29	1.41	18.13	68.60	1.46	26.13	67.30	3.96	25.13
	(0.62)	(0.04)	(0.19)	(0.60)	(0.01)	(0.16)	(0.59)	(0.01)	(0.23)	(0.61)	(0.04)	(0.23)
Average	64.59	5.12	24.22	66.59	1.64	26.32	66.77	1.55	29.22	64.71	3.98	28.75
	(0.60)	(0.30)	(0.22)	(0.58)	(0.01)	(0.23)	(0.56)	(0.01)	(0.25)	(0.57)	(0.03)	(0.25)
Glucan removal (%)	4.76			7.94			11.11			9.52		
Hemicellulose removal (%)		88.10			97.62			97.62			92.86	
Lignin removal (%)			15.38			11.54			3.85			3.85

(-): sample with low lignin content; (+): sample with high lignin content; (*) Numbers in bracket represents the component mass in gram present in the material; Arabinoxylan and other components removal was calculated based on the initial and final mass (WIS), and their respective percentage; standard deviation lower than 5%.

Table 3.

	Sample	Untreated	Dilute acid pretreated			
			Low severity	Medium severity	High severity	High severity no acid
CrI (%)	4	33.64	57.72	56.02	58.74	56.78
	8	44.20	56.24	59.92	60.45	58.83
	9	41.98	56.82	59.15	58.55	55.54
	11	48.95	55.26	59.95	60.16	61.85
	12	46.54	57.36	58.55	57.27	55.23
	14	49.64	55.28	59.05	60.77	61.00
	17	49.79	62.24	62.01	64.54	62.34
	18	49.62	61.38	61.31	62.29	63.26
	19	46.66	59.29	60.00	60.84	67.96
	DP	11	-	702.30	687.14	687.14
14		-	617.80	619.73	562.69	553.28
18		-	791.69	778.08	768.66	762.63
19		-	818.27	802.60	813.13	768.66

Figure 1

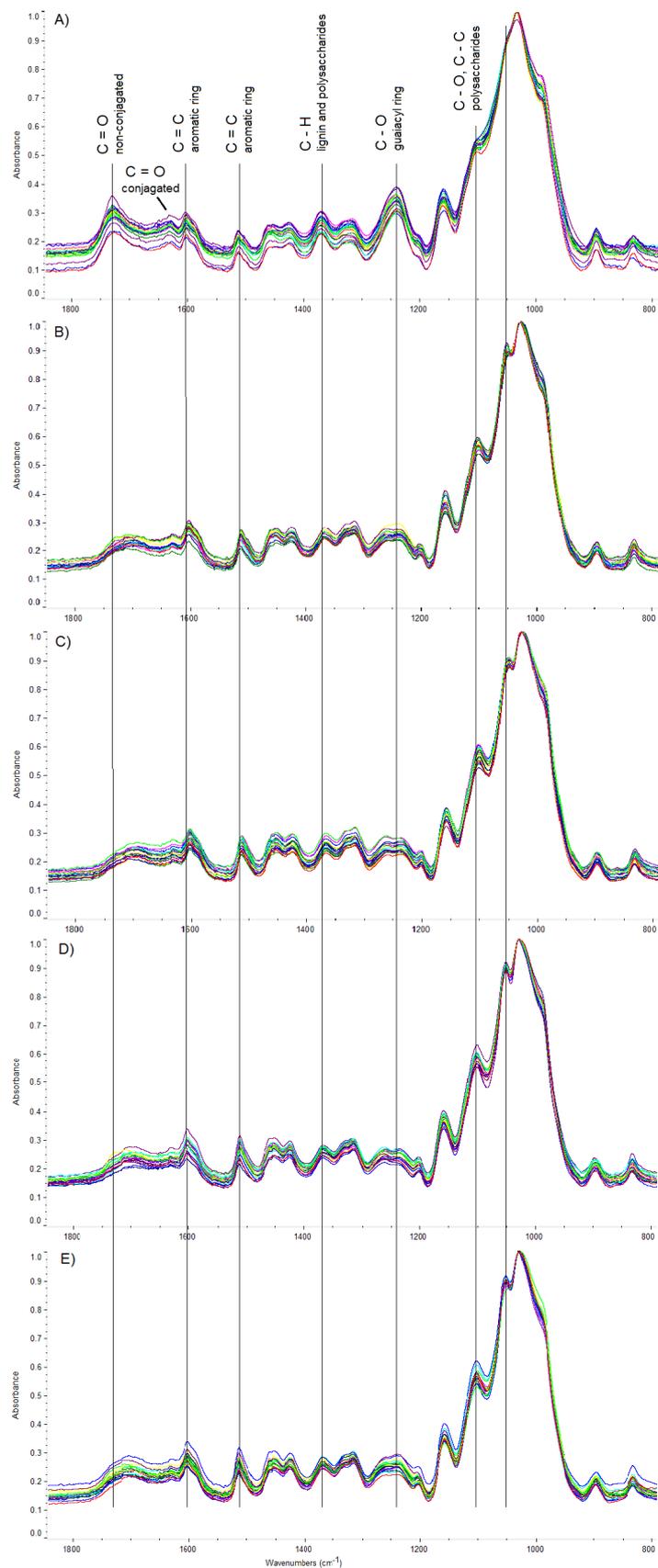


Figure 4

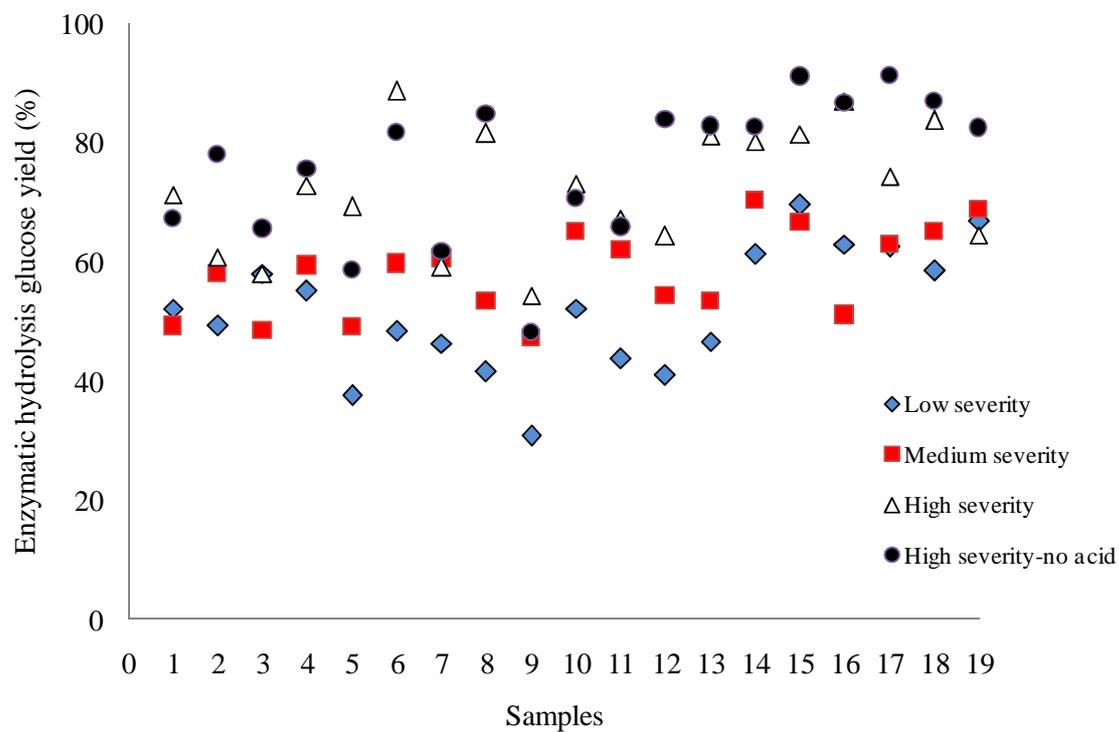
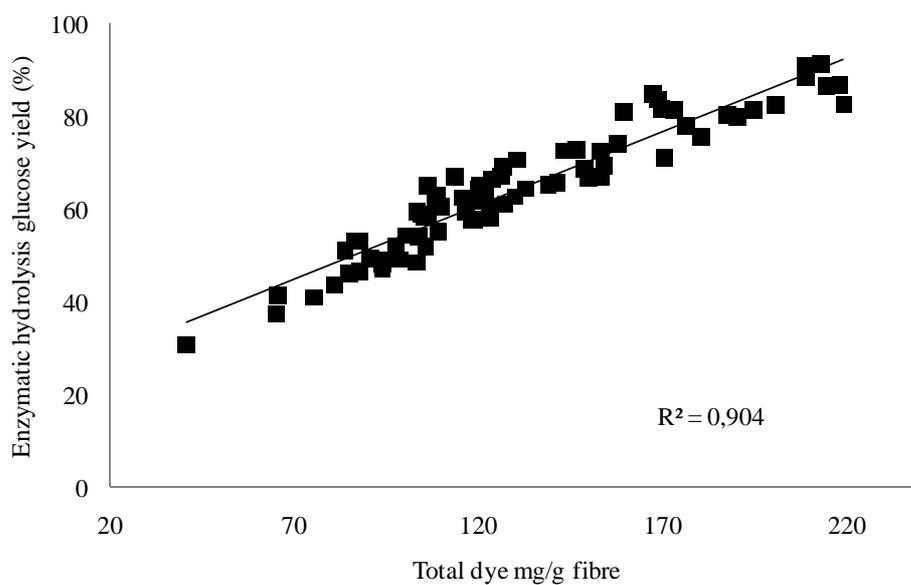
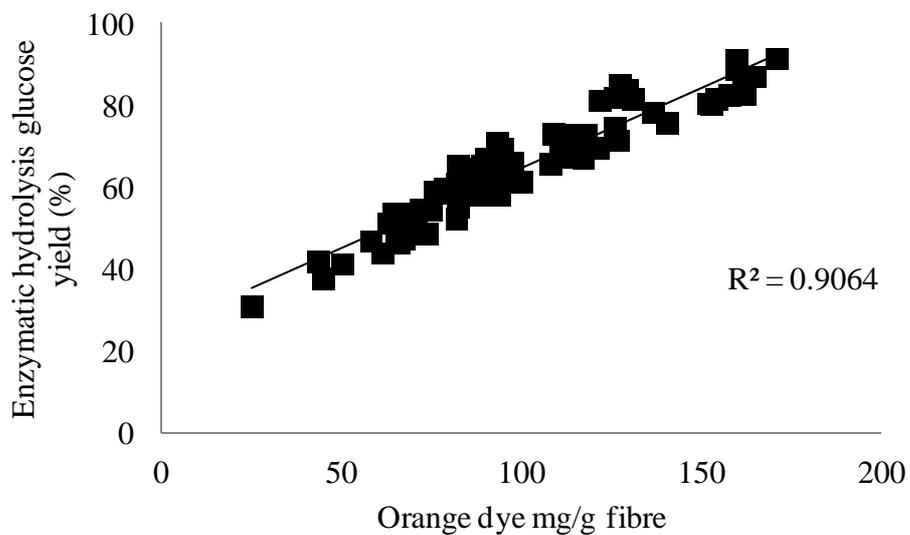


Figure 5

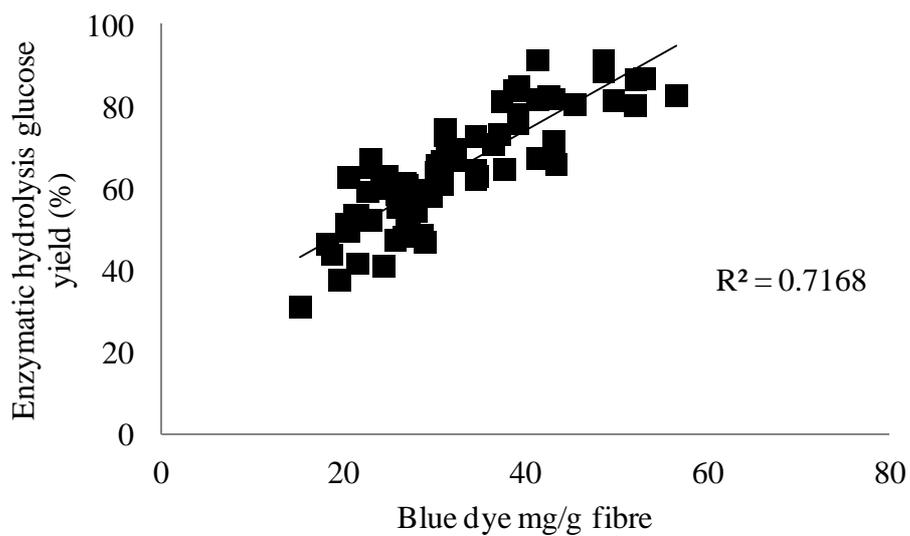
A)



B)



C)



Highlights

The structural changes and cellulose accessibility of bagasse was investigated,

Cellulose accessibility increased with the increase in the acid pretreatment severity,

Accessibility was linearly correlated with glucose yield after hydrolysis,

Lignin modification/reallocation is key factor for improving cellulose accessibility.