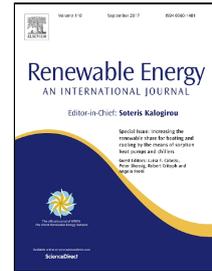


# Accepted Manuscript

Accounting for GHG net reservoir emissions of Hydropower in Ecuador

Andrei Briones Hidrovo, Javier Uche, Amaya Martínez-Gracia



PII: S0960-1481(17)30431-7  
DOI: 10.1016/j.renene.2017.05.047  
Reference: RENE 8810  
To appear in: *Renewable Energy*  
Received Date: 16 December 2016  
Revised Date: 20 April 2017  
Accepted Date: 14 May 2017

Please cite this article as: Andrei Briones Hidrovo, Javier Uche, Amaya Martínez-Gracia, Accounting for GHG net reservoir emissions of Hydropower in Ecuador, *Renewable Energy* (2017), doi: 10.1016/j.renene.2017.05.047

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

## Highlights

- We fill the gap of so far missing LCA studies which fully determine hydropower net life cycle emissions.
- In contrast to others, we present a LCA scheme that considers all possible sources of GHG emissions.
- The analysis was performed in Ecuador with two different hydropower schemes.
- Hydropower run-of-river scheme by far has better environmental performance than dam scheme.
- The main GHG emissions difference between the two hydropower schemes is due to the reservoir.

Accounting for GHG net reservoir emissions of Hydropower in Ecuador

*Andrei Briones Hidrovo, Javier Uche, Amaya Martínez-Gracia*

*Research Centre for Energy Resources and Consumption, CIRCE, University of Zaragoza, María de Luna s/n, 50018 Zaragoza, Spain*

*e-mail: [andreicbh86@gmail.com](mailto:andreicbh86@gmail.com)*

**Keywords:** *Hydropower-Life cycle assessment-Renewable Energy-Greenhouse gases-Reservoir-Ecuador*

## 1 Abstract

2 Hydropower is one of most important considered renewable technologies to provide  
3 electricity generation worldwide. Bearing in mind the lack of LCA studies and the development  
4 of several hydroelectric projects in Ecuador, the purpose of this paper is to present a complete  
5 environmental performance of two hydropower schemes (dam and run-of-river) located in this  
6 country, through the life cycle assessment combined with reservoir GHG emissions approach.  
7 The run-of-river scheme had better environmental performance than the dam scheme. Very  
8 high emissions were found, being 547 Kg CO<sub>2-eq</sub>/MWh for dam scheme, which most of those  
9 emissions were originated in the reservoir, while the run-of-river scheme only score 2.6 Kg  
10 CO<sub>2-eq</sub>/MWh. However, comparing with fossil fuel power plants, hydropower dam case still has  
11 lower emissions in its entire life cycle. The paper remark that the majority of LCA studies which  
12 focus on dam hydropower scheme only consider the emissions of the construction, putting  
13 aside the loss of the ecosystem and the emissions caused by the impoundment. Moreover, the  
14 analysis also included the impact associated to water uses since reservoirs are usually devoted  
15 to several purposes (flood lamination, irrigation, ecological flow, power generation).

16 **Keywords:** *Hydropower-Life cycle assessment-Renewable Energy-Greenhouse gases-Reservoir-*  
17 *Ecuador*

18

## 19 1. Introduction

20 There is a clear trend worldwide to replace energy technologies based on fossil fuels with  
21 technologies based on renewable resources. Hydropower, which is considered a *clean power*  
22 *generation technology*, highlights among renewable energy technologies which in 2013  
23 generated 16.3% of world electricity and 75.1% of total renewable electricity [1]. In 2014 and  
24 2015, 37.7 and 33.7 GW of hydropower capacity was put into operation respectively, resulting  
25 an estimated world installed capacity of 1212 GW, which confirms and maintains its tendency  
26 to grow [2] [3]. In 2015, 3.8 GW of hydropower were developed in South America [3]. Brazil  
27 and Colombia have the highest hydroelectric development, having a joint installed capacity  
28 over 100 GW which represents the 24% of the technical exploitable potential in the region.

29 In this context, since 2008 Ecuador is developing several hydropower projects with the aim to  
30 have 100% renewable electricity generation in the near future. Until 2012, 44% of its electricity  
31 generation came from non-renewable resources like oil and natural gas. Furthermore, about  
32 1% of the energy consumption was imported from Colombia and Peru [4]. Currently, it has  
33 been estimated that the country has a total hydro energy potential of 74000 MW being only  
34 21500 MW the technically and economically feasible to exploit [5]. Ecuador exploited less  
35 than 12% of this potential before 2012. The current hydropower capacity has reached 3653  
36 MW [6].

37 Despite of its great advantages as zero direct emissions during its operation, the hydroelectric  
38 development may generate considerable environmental impacts which cannot be ignored [7].  
39 Life Cycle Assessment (LCA) is an international accepted tool which allows identifying the  
40 potential environmental impacts associated with a product or service, throughout its entire  
41 lifespan, in other words, from the cradle to the grave [8] [9]. In these terms, electricity  
42 generation systems have been widely assessed, specially hydropower plants, from few kW to  
43 over 5000 MW and all its typologies, focusing on either their GHG emissions, energy intensity,

44 carbon or water footprint, through their lifespan [10] [11]. According to the reviewed  
45 literature, the majority of the cases of LCA have been done in Asia [12], following by a few  
46 ones in Europe [13] [14], while there is not a reported LCA study from South America except  
47 for a life cycle inventory of a Brazilian hydropower plant [15]. Moreover, 42% of the LCA  
48 studies focus on run-of-river schemes while 45% focus on dam scheme. In terms of  
49 greenhouse gases (GHG) emissions, the LCA results may vary widely. For instance, a 9 MW run-  
50 of-river located in Indonesia obtained 1.2 Kg CO<sub>2-eq</sub>/MWh [16] while the same hydropower  
51 scheme located in Thailand having only 3 kW obtained 52.7 Kg CO<sub>2-eq</sub>/MWh [17]. Some of the  
52 GHG emissions ranges reported for these hydropower schemes are 2-5 Kg CO<sub>2-eq</sub>/MWh [18],  
53 2.2-74.8 Kg CO<sub>2-eq</sub>/MWh [19] and 18-75 Kg CO<sub>2-eq</sub>/MWh [20]. Considering hydropower dam  
54 schemes, a carbon footprint assessment was carried out in China to compare the emissions  
55 from alternative dam construction. It was demonstrated that hydropower with concrete  
56 gravity dam have higher emissions than with earth-core rock-fill dam, due to the concrete  
57 which has high energy intensity. For the studied cases, their emissions were 11.11 Kg CO<sub>2-</sub>  
58 eq/MWh and 8.36 Kg CO<sub>2-eq</sub>/MWh respectively [10], falling within the reported ranges of 2-48  
59 Kg CO<sub>2-eq</sub>/MWh and 11-20 Kg CO<sub>2-eq</sub>/MWh [21] [18] for those hydropower schemes. Both for  
60 dam and for run-of-river small hydropower schemes (< 50MW), their LCA greenhouse gases  
61 increase as they increase the drop height and decrease the installed capacity [22].

62 Other authors have analyzed and compared hydropower plants with the main electricity  
63 generation technologies, including those ones based on fossil fuels, showing that hydropower  
64 has a good environmental performance, particularly with the emissions of GHG in its entire life  
65 cycle [23] [7]. However, it is known that the reservoir of hydropower plants emit a  
66 considerable quantity of greenhouse gases which could exceed the emissions of fossil fuel  
67 electric generation technologies [24] [25].

68 This paper presents, on the one hand, a complete LCA of a reservoir and a run-of-river  
69 hydropower schemes developed in Ecuador, and on the other hand, the net GHG emissions of  
70 hydropower reservoir caused by impoundment in the first case. The aim is to integrate both  
71 approaches to explore the environmental performance of one of the most common electricity  
72 generation in Ecuador which it is planned to cover 90% of electricity demand in the near  
73 future. The two selected cases were assessed and compared, thus allowing to know how  
74 suitable and feasible is to keep promoting this hydropower projects as sustainable ones.  
75 Section 2 details case studies, methodology, life cycle inventory and reservoir emissions. The  
76 results are presented in Section 3, followed by a sensitive analysis in Section 4. Discussion and  
77 conclusions are found in Section 5 and 6 respectively.

## 78 **2. Materials and Methods**

### 79 **2.1. Case studies and description**

80 The two selected cases were dam and run-of-river scheme respectively. The selection of the  
81 hydropower plants was based on typology, geolocation as well as drop height, technology, the  
82 installed power and electric generation. It was also considered the availability of the  
83 information. Due to Ecuador is crossed by the Andes Mountain, there are two very well  
84 identified hydrographic slopes, the Pacific and the Amazon, and in order to have a better  
85 contrast and comparison, one hydropower of each area was selected.

86 First case is Mazar-Dudas, which is a run-of-river hydropower plant scheme, the only one of its  
 87 kind so far in Ecuador [26]. It is a set of 3 consecutive hydropower exploitations (Dudas,  
 88 Alazán, San Antonio), which are extended over an area of 300 square kilometers and it is  
 89 located on the Amazon slope, 2200 meter above sea level. The total installed power capacity is  
 90 21 MW and includes horizontal Pelton turbines with height between 195 and 294 meters, a  
 91 water flow at design conditions between 3 and 4.5 m<sup>3</sup>/s, being the average estimated  
 92 generation a total amount of 125 GWh/year [27]. It is expected to be completely operational  
 93 at the end of 2016. The 3 hydropower exploitations (Fig. 1) are basically composed by intake  
 94 weir, desander, aqueduct and tunnels (conduction system), forebay tank and its discharge  
 95 system; penstock and power house.

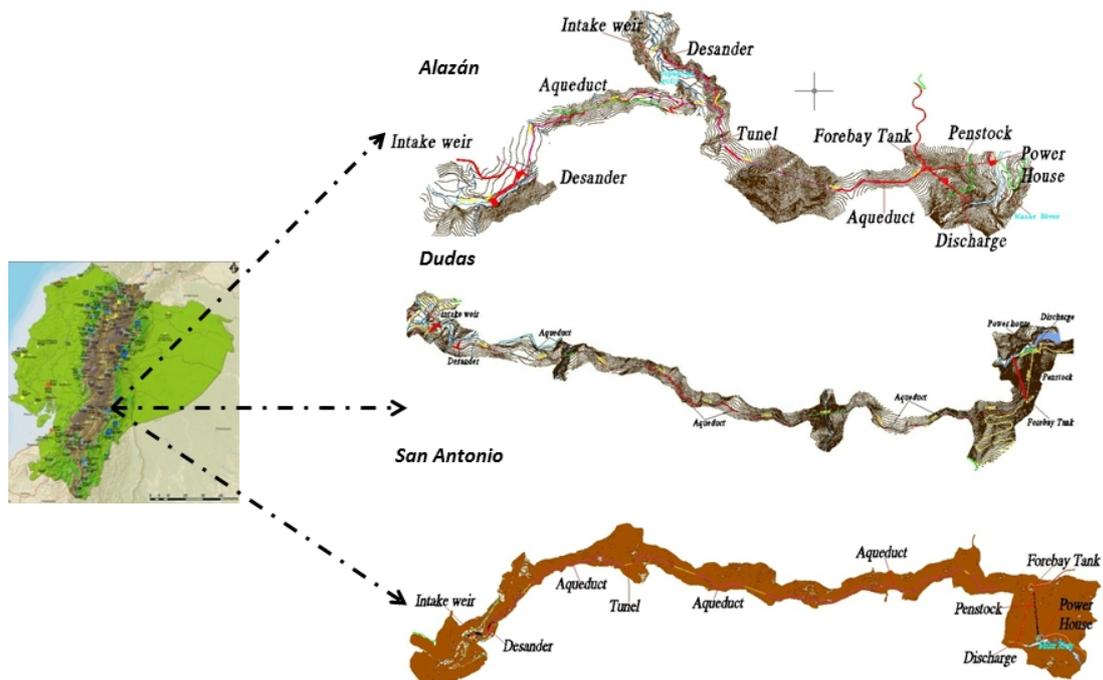


Fig. 1. Mazar-Dudas Hydropower scheme

96  
 97  
 98

99 Baba hydropower plant is the second study case whose installed capacity is 42 MW. It has a  
 100 drop height of 26 meter, two Kaplan turbines (90 m<sup>3</sup>/s each one), and an average annual  
 101 generation of 161 GWh. It was put into operation in 2013. Moreover, Baba is a multipurpose  
 102 project: it will guarantee water in dry season for agricultural use, prevent flooding in rainy  
 103 season and transfer water to Marcel Laniado Wind (M.L.W) hydropower dam (213 MW) in  
 104 order to increase its annual electricity generation. Due to that water transfer (250 m<sup>3</sup>/s of  
 105 design flow), the other hydropower plant will generate an extra 439 GWh/year on average.  
 106 Therefore, Baba Hydropower has a total generation of 600 GWh/year, directly and indirectly,  
 107 coming from its basin and its transfer to M.L.W dam. Its design (Fig. 2) consist on a dam with a  
 108 maximum water flooded layer of 1100 hectares, 3 channels and 4 dikes, a discharge and  
 109 transfer channel and two spillways, one located at the dam (flow rate 3700 m<sup>3</sup>/s) and the  
 110 another one located on one side of the power house (flow rate 70 m<sup>3</sup>/s) [28]. To sum up, it is  
 111 clear that the design of Baba differs from the common dam hydropower schemes.

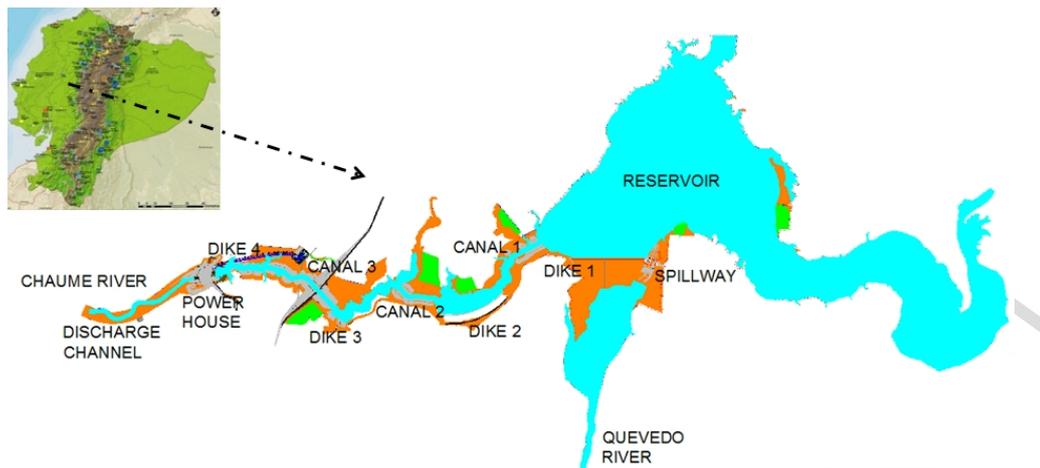


Fig. 2. Baba Hydropower scheme

112  
113

## 114 2.2. Life cycle inventory

### 115 2.2.1. Data collection and assumptions

116 Primary data was mainly collected from the final hydropower plants designs and payrolls,  
117 issued by Hidronación [28] and Hidroazogues [27] [29]. Complementary data was obtained  
118 from interviews with the staff in charge of the hydropower operation and from government  
119 reports. Average fuel consumption and working yield were applied according to the  
120 bibliography as well as the type of the machinery (Table 1).

### 121 2.2.2. Scope and Functional unit

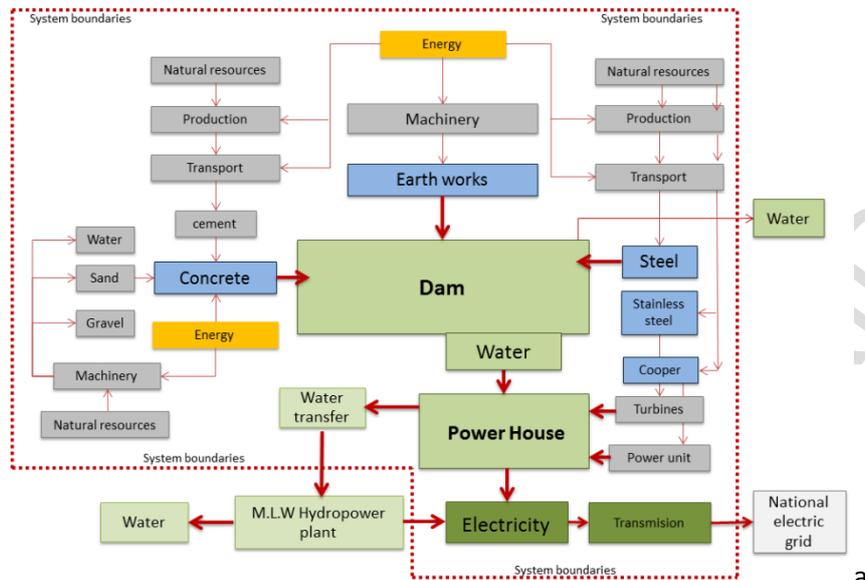
122 Due to the types of materials used in the construction, the lifespan of hydropower system is  
123 usually high. Commonly, when LCA is carried out, the lifespan for dam hydropower is 100 years  
124 while for run-of-river hydropower is usually less than that time [14] [22]. Therefore, it was  
125 determined a lifespan of 100 and 80 years for Baba and Mazar-Dudas hydropower  
126 respectively. The functional unit defined in this work is firstly based on the electricity  
127 generation. Hence, the functional unit to assess the environmental impact is 1 MWh generated  
128 and injected into the grid. Nonetheless, in order to have wider analysis, alternative functional  
129 unit were considered as well and presented in section 4.

### 130 2.2.3. System boundaries and exclusions

131 The two hydropower cases selected in this study enclosed in their LCA limits the resource  
132 extraction, processing and manufacturing of construction and electro-mechanical equipment  
133 materials (away and *in situ*); the transport of all materials (local and from abroad) and internal  
134 transportation of the machinery; earth works, and other internal processes; operation,  
135 maintenance and replacement of the main electro-mechanical equipment, as well as the  
136 transmission system to the electrical substation (Fig. 3). The LCA analyses were divided in two  
137 stages or phases: construction and operation and maintenance (O&M). Usually, at the end of  
138 the hydropower lifespan, the facilities remains on site since decommissioning may provoke  
139 major environmental impacts than the construction itself [30] [10]. Therefore, in the system  
140 boundaries of this LCA study, it was excluded decommissioning as well as workers and  
141 equipment transportation, general machinery manufacture, encampment construction and

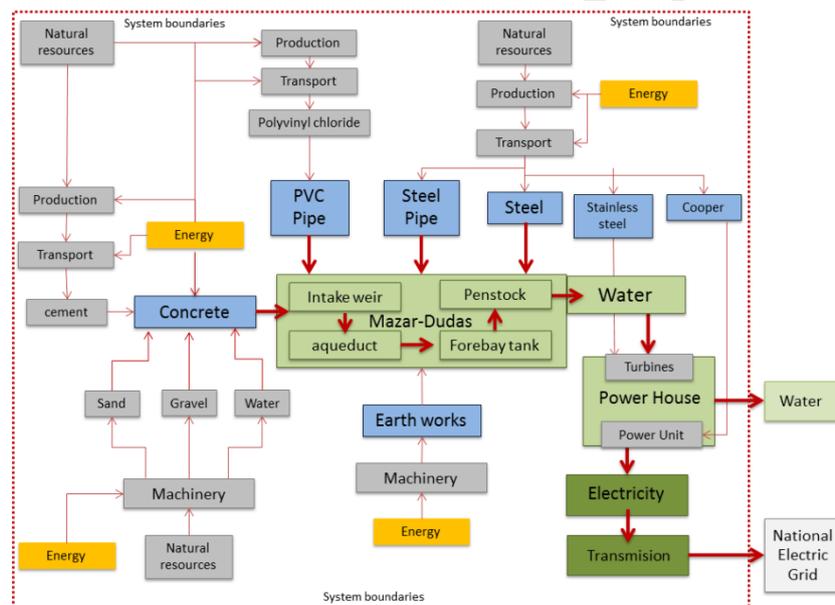
142 access roads. Particularly, once the operation of hydropower dam scheme has ceased, the  
 143 reservoir will dry up and water will flow naturally.

144



a

145



b

Fig. 3. Baba (a) and Mazar-Dudas (b) Hydropower system boundaries for LCA studies

#### 147 2.2.4. LCI, Construction phase

148 The LCI in this stage considers the most representative materials employed in the construction  
 149 of the hydropower plants: concrete, cement, sand, gravel, water, steel (reinforcing, galvanized,  
 150 stainless), copper, polyvinyl chloride (PVC), earth works, lubricant oil and energy from the sum  
 151 of the processes involved (Table 2). Working capacities and yields, fuel consumptions and the  
 152 emissions ( $CO$ ,  $CO_2$ ,  $NO_x$ ,  $SO_2$ ) of the transport and the earth work machinery were estimated  
 153 from technical literature (see Table 1 for more details).

154

<i>Item</i>	<i>Bibliography</i>	<i>Reference Value</i>
<i>Working capacity</i>	[31] [32] [33] [34] [35] [36] [37] [27]	8-10m <sup>3</sup> for dumpers; 2m <sup>3</sup> for excavators; 10 m <sup>3</sup> concrete mixer truck
<i>Working yield</i>	[38] [39] [40] [41] [42]	186 m <sup>3</sup> /s for excavators; 43 MJ/m <sup>3</sup> for concrete
<i>Fuel consumption</i>	[43] [44] [45] [46] [47] [48] [49] [50] [51]	182 g diesel/km for dumpers and concrete truck mixer;
<i>Fuel emissions</i>	[52] [53] [54] [55] [56] [57]	5.34 gr CO/kg diesel; 3.14 kg CO <sub>2</sub> /kg diésel; 23.63 gr NO <sub>x</sub> /kg diésel; 0.24 gr SO <sub>2</sub> /kg diésel

155

Table 1. Reviewed bibliography for LCI machinery

<i>Hydropower plant</i>		<i>Baba (100 years)</i>		<i>Mazar-Dudas (80 years)</i>	
<i>Input</i>					
<i>Item</i>	<i>Unit</i>	<i>Construction</i>	<i>O&amp;M</i>	<i>Construction</i>	<i>O&amp;M</i>
Concrete	m <sup>3</sup>	2.23x10 <sup>5</sup>	-	2.55x10 <sup>4</sup>	-
Cement	Kg	6.15x10 <sup>7</sup>	-	1.01x10 <sup>7</sup>	-
Sand	Kg	1.95x10 <sup>8</sup>	-	3.14x10 <sup>7</sup>	-
Gravel	Kg	2.40x10 <sup>8</sup>	-	2.41x10 <sup>7</sup>	-
Water for concrete	Kg	3.16x10 <sup>7</sup>	-	4.02x10 <sup>6</sup>	-
Water for electricity	Kg	-	2.48x10 <sup>14</sup>	-	1.90x10 <sup>10</sup>
(Reinforcing) Steel	Kg	1.11x10 <sup>7</sup>	-	3.32x10 <sup>6</sup>	-
Earth work	m <sup>3</sup>	1.57x10 <sup>7</sup>	-	8.70x10 <sup>5</sup>	-
Copper	kg	1.48x10 <sup>5</sup>	2.96x10 <sup>5</sup>	4.58x10 <sup>4</sup>	4.58x10 <sup>4</sup>
Stainless Steel	Kg	2.37x10 <sup>4</sup>	4.74x10 <sup>4</sup>	2.10x10 <sup>4</sup>	2.10x10 <sup>4</sup>
Lubricant oil	Kg	-	7.90x10 <sup>3</sup>	-	1.06x10 <sup>4</sup>
Energy from processes	MJ	6.97x10 <sup>8</sup>	5.6x10 <sup>-5</sup>	2.80x10 <sup>8</sup>	5.62x10 <sup>-5</sup>
PVC	Kg	-	-	1.09x10 <sup>6</sup>	-
galvanized steel	Kg	-	-	7.84x10 <sup>3</sup>	-
<i>Output</i>					
Electricity	MWh	-	1.61x10 <sup>7</sup>	-	1.00x10 <sup>7</sup>
Water for electricity	Kg	-	2.48x10 <sup>14</sup>	-	1.90x10 <sup>10</sup>

156

Table 2. Baba and Mazar-Dudas hydropower plants Life Cycle Inventory

### 157 2.2.5. LCI, Operation and Maintenance phase

158 In this phase, the electricity generation is performed in the absence of direct GHG emissions to  
159 air as it is done by fossil fuel power plants. Despite of this, other emissions were included in  
160 the operation of both hydropower plants. On one hand, the spill of oil to water and ground  
161 and on the other hand, the emissions to air of SF<sub>6</sub>, applied as insulations in high voltage  
162 electric systems. For oil and SF<sub>6</sub>, it was applied an emission factor reported within previous LCA  
163 studies [14]. Moreover, it was considered as maintenance the complete replacement of the  
164 turbines with their electric parts in each hydropower case and hence stainless steel and copper  
165 were assigned in the phase as well as lubricant oil (Table 2). For Baba case, the replacement  
166 will be carried out twice in its lifespan (each 35 years) while for Mazar-Dudas once in its  
167 lifespan (each 40 years), for stainless steel and copper, considering the worst operating  
168 conditions [14]. For both cases, lubricant oil will be replaced only once since it has a high  
169 durability [37]. Commonly, the hydropower LCA studies only considered the impacts  
170 associated to already mentioned LCI, but does not take into account GHG emissions from the  
171 hydropower reservoir water layer and the loss of their ecosystems. These emissions were  
172 therefore analysed accounted for in the following section apart from the life cycle assessment.

173 **2.3. Life Cycle Impact Assessment**

174 **2.3.1. Life Cycle with ReCiPe**

175 As impact assessment method which includes several impact categories must be selected in  
 176 order to perform conventional LCIA stage. After in-depth analysing all the existing methods  
 177 and considering the significance of their impact categories, geographical approach, evaluation  
 178 process, scientific soundness and easy interpretation, ReCiPe method (RIVM and Radboud  
 179 University, CML, and PRé Consultants)<sup>1</sup> was applied. It is based on CML (Centre of  
 180 Environmental Science of Leiden University) and Eco-Indicator 99 methodologies, both widely  
 181 accepted by the scientific community. With a time horizon of 100 years, 10 of its 18 available  
 182 impact categories were selected (Table 3) for further analysis. The performance of the LCIA  
 183 stage was supported by SimaPro (7.3 version) software.

Midpoint Impact Category	Unit	Short form
Ozone depletion	kg CFC-11 <sub>eq</sub>	OD
Climate change	kg CO <sub>2-eq</sub>	CC
Terrestrial acidification	kg SO <sub>2-eq</sub>	TA
Freshwater eutrophication	kg P <sub>eq</sub>	FE
Freshwater Ecotoxicity	Kg 1.4-DB <sub>eq</sub>	FET
Terrestrial Ecotoxicity	Kg 1.4-DB <sub>eq</sub>	TET
Natural land transformation	m <sup>2</sup>	NLT
Fossil depletion	kg Oil <sub>eq</sub>	FD
Metal depletion	Kg Fe <sub>eq</sub>	MD
Water depletion <sup>a</sup>	m <sup>3</sup>	WD

<sup>a</sup>A midpoint life cycle impact category that expresses the total amount of water used, measured in m<sup>3</sup> [58]. In the studied case, the water use result in water scarcity due to water transferring.

184

**Table 3. LCIA Impact categories addressed**

185 **2.3.2. Accounting for the net GHG emissions of Baba hydropower reservoir**

186 Findings in the last two decades indicate that hydropower reservoirs produce greenhouse  
 187 gasses as methane and carbon dioxide, putting into question this generation system as a clean  
 188 and green electricity source [59]. From measurements, several authors have quantified  
 189 methane and carbon dioxide emissions from hydropower reservoirs located at different parts  
 190 of the world regions, proving the existence of mentioned gases. For instance, the emissions of  
 191 GHG from Petit-Saut (French Guiana) [24] and Balbina (Brazil) [60] tropical hydropower  
 192 reservoirs were quantified 10 and 18 years after its impoundment. Likewise, others reservoirs  
 193 located in boreal and non-tropical zones were assessed in those terms [61] [62]. Particularly,  
 194 the total GHG emissions of Tucuruí hydropower reservoir (Brazil) [63] were estimate from  
 195 others authors data who made measurements 6 and 12 years after the impoundment [64]  
 196 [65]. It was also found that some authors have compared these reservoir emissions with fossil  
 197 fuel thermal power plants, revealing that hydropower reservoir has higher emissions than its  
 198 fossil equivalent at some point through the life time of the installation [66] [25].

199 **2.3.2.1. GHG sources and production**

<sup>1</sup> Institutes that were the main contributors to create the method that provides a “recipe” to calculate life cycle impact category indicators.

200 As part of dynamic carbon cycle, terrestrial and aquatic ecosystems absorb CO<sub>2</sub>, returning  
201 some of it back to the atmosphere. In some cases, certain natural aquatic ecosystems may be  
202 great producers of CO<sub>2</sub> and CH<sub>4</sub>, absorbing low amounts of carbon [67] [68]. After flooding, a  
203 considerable quantity of organic matter stays under water which in the presence of oxygen is  
204 decomposed and produces carbon dioxide. Conversely, in the absence of oxygen, the organic  
205 matter is decomposed and produces methane gas (through methanogenesis) with a global  
206 warming potential 21 times higher than carbon dioxide [67] [69] [70]. Moreover, rivers  
207 commonly transport organic matter which contributes with its concentration at the reservoir,  
208 giving place to a continuous decomposition and production of the mentioned GHG [69]. Thus,  
209 as the amount of flooded organic matter increases, GHG emissions also raises up.

210 The production of CO<sub>2</sub> and CH<sub>4</sub> gases in the reservoirs depends on several factors such as  
211 temperature, residence time of the water, reservoir volume, depth and age; quantity of the  
212 flooded vegetation, geographic location, etc. In particular, the age of the reservoir, the  
213 quantity and type of flooded vegetation play an important role in decomposition ranges [71].  
214 Once the organic matter has decomposed, the produced CO<sub>2</sub> and CH<sub>4</sub> reach the water surface  
215 layer giving place to the *diffusion* of them into the atmosphere. The methane is also released  
216 through bubbles that are produced in the methanogenesis process (*bubbling*). Carbon dioxide  
217 has a higher solubility than methane, thus less CO<sub>2</sub> bubbles are produced. Additionally, more  
218 gases are released when the water passes through the turbines and by the spillways, due to  
219 the change of temperature, pressure and turbulence (*degasification*). Finally, downstream of  
220 the river there are emissions by diffusion [72]. The previous generated turbulence facilitates  
221 the gases to be easily diffused to the air (Fig 4) [69]. From the evidence and conclusions made  
222 by several authors, the followings observations were considered in order to estimate what  
223 would be the emissions from Baba hydropower plant:

- 224 • The quantity of GHG would vary from one reservoir to another, even if they are  
225 located in similar environmental areas [69];
- 226 • From the total emissions, 45% would come from the reservoir [24], and
- 227 • The 55% would come from turbines, spillways and downstream of the river [63] [72].  
228 This observation is mainly based on 3 reviewed cases. However, others cases were also  
229 considered [73] [74]
- 230 • The major emissions would be given in the first 4 years after the impoundment, with a  
231 decreasing trend over the time [75] [24];
- 232 • Tropical reservoirs may emit more GHG than boreal ones, especially CH<sub>4</sub> [76];
- 233 • Several factors may influence on the quantity production of GHG [69] [67]

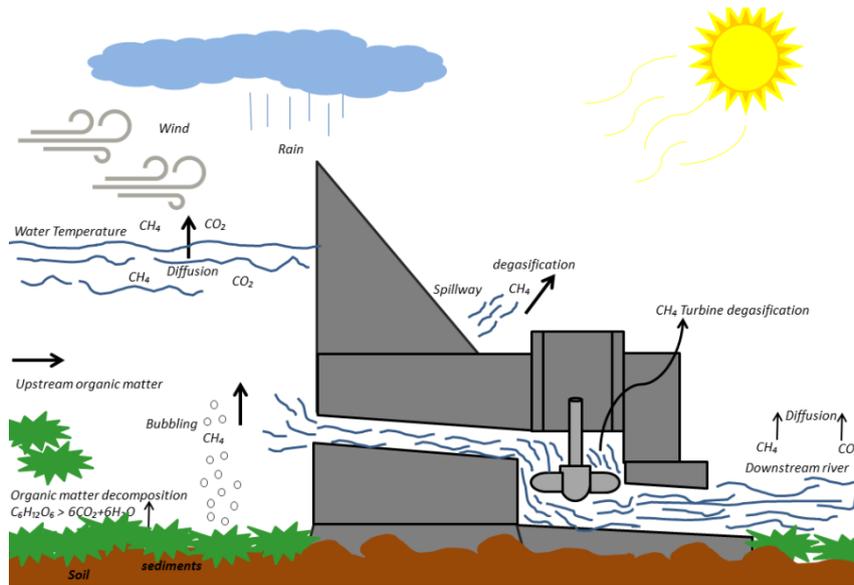


Fig. 4. GHG emissions sources from hydropower dams

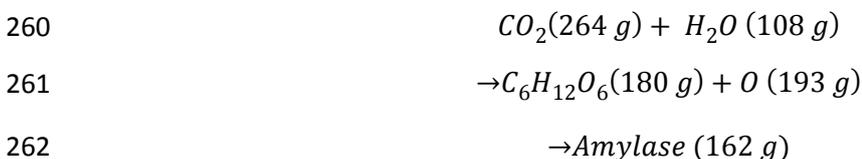
234  
235

### 236 2.3.2.2. Assessment and estimate of GHG emissions

237 In order to estimate the emissions, the differences between *gross* and *net* emissions should be  
 238 clarified first. Gross emissions are the sum of all emissions without balancing while net  
 239 emissions are “the difference between pre- and post-reservoir emissions from the portion of  
 240 the river basin which consider GHG exchanges before, during and after the construction of the  
 241 reservoir” [74]. In this context, when hydropower plants are assessed either through LCA,  
 242 carbon footprint, or any other environmental approach, emissions sources as reservoir,  
 243 turbines or river downstream are not included as well as life cycle emissions, depending on the  
 244 study approach [77] [75]. Therefore, only gross emissions are showed. In the absence of long-  
 245 term field measurements, a complete rough and holistic estimate of net GHG emissions per  
 246 year ( $E_n$ ) was made considering, among others, the loss of ecosystem ( $E_e$ , pre-flooding); the  
 247 reservoir ( $E_r$ ) and turbine, spillway and downstream river ( $E_{tsd}$ , post-flooding):

$$248 \quad E_n = E_e + E_r + E_{tsd} + E_{com}$$

249 The emissions from the construction, operation and maintenance ( $E_{com}$ ) were determined in  
 250 the previous LCA section and completed the analysis of emissions. The emissions originated by  
 251 the loss of the ecosystem ( $E_e$ ) were based on carbon fixation of the terrestrial ecosystem. As  
 252 part of carbon cycle, aquatic ecosystems emit  $CO_2$  and  $CH_4$ . Any possible emissions from the  
 253 present aquatic ecosystem (river) were excluded since they are likely to be near zero, due to  
 254 several factors as water speed, low organic matter concentration, depth, etc. [77] [68]  
 255 Accordingly, the photosynthesis and respiration formula was applied to determine the  
 256 terrestrial ecosystem carbon fixation capacity, through its net primary production [78]. Net  
 257 primary production (NPP) is the difference between gross primary production (GPP) and  
 258 autotrophic respiration [79] [80]. For tropical forest, NPP value is 1500 g dry matter/m<sup>2</sup>/year  
 259 [68].



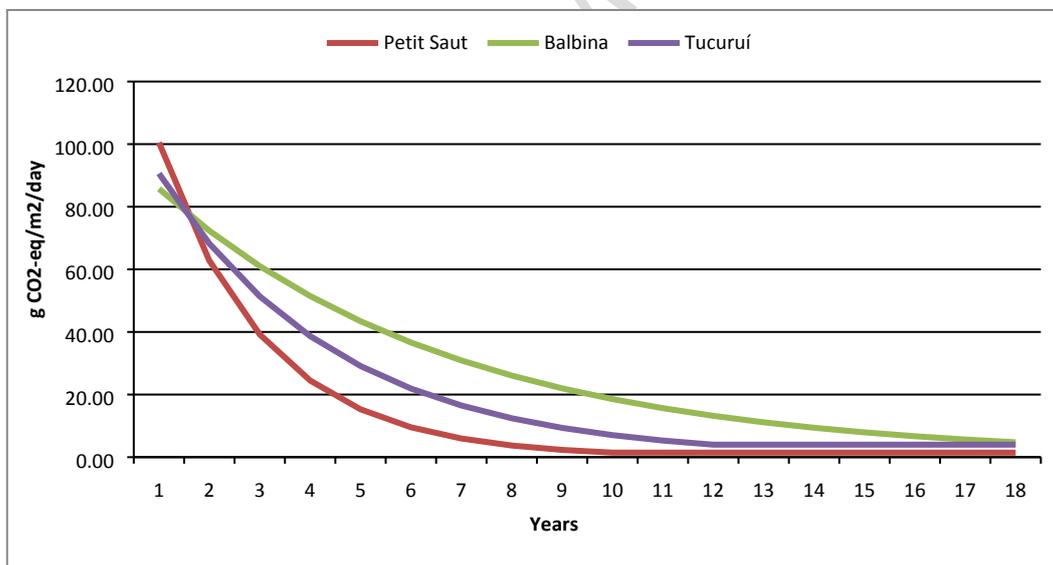
263 According to the photosynthesis and respiration formula, plants absorb CO<sub>2</sub> and H<sub>2</sub>O, giving as  
 264 a result glucose (C<sub>6</sub>H<sub>12</sub>O<sub>6</sub>), oxygen (O<sub>2</sub>) and amylase. This last particular element is related to  
 265 the growth of dry matter. Therefore, to form 1 g of dry matter, it is required 1.63 g CO<sub>2</sub> and  
 266 released 1.2 g of oxygen [78]. The GHG emissions from Baba reservoir ( $E_r$ , g CO<sub>2-eq</sub>/year) were  
 267 calculated from 3 selected hydropower reservoir cases (Table 4) which have similarities with  
 268 the presented case as flooded vegetation, weather conditions or geographic location. The  
 269 emissions were calculated as follows:

$$270 \quad E_r = E_f \times A_e \times 365$$

271 Where  $E_f$  is the mean reservoir emission factor, expressed in g CO<sub>2-eq</sub>/m<sup>2</sup>/day and  $A_e$ , is the  
 272 reservoir area (1100 ha). Following the field measurements and projections exposed in several  
 273 studies [74] [66], each reservoir case was projected using interpolation and exponential  
 274 function, thus obtaining what would have been the emissions from the year 1 to the latest  
 275 known emissions (Fig 5). Then, a mean emission factor ( $E_f$ ) for each year was calculated for  
 276 Baba reservoir until year 18. From year 19 to 100, it was assumed that the emissions remain  
 277 equal to those found at year 18. In this context, Zhang et al [77] applied directly a constant  
 278 mean emission factor (2271.6 g CO<sub>2-eq</sub>/m<sup>2</sup>/year) based on the literature.

Reservoir	Country	Zone	Land cover	Year of field measurements
Petit-Saut	French Guiana	Tropical	Forest	1, 10
Balbina	Brazil	Amazon	Forest	18
Tucuruí	Brazil	Tropical	Agriculture	6, 12

279 **Table 4. Hydropower reservoir reference cases**



280  
 281 **Fig. 5. Trend emissions projections of Petit Saut, Balbina and Tucuruí hydropower reservoir made in this study**

282 Finally, the last emissions come from turbines, spillways and downstream river. These  
 283 emissions were calculated as follows:

$$284 \quad E_{tsd} = \left( \frac{E_r \times 55}{45} \right)$$

### 285 3. Results Combined LCA

#### 286 3.1. Life Cycle Assessment, ( $E_{com}$ )

287 Life cycle impact assessment was performed with SimaPro Software, applying Recipe midpoint  
 288 method, world-hierarchist perspective, focusing on the previous selected impact categories.  
 289 Table 5 summarized the results of Baba and Mazar-Dudas hydropower respectively. The  
 290 abbreviation of the impact categories was already presented in Table 3.

291 Baba construction phase accounts for literally 100% of the environmental impacts in 9 of the  
 292 10 selected impacts categories (Table 5). The spillway, dike 1 and power house have the major  
 293 environmental loads due to the intensive use of concrete and cement. This last particular  
 294 material, which has high energy and material intensity in its manufacture process, is  
 295 responsible for most of the impacts in OD, NLT, FET and TET impacts. The steel is the second  
 296 material with major impacts, accounting for 99% of MD impact category. Another material to  
 297 highlight in this phase is fossil fuel consumption (diesel) used for earth works machinery and  
 298 transportation. Baba O&M phase accounts for 99% in WD impact category which mainly  
 299 represents the use of water for generation. Moreover, this phase has also slight impacts on CC,  
 300 TA and MD (<5%).

301 Regarding Mazar-Dudas, its construction phase has similarities with Baba hydropower plant in  
 302 terms of percentages (Table 5). This phase also accounts for 99% of the environmental impacts  
 303 in 7 impacts categories (FE, MD, FET, TET, NLT, FD, OD) and over 90% in CC and TA categories.  
 304 Again steel, concrete and hence cement, are the materials with the highest environmental  
 305 loads. The use of these materials is concentrated on conduction system, penstock and power  
 306 house in the 3 hydroelectric projects (Alazán, Dudas and San Antonio). The O&M phase only  
 307 has impact in WD category as Baba, and slightly in CC and TA (7% for both).

Impact Category	Unit	Baba				Mazar-Dudas			
		Total	Construction	O&M	/MWh	Total	Construction	O&M	/MWh
CC	kg CO <sub>2</sub> -eq	9.29E+07	8.42E+07	8.69E+06	5.77	2.58E+07	2.41E+07	1.71E+06	2.6
OD	kg CFC-11 <sub>eq</sub>	3.75	3.71	0.03	2.3E-7	0.862	0.85	0.0114	8.6E-8
TA	kg SO <sub>2</sub> -eq	1.97E+05	1.83E+05	1.36E+04	0.01	8.12E+04	7.56E+04	5.64E+03	8.1E-3
FE	kg P <sub>eq</sub>	1.3E+04	1.30E+04	3.44	8.1E-4	6.77E+03	6.76E+03	4.16	6.8E-4
TET	Kg 1.4-DB <sub>eq</sub>	4.06E+03	4.04E+03	1.32E+01	2.5E-4	1.24E+03	1.23E+03	7.97	1.2E-4
FET	Kg 1.4-DB <sub>eq</sub>	3.19E+05	3.19E+05	94.36	0.02	2.13E+05	2.13E+05	97.4	2.1E-2
NLT	m <sup>2</sup>	1.14E+07	1.14E+07	24.75	0.71	1.36E+05	1.36E+05	27.8	3.6E-3
WD	m <sup>3</sup>	2.4E+11	9.84E+07	2.4E+11	14887	1.89E+10	1.92E+05	1.89E+10	1885.5
MD	Kg Fe <sub>eq</sub>	1.18E+07	1.13E+07	4.65E+05	0.73	1.27E+07	1.27E+07	8.32E+04	1.3
FD	kg Oil <sub>eq</sub>	1.42E+07	1.41E+07	1.14E+05	0.88	5.60E+06	5.56E+06	4.37E+04	0.6

308 **Table 5. LCIA Baba and Mazar-Dudas hydropower results**

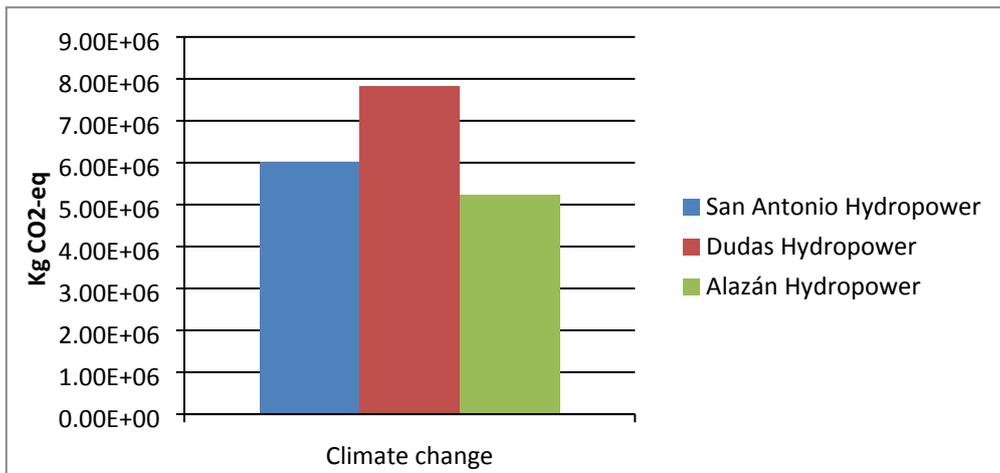
309 All the specific environmental impacts from Baba are higher than Mazar-Dudas, with the  
 310 exception of MD where Baba has 0.73 kg Fe<sub>eq</sub>/MWh while Mazar-Dudas has 1.3 kg Fe<sub>eq</sub>/MWh.  
 311 This is mainly due to high requirement of steel for the conduction system of Mazar-Dudas. In  
 312 terms of CO<sub>2</sub> and CFC emissions, Baba is two and four times higher than Mazar-Dudas  
 313 respectively. On the other hand, and among the 3 hydropower plants which shape Mazar-  
 314 Dudas, Alazán has the lowest emissions, followed by San Antonio and Dudas. The latter has the  
 315 highest power capacity and drop height but the lowest water flow (Table 6) (Fig 6).

MAZAR-DUDAS Hydropower				
Item	Unit	Dudas	Alazán	San Antonio
Water flow	m <sup>3</sup> /s	3	3.4	4.4
Installed capacity	MW	7.4	6.3	7.2
Mean annual energy	GWh/year	41.4	39.1	44.9

Height	m	294	205	195
--------	---	-----	-----	-----

Table 6. Mazar-Dudas and its 3 hydropower projects

316



317

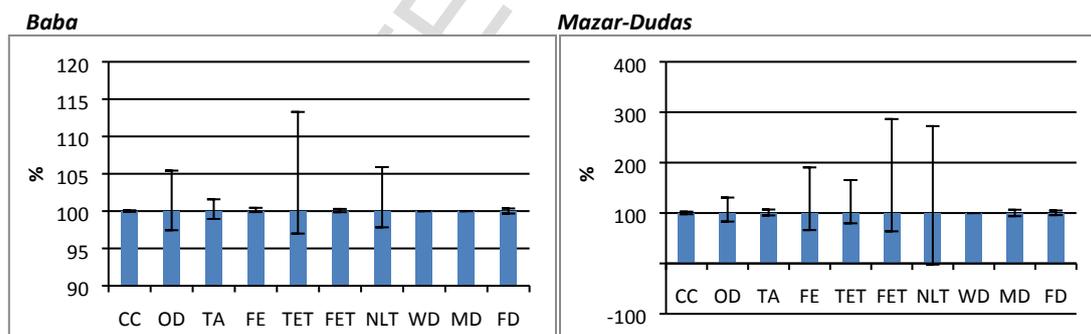
318

Fig. 6 San Antonio, Dudas and Alazán life cycle emissions comparison

### 3.1.1. Uncertainty Analysis

320 Through SimaPro software an uncertainty analysis was carried out, applying Monte Carlo  
 321 method. SimaPro data base has default uncertainty ranges which allow this analysis. For the  
 322 5000 conducted iterations, it was found that Baba has lower ranges of uncertainty than Mazar-  
 323 Dudas. The results were normalized according to climate change impact category (CO<sub>2</sub>  
 324 emitted). In both cases, the confidence interval was 95% while the coefficient of variation was  
 325 less than 5% but up to 50% for Baba and Mazar-Dudas hydropower respectively. Particularly,  
 326 main standard deviation was found on OD (0.08), TET (184.4) and NLT (235.1) for Baba, and FE  
 327 (2050), TET (297), FET (1.2x10<sup>5</sup>) and NLT (2.33x10<sup>4</sup>) are highlighted in the case of Mazar-Dudas  
 328 (Fig 7).

329



330

331

Fig. 7. Standard Deviation results of Baba and Mazar-Dudas hydropower plants

### 3.2. Net hydropower GHG emissions

332

333

334

335

336

337

<sup>2</sup> 1 tC = 3.67 t CO<sub>2</sub>.

338 92.28 g CO<sub>2-eq</sub>/m<sup>2</sup>/day to 3.40 g CO<sub>2-eq</sub>/m<sup>2</sup>/day (Table 7). Total emissions are summarized in  
 339 Table 8, which also includes the emissions from turbines, spillways and river ( $E_{tsr}$ ) from year 1  
 340 to 100. Combining these latest results with LCA emissions ( $E_{com}$ ), the net lifespan emissions ( $E_n$ )  
 341 were 8.8 Mt CO<sub>2-eq</sub>, that is, 547 Kg CO<sub>2</sub>/MWh on average along its life cycle.

Year	Hydropower Reservoirs			
	<i>Petit-Saut</i>	<i>Balbina</i>	<i>Tucuruí</i>	<i>Baba</i>
1	100.51 <sup>a</sup>	85,73	90,61	92,28
2	62.77	72,33	68,22	67,77
3	39.20	61,01	51,36	50,52
4	24.48	51,47	38,67	38,21
5	15,29	43,42	29,11	29,27
6	9,55	36,63	21,92 <sup>c</sup>	22,70
7	5,96	30,90	16,50	17,79
8	3,72	26,07	12,43	14,07
9	2,33	21,99	9,36	11,22
10	1,45	18,55	7,04	9,02
11	1,45	15,65	5,30	7,47
12	1,45	13,20	3,99 <sup>c</sup>	6,21
13	1,45	11,14	3,99	5,53
14	1,45	9,40	3,99	4,95
15	1,45	7,93	3,99	4,46
16	1,45	6,69	3,99	4,04
17	1,45	5,64	3,99	3,69
18	1,45	4,76 <sup>b</sup>	3,99	3,40
19-100	1,45 <sup>a</sup>	4,76	3,9927	3,40

All data calculated from <sup>a</sup> [24] <sup>b</sup> [60] <sup>c</sup> [63]

342

Table 7. Baba reservoir estimate GHG emissions, g CO<sub>2-eq</sub>/m<sup>2</sup>/d

Year	Loss ecosystem ( $E_e$ )	Construction, O&M ( $E_{com}$ )	Reservoir ( $E_r$ )	Turbine, spillway and river ( $E_{tsr}$ )	Total Emissions	Emission factor
	Mt CO <sub>2eq</sub> /year	Mt CO <sub>2eq</sub> /year	Mt CO <sub>2eq</sub> /year	Mt CO <sub>2eq</sub> /year	Mt CO <sub>2eq</sub> /year	Kg CO <sub>2eq</sub> /MWh
1	2.689E-02	9.29E-04	3.7052E-01	4.5286E-01	8.5120E-01	5287
2			2.7210E-01	3.3257E-01	6.3249E-01	3929
3			2.0286E-01	2.4793E-01	4.7861E-01	2973
4			1.5340E-01	1.8749E-01	3.6871E-01	2290
5			1.1754E-01	1.4365E-01	2.8901E-01	1795
6			9.1137E-02	1.1139E-01	2.3035E-01	1431
7			7.1422E-02	8.7288E-02	1.8653E-01	1159
8			5.6500E-02	6.9063E-02	1.5339E-01	953
9			4.5064E-02	5.5078E-02	1.2798E-01	795
10			3.6198E-02	4.4237E-02	1.0825E-01	672
11			2.9983E-02	3.6641E-02	9.4439E-02	587
12			2.4953E-02	3.0502E-02	8.3278E-02	517
13			2.2190E-02	2.7125E-02	7.7137E-02	479

14			1.9858E-02	2.4275E-02	7.1956E-02	447
15			1.7892E-02	2.1872E-02	6.7586E-02	420
16			1.6233E-02	1.9844E-02	6.3899E-02	397
17			1.4833E-02	1.8133E-02	6.0789E-02	378
18			1.3652E-02	1.6690E-02	5.8165E-02	361
19-100			1.3652E-02	1.6690E-02	4.7964E+00	361
Total	2.689	0.0929	2.6961	3.2952	8.8	547*

\*Mean life cycle emission factor

343

**Table 8. Net emissions of Baba hydropower**

344

#### **4. Sensitive Analysis**

345

##### **4.1. Variable Power Generation**

346

Due to the hydrological seasonality of hydropower generation, the CO<sub>2-eq</sub> emissions per electric energy unit (EF<sub>e</sub>) could vary. Commonly, hydropower plants have a plant factor over 50% which it is not the case of Baba. If the plant factor of Baba was 65%<sup>3</sup> instead of 44% [28], the direct average annual electric generation would be 239.2 GWh/year and hence the emissions would be 368 kg CO<sub>2-eq</sub>/MWh, a reduction of 33%. The water use for electric generation would be 3,6906x10<sup>9</sup> m<sup>3</sup>/year, an increase of 50%, regarding the plant factor of 65%.

352

The fact that the design of Baba allows transferring water to another hydropower dam (M.L.W, built in 90's decade) and additional electricity is generated, should also be analysed. If this second generation was considered as *indirect* one, which is 439 GWh/year on average, the sum of direct and indirect generation give a total annual average generation of 600 GWh/year giving place to 147 kg CO<sub>2-eq</sub>/MWh. However, in this value are not included the emissions originated from the life cycle of the second hydropower plant upon classical LCA approach. Since it is assumed to be an existing facility, independently of Baba, an average EF<sub>e</sub> was considered (31 kg CO<sub>2-eq</sub>/MWh) according to life cycle studies reviewed (see Table 11). Therefore, the new EF<sub>e</sub> would be 169 kg CO<sub>2-eq</sub>/MWh. Anyway, if the generation of M.L.W is included, a reduction of 68% is found in net GHG emissions.

362

Regarding hydrological variability, the water flow and precipitation of course will vary through Baba lifespan, having either very dry or wet seasons. Assuming a dry year scenario, the average maximum water flow could be 50m<sup>3</sup>/s and the precipitation would decrease to the half [28] producing a reduction of 50% of electric generation. According to Baba water flow report at historical natural regimes, every 20 years there could be at least one dry year. Therefore, in this scenario, the emissions would be 560 kg CO<sub>2-eq</sub>/MWh. Following this hydrological analysis, Mazar-Dudas could also be analysed. The effects of climate change here may reduce the glacier water store capacities from the Andes Mountains hence affecting rivers flow. Considering water flow reduction of 10% each 40 years [81], Mazar-Dudas would have an EF of 3.2 kg CO<sub>2-eq</sub>/MWh, a slight increase of 0.24%.

372

##### **4.2. Baba GHG reservoir emissions compared with tropical hydropower and fossil fueled plants**

373

Several authors have compared the GHG emissions between hydropower reservoir and fossil fuel thermal power plants. Despite of gross emissions consideration, their results show that the cumulated hydropower reservoir emissions may exceed the ones from its thermal

375

<sup>3</sup> According to the hydropower generation in Equator [26]

376 equivalent based on carbon, oil or natural gas over the time and after 25 years of operation,  
 377 the emissions would start to equalize [25] [66]. To compare Baba hydropower with its thermal  
 378 power plant equivalent (Fuel oil and Natural gas), the emissions were calculated with the plant  
 379 efficiency and the emissions per thermal energy unit of the used fuel [74]:

$$380 \quad TPPEe = \frac{MAE \times FF_{ef}}{EEF}$$

381 Where  $TPPEe$  are the emissions of the Thermal Power Plant Equivalent (Mt CO<sub>2</sub>/year),  $MAE$  is  
 382 Mean Annual Energy (MWh/year),  $FF_{ef}$  is the fossil fuel emission factor (Kg CO<sub>2-eq</sub>/TJ) and  $EEF$  is  
 383 the thermal power plant efficiency (%). As a result, Baba hydropower has lower emissions than  
 384 its equivalent fuel oil and natural gas power plants (Table 9). The *indirect* generation gives  
 385 Baba greater environmental performance. However, as it was shown in Table 8, note that in  
 386 the first 10 years Baba would exceed its equivalent fossil fueled plants emissions.

Power plant	Mean Annual Energy	TPPE emissions	TPPE emission factor
	MWh/year	Mt CO <sub>2</sub> /year	Kg CO <sub>2-eq</sub> /MWh <sub>e</sub>
Baba	161000	0,088	547
Baba <sup>a</sup>	600000	0,101	169
Fuel Oil <sup>b</sup>	161000	0,141	873
Fuel Oil	600000	0.524	873
Gas natural <sup>c</sup>	161000	0.104	647
Gas natural	600000	0.388	647

<sup>a</sup>Mean annual generation considering water transfer and indirect generation of 439 GWh/year; LCA emissions of the second hydropower are included. <sup>b</sup>Fuel oil efficiency ( $EEF$ ): 30%;  $FF_{ef}$ =73,300 Kg CO<sub>2-eq</sub>/TJ. <sup>c</sup>Natural gas efficiency ( $EEF$ ): 33%;  $FF_{ef}$ =54,300 Kg CO<sub>2-eq</sub>/TJ [25] [74] [82] [83]

387 **Table 9. Baba emissions comparison with its fossil fuel equivalent power plants.**

### 388 4.3. Baba Supplementary Services

389 Besides the electricity generation, Baba reservoir was built for transferring water,  
 390 guaranteeing agricultural demands and ecological flows in dry season and to prevent flooding  
 391 in rainy season. Therefore, this hydropower could be also analysed from the water use  
 392 perspective by applying one cubic meter of water served as new functional unit (FU). Water  
 393 transfer for electric generation, water supply for ecosystems and agricultural use, are the 3  
 394 main Baba services. The mean annual water transfer is 2.77x10<sup>9</sup> m<sup>3</sup> and water supply for  
 395 ecosystems is 4.97x10<sup>8</sup> m<sup>3</sup>/year (10 m<sup>3</sup>/s annual constant flow) of which 9.46x10<sup>7</sup> m<sup>3</sup>/year are  
 396 for agricultural use [28]. The total mean annual water input is 3.38x10<sup>9</sup> m<sup>3</sup>. In order to obtain a  
 397 new LCI, an allocation coefficient ( $\eta$ ) based on water use percentage, was applied for each  
 398 service (Table 10).

Input	Unit	Ecosystems ( $\eta=0.15$ )		Agricultural use ( $\eta=0.03$ )		Water transfer ( $\eta=0.82$ )	
		Output*	/m <sup>3</sup> x $\eta$	Output*	/m <sup>3</sup> x $\eta$	Output*	/m <sup>3</sup> x $\eta$
Concrete	m <sup>3</sup>	4.97x10 <sup>10</sup>	6.73E-07	9.46x10 <sup>9</sup>	7.07E-07	2.77x10 <sup>11</sup>	6.59E-07
Electricity	MWh		4.86E-05		5.11E-05		4.76E-05
Earth work	m <sup>3</sup>		4.74E-05		4.98E-05		4.64E-05
Energy <sup>a</sup>	MJ		2.12E-03		2.23E-03		2.08E-03

Emissions <sup>b</sup>	Kg		2.65E-02		2.79E-02		2.60E-02
*output in m <sup>3</sup> (100 years lifespan). <sup>a</sup> Energy used in processes included in life cycle system boundaries of Baba hydropower.							
<sup>b</sup> Total life cycle emissions in CO <sub>2-eq</sub>							

399

Table 10. Baba LCI water perspective

400 All the LCI results (Table 10) seem to be negligible due to their low values, with a slight  
401 different among them. Focus on GHG emissions, agricultural use obtained lightly the highest  
402 emissions, with 0.0279 kg CO<sub>2-eq</sub>/m<sup>3</sup>, followed by water for ecosystems with 0.0265 kg CO<sub>2-</sub>  
403 eq/m<sup>3</sup> and water transfer with 0.0260 kg CO<sub>2-eq</sub>/m<sup>3</sup>. Focusing only the comparison in water  
404 devoted to be transferred into other basis, these values were contrasted with water transfer  
405 reported emissions. For instance, several LCA of water production technologies and water use  
406 have been carried out in Spain [84] [85]. On one case, Ebro River Water Transfer (ERWT) was  
407 assessed, obtaining 1.44 kg CO<sub>2-eq</sub>/m<sup>3</sup>, with a water flow of 1x10<sup>9</sup>m<sup>3</sup>/year, less than the half of  
408 Baba water transfer [85]. On another case, it was assessed the environmental impacts of  
409 different water supply sources which contribute to meet the demand in Mediterranean water  
410 stressed region (Southeast of Spain). To meet the water demand of 1.48x10<sup>9</sup>m<sup>3</sup>/year (2015), it  
411 was required the participation of Tajo-Segura water transfer (TSWT). Accounting for 27.4% of  
412 the total water demand, TSWT obtained 0.70 kg CO<sub>2-eq</sub>/m<sup>3</sup> [86], 25 times higher than Baba  
413 water transfer. Despite of this, it should be highlighted that the presented case in this work is  
414 less than 9 km and a natural river bed was used to the transfer while TSWT is around 300 km,  
415 built with channels and pipelines and more than 900 km in the case of ERWT. Therefore, there  
416 is a remarkable difference in terms of construction and distance, besides the water flow.  
417 Another example of water transfer was found in California State (USA) that obtained 1.09 kg  
418 CO<sub>2-eq</sub>/m<sup>3</sup> [87] higher than the latter case in Spain, with a distance over 400 km. Note that  
419 some others water supply alternatives and water treatments like water abstraction were  
420 reported with 0.051 kg CO<sub>2-eq</sub>/m<sup>3</sup>, water treatment for drinking 0.219 kg CO<sub>2</sub>/m<sup>3</sup> and 0.15 kg  
421 CO<sub>2-eq</sub>/m<sup>3</sup> for transferred water by pressurized pipelines [88] [89]. Overall results from Baba  
422 indicate that the impact due to water use is not significant, since major use of Baba is  
423 hydropower generation.

## 424 5. Discussion

425 In terms of emissions, the two presented cases in this work obtained abysmally different  
426 results: 547 kg CO<sub>2-eq</sub>/MWh for dam scheme (Baba) and 2.6 kg CO<sub>2-eq</sub>/MWh for run-of-river  
427 scheme (Mazar-Dudas). With exceptions, hydropower with dam usually has higher emissions  
428 than run-of-river schemes, as well as the installed capacity [18]. This latter one, plus the height  
429 and electricity generation influence on the quantity of CO<sub>2</sub>/MWh, especially in small  
430 hydropower plants (<50 MW) [22]. Even though in some LCA studies decommissioning stage or  
431 final disposal was taken into account, Baba hydropower plant differs notably from what has  
432 been reported. This is mainly due to the exclusion of the loss of ecosystems and all reservoir  
433 emissions in the life cycle assessments, even in studies with different environmental approach  
434 as carbon footprint [90]. However, those studies whose aims has been to measure and  
435 quantify GHG emissions from reservoir, have excluded either the loss of ecosystem,  
436 construction or operation and maintenance (O&M) emissions (Table 11). Hence, all LCA and  
437 non-LCA studies have only showed gross life cycle emissions.

438 Regarding the reviewed LCA studies, they were differentiated by what they have included and  
439 their approach. Basically, there were two LCA types: with and without decommissioning or

440 final disposal stage (Table 11). Thus, without decommissioning or final disposal stage,  
 441 emissions ranged from 6 to 44 kg CO<sub>2-eq</sub>/MWh, with power capacities up to 3600 MW [91].  
 442 Here it is included carbon footprint analysis which reported 8-15 kg CO<sub>2-eq</sub>/MWh [10] [90]<sup>4</sup>. For  
 443 all these cases, a range of 11-20 kg CO<sub>2-eq</sub>/MWh was estimated [18]. Focus on LCA that  
 444 included decommissioning stage, the emissions started from 4.2 kg CO<sub>2-eq</sub>/MWh and go up to  
 445 62 kg CO<sub>2-eq</sub>/MWh [13] [20], 30% higher than the first LCA cases.  
 446 Considering non-LCA studies, the emissions of hydropower plants with dam reached even  
 447 higher values, exceeding those of fossil fuel power plants. However, the reported values only  
 448 represented the emissions at some point in the lifespan. According to this, the emissions  
 449 ranged between 97 and over 5000 Kg CO<sub>2-eq</sub>/MWh depending on their location (boreal or  
 450 tropical zone) and the time after impoundment (Table 11) [71] [76] [74]. In hydropower boreal  
 451 reservoir, up to 671 kg CO<sub>2-eq</sub>/MWh have been reported, which includes the loss of the  
 452 ecosystem [75]. Tropical hydropower reservoirs emissions went further, up to over 5000 kg  
 453 CO<sub>2-eq</sub>/MWh [24] [60] [74]. Despite of this, it is must be said that the emission ranges are  
 454 slanted, due to the variations of the emissions through the time and the power generation.  
 455 Therefore, any comparison would be relative. Moreover, in these cases, only gross emissions  
 456 are exposed, since certain sources of emissions are excluded.  
 457 Thus, Baba hydropower plant should only be compared with studies where at least reservoir  
 458 emissions were considered. For instance, Petit-Saut hydropower tropical reservoir emitted  
 459 2027 kg CO<sub>2-eq</sub>/MWh on average, in its 10 first years after its impoundment [24], 3.7 higher  
 460 than Baba. However, the mean life cycle emissions of the hydropower boreal reservoir (158 kg  
 461 CO<sub>2-eq</sub>/MWh) were 3.5 times lower than Baba [75]. If the indirect generation (with its life cycle  
 462 emissions) of Baba is included, its mean life cycle emissions would be 169 kg CO<sub>2-eq</sub>/MWh,  
 463 much closer to boreal reservoir emissions, but still far from the common LCA emissions.

Reference	Study approach	Construction and O&M stages	Decommissioning	Reservoir emissions	Scheme	Installed capacity (MW)	Kg CO <sub>2-eq</sub> /MWh
[16]	LCA	x			Run-of-river	9	1,2
This study	LCA	x			Run-of-river	21	2.6
[11]	LCA	x			Run-of-river	Several	4.9
[13]	LCA	x	x		Run-of-river	8.6	4.1
					Dam	95	4.2
[18]	LCA	x	x		Run-of-river	Several	2-5
[92]	LCA	x	x		Dam	30.3	5.47
[91]	LCI	x			Dam	3600	6
[13]	LCA	x	x		Dam	175.6	8.3
[93]	LCA	x			Run-of-river	0.05-0.1-0.65	5.5-8.9
[23]	LCA	x			Run-of-river	3.1	10
[10]	CF <sup>A</sup>	x			Dam	5850	8-11
[11]	LCA	x			Dam	Several	0.2-11.2
[94]	LCA	x	x		Run-of-river	10	11.3
[22]	LCA	x	x		Dam	16	13
[90]	LCA	x		x <sup>B</sup>	Dam	Several	15
[22]	LCA	x	x		Run-of-river	22	18
[76]	Unknown	-			Unspecified	Unspecified	4-18
[18]	LCA	x			Dam	Several	11-20
[12]	LCA	x	x		Run-of-river	1-5	11-23
[2]	Unknown				Unspecified	Unspecified	28
[95]	LCA	x			Dam	3,2	28.4
[96]	LCA	x	x		Dam	Unspecified	1-34
					Run-of-river		
[20]	LCA	x	x		Deviation channel	Several	33-43
[91]	LCI	x			Dam	44	44
[21]	LCA	x			Unspecified	Unspecified	2-48

<sup>4</sup> It includes reservoir emissions.

[17]	LCA	x	x		Run-of-river	0.003	52.7
[20]	LCA	x	x		Dam	Several	31-62
[19]	LCA	x			Unspecified	Unspecified	2.2-74.8
[20]	LCA	x	x		Run-of-river	Several	18-75
[71]	Unknown	-			Run of river	Unspecified	0.5-152
					non-tropical dam		
					boreal reservoir		
[97]	Unknown			x	Dam	Unspecified	397-539
[98]	CB <sup>c</sup>			x	Dam	33	494.9
This study	LCA <sup>d</sup>	x		x	Dam	42	547
[75]	CF <sup>e</sup>			x	Dam	485	147-671
[24]	CB <sup>f</sup>			x	Dam	115	2027
[71]	Unknown	-			Tropical reservoir	Unspecified	1300-3000

<sup>a</sup>Carbon Footprint. <sup>b</sup>Turbine, spillway and river emissions not included as well as the loss of ecosystem. <sup>c</sup>Reservoir emissions. <sup>d</sup>Net life cycle emissions. <sup>e</sup>Carbon Footprint boreal reservoir. The study only includes pre-flooding emissions (loss of ecosystem) and reservoir emissions. <sup>f</sup>Carbon budget. Reservoir, turbine, spillway and downstream river emissions considered. Loss of ecosystem and construction emissions excluded. The emission factor consider only 10 years of measurements.

464

Table 11. Hydropower Life cycle emission factor from literature

465 On the other hand, it was found that Mazar-Dudas hydropower plant is within the reported  
466 range of 2-5 Kg CO<sub>2-eq</sub>/MWh [18] (Table 10). This result is more adjusted to the LCA reported  
467 emissions. Despite of this, the emissions vary widely from one case to another. The LCA studies  
468 based on construction and O&M stages, reported emissions from 1.2 to 10 Kg CO<sub>2-eq</sub>/MWh,  
469 with capacities below 10 MW [16] [23]. However, with the inclusion of the decommissioning or  
470 final disposal stage in the LCA, the emissions start from 4.1 Kg CO<sub>2-eq</sub>/MWh and go up to 75 Kg  
471 CO<sub>2-eq</sub>/MWh, whose installed capacities reached 22 MW [13] [17] [20]. Thus, it seems that  
472 decommissioning stage increase considerably the emissions up to 86%, according to what have  
473 been reported. Taking into account that last fact, and although it has 21 MW, Mazar-Dudas  
474 obtained a very low life cycle emission value, being one of the best scores (Table 11).

## 475 6. Conclusions

476 Through a complemented LCA approach, two hydropower schemes located in Ecuador were  
477 assessed in order to know their environmental performance, especially their life cycle  
478 emissions by means of the different pollution mechanisms. As first conclusion, it was found  
479 that there is a lack of LCA studies which fully determine the net life cycle emissions of  
480 hydropower, especially those ones associated to an existing reservoir. Regarding this work, its  
481 main findings support that hydropower environmental impacts should be taken into account in  
482 the decision-making, especially with the development of new dam hydroelectric projects. The  
483 run of river scheme (Mazar-Dudas) by far has better environmental performance than dam  
484 scheme (Baba). Bearing in mind the rough estimate of reservoir emissions, the major relevance  
485 is found in this ambit, since dam scheme obtained 547 Kg CO<sub>2-eq</sub>/MWh while run-of-river 2.6 Kg  
486 CO<sub>2-eq</sub>/MWh. Despite of these significant emissions by dam scheme case, it is still better option  
487 than fossil fueled power plants. Moreover, with the reviewed literature, it was verified that life  
488 cycle emissions vary from one place to another which makes each case unique. Taking into  
489 account that the reservoir emissions and loss of ecosystem accounts for 99% of the total  
490 emissions, future life cycle assessment must consider them, otherwise, their results may be  
491 misleading for hydropower dam schemes. In the near future, long-term measurements must  
492 be made to clarify and accurate the emissions from reservoir, turbines, spillway and river of  
493 Baba hydropower, in order to verify or not its green credential. Finally, it is worthy to note that  
494 some other local environmental aspects related to hydropower as water continuity in the river  
495 bed, water quality, the transport and supply of nutrients, local eutrophication and aquatic life

496 assessment were not assessed in this study mainly due to the absence of local data and the  
 497 difficulty of translating their main indicators into equivalent emissions. Moreover, social  
 498 aspects have not been included so that future works should be done in order to balance the  
 499 hydropower projects in terms of sustainability.

#### 500 **Acknowledgement**

501 We appreciate the collaboration of Hidroazogues and Hidronación, public enterprise as part of  
 502 Ecuador Electric Corporation.

#### 503 **References**

504

- [1] IEA, "Key renewable trends. Excerpt from Renewable Information," International Energy Agency, UK, Technical report 2015.
- [2] IHA, "Key trends in hydropower," International Hydropower Association, London, Technical report 2015.
- [3] IHA, "Hydropower Status Report," International Hydropower Association, London, Technical report 2016.
- [4] CONELEC, "Estadística del sector eléctrico ecuatoriano," Consejo Nacional de Electricidad del Ecuador, Quito, Reporte Técnico 2012.
- [5] CONELEC, "Plan Maestro de Electrificación 2013-2022. Volúmenes 1,2 y 3," Consejo Nacional de Electricidad, Ecuador., Quito, Reporte Técnico 2013.
- [6] ARCONEL. (2016) Balance Nacional de Energía. [Online].  
<http://www.regulacionelectrica.gob.ec/estadistica-del-sector-electrico/balance-nacional/>
- [7] Nathaniel Aden, Augustin Marty, and Marc. Muller, "Comparative Life-cycle Assessment of Non-fossil Electricity Generation Technologies: China 2030 Scenario Analysis," University of California, California, Project report 2010.
- [8] AENOR, "Gestión ambiental. Análisis del ciclo de vida, principios y marco de referencia. (ISO 14040:2006)," Asociación Española de Normalización y Certificación, Madrid, Normativa técnica 2006a.
- [9] AENOR, "Gestión ambiental. Análisis del ciclo de vida, requisitos y directrices. (ISO 14044:2006)," Asociación Española de Normalización y Certificación, Madrid, Normativa técnica 2006b.
- [10] Shengrong Zhang, Bohui Pang, and Zongliang Zhang, "Carbon footprint analysis of two different types of hydropower schemes: comparing earth-rockfill dams and concrete gravity dams," *Journal of Cleaner Production*, vol. 103, pp. 854-862, 2015.
- [11] H.L Raadal, Luc Gagnon, Ingunn Saur Modahl, and Ole Jørgen Hanssen., "Life cycle greenhouse gas (GHG) emissions from the generation of wind and hydro power," *Renewable and Sustainable Energy Reviews*, vol. 15, no. 7, pp. 3417-3422, 2011.

- [12] Wannarat Suwanit and Shabbir. Gheewala, "Life cycle assessment of mini-hydropower plants in Thailand," *The International Journal of Life Cycle Assessment*, vol. 16, no. 9, pp. 849-858, 2011, DOI 10.1007/s11367-011-0311-9.
- [13] Burcin Atilgan and Adisa. Azapagic, "Renewable electricity in Turkey: Life cycle environmental impacts," *Renewable Energy*, vol. 89, pp. 649-657, 2016.
- [14] Karin Flury and Rolf. Frischknecht, "Life Cycle Inventories of Hydroelectric Power Generation," Zurich, 2012.
- [15] F.M Ribeiro and G.A Da Silva, "Life-cycle inventory for hydroelectric generation: a Brazilian case study," *Cleaner Production*, vol. 18, no. 1, pp. 44-54, 2010.
- [16] Jessica Hanafi and Anthony. Riman, "Life Cycle Assessment of a Mini Hydro Power Plant in Indonesia: A Case Study in Karai River," *Procedia CIRP*, vol. 29, pp. 444-449, 2015, DOI: 10.1016/j.procir.2015.02.160.
- [17] Andrew Pascale, Tania Urmee, and Andrew. Moore, "Life cycle assessment of a community hydroelectric power system in rural Thailand," *Renewable Energy*, vol. 36, no. 11, pp. 2799-2808, 2011.
- [18] Roberto Turconi, Alessio Boldrin, and Thomas Astrup, "Life cycle assessment (LCA) of electricity generation technologies: Overview, comparability and limitations," *Renewable and Sustainable Energy Reviews*, vol. 28, pp. 555-565, 2013.
- [19] Francesco Asdrubali, Giorgio Baldinelli, Francesco D'Alessandro, and Flavio. Scrucca, "Life cycle assessment of electricity production from renewable energies: Review and results harmonization," *Renewable and Sustainable Energy Reviews*, vol. 42, pp. 1113-1122, 2015.
- [20] Nana Yaw Amponsah, Mads Troldborg, Bethany Kington, Inge Aalders, and Rupert. Lloyd Hough, "Greenhouse gas emissions from renewable energy sources: A review of life cycle considerations," *Renewable and Sustainable Energy Reviews*, vol. 39, pp. 461-475, 2014.
- [21] United States Nuclear Energy Institute USNEI. (2016) Life-cycle Emissions Analysis. [Online]. <http://www.nei.org/Issues-Policy/Protecting-the-Environment/Life-Cycle-Emissions-Analyses>
- [22] Varun, Ravi Prakash, and I.K. Bhat, "Life cycle greenhouse gas emissions estimation for small hydropower schemes in India," *Energy*, vol. 44, no. 1, pp. 498-508, 2012.
- [23] Martin Pehnt, "Dynamic life cycle assessment (LCA) of renewable energy technologies," *Renewable Energy*, vol. 31, no. 1, pp. 55-71, 2006.
- [24] G Abril et al., "Carbon dioxide and methane emissions and the carbon budget of a 10-year old tropical reservoir (Petit Saut, French Guiana)," *Global Biogeochemical Cycles*, vol. 19, no. 3, 2005, GB4007, doi:10.1029/2005GB002457.

- [25] Marco Aurelio Dos Santos, Luiz Pinguelli Rosa, Bohdan Sikar, Elizabeth Sikar, and Ednaldo Oliveira Dos Santos, "Gross greenhouse gas fluxes from hydro-power reservoir compared to thermo-power plants," *Energy Policy*, vol. 34, no. 4, pp. 481-488, 2004.
- [26] CELEC. (2016) CELEC EP, Hidroazogues. [Online]. <https://www.celec.gob.ec/hidroazogues/>
- [27] Hidroazogues, "Proyectos Hidroeléctricos Dudas-Alazán-San Antonio, Informe final de diseño," Corporación Eléctrica del Ecuador, Azogues, Informe técnico 2010.
- [28] Hidronación, "Informe Proyecto Multipropósito Baba," Corporación Eléctrica del Ecuador, Quevedo, Informe técnico 2012.
- [29] Hidroazogues and CNEEC, "Planilla de avance de obra. Construcción de las OC, LT, Ing de detalle de fabricación, suministro, montaje, pruebas del equipamiento eléctrico, mecánico, electrónico, Sist de transmisión y puesta en operación del proyecto hidroeléctrico Mazar-Dudas," Corporación Eléctrica del Ecuador, Azogues, Reporte económico 2014.
- [30] Flavio De Miranda and Gil Anderi Da Silva, "Life-cycle inventory for hydroelectric generation: a Brazilian case study," *Cleaner Production*, vol. 18, no. 1, pp. 44-54, 2010.
- [31] Caterpillar, "Manual de rendimiento. Edición 31," Caterpillar, Illinois, Técnico 2000.
- [32] DITECA. Ecuador KOMATSU. (2015) Komatsu, DITECA. [Online]. [http://diteca.com/PDF/equipos/PC450LC\\_8\\_AESS803-01\\_71449.pdf](http://diteca.com/PDF/equipos/PC450LC_8_AESS803-01_71449.pdf)
- [33] Wilo. (2015) Online catalogue. [Online]. [http://productfinder.wilo.com/es/ES/productrange/000000110002c0e100020023/fc\\_range\\_description](http://productfinder.wilo.com/es/ES/productrange/000000110002c0e100020023/fc_range_description)
- [34] Xylem. (2015) FLYGT, A Xylem brand. [Online]. <http://www.flygt.com/en-us/Pages/Flygt.aspx>
- [35] IIASA. (2015, Septiembre) Caterpillar. [Online]. <https://cpc.cat.com/ws/assets/C671633.pdf>
- [36] MACASA. (2015) MACASA. [Online]. <http://www.macasa.com.ec/productos/marca/mack/detalle/mixer-gu813e>
- [37] Hidronación, "Reporte técnico de la construcción, operación y mantenimiento de la Central Hidroeléctrica Baba.," Jefatura de operación y mantenimiento, Corporación Eléctrica del Ecuador, Buena Fe, Informe técnico 2015.
- [38] Katerina Kermeli, Ernst Worrel, and Eric Masanet, "Energy Efficiency Improvement and Cost Saving Opportunities for the Concrete Industry," University of California, Berkely, Technical Report 2011.
- [39] L Rucks, F García, A Kaplan, J Ponce de León, and M. Dpto Suelos y aguas. Universidad de la República Hill, "Propiedades Físicas del suelo," Universidad de la República, Montevideo, Reporte técnico 2004.

- [40] Leslie Struble and Jonathan. Godfrey, "How sustainable is concrete?," University of Illinois, International Workshop on Sustainable Development and Concrete Technology, Beijing 2004.
- [41] Forum Sustainable Concrete. (2015) Sustainable Concrete. The leading material in Sustainable Construction. [Online].  
[http://www.sustainableconcrete.org.uk/PDF/MB\\_7thPerformance%20Report\\_SCF\\_2013data.pdf](http://www.sustainableconcrete.org.uk/PDF/MB_7thPerformance%20Report_SCF_2013data.pdf)
- [42] Juan Cherné Tarilonte and Andrés González Aguilar, "Movimientos de Tierras. Construcciones industriales," Bogotá, Reporte Técnico 2000.
- [43] L De Simio, M Gambino, and S. Iannaccone, "Possible Transport energy sources for the future," *Transport Policy*, vol. 27, pp. 1-10, May 2013.
- [44] Coen. Van Gorkum, "CO2 emissions and energy consumption during the construction of concrete structures," Netherlands, 2010.
- [45] Kimmo. Erkkila, "Heavy-duty truck emissions and fuel consumption simulating real-world driving in laboratory conditions," VTT Technical Research Centre of Finland, DEER Conference presentation 2005.
- [46] United States Department of Energy. (2015) Alternative fuels data center. [Online].  
<http://www.afdc.energy.gov/>
- [47] Dirk. Peters-von Rosenstiel, "LNG in Germany: Liquefied Natural Gas and Renewable Methane in Heavy-Duty Road Transport.," German Energy Agency, Berlin, Technical report 2014.
- [48] Universidad de Oviedo. Grupo de investigación del transporte marítimo UO, "Consumo de energía y emisiones asociadas al transporte por barco," Universidad de Oviedo, Oviedo, Reporte técnico 2008.
- [49] Isidoro Martinez. (2015, Junio) Isidoro Martinez. [Online].  
<http://webserver.dmt.upm.es/~isidoro/>
- [50] CNSS, "International survey of fuel consumption of seagoing ships at berth," Clean North Sea Shipping, Netherlands, Technical report 2014.
- [51] OMI. (2015) Organización Internacional Marítima. [Online].  
<http://www.imo.org/ES/Paginas/Default.aspx>
- [52] EEA, "International navigation, national navigation, national fishing and military (shipping). Emission inventory guidebook 2013," Environmental European Agency, Copenhagen, Technical report 2013c.
- [53] EEA, "1.A.1 Energy Industries. Combustión in energy and transformation industries.

- Guidebook," Environmental European Agency, Copenhagen, Technical report 2009.
- [54] EEA, "Guidebook Updated July 2014, Passenger cars, light commercial trucks, heavy-duty vehicles including," European Environmental Agency, Europa, Technical report 2013a.
- [55] EEA, "1.A.2 Manufacturing industries and construction (combustión). Guidebook 2013," Environmental European Agency, Copenhagen, Technical report 2013b.
- [56] United States Environmental Protection Agency US EPA, "Emissions factor for greenhouse Gas inventories," United States Environmental Protection Agency, Washington DC, Technical report 2014.
- [57] Joint Research Centre. European Commission JRC. (2015) Joint Research Centre. Institute for Energy and Transport. [Online]. <https://ec.europa.eu/jrc/en/institutes/iet>
- [58] Mark Goedkoop et al., "ReCiPe 2008," PRé Consultants; University of Leiden; , Netherlands, Technical Report. 2013.
- [59] L Yang et al., "Progress in the studies on the greenhouse gas emissions from reservoirs," *Acta Ecologica Sinica*, vol. 34, no. 4, pp. 204-212, 2014.
- [60] Alexandre Kemenes, Bruce R Forsberg, and John M Melack, "CO<sub>2</sub> emissions from a tropical hydroelectric reservoir (Balbina, Brazil)," *Journal of geophysical research*, vol. 116, 2011, G03004, doi:10.1029/2010JG001465.
- [61] J.T. Huttunen et al., "Fluxes of CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O in hydroelectric reservoirs Lokka and Porttipahta in the northern boreal zone in Finland," *Global Biogeochemical Cycles*, vol. 16, no. 1, p. 1003, 2002.
- [62] N. Soumis, Duchemin E., Canuel R., and Lucotte M., "Greenhouse gas emissions from reservoirs of the Western United States," *Global Biogeochemical Cycles*, vol. 18, no. 3, 2004, doi:10.1029/2003GB002197.
- [63] P.M Fearnside, "Greenhouse Gas Emissions from a Hydroelectric Reservoir (Brazil's Tucuruí Dam) and the Energy Policy Implications," *Water, air and soil pollution*, vol. 133, no. 1-4, pp. 69-96, 2001.
- [64] L.P Rosa, M.A Santos, B Matvienko, E.O Santos, and E. Sikar, "Greenhouse Gas Emissions from Hydroelectric Reservoirs in Tropical Regions," *Climatic Change*, vol. 66, no. 1-2, pp. 9-21, 2004.
- [65] I. Lima, R. Victoria, E. Novo, B. Feigl, and M. Ballester, "Methane, carbon dioxide and nitrous oxide emissions from two Amazonian Reservoirs during high water table," *Verhandlungen des Internationalen Verein Limnologie*, vol. 28, pp. 1-5, 2002.
- [66] Robert Delmas and Corinne Galy-Lacaux, "Emissions of greenhouse gases from the tropical hydroelectric reservoir of Petit Saut (French Guiana) compared with emissions from

- thermal alternatives," *Global Biogeochemical Cycles*, vol. 15, no. 4, pp. 993-1003, 2001.
- [67] Vincent L. St. Louis, Carol A. Kelly, Eric Duchemin, John W.M. Rudd, and David M. Rosenberg, "Reservoir Surfaces as Sources of Greenhouse Gases to the Atmosphere: A Global Estimate," *BioScience*, vol. 50, no. 9, pp. 766-775, 2000.
- [68] Thomas Smith and Robert Smith, *Ecología*, Sexta ed. Madrid: Pearson, 2007.
- [69] Claudia Farrér, "Hydroelectric Reservoirs - the Carbon Dioxide and Methane Emissions of a "Carbon Free" Energy Source.," Swiss Federal Institute of Technology, Zurich, Paper of Master of Environmental Sciences 2007.
- [70] IPCC, "Climate Change 2007: Synthesis Report. Contribution of Working Groups I, II and III to the Fourth Assessment," Intergovernmental Panel on Climate Change, Ginebra, Technical report 2007.
- [71] W Steinhurst, P Knight, and M Schultz, "Hydropower Greenhouse Gas Emissions. State of research," Cambridge, 2012.
- [72] Alexandre Kemenes, Bruce R. Forsberg, and John M. Melack, "Downstream emissions of CH<sub>4</sub> and CO<sub>2</sub> from hydroelectric reservoirs (Tucuruí, Samuel, and Curuá-Una) in the Amazon basin," *Inland Waters*, vol. 6, pp. 295-302, 2016, DOI: 10.5268/IW-6.3.980.
- [73] P.M Fearnside, "Brazil's Samuel Dam: Lessons for Hydroelectric Development Policy and the Environment in Amazonia," *Environmental Management*, vol. 35, no. 1, pp. 1-19, 2005.
- [74] M Demarty and J Bastien, "GHG emissions from hydroelectric reservoirs in tropical and equatorial regions: Review of 20 years of CH<sub>4</sub> emission measurements," *Energy Policy*, vol. 39, no. 7, pp. 4197-4206, 2011.
- [75] Cristian R. Teodoru et al., "The net carbon footprint of a newly created boreal hydroelectric reservoir," *Global Biogeochemical Cycles*, vol. 26, no. 2, 2012, doi:10.1029/2011GB004187.
- [76] Alain Tremblay, Louis Varfalvy, and Roehm and Michelle, Garneau. Charlotte, "The issue of greenhouse gases from hydroelectric reservoirs: From boreal to tropical regions," *Hydro-Québec Production, Environnement*, vol. 1, no. 1, pp. 1-11, 2008.
- [77] Jin Zhang, Linyu Xu, and Xiaojin. Li, "Review on the externalities of hydropower: A comparison between large and small hydropower projects in Tibet based on the CO<sub>2</sub> equivalent," *Renewable and Sustainable Energy Reviews*, vol. 50, pp. 176-185, 2015.
- [78] Zhongwei Guo, Xiangming Xiao, and Yaling, Zheng, Yuejun Gan, "Ecosystem functions, services and their values – a case study in Xingshan County of China," *Ecological Economics*, vol. 38, no. 1, pp. 141-154, 2001.
- [79] IPCC. (2016) Intergovernmental Panel on Climate Change. [Online]. [http://www.ipcc.ch/ipccreports/sres/land\\_use/index.php?idp=24](http://www.ipcc.ch/ipccreports/sres/land_use/index.php?idp=24)

- [80] Gary M. Lovett, Jonathan J. Cole, and Michael L. Pace, "Is Net Ecosystem Production Equal to Ecosystem Carbon Accumulation?," *Ecosystems*, vol. 9, pp. 1-4, 2006, DOI: 10.1007/s10021-005-0036-3.
- [81] Paola Ramírez, "Cambio Climático: acercamiento a sus efectos en Ecuador," *El Telégrafo*, p. 24, Octubre 2016.
- [82] CELEC. (2015) CELEC EP Termogás Machala. [Online].  
<https://www.celec.gob.ec/termogasmachala/>
- [83] MAE, "Factor de emisión de CO<sub>2</sub> del Sistema Nacional Interconectado del Ecuador," Ministerio del Ambiente de Ecuador, Quito, Reporte técnico 2013.
- [84] J. Uche, A. Martínez, C. Castellano, and V. Subiela, "Life cycle analysis of urban water cycle in two Spanish areas: Inland city and island area," *Desalination and Water Treatment*, vol. 51, no. 1, pp. 280-291, January 2013, doi: 10.1080/19443994.2012.716634.
- [85] R. Gemma Raluy, Luis Serra, Javier Uche, and Antonio. Valero, "Life Cycle Assessment of Water Production Technologies. Part 2: Reverse Osmosis Desalination versus the Ebro River Water Transfer," *International Journal of Life cycle Assessment.*, vol. 10, no. 5, pp. 346-354, 2005.
- [86] Javier Uche, Amaya Martínez-Gracia, Fernando Círez, and Uriel Carmona, "Environmental impact of water supply and water use in a Mediterranean water stressed region," *Journal of Cleaner Production*, vol. 88, pp. 196-204, 2015.
- [87] Jenniferr R Stokes and Arpad Horvath, "Energy and Air Emission Effects of water supply," *Environmental Science & Technology*, vol. 43, no. 8, pp. 2680-2687, 2009.
- [88] Sabrina G. S. A. Rothausen and Declan. Conway, "Greenhouse-gas emissions from energy use in the water sector," *Nature Climate Change*, vol. 1, no. DOI: 10.1038/NCLIMATE1147, pp. 210–219, 2011.
- [89] Emma Reffold, Feifei Leighton, Fida Choudhury, and Paul S. Rayner, "Greenhouse gas emissions of water supply and demand management options," The Environment Agency, UK, Bristol, Technical report 2008.
- [90] L Gagnon and JF. Vate, "Greenhouse gas emissions from hydropower," *Energy Policy*, vol. 25, no. 1, pp. 7-13, 1997.
- [91] Q., Karney, B., MacLean, H., and Feng, J. Zhang, "Life-Cycle Inventory of Energy Use and Greenhouse Gas Emissions for Two Hydropower Projects in China," *Journal of Infrastructure Systems*, vol. 13, no. 4, pp. 271-279, 2007.
- [92] Marla Geller and Anderson. Meneses, "Life Cycle Assessment of a Small Hydropower Plant in the Brazilian Amazon," *Journal of Sustainable Development of Energy, Water and Environment Systems*, vol. 4, no. 4, pp. 379-391, 2016.

- [93] John Gallagher, David Styles, Aonghus McNabola, and A. Prysor. Williams, "Current and Future Environmental Balance of Small-Scale Run-of-River Hydropower," *Environmental Science Technology*, vol. 49, no. 10, pp. 6344-6351, 2015.
- [94] Hiroki Hondo, "Life cycle GHG emission analysis of powergeneration systems: Japanese case," *Energy*, vol. 30, no. 11-12, pp. 2042-2056, 2005.
- [95] Mingyue Pang, Lixiao Zhang, Changbo Wang, and Gengyuan. Liu, "Environmental life cycle assessment of a small hydropower plant in China," *The International Journal Of Life Cycle Assessment*, vol. 20, no. 6, pp. 796-806, 2015.
- [96] Daniel Weisser, "A guide to life-cycle greenhouse gas (GHG) emissions from electric supply technologies," *Energy*, vol. 32, no. 9, pp. 1543-1559, 2007.
- [97] L. Yang, F. Lu, and X. Wang, "Measuring Greenhouse Gas Emissions From China's Reservoirs," *Eos*, vol. 95, no. 1, pp. 1-12, 2014.
- [98] Sandra. Loaiza, "Cuantificación de gases de efecto invernadero generados en represas y embalses tropicales: caso Calima-Valle del Cauca," Universidad Nacional de Colombia, Palmira, Trabajo de investigación. Maestría en Ingeniería Ambiental. 2016.

505

506

507