

# Crystal plasticity modeling of cyclic deformation for a polycrystalline nickel-based superalloy at high temperature

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## ABSTRACT

Cyclic deformation at elevated temperature has been modeled for a polycrystalline nickel-based superalloy using the crystal-plasticity constitutive formulations. Finite element analyses were carried out for a representative volume element (RVE), consisting of randomly oriented grains and subjected to periodic boundary constraints. Model parameters were determined by fitting the strain-controlled cyclic test data at 650 °C for three different loading rates. Simulated results are in good agreement with the experimental data for both stress–strain loops and cyclic hardening behavior. The model was utilized to predict the stress relaxation behavior during the hold periods at the maximum and minimum strain levels, and the prediction compares well with the experimental results. Localized stress and strain concentrations were observed due to the heterogeneous nature of grain microstructure and the mismatch of the mechanical properties of individual grains.

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## 1. Introduction

At grain level, polycrystalline metals possess anisotropic plastic response during deformation due to the random orientation of grain lattices. The physically-based crystal-plasticity theory has been successful for description of anisotropic deformation of single crystals and polycrystals, including body-centered-cubic [1,2], face-centered-cubic [3,4] and highly-closed-packed [5,6] lattice structures. With the assistance of finite element method, the theory is able to predict the global and local stress–strain response [1–3,5–7], the evolution of crystallographic grain texture [1,4] and micro-structural crack nucleation [8–10] in polycrystalline materials under monotonic, creep and fatigue loading conditions. The essence of the crystal plasticity is to resolve the macroscopic stresses onto each slip system following the Schmid's law, where the shear strain rate can be expressed as a function of the resolved shear stress [11]. Power law [2,4–7,10,11], and exponential functions [1,3,8,12] are most commonly adopted in the formulation. Internal variables are also generally introduced to represent the evolution of the micro-structural state during the deformation process, such as the effects of dislocation interactions, hardening and strain gradient phenomena [13,14].

Nickel-based superalloys are used for rotating turbine blades and discs in the hot section of gas turbine engines due to their

exceptional high temperature mechanical properties. The crystal-plasticity theory has been applied for creep [15,16], fatigue [15,17], thermal-mechanical fatigue [15], indentation deformation [18] and gradient-dependent deformation [13,14] analyses of single crystal nickel superalloys. Application of the theory has also been extended to model stress–strain response and fatigue crack nucleation for polycrystalline nickel superalloy [9,19] where microstructure was considered as one of the major factors influencing the fatigue and creep properties of the material. For instance, Dunne et al. [9] used a simplified crystal-plasticity model to study the low cycle fatigue crack nucleation in a directionally solidified nickel alloy. The experimentally observed sites of crack nucleation near a free surface match those of the persistent slip bands predicted from the crystal-plasticity model. Shenoy et al. [19] formulated a rate-dependent microstructure-sensitive crystal-plasticity model for correlating the mechanical behavior of a polycrystalline Ni-based superalloy IN 100 at 650 °C. The model has the capability to capture the first-order effects on the stress–strain response due to grain size, precipitate size distribution, precipitate volume fraction and dislocation density for each slip system.

Alloy RR1000 studied here is one of the new generation polycrystalline nickel alloys, developed at Rolls Royce, to meet the demand of increasing turbine entry temperatures and rotational speeds for the latest Trent1000 aero-engines, which have been used to power the new Boeing 787 aircrafts. The material was produced through a powder metallurgy route and has a fine grain microstructure. In this study, the crystal-plasticity theory has been applied to model the cyclic deformation for alloy RR1000 at 650 °C

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in order to provide a micro-mechanics based understanding of the material deformation and failure behavior at grain level. The paper will present a description of the constitutive model in Section 2, together with the formulations of internal variables. Section 3 gives the details of the RVE construction at the grain level and the periodic boundary conditions required for finite element analyses. Application of the constitutive model to alloy RR1000 and determination of model parameters are given in Section 4, where parameter values were calibrated using extensive finite element analyses to fit the measured stress–strain response of alloy RR1000 subjected to strain-controlled cyclic loading history. In Section 5, we present the simulated results, including the fitted cyclic stress–strain response and the predicted stress relaxation behavior during strain hold periods. Discussions were made regarding the heterogeneous stress and strain distributions within the RVE and the mechanical behavior of individual grains, followed by the conclusions.

## 2. Crystal plasticity constitutive model

The framework of crystal-plasticity theory relies on the multiplicative decomposition of the total deformation gradient  $\mathbf{F}$  into an elastic ( $\mathbf{F}^e$ ) part and a plastic ( $\mathbf{F}^p$ ) part [11]:

$$\mathbf{F} = \mathbf{F}^e \mathbf{F}^p, \quad (1)$$

where  $\mathbf{F}^e$  represents the elastic stretching and rigid-body rotation of the crystal and  $\mathbf{F}^p$  describes crystallographic slip along slip planes due to dislocation motion.

The component  $\mathbf{F}^p$  is calculated from the inelastic velocity gradient [11]:

$$\mathbf{L}^p = \dot{\mathbf{F}}^p \mathbf{F}^{p-1} = \sum_{\alpha=1}^n \dot{\gamma}^{\alpha} (\mathbf{m}^{\alpha} \otimes \mathbf{n}^{\alpha}), \quad (2)$$

where  $\dot{\gamma}^{\alpha}$  is the shear strain rate on the slip system  $\alpha$ ,  $\mathbf{m}^{\alpha}$  and  $\mathbf{n}^{\alpha}$  are the slip direction and the slip plane normal, respectively.

The flow rule is expressed in terms of two scalar state variables per slip system, slip resistance ( $S^{\alpha}$ ) and back stress ( $B^{\alpha}$ ) [12]:

$$\dot{\gamma}^{\alpha} = \dot{\gamma}_0 \exp \left[ \frac{-F_0}{\kappa \theta} \left\langle 1 - \left\langle \frac{|\tau^{\alpha} - B^{\alpha}| - S^{\alpha} \mu / \mu_0}{\hat{\tau}_0 \mu / \mu_0} \right\rangle^p \right\rangle^q \right] \text{sgn}(\tau^{\alpha} - B^{\alpha}), \quad (3)$$

where  $\kappa$  is the Boltzmann constant,  $\tau^{\alpha}$  is the resolved shear stress on the slip system  $\alpha$ ,  $\theta$  the absolute temperature,  $\mu$  and  $\mu_0$  the shear moduli at  $\theta$  and 0 K, respectively, and  $F_0$ ,  $\hat{\tau}_0$ ,  $p$ ,  $q$  and  $\dot{\gamma}_0$  are material constants. The brackets imply that  $\langle x \rangle = x$  for  $x > 0$  and  $\langle x \rangle = 0$  for  $x \leq 0$ .

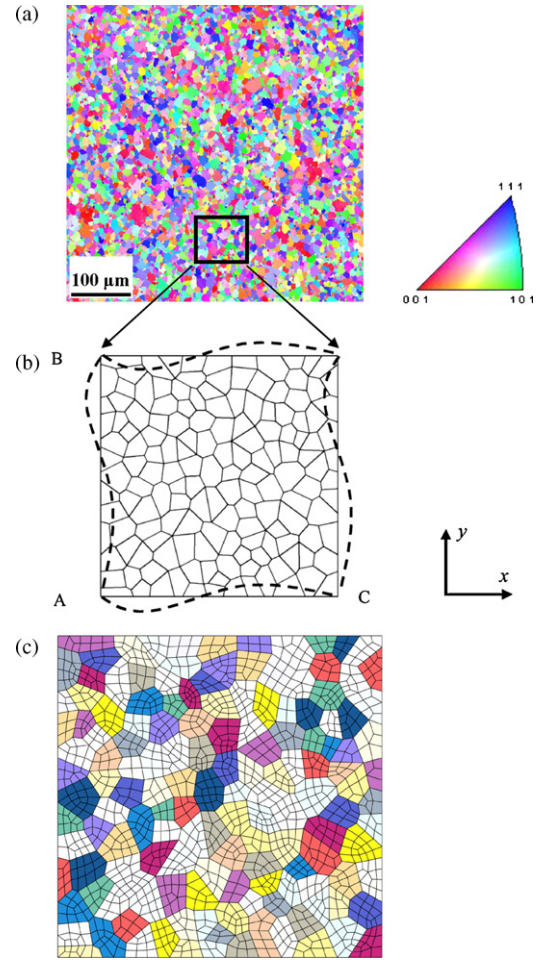
The two internal variables, the slip resistance in each slip system,  $S^{\alpha}$ , and the back stress,  $B^{\alpha}$ , are introduced at the slip system level, which represent the state associated with the current dislocation network. The slip resistance on a generic slip system, associated with the dislocation density along that slip system, is assumed to evolve according to the following relation, starting at an initial value of  $S_0$  [15]:

$$\dot{S}^{\alpha} = [h_s - d_D(S^{\alpha} - S_0)] |\dot{\gamma}^{\alpha}|, \quad (4)$$

where the first and second terms are static and dynamic recovery terms associated with the material constants  $h_s$  and  $d_D$ , respectively.

The back stress is representative of the internal stress state arising due to dislocations bowing between obstacles, either precipitates or other dislocations. The back stress evolves according to a standard hardening–dynamic recovery format [15]:

$$\dot{B}^{\alpha} = h_B \dot{\gamma}^{\alpha} - r_D B^{\alpha} |\dot{\gamma}^{\alpha}|, \quad (5)$$



**Fig. 1.** (a) Grain orientation distribution for alloy RR1000, where the color designates crystallographic orientation [21]; (b) illustration of the RVE and periodic boundary conditions; (c) finite element mesh.

where  $h_B$  is a hardening constant, and  $r_D$  is a dynamic recovery function which depends on the constant value of the slip resistance and introduces the inherent dependency between the slip resistance and back stress, which may be expressed as [12]:

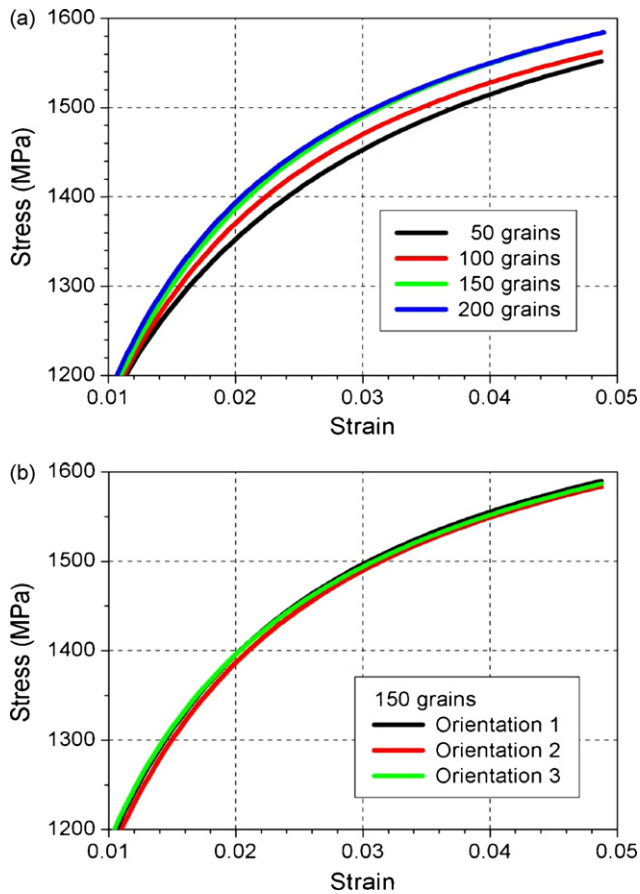
$$r_D = \frac{h_B \mu_0}{S^{\alpha}} \left\{ \frac{\mu'_0}{f_c \lambda} - \mu \right\}^{-1}, \quad (6)$$

where  $f_c$  is a coupling parameter between the internal slip variables and  $\mu'_0$  the local slip shear modulus at 0 K.

The crystallographic formulation was implemented numerically into the finite element (FE) code ABAQUS [20] within the framework of large strain kinematics via a user-defined material subroutine (UMAT), where the fully implicit (Euler backward) integration algorithm was adopted [13,14].

## 3. Finite element model

Polycrystalline alloy RR1000 may be viewed as an aggregation of single crystal grains with random orientations, as shown in Fig. 1a (from [21]), where the color designates crystallographic orientation. The material has a fine grain structure with an average size of  $4.76 \mu\text{m}$ . To study the mechanical deformation at the grain level, a representative volume element (RVE) consisting of a sufficient number of grains is necessary to statistically represent the global material behavior. The RVE approach is capable of accounting for equilibrium and compatibility in the microscopically heteroge-

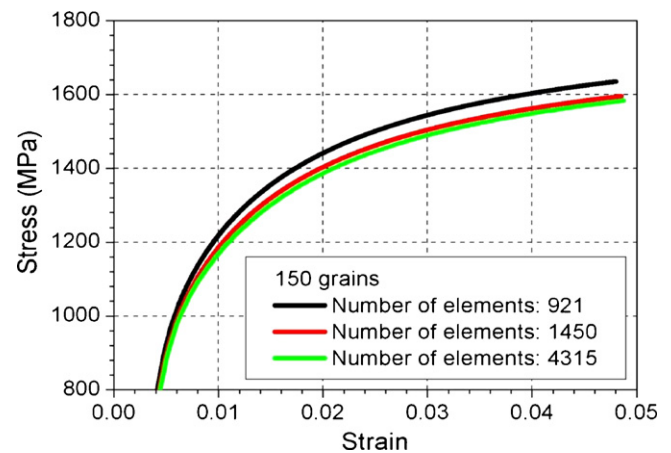


**Fig. 2.** (a) Effect of number of grains on the stress–strain response of the RVE subjected to monotonic tensile loading at a strain rate of 0.005%/s. (b) Effect of random orientations on the stress–strain response for the 150-grain RVE under monotonic tensile loading (strain rate 0.005%/s).

neous structure. In the present work, a two-dimensional geometry of grain microstructure was constructed using the Voronoi tessellation technique [22], where the Sobol sequence [22] was used to generate the Voronoi seeds randomly with an average grain size of 4.76  $\mu\text{m}$ . The coordinates of the vertices of the Voronoi tessellations were used as the inputs in ABAQUS CAE [20], which were then connected to generate the FE model of the polycrystalline grain microstructure. Each grain was assumed to have a randomly assigned in-plane orientation [23,24].

To realize repetitive deformation of the RVE, periodic boundary conditions are applied, which enforce parallel deformation of opposite edges [25,26], as sketched by dashed lines in Fig. 1b. The periodic boundary conditions were implemented in ABAQUS [20] by using the multiple constraints option. To remove the rigid-body motion, the vertex A was fixed in both  $x$  and  $y$  directions, and B and C were fixed in the  $x$  and  $y$  direction, respectively. Displacement was applied to vertex B in the  $y$ -direction to simulate the uniaxial strain-controlled loading condition. To obtain the global response of the material, homogenization based on averaging theorem over the RVE area was adopted to make the transition from micro- to macro-variables of the RVE [27], which was programmed into a post-processing numerical subroutine using computer language FORTRAN and interfaced with ABAQUS [20].

To adequately describe the mechanical properties of a polycrystalline material, the size of the RVE needs to be large enough to contain a sufficient number of grains. A series of FE analyses were performed to assess the RVE size based on the convergence of stress–strain curves. Four RVEs, containing 50, 100, 150 and 200 grains respectively, were created for the FE analysis under



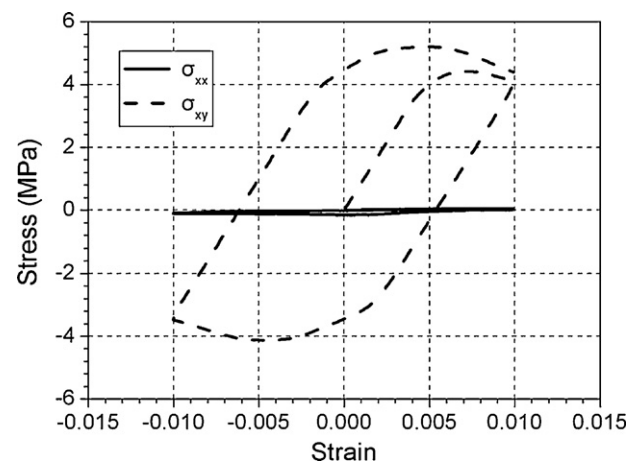
**Fig. 3.** Effect of number of elements (or element size) on the stress–strain response for 150-grain RVE under monotonic tensile loading (strain rate 0.005%/s).

monotonic tensile loading condition up to 5% strain at a strain rate of 0.005%/s. The results in Fig. 2a show that the convergence of stress–strain curve is well achieved at 150 grains, which is slightly more than the estimated 120 grains from the anisotropic stiffness values of the material [28]. Further FE analyses also confirmed that, for 150 grains, the effects of random orientations on the stress–strain curves become negligible (see Fig. 2b).

The FE mesh consists of 1450 first-order four-node plane-strain elements with four Gauss integration points (see Fig. 1c) and a mesh-sensitivity study shows that the stress–strain curves have reached a good convergence for the 1450-element mesh (see Fig. 3). It was also verified that the global stress in the  $x$ -direction and the global in-plane shear stress are negligible (see Fig. 4), which confirms the predominant uniaxial strain-controlled loading condition of the RVE in the  $y$ -direction. In what follows, FE analyses were performed for the RVE with 150 grains and 1450 elements to evaluate the global and local cyclic deformation for alloy RR1000 at 650  $^{\circ}\text{C}$ .

A convergence study was also carried out at grain level, where both the stress/strain distribution of the RVE and the stress–strain response for given grains (i.e., grain 1–4 in Fig. 12) were examined for three different meshes (921, 1450 and 4315 elements, respectively). The results showed that a very good local convergence has been achieved for the 1450-element mesh.

It should be pointed out that the RVE shown in Fig. 1c does not satisfy the geometrical periodicity [22], rather, the RVE represents the random nature of the microstructure of the material



**Fig. 4.** Response of the normal stress in the  $x$ -direction and the in-plane shear stress for the first cycle (strain range 2% and strain rate 0.05%/s).



(see Fig. 1a). Nevertheless, FE analyses have been carried out for a RVE with geometrical periodicity, and the results are almost identical to those presented in this work. As long as a sufficient number of grains is contained in the RVE (150 grains for our case), either model (i.e., with or without geometrical periodicity) can be used in the FE analyses.

#### 4. Determination of model parameters

Both back stress  $B$  and slip resistance  $S$  were included in the formulation of the crystal-plasticity model, where 12 octahedral and 6 cubic slip systems were considered. Consequently, a number of model parameters were introduced and need to be determined. Model parameters were determined through extensive FE analyses using the complete histories of cyclic experimental data at three different strain rates, following the procedures below.

Anisotropic stiffness constants were first evaluated by simulating the elastic response of the material from the FE analysis of the RVE subjected to monotonic loading. The constants were taken as  $C_{11} = 166.2$  GPa,  $C_{12} = 66.3$  GPa and  $C_{44} = 138.2$  GPa, which are close to those for a nickel single crystal superalloy [15]. Using these elastic constants, FE analysis of the RVE give a global Young's modulus of 190 GPa for alloy RR1000 at 650 °C, which matches the experimental measurement [29].

The crystal-plasticity model was then calibrated by fitting the stress–strain response of the strain-controlled cyclic tests at three different strain rates (0.5%/s, 0.05%/s and 0.005%/s) [29]. Prior to the fitting process, some material constants, namely,  $F_0$ ,  $\dot{\tau}_0$ ,  $p$ ,  $q$  and  $\dot{\gamma}_0$ , were estimated from the literatures. For instance, the full model parameters are already available for CMSX4 nickel single crystals [13–15], which serve as a general guideline on the initial estimations. Following each simulation, the resulting stress values at each strain point were compared with the experimental data, the magnitude of the difference was considered in an error function (objective function) over the history. Optimization was carried out until the error was minimized.

The calibration of material constants also involves certain constraints, which were imposed as ranges of target values based on published work. For example, the value of  $p$  and  $q$  were recommended to be  $0 < p < 1$  and  $1 < q < 2$  [1], which greatly facilitated the calibration process. Also, to improve the efficiency of the fitting process, a sensitivity analysis of parameters with respect to the stress–strain response was conducted in the first stage of calibration. Given all of the crystal plasticity parameters that needed to be calibrated, it is known that some of them have specific influence on the stress–strain response, such as that the parameters  $p$  and  $q$  mainly influence the shape of the loops.

The whole process began with fitting the monotonic stress–strain curves and the first cyclic loops for all three loading rates. During this process, the main target was to identify the material parameters  $p$ ,  $q$  and  $\dot{\tau}_0$ , which control the rate sensitivity, and work out their sensible values. Then efforts were made to improve the cyclic hardening simulation, which involves re-calibration of some of the critical material parameters which control the cyclic hardening behavior. In this process, the major influencing parameters were found to be a combination of the parameters  $f_c$  and  $h_s$ . Extensive FE analyses were carried out to work out the best parameter values which give a good description of material hardening behavior. Cyclic hardening behavior is of great importance for materials under cyclic deformation, which has been captured very well in continuum viscoplasticity simulation [29,30]. In crystal plasticity, simulation of cyclic hardening is a difficult task for polycrystalline materials, which has been tackled in the present work through extensive FE analyses in the fitting process. The final parameter values determined by the fitting

**Table 1**

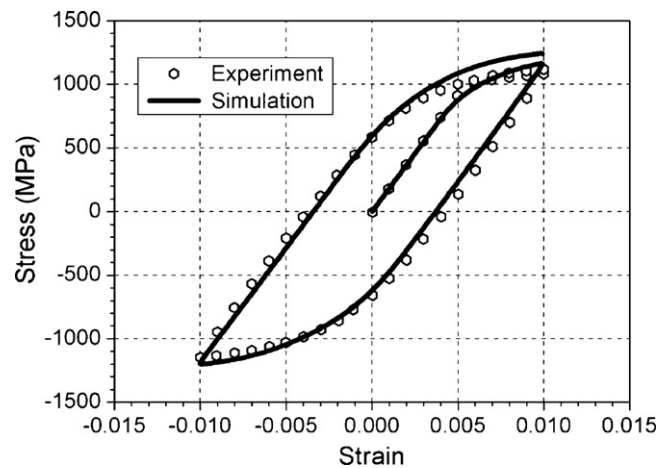
Fitted model parameters for alloy RR1000 at 650 °C.

Parameters	Octahedral slip	Cubic slip
$F_0$ (kJ mol <sup>-1</sup> )	295	295
$p$	0.31	0.99
$q$	1.8	1.6
$\dot{\gamma}_0$ (s <sup>-1</sup> )	120	4
$\dot{\tau}_0$ (MPa)	810	630
$S_0$ (MPa)	350	48
$f_c$	0.42	0.18
$h_B$ (GPa)	400	100
$h_S$ (GPa)	160	4.5
$d_D$ (MPa)	6024	24
$\mu'_0$ (GPa)	72.3	28.6

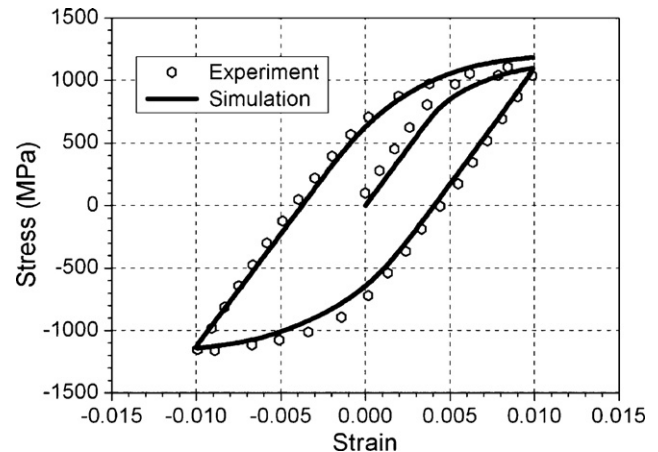
process are listed in Table 1 for both octahedral and cubic slip systems. Other fitted parameters include the coefficient  $\lambda = 0.85$  and the shear modulus at 0 K  $\mu_0 = 192$  GPa.

#### 5. Results and discussion

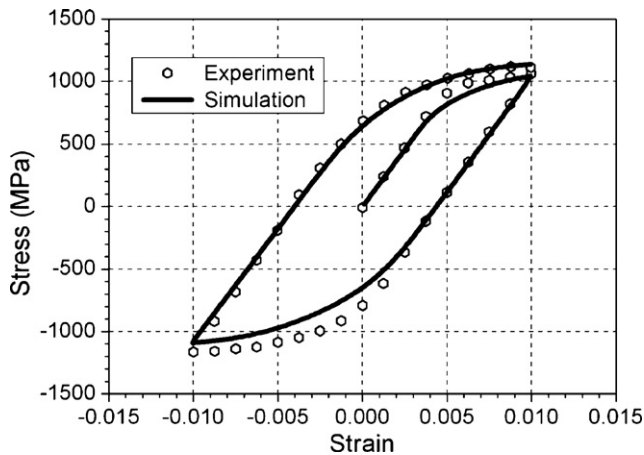
The simulated stress–strain response for monotonic and the first cycle of fatigue loading are shown in Figs. 5–7 for three different strain rates, with a direct comparison against the corresponding experimental data [29,30]. Clearly, the model simulations agree



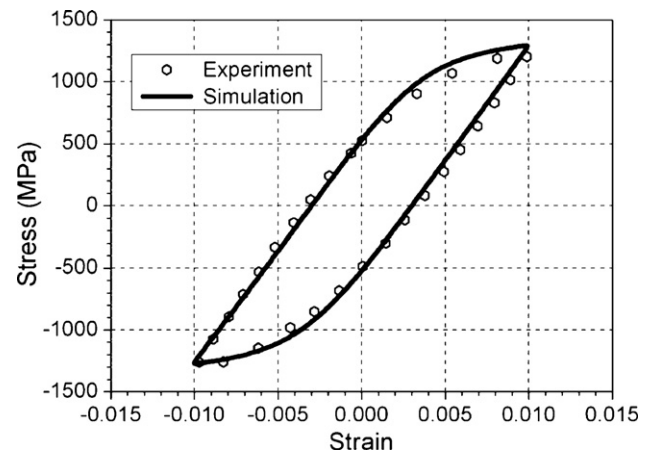
**Fig. 5.** Comparison of simulated stress–strain loop and experimental results for the first cycle of a strain-controlled cyclic test (strain rate  $d\epsilon/dt = 0.5\%/s$  and strain range  $\Delta\epsilon = 2\%$  [29]).



**Fig. 6.** Comparison of simulated stress–strain loop and experimental results for the first cycle of a strain-controlled cyclic test (strain rate  $d\epsilon/dt = 0.05\%/s$  and strain range  $\Delta\epsilon = 2\%$  [30]).



**Fig. 7.** Comparison of simulated stress–strain loop and experimental results for the first cycle of a strain-controlled cyclic test (strain rate  $d\varepsilon/dt=0.005\%/s$  and strain range  $\Delta\varepsilon=2\%$  [29]).

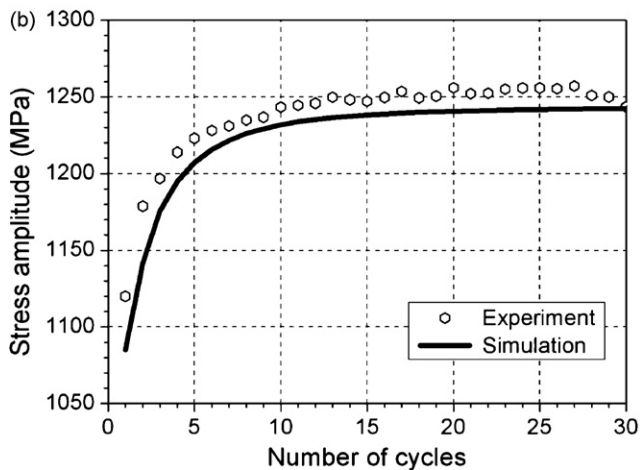
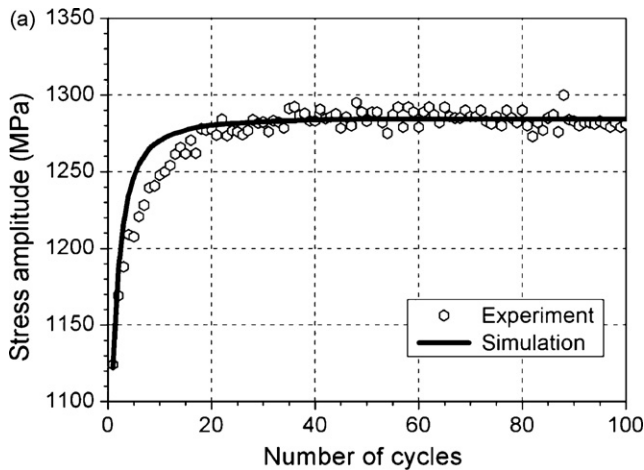


**Fig. 9.** Comparison of simulated stress–strain loop at saturation and experimental results for a strain-controlled cyclic test (strain rate  $d\varepsilon/dt=0.05\%/s$  and strain range  $\Delta\varepsilon=2\%$  [30]).

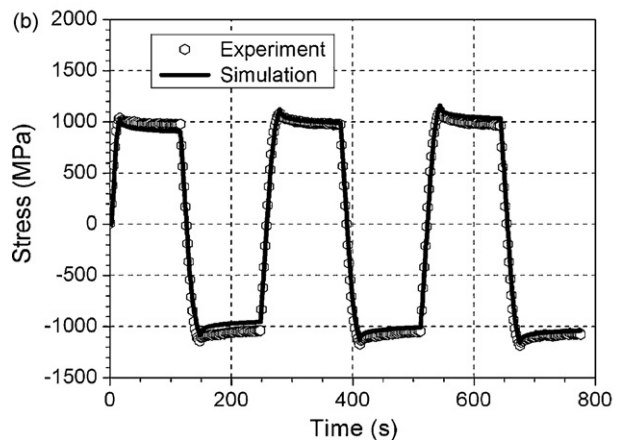
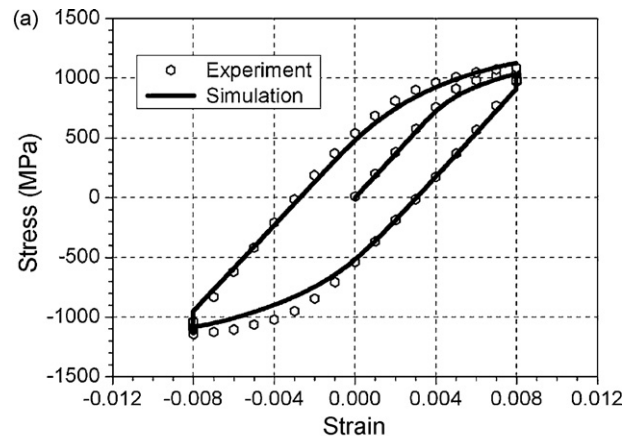
very well with the test data for all three cases. The stress response and the shape of hysteresis loops were both well captured by the crystal-plasticity-based FE analysis of the RVE.

Cyclic hardening behavior was presented in Fig. 8a and b by plotting the stress amplitude as a function of the number of cycles for

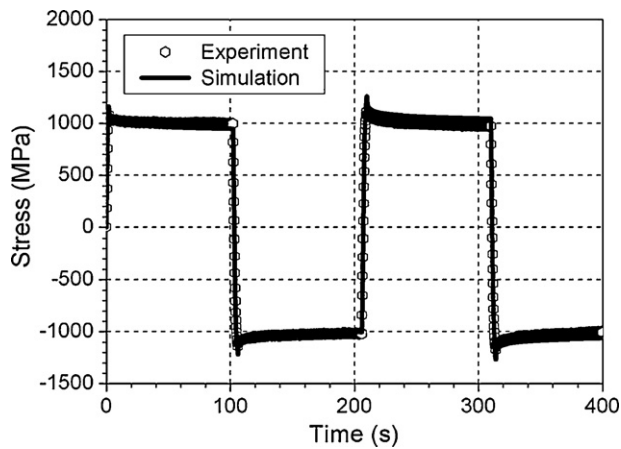
two different strain-loading rates (0.05%/s and 0.005%/s). Test data [29,30] were included for a direct comparison, where a good match is clearly demonstrated for both strain-loading rates. For the nickel alloy RR1000, cyclic deformation seems to reach saturation after about 30 cycles. As shown in Fig. 9, simulated stress–strain loop at saturation also agrees very well with the experimental results.



**Fig. 8.** (a) Comparison of simulated cyclic hardening and experimental results for a strain-controlled test (strain rate  $d\varepsilon/dt=0.05\%/s$  and strain range  $\Delta\varepsilon=2\%$  [30]). (b) Comparison of simulated cyclic hardening and experimental results for a strain-controlled test (strain rate  $d\varepsilon/dt=0.005\%/s$  and strain range  $\Delta\varepsilon=2\%$  [29]).



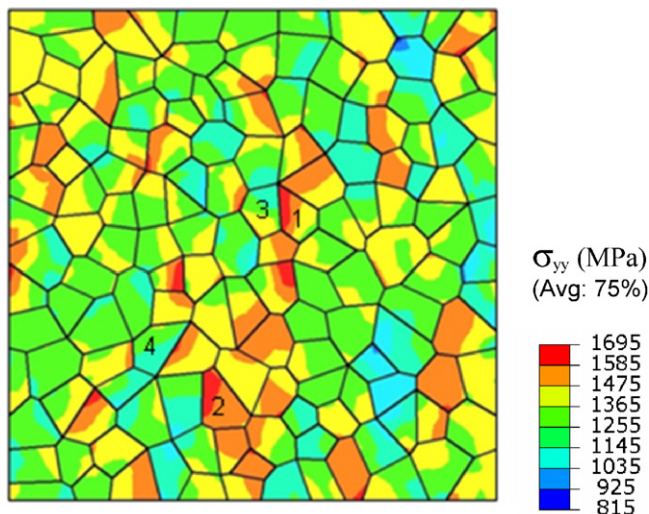
**Fig. 10.** (a) Comparison of model simulation and experimental data for the first cycle of a strain-controlled cyclic test with a superimposed 100-s dwell period at both maximum and minimum strain levels (strain rate  $d\varepsilon/dt=0.05\%/s$  and strain range  $\Delta\varepsilon=1.6\%$  [29]). (b) Comparison of model simulation and experimental data for the stress relaxation behavior during 100-s dwells at maximum and minimum strain levels (strain rate  $d\varepsilon/dt=0.05\%/s$  and strain range  $\Delta\varepsilon=1.6\%$  [29]).



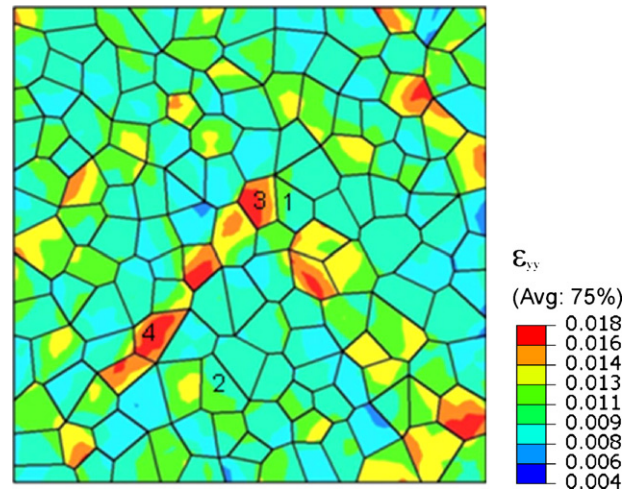
**Fig. 11.** Comparison of model simulation and experimental data for the stress relaxation behavior during 100-s dwells at maximum and minimum strain levels (strain rate  $d\varepsilon/dt = 0.5\%/s$  and strain range  $\Delta\varepsilon = 2\%$  [29]).

As an independent evaluation of the model, the same parameters were used to run simulations for cyclic uniaxial strain-controlled histories with 100-s hold time superimposed at both the maximum and minimum strain levels (strain range 1.6% and strain rate 0.05%/s). The resulting stress–strain predictions and the stress relaxation during the strain hold periods are shown in Fig. 10a and b, which are again in good agreement with experimental data [29]. Simulation was also given for the stress relaxation behavior during a 100-s hold period for a strain range of 2% and strain rate of 0.5%/s. Again good agreement with the experimental data [29] is obtained (Fig. 11).

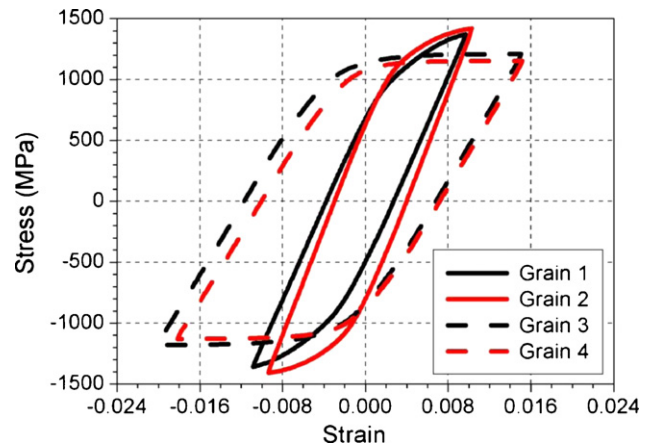
Figs. 12 and 13 show the contour plots of the normal stress and strain in the y-direction at the maximum strain level of the 25th cycle (saturation stage) for the 0.005%/s loading rate case (see Figs. 7 and 8b), where heterogeneous stress and strain distributions can be clearly observed. The maximum value of the local stress is about 1.4 times the global stress and the maximum local strain is about 1.7 times the global strain. The pattern of stress concentration differs from that of strain concentration. Further investigations indicate that the highest strain concentrations are located within the “soft” grains, which have low strain hardening in the stress–strain response (see Fig. 14). On the other hand, the highest stress concentrations are typically located within the



**Fig. 12.** Contour plot of the normal stress in the y-direction at the maximum strain level of the 25th cycle (strain rate  $d\varepsilon/dt = 0.005\%/s$  and strain range  $\Delta\varepsilon = 2\%$ ).



**Fig. 13.** Contour plot of the normal strain in the y-direction at the maximum strain level of the 25th cycle (strain rate  $d\varepsilon/dt = 0.005\%/s$  and strain range  $\Delta\varepsilon = 2\%$ ).



**Fig. 14.** Stress–strain loops of individual grains for the 25th cycle (strain rate  $d\varepsilon/dt = 0.005\%/s$  and strain range  $\Delta\varepsilon = 2\%$ ).

“hard” grains, which have high strain hardening in the stress–strain response (see Fig. 14). As demonstrated in Fig. 14, the stress–strain loops are much wider for the soft grains than those for the hard grains, indicating more inelastic deformation in the soft grains.

A close inspection of Figs. 12 and 13 shows that the hard grains with high stress concentration tend to neighbor with the soft grains which have high strain concentration. This arrangement of neighboring hard and soft grains introduces large mismatches of deformation and generates pronounced stress and strain concentrations between the neighboring grains [31–33]. Overall, the stress and strain heterogeneities remain similar for all three cyclic loading histories. Specifically, strain concentrations are consistently located within the soft grains while stress concentrations occur in the hard grains, which is also true for other sets of randomly assigned grain orientations. Also, most stress and strain concentrations are located at the triple or multiple points due to the mismatch of the mechanical properties of multiple grains. The local convergence study shows that these are characteristics of the microstructure and not artifacts of the finite element simulation.

## 6. Conclusions

Crystal plasticity modeling of cyclic deformation has been presented for a polycrystalline nickel-based superalloy at elevated temperature. An RVE, consisting of a number of randomly ori-



ented single crystal grains, has been constructed using the Voronoi tessellation and meshed for finite element analyses under periodic boundary constraints. The model parameters were determined from strain-controlled cyclic test data at 650 °C for different loading rates using a fitting process. Simulations using fitted parameters showed good agreement with experimental data for both stress–strain loops and cyclic hardening behavior. The model predicted well the stress relaxation behavior during strain hold period.

Stress and strain contour plots of the deformed RVE showed heterogeneous distribution due to orientation mismatch between neighboring grains. Strain concentrations are basically located within soft grains, while stress concentrations are localized within hard grains, particularly at the grain boundaries next to soft grains.

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