



Contents lists available at ScienceDirect

Biochimica et Biophysica Acta

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## Q2 Involvement of activated SUMO-2 conjugation in cardiomyopathy

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### 1 1 A R T I C L E I N F O

12 Article history:  
13 Received 10 December 2014  
14 Received in revised form 24 March 2015  
15 Accepted 30 March 2015  
16 Available online xxx

17 Keywords:  
18 SUMO  
19 Cardiomyopathy  
20 Apoptosis  
21 Calpain 2  
22 Calpastatin

### A B S T R A C T

Sumoylation is a posttranslational modification that regulates a wide spectrum of cellular activities. Cardiomy- Q5  
opathy is the leading cause of heart failure. Whether sumoylation, particularly SUMO-2/3 conjugation, is in- 24  
volved in cardiomyopathy has not been investigated. We report here that SUMO-2/3 conjugation was 25  
elevated in the human failing hearts, and we investigated the impact of increased SUMO-2 conjugation on 26  
heart function by using the gain-of-function approach in mice, in which cardiac specific expression of constitu- 27  
tively active SUMO-2 was governed by alpha myosin heavy chain promoter (MHC-SUMO-2 transgenic, SUMO- 28  
2-Tg). Four of five independent SUMO-2-Tg mouse lines exhibited cardiomyopathy with various severities, 29  
ranging from acute heart failure leading to early death to chronically developed hypertrophic cardiomyopathy 30  
with aging. We further revealed that SUMO-2 directly regulated apoptotic process by at least partially targeting 31  
calpain 2 and its natural inhibitor calpastatin. SUMO conjugation to calpain 2 promoted its enzymatic activity, 32  
and SUMO attachment to calpastatin mainly promoted its turnover and altered its subcellular distribution. 33  
Thus, enhanced SUMO-2 conjugation led to increased apoptosis and played a pathogenic role in the develop- 34  
ment of cardiomyopathy and heart failure. 35

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### 41 1. Introduction

42 SUMO conjugation, or sumoylation, is the posttranslational modifica-  
43 tion in which SUMO proteins are covalently and reversibly attached to  
44 the substrates via a series of enzymatic reactions [1]. SUMO family con-  
45 tains three conjugatable members named SUMO-1, SUMO-2 and SUMO-  
46 3. While SUMO-1 only exhibits ~45% sequence similarity with SUMO-2  
47 and SUMO-3, the latter two share ~95% identity at the level of amino  
48 acid sequence, and are believed to have largely overlap functions  
49 in vivo [1]. The number of SUMO targets has been increasing rapidly in  
50 recent years, and through modifying its targets, SUMO conjugation has  
51 been implicated in diverse cellular activities such as DNA repair [2] and  
52 chromatin function [3]. In the past several years SUMO conjugation  
53 pathway has been receiving a great deal of attention due to its potential  
54 implication in the pathogenesis of certain human diseases such as cancer  
55 [4] and neurodegeneration [5].

56 Accumulating evidence suggests that the SUMO conjugation is altered  
57 under certain pathological conditions in both vitro (cultured

cells) and vivo (tissues/organs). In brain ischemic study, SUMO-2/3 con- 58  
jugation increased in the harmful ischemic brain model [6–8], but 59  
decreased in delayed ischemic tolerance in an in vitro model [9], indicat- 60  
ing its potentially harmful role in ischemic-induced injury. However, an 61  
increased expression of Ubc9, the sole sumoylation conjugation enzyme 62  
identified in mammals, augmented global sumoylation and limited the 63  
ischemic damage in mouse brain [10]. Therefore, a neuroprotective effect 64  
of increased SUMO conjugation against ischemic-induced injury has also 65  
been proposed. In addition, increased SUMO-1 conjugation was also 66  
observed in the polyglutamine diseases [11,12], pointing to its pathogen- 67  
ic role in neurodegenerative pathology. In the cardiovascular field, stud- 68  
ies suggest that SUMO-1 conjugation plays an important role in early 69  
heart development, as evidenced by the congenital heart defects present 70  
in the SUMO-1 knockout mice [13], and in the transgenic mouse model 71  
with cardiac specific expression of SENP2, an isopeptidase that 72  
deconjugates all SUMO proteins [14]. SUMO-1 conjugation was also 73  
responsive to hypoxic insult to murine hearts [15], and was proposed 74  
to play a protective role in human and mouse heart failure via modulat- 75  
ing the activity of SERCA2 [16]. However, the activity of Erk5 (extracellu- 76  
lar signal-regulated kinase 5), an important factor against ischemic/ 77  
reperfused injury and inhibitor of apoptosis, was suppressed by 78

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sumoylation [17]. Sumoylation of Erk5 was increased in myocardial infarction, in the H<sub>2</sub>O<sub>2</sub>-induced inflammation and in the diabetic aorta of mice [17,18]. Thus, an increase in the level of SUMO-1 conjugated Erk5 appears to enhance the inflammation, induce cell death, and consequently worsen the injury.

Compared with the aforementioned several lines of research that implicate SUMO-1 conjugation in cardiac development and pathophysiology, little is known about whether and how SUMO-2/3 conjugation play a role in the cardiovascular diseases. Several studies suggest the potential implication of SUMO-2/3 conjugation in cardiomyopathy. First, SENP2 transgenic mice also developed cardiac hypertrophy and dysfunction with aging, and these symptoms were not ameliorated by overexpressed SUMO-1 [14]. Second, a recent study revealed that the levels of SENP5, another SUMO isopeptidase that mainly deconjugated SUMO-2/3, were elevated in the human failing hearts, and the gain-of-function murine model suggests that SENP5 played a pathogenic role in dilated cardiomyopathy and heart failure [19]. However, the direct evidence that implicates the SUMO-2/3 conjugation in cardiovascular disease is still missing, despite the fact that SUMO-2/3 had more free reservoir and rendered stronger responses to external stimuli such as heart shock than SUMO-1 in the cultured cells [20]. Given that many SUMO substrates that possess opposing activities are implicated in cardiovascular pathophysiology [21], the net functional consequence of the altered conjugation of SUMO-2/3 warrants investigation.

The calpain–calpastatin proteolytic system, a calcium-dependent intracellular proteolytic system, is involved in a variety of cellular processes including apoptosis [22], and plays an important role in cardiovascular pathophysiology and heart failure [23–25]. The function of one of the two ubiquitously expressed calpains (Capns), Capn2, has been well studied. Neonatal induction of Capn2 in murine hearts decreased fractional shortening and increased LVESD (left ventricular end-systolic dimension) [26], indicating the harmful impact of increased activity of Capn2 on heart function. However, how the activity of Capn2 is modulated *in vivo* remains poorly understood. In this regard, posttranslational modifications serve as a device to modulate Capn2's function. For instance, phosphorylation of Capn2 by PKA suppressed, but Erk-induced phosphorylation elevated its activity [27,28]. Also, sumoylation modified Capn2 on lysine 390 promoted Capn2-dependent cell mobility [29]. However, how the association of sumoylated Capn2 with apoptotic pathway has not been investigated. On the other hand, knockout of calpastatin (CAST), a natural endogenous inhibitor for Capns, in mice led to potentiated activity of Capns [30]. However, overexpression of CAST in murine hearts also caused cardiomyopathy [26], indicating the importance of a balanced calpain–calpastatin system to the maintenance of normal cardiac function. Although CAST exhibits high selectivity for Capns' function, how the activity of CAST itself is regulated is largely unknown.

In the present report, we first showed that an increase in SUMO-2/3 conjugation was observed in the human failing hearts. We then used a gain-of-function approach to generate a number of independent transgenic mouse lines that expressed constitutively active form of SUMO-2 in the hearts (SUMO-2-Tg). The majority of these mouse lines showed premature death, increased apoptosis and cardiomyopathy. We further revealed that SUMO targeted at least two components, Capn2 and the natural calpain inhibitor CAST, of the calpain–calpastatin proteolytic system, a critical regulatory pathway of apoptotic process. Thus, our studies demonstrate a direct regulation of the apoptotic pathway by SUMO-2/3 conjugation, and suggest a pathogenic role that SUMO-2/3 conjugation plays in the development of cardiomyopathy and heart failure.

## 2. Materials and methods

### 2.1. Experimental animals

The construct alpha-MHC-flag-SUMO-2, which was used to generate SUMO-2 transgenic mouse lines, was generated as detailed previously

[14,19,31]. Briefly, the transgene flag-tagged SUMO-2-GG was subcloned into the region between the 5.4 kb mouse  $\alpha$ -MHC promoter (provided by Dr. J. Robbins, University of Cincinnati) and the Simian virus 40 polyadenylation sequence via Sall sites. The orientation of the inserted flag-SUMO-2 cDNA was confirmed by sequencing. This construct was microinjected into the fertilized eggs of FBV mouse strain. The founders (F0) of SUMO-2-Tg lines were backcrossed with C57BL/6 mice for over one to five generations. The positive offspring were identified using PCR with the following oligos (5' to 3'): forward, CCGCACTC TTAGCAAACCTC and reverse, CTAACCTCCCGTCTGCTGT. All animal experimental protocols were approved by the Institute for Animal Studies of the Institute of Biosciences and Technology at Texas A&M Health Sciences Center and the University of Texas Health Science Center Animal Welfare Committee.

### 2.2. Human samples

Idiopathic myocardial samples from patients with end-stage heart failure were provided from the Department of Cardiac Pathology at Texas Heart Institute, and the donated non-transplantable normal human heart samples were provided by the International Institute for the Advancement of Medicine (IIAM) based on an official agreement with the laboratory of electrophysiology at Texas Heart Institute. Formalin fixed and paraffin embedded tissue blocks from the left ventricular free wall of human hearts, including five non-failing controls without a history of cardiac diseases and five failing hearts with idiopathic dilated cardiomyopathy were selected for immunohistochemical staining. The protocols for the use of human heart samples were approved by the Institutional Review Board at St. Luke's Episcopal Hospital and at the University of Rochester Medical Center.

### 2.3. Plasmid constructs

For adenoviral expression, flag-epitoped SUMO-2-GG or SUMO-2- $\Delta$ GG was PCR amplified, and ligated into pShuttle-IRES-hrGFP-1 vector via EcoRV and XhoI sites. Capn2 wild type (wt) and the sumoylation-deficient K390R mutant (the conversion of lysine 390 to arginine) were PCR amplified and subcloned into pShuttle-IRES-hrGFP-1 on SpeI and Sall sites. For SUMO-2 fused Capn2 construct, Capn2 cDNA was first subcloned into pcDNA4A vector on XhoI and XbaI sites (pcDNA-Capn2), followed by SUMO-2 cDNA subcloning in frame into pcDNA-Capn2 before Capn cDNA on NheI and XhoI sites (SUMO-Capn2). The SUMO-Capn2 was then PCR amplified and ligated in frame into pShuttle-IRES-hrGFP-1 on SpeI and Sall sites to generate the adenoviral expression vector. Mouse CAST cDNA was purchased from Openbiosystem and subcloned into pcDNA4A-V5/His vector on EcoR and XbaI sites (CAST-V5). To generate SUMO fused CAST expression vector, SUMO-1 or -2 cDNA was subcloned in frame before CAST on HindIII and EcoRV sites, generating SUMO-CAST-V5 expression vector. HA- and His<sub>6</sub>-tagged SUMO-1-wt (HA-His<sub>6</sub>-SUMO-1-wt) was obtained by ligating PCR-amplified His<sub>6</sub>-tagged SUMO-1 provided by Dr. Ron T. Hay in frame into PCGN vector via XbaI and KpnI sites.

### 2.4. Antibodies and chemicals

Anti-V5-HRP, Annexin-V Alexa Fluor 594, Alexa Fluoro® 488 anti-goat antibody, goat serum, Histostain-SP Kit (Invitrogen), anti-V5 antibody (Bethyl Laboratories, Inc.), anti-HA-HRP (Genscript), anti-GAPDH-HRP, anti-SUMO-1, anti-SUMO-2/3 and anti-Calpastatin antibodies (Santa Cruz), anti-Calpain 2 antibody (Cell Signaling Technology), anti-V5 agarose affinity gel (Sigma-Aldrich), bead-conjugated anti-SUMO-2/3 antibody (Santa Cruz), anti-mouse IgG agarose (Sigma-Aldrich), t-BOC-Leu-Met-chloromethylaminocoumarin (t-BOC-LM-CMAC, Invitrogen), Ni-NTA agarose (Qiagen), Restore Western blot stripping buffer (Thermo Scientific Pierce), inhibitors for calpains 1 and 2, cathepsin, and caspases (pan caspase inhibitor Z-VAD(OM3)-FMK) (Santa Cruz).

## 202 2.5. Cell culture and transfection

203 Regular HeLa cells were maintained in Dulbecco's Modified Eagle's  
204 Medium (DMEM) plus 10% fetal bovine serum (FBS). Transient transfection  
205 was performed using Lipofectamine 2000 on either 6 cm plates for  
206 Western blot analysis or 10 cm plates for nickel-nitritoltri-acetic acid (Ni-  
207 NTA) pulldown assays (see below). Neonatal rat cardiomyocyte culture  
208 was described previously [32]. For CAST-V5 and SUMO-CAST-V5 turn-  
209 over and protease inhibition analysis, 24 h posttransfection, cyclohexi-  
210 mide (10 µg/ml) was added into the cell culture medium for 12 or  
211 16 h in the absence or presence of various protease inhibitors with  
212 two dosages per inhibitor as indicated in the legend of Fig. 7, followed  
213 by Western blots to detect the expression levels of CAST-V5 and  
214 SUMO-CAST-V5. GAPDH was used as a loading control in the Western  
215 blot analysis.

## 216 2.6. Western blot, sumoylation assays and Ni-NTA pulldown assays

217 For Western blot analysis, the lysis buffer (50 mM Tris, 150 mM  
218 NaCl, 1% NP-40) was used to lyse cells or homogenize hearts. 40 µg pro-  
219 teins purified from HeLa cell lysates containing overexpressed proteins  
220 of interest, or 100 µg of protein lysates extracted from mouse left ventri-  
221 cles, were boiled, subjected to 4–12% NuPAGE, and transferred to the  
222 polyvinylidene difluoride (PVDF) membrane. The blot was probed  
223 with the antibodies of interest as indicated in each figure legend. The  
224 protein bands were visualized using HyGlo Quick Spray (Denville Scien-  
225 tific) or ECL plus (GE Healthcare). The procedure for in vivo sumoylation  
226 assays was similar to that for the Western blot, except that the  
227 isopeptidase inhibitor N-ethylmaleimide (NEM) was added to the cell  
228 lysates (final concentration 25 mM) to prevent desumoylation. Ni-  
229 NTA affinity chromatography was detailed previously [33].

## 230 2.7. Co-immunoprecipitation

231 Protein lysates purified from frozen human hearts were prepared.  
232 400 µg of total protein was diluted to a final concentration of 0.4 mg/  
233 ml in the lysis buffer. 4 µg of bead-conjugated anti-SUMO-2/3 antibody  
234 was applied to the lysis buffer (as a negative control) or the protein  
235 sample and incubated for 2 h at 4 °C on a rotary platform. The beads  
236 were subsequently pelleted by centrifugation, washed five times with  
237 binding buffer. These protein lysates were subsequently subjected to  
238 4–12% NuPAGE, transferred to PVDF membrane, detected by the desired  
239 antibodies, which was then visualized with chemiluminescence.

## 240 2.8. Adenovirus-mediated expression

241 Recombinant adenoviruses were generated using the AdEasy XL Ad-  
242 enoviral Vector System (Stratagene). Briefly, all cDNAs of interest in  
243 adenoviral-mediated expression were subcloned into the pShuttle-  
244 IRES-hrGFP1 vector as described above. The entire expression cassettes  
245 from the resulting vectors were recombined in BJ5183 bacterial strain  
246 with serotype 5 first-generation adenoviral backbone, AdEasy-1. These  
247 recombinant adenoviral backbones were transfected into AD293 cells  
248 to generate infectious viral particles. Viral titer was determined by the  
249 tissue culture infectious dose method [34]. Cardiomyocytes were infected  
250 with recombinant adenoviruses for 2 h at a multiplicity of infection  
251 (MOI) of 100 particles/cell and incubated for additional 24–48 h to en-  
252 sure transgene expression.

## 253 2.9. Cardiomyocyte size measurement

254 WGA-TRITC staining was used on heart sections of P5 or P80 of wt  
255 and SUMO-2-Tg mice to distinguish sarcolemmal membrane. 10–20  
256 randomly selected fields from each individual heart sample were used  
257 to measure surface areas of cardiomyocytes using Software ImageJ  
258 (<http://rsbweb.nih.gov>).

## 2.10. Immunofluorescence

260 HeLa cells were plated into two well Lab-Tek II chamber slide (Nunc) 260  
261 and were transiently transfected with CAST-V5 and SUMO-CAST-V5 261  
262 using Lipofectamine 2000 (Invitrogen) according to the manufac- 262  
263 turer's protocol. HeLa cells were then fixed in 3.5% paraformaldehyde 263  
264 (PFA) and permeabilized with 0.5% Triton X-100. Staining was conduct- 264  
265 ed using anti-V5 antibody (1:200). Cells were sequentially incubated 265  
266 with secondary antibodies, Alexa Fluoro® 488 anti-goat antibody and 266  
267 then mounted with Vectashield with DAPI (Vector Laboratory). 267  
268 Annexin-V staining was performed using Annexin-V Alexa Fluor 594 268  
269 on neonatal rat cardiomyocytes cultured on cover slides. 269  
270 Cardiomyocytes were incubated with 5 µl of Annexin-V Alexa Fluor 270  
271 594 for 15 min at room temperature. Thereafter the cells were washed 271  
272 and mounted with Vectashield (Vector Laboratory). 272

## 2.11. Immunobiochemistry

274 4 µm sections of human hearts were deparaffinized and antigen re- 274  
275 trieval was performed in sodium citrate buffer (pH = 6.0) by heating 275  
276 to 99 °C for 20 min with PT Link system by Dako (Carpinteria, CA). En- 276  
277 dogenous peroxidase activity was quenched with 3% H<sub>2</sub>O<sub>2</sub> and non- 277  
278 specific binding was blocked with 10% non-immune goat serum and 278  
279 then incubated with primary antibody at 4 °C overnight. The signals 279  
280 were amplified with the Histostain-SP Kit and detected with DAB sub- 280  
281 strate (Dako). Special attention was paid to avoid color overdevelop- 281  
282 ment. Hematoxylin was used as a counterstain. Negative controls 282  
283 were incubated with appropriate serum instead of primary antibody 283  
284 under the same conditions. 284

## 2.12. In vivo Calpain 2 activity assay

285 In vivo Calpain 2 activity was analyzed using synthetic calpain sub- 285  
286 strate t-BOC-LM-CMAC [35]. HeLa cells were plated at 70–80% conflu- 286  
287 ence in 22 mm square coverglass (Corning) and grown in the 287  
288 complete media for 24 h. Cells were thereafter transfected with the 288  
289 pShuttle-IRES-hrGFP-1 alone (as a control) or the individual expression 289  
290 vectors encoding Capn2, SUMO-2-Capn2 or Capn2-K390R, respectively. 290  
291 After 48 h incubation, cells were incubated for 30 min in the presence of 291  
292 10 µM t-BOC-LM-CMAC. The cells were then washed with 1 × PBS and 292  
293 covered with a glass cover slide. Both GFP and t-BOC-LM-CMAC positive 293  
294 cells were scored for analysis. Fluorescence was visualized using a fluo- 294  
295 rescence microscope (Olympus fluorescence microscope). Intensity of 295  
296 fluorescence caused by cleavage of synthetic substrate was measured 296  
297 using ImageJ. Because the backgrounds among the slides prepared 297  
298 slightly varied, background measurements were also taken and 298  
299 subtracted. Capn2 activity was expressed as CTCF (corrected total cell 299  
300 fluorescence), which is calculated as follows: integrated density – 300  
301 (area of selected cell × mean fluorescence of background readings). 301  
302

## 2.13. Echocardiography

303 Mice of interest were anesthetized by inhalation of 1% isoflurane 303  
304 and rested on a warm pad during transthoracic measurements of cardi- 304  
305 ac function using two dimensional M-mode of a Vevo 770 in vivo micro- 305  
306 imaging system (Visual Sonics, Toronto, Canada). The probe contacted 306  
307 the hair-removed chest to record cardiac function indices. The investi- 307  
308 gator that performed and analyzed cardiac functions was blind to ani- 308  
309 mal genotypes. 309  
310

## 2.14. Histopathology

311 Mouse hearts were dissected and fixed overnight in 4% paraformal- 311  
312 dehyde (PFA). Hematoxylin and eosin (H&E) or Masson's trichrome 312  
313 staining was performed on heart sections (10 µm) according to standard 313  
314 protocols. 314  
315

### 2.15. Transmission electron microscopy (TEM)

Left ventricle tissues were fixed in 2% paraformaldehyde (PFA) and 3% glutaraldehyde in 0.1 M cacodylate buffer, pH 7.3 and prepared according to the standard protocol. Electron microscopy was examined in a JEM 1010 transmission electron microscope (JEOL, USA, Inc.) at an accelerating voltage of 80 kV. Digital images were obtained using AMT Imaging System (Advanced Microscopy Techniques Corp.). This work was performed in MD Anderson HREM facility.

### 2.16. Statistical analysis

The number of mice for each group used was indicated in the figure legends. Two-tailed Student's *t* test or one way ANOVA followed by Bonferroni correction was used to determine statistical significance between groups when applicable and data were represented as mean  $\pm$  SEM.  $p < 0.05$  was considered statistically significant and  $p < 0.01$  highly significant.

## 3. Results

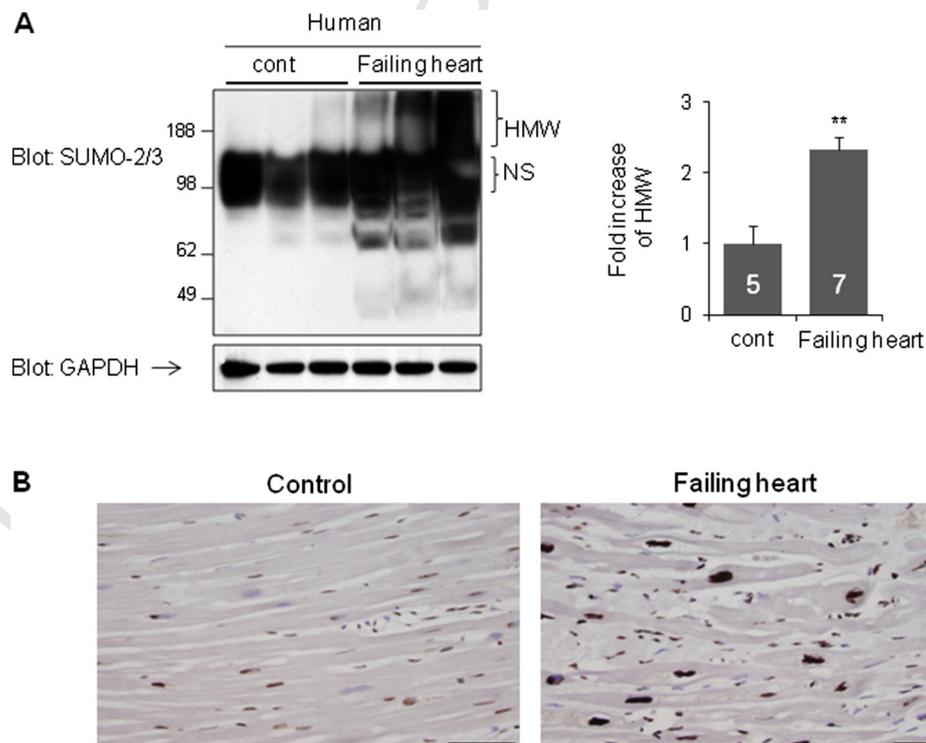
### 3.1. Increased SUMO-2/3 conjugation in human failing hearts

As an initial step to explore if SUMO-2/3 conjugation is implicated in human cardiac muscle disorders, we performed Western blots on the protein lysates purified from five untransplantable healthy human hearts (control) and seven failing hearts caused by idiopathic cardiomyopathy, the diagnosis of which was confirmed by clinical symptoms and cardiac functional analysis, to evaluate the changes in SUMO-2/3 conjugation in these samples. As shown in Fig. 1A and SF1, increased levels of SUMO-2/3 conjugates (high molecular weight conjugates, HMW) were observed in the human failing heart muscles,

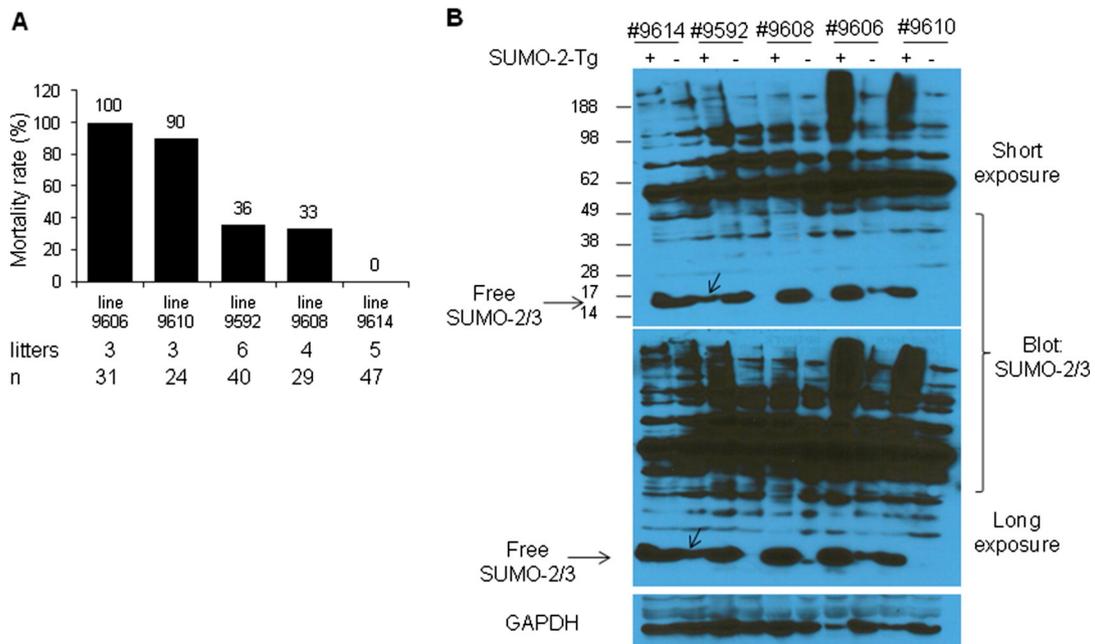
while they were barely detected in the control heart samples. Consistent with this finding, immunobiochemistry also revealed enhanced SUMO-2/3 staining in human cardiomyopathic heart samples compared with the control ones (Fig. 1B). Thus, SUMO-2/3 conjugation was altered under pathological conditions in the human failing hearts, although its role in the development of cardiac muscle disorders was not clear.

### 3.2. Elevation of SUMO-2 conjugation in murine hearts leads to cardiomyopathy

To investigate whether increased SUMO-2/3 conjugation in the heart was just an irrelevant event, or had any beneficial/detrimental impacts on cardiac function, we generated six independent transgenic mouse lines that expressed constitutively active SUMO-2-GG in the hearts under the control of murine cardiac  $\alpha$ -myosin heavy chain (MHC) promoter. The mice from four lines (#9606, #9610, #9592, #9608) were confirmed to develop cardiomyopathy with various severities and mortality rate (Fig. 2A). The expression of SUMO-2 transgene in these SUMO-2-Tg hearts was also confirmed (Fig. 2B). The levels of increased SUMO-2/3 conjugation in the heart were correlated to the phenotypic severity/mortality rate of SUMO-2-Tg line. For instance, line #9606, which showed the highest mortality rate, exhibited the highest levels of SUMO-2/3 conjugation, followed by line #9610. Line #9614 mice, which showed the least cardiac phenotypic severity, exhibited the least increase in SUMO-2/3 conjugation in the heart. The SUMO-2-Tg mice from line #9601 also had premature death, but due to the fact that we lost this line without obtaining sufficient number of animals (see below), this line was not further analyzed. The brief summary of phenotypic manifestation of each of these SUMO-2-Tg mouse lines was presented below:



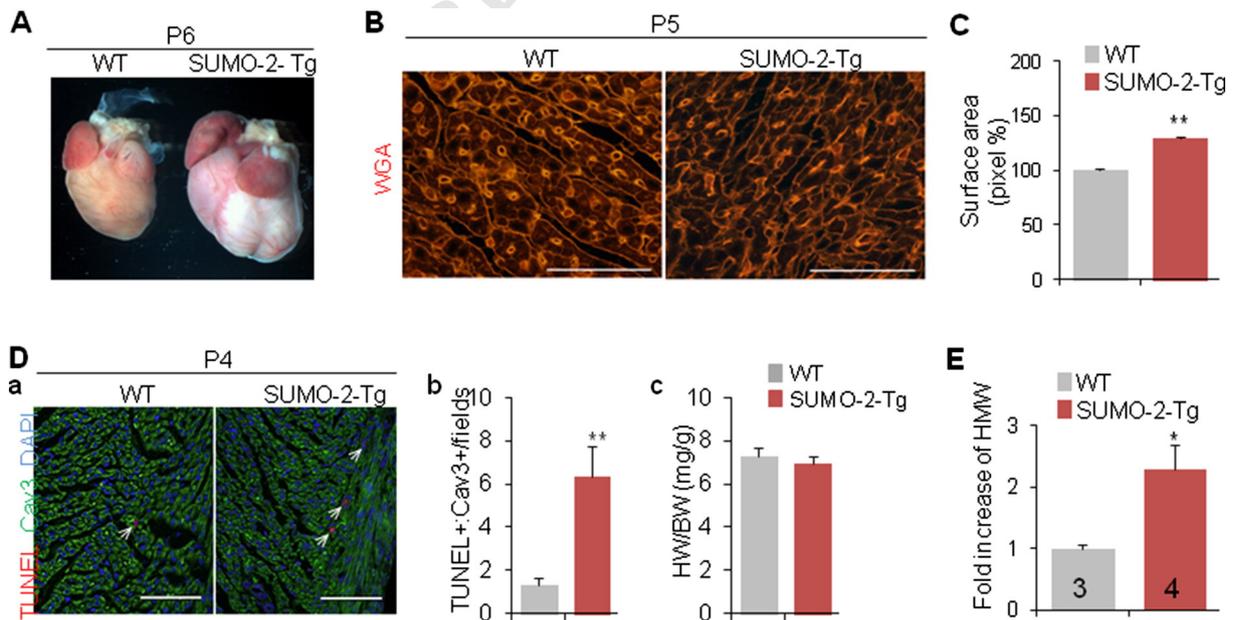
**Fig. 1.** Increased SUMO-2/3 conjugation in human failing hearts. A. Western blots were performed on protein lysates purified from the left ventricles (LV) of human control and failing hearts caused by idiopathic cardiomyopathy. Left panels: blotted with anti-SUMO-2/3 (up) and anti-GAPDH (down) antibodies, respectively. HMW, high molecular weight conjugates. Right panel is the statistical analysis of the right panel and Supplemental Fig. 1 (SF1). \*\* $p < 0.005$ . n, the number of samples in each experimental group was indicated within each bar. B. Immunohistochemistry showed enhanced SUMO-2/3 staining in human control and failing heart tissues. Experiments were performed on five human control and failing hearts and nuclei were count-stained with hematoxylin. Representative data were shown. Magnification,  $\times 400$ .



**Fig. 2.** Mortality rate analysis in five independent SUMO-2-Tg mouse lines. A. The mortality rate was recorded within one year after birth. The number of litters and animals from each line was indicated under each bar. B. SUMO-2/3 conjugation was increased in the SUMO-2-Tg mouse hearts. Western blot was performed on heart protein lysates purified from mice from five independent mouse lines as indicated. Upper panel, anti-SUMO-2/3; lower panel, anti-GAPDH. HMW, high molecular weight conjugates. Arrows indicate protein bands caused by the overflow from the neighboring lane during sample loading.

**Q15** Line #9606: Mice of this Tg line had the most severe phenotypes, and the mortality rate analysis from three litters of totally 31 animals showed 100% premature death of those Tg+ pups before P10 with enlarged hearts (Figs. 2A and 3A). WGA staining showed slightly but significantly enlarged sizes of cardiomyocytes in Tg+ hearts compared with those in littermate controls at P5 (Fig. 3B and C). Apoptosis was significantly increased prior to a change in heart weight (HW) to body weight (BW) ratio (Fig. 3D),

indicating a pathogenic role of apoptosis in SUMO-2-induced cardiomyopathy. At a later stage P7, although a more substantial increase in apoptosis was observed in the Tg+ heart, TEM examination revealed no significant changes such as swelling in the mitochondrial structure of the Tg+ heart (SF2). The increased levels of SUMO-2 conjugates in Tg+ hearts from this Tg line were equivalent to those observed in the human cardiomyopathic hearts (Fig. 3E).



**Fig. 3.** Line #9606 mice had a severe cardiac phenotype leading to early postnatal death. A. SUMO-2-Tg heart was significantly larger than the littermate control heart at P6. B and C. WGA staining showed slightly but significantly enlarged size of cardiomyocytes of SUMO-2-Tg hearts compared with the control hearts at P5. Bar, 50  $\mu$ m. C is the statistical analysis of B. \*\* $p < 0.001$ . D. Increased TUNEL positive cells preceded the significant change in heart weight (HW)/body weight (BW) ratio. TUNEL staining was performed on heart sections from SUMO-2-Tg and littermate controls at P4 (a and b), at which time HW/BW ratio of SUMO-2-Tg mice was comparable to that of littermate control (c). Bar, 200  $\mu$ m. E. The level of HMW of SUMO-2-Tg hearts was equivalent to that observed in the human cardiomyopathic hearts. Quantitative analysis of those HMW conjugates was measured as in Fig. 1A. The number inside each bar represents the number of samples used for the analysis of each group.

Line #9610: Mice of this Tg line exhibited the second most severe phenotypes. Over 90% of Tg + mice analyzed from three litters of totally 24 animals died before P90. Non-invasive cardiac functional analysis performed at ~P60 showed that these Tg mice exhibited left ventricular (LV) mass/BW ratio and significantly decreased %EF and FS%. Consistent with the finding of increased LV mass, Tg + cardiomyocytes were enlarged compared with control cardiomyocytes (Fig. 4B), suggesting cardiac hypertrophy. Masson's trichrome staining revealed extensive interstitial fibrosis in Tg + mouse hearts compared with that in control hearts (Fig. 4C).  
 Line #9592: The mortality rate analysis from 6 litters of totally 40 animals collected from this Tg line showed that 36% Tg mice died within one year after birth (Fig. 2A). The surviving Tg + mice gradually developed cardiac hypertrophy over duration of one year, with increased LV mass/BW ratio and LVPW/d (SF3. A and B), although no significant impairment in cardiac contractility in Tg + mice was observed in comparison with that in control mice (data not shown), indicating a compensatory stage of cardiac hypertrophy at this age. The transcription levels of a number of cardiac disease markers such as ANF, BNP,  $\beta$ -MHC, and skeletal alpha actin were significantly elevated, while  $\alpha$ -MHC transcripts were decreased, as revealed by the real time PCR (SF3. C), in agreement with the development of cardiac muscle disorders.  
 Line #9608: The mortality rate analysis from four litters of totally 29 animals from this Tg line showed that 33% of Tg + mice died within one year after birth. The surviving Tg + mice gradually developed cardiomyopathy with compromised cardiac functions analyzed by echocardiography (Supplement Table 1). The time course of developing cardiomyopathy of this Tg line was similar to that of the line #9592.  
 Line #9614: Analysis from five litters of totally 47 animals of this line showed no premature death of Tg mice within one year after birth, and the cardiac functions of Tg + mice measured at ~P404 showed at equivalent levels compared with those of littermate controls (data not shown).  
 Line #9601: The founder 9601 (male) had very low fertility, and gave birth to totally three litters of 11 animals, including only two positive pups. The first Tg + pup was used for transgene expression

analysis, which showed expression of flag-SUMO-2-GG and increased SUMO-2 conjugation in the heart (data not shown). The second Tg + pup died at P2. This line was excluded from the study due to insufficient number of Tg + animals.

### 3.3. SUMO-2 promotes apoptotic process in cultured cardiomyocytes 429

Since increased apoptosis preceded the heart enlargement (Fig. 3D), we wondered if SUMO-2 conjugation could directly regulate apoptosis in cardiomyocytes. SUMO-2-GG or SUMO-2- $\Delta$ GG (unconjugatable mutant of SUMO-2) was introduced into cultured cardiomyocytes via adenovirus (Ad) infection. Ad-mediated GFP expression alone was used as a control. As expected, SUMO-2-GG, but not SUMO-2- $\Delta$ GG, significantly increased SUMO-2 conjugates (Fig. 5A). The immunostaining against Annexin-V, which marks the early stage of apoptotic process, was performed on those cardiomyocytes 36 h after infection. As shown in Fig. 5B and C, the number of double positive (Annexin-V +/GFP +) cardiomyocytes in the Ad-SUMO-2-GG group was significantly higher than that of either Ad-GFP control group or Ad-SUMO-2- $\Delta$ GG group. Also, we compared the SUMO-2/3 conjugation level in Ad-SUMO-2-GG-infected cardiomyocytes with that in line #9606 mouse heart, which showed increased apoptosis (Fig. 3D). While the similar amount of proteins was loaded for both samples, it seems that transgenic heart showed more robust modification than the cardiomyocytes overexpressing SUMO-2, although the free SUMO-2 levels in these two samples were comparably expressed (Fig. 5D). These findings suggest that increased SUMO-2 conjugation, but not free SUMO-2 itself, promotes or initiates apoptotic process. It is also very possible that SUMO-2/3 conjugation in the heart is mediated by the microenvironment such as local neurohormonal activity.

### 3.4. SUMO directly targets calpain-calpastatin proteolytic system 453

Since increased SUMO-2 conjugation led to elevated apoptosis, we hypothesized that SUMO targeted one or more factors that are implicated in the apoptosis pathway. It is well documented that the Capns, a family of calcium-dependent cysteine proteases that are widely distributed among tissues, along with their natural and highly specific endogenous inhibitor CAST, are involved in apoptotic processes [22]. We therefore asked if any of the components of the calpain-calpastatin proteolytic system was a SUMO substrate. We first identified CAST as a novel

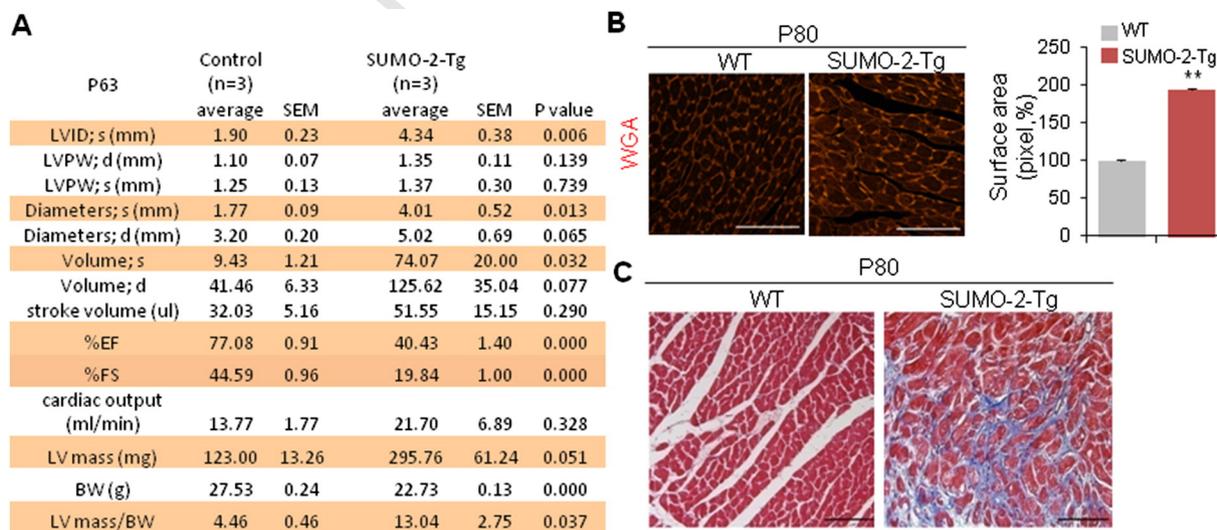
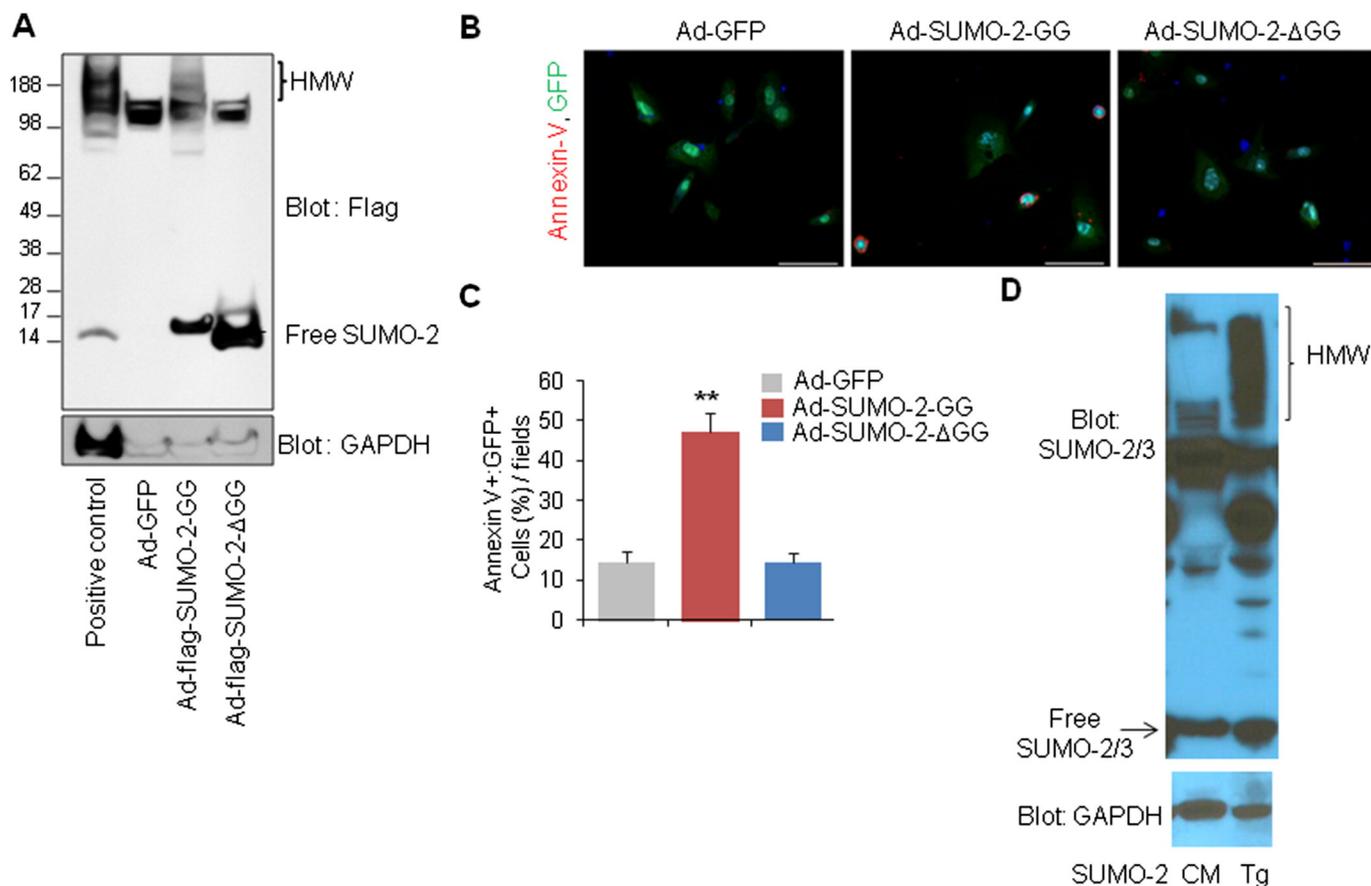


Fig. 4. Line #9610 mice exhibited cardiac hypertrophy with compromised cardiac function. A. Non-invasive echocardiography was performed on age-matched control and SUMO-2-Tg mice with p value shown on each cardiac function index. Note that compared with control mice, SUMO-2-Tg mice exhibited elevated LV mass/BW ratio and decreased %EF and %FS.  $p < 0.05$  was considered significant. B. WGA staining showed a significant increase in the size of cardiomyocytes of SUMO-2-Tg hearts compared with its littermate controls at P80.  $**p < 0.001$ . Bar, 100  $\mu$ m. C. Masson's trichrome staining revealed massive fibrosis in the SUMO-2-Tg hearts. Bar, 200  $\mu$ m.

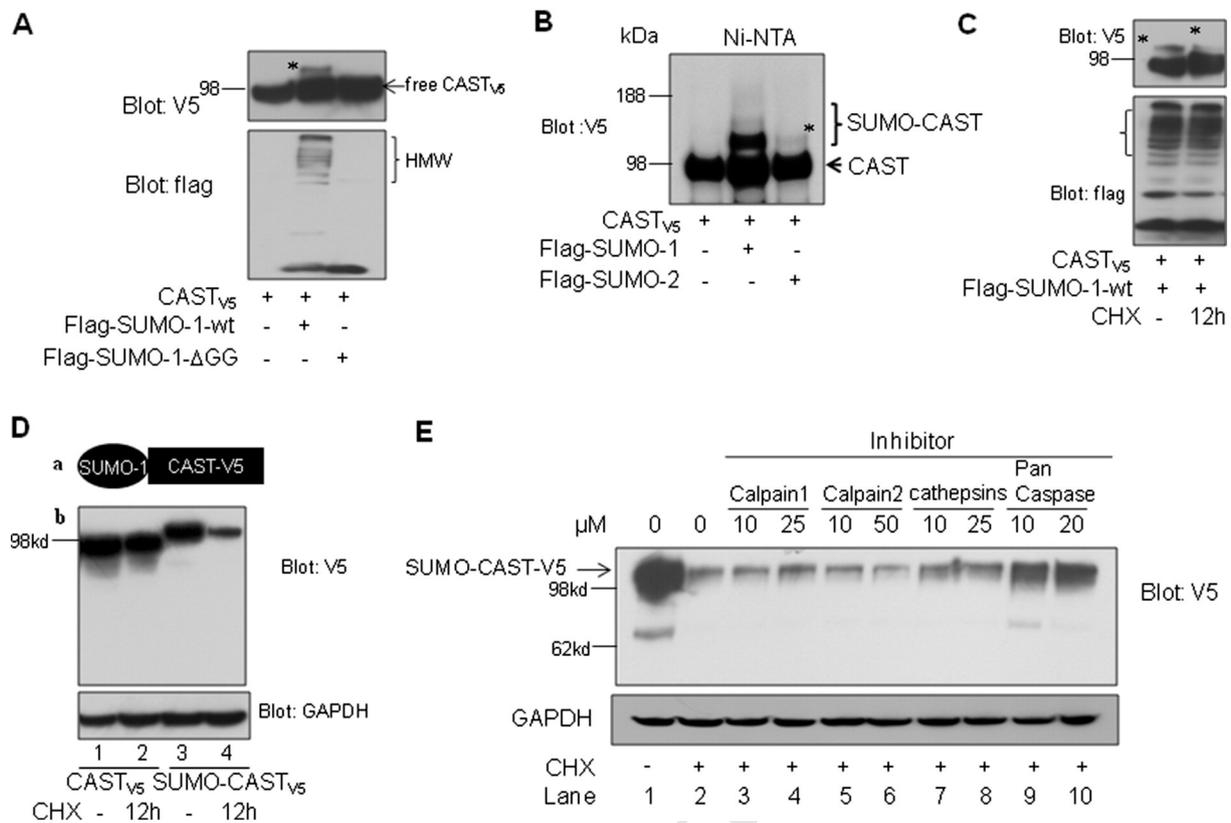


**Fig. 5.** SUMO-2 directly regulated apoptotic process in cardiomyocytes. **A.** Overexpression of SUMO-2-GG in cardiomyocytes led to increased SUMO-2 conjugation. Western blot was performed on cell lysates purified from cardiomyocytes with adenovirus-mediated expression of GFP alone, flag-tagged SUMO-2-GG or SUMO-2-ΔGG, respectively. Positive control: HeLa cells transfected with SUMO-2 expression vector. Upper panel: blotted with anti-flag antibody; lower panel: blotted with anti-GAPDH-HRP as a control. **B.** Overexpression of SUMO-2-GG in cardiomyocytes led to increased Annexin-V staining. Immunofluorescence staining against Annexin-V was performed on cardiomyocytes expressing GFP alone, SUMO-2-GG or SUMO-2-ΔGG, respectively. Annexin-V, red; GFP, green. Bar, 50 μm. **C.** Statistical analysis of Annexin-V staining shown in **B.** n = 3 for each group. \*\*p < 0.001. The number of double positive (Annexin-V+/GFP+) cells was chosen from at least 100 cells on randomly selected fields. All adenoviral constructs were delivered at MOI = 100. **D.** Comparison of the levels of SUMO-2/3 conjugation in SUMO-2-Tg hearts (#9606 line) and cultured cardiomyocytes expressing Ad-flag-SUMO-2-GG. It appears that a more robust SUMO-2/3 conjugation was seen in Tg heart than in cultured cardiomyocytes (CM), given a even less loading in Tg group than in cardiomyocyte group based on GAPDH.

462 SUMO substrate as shown in Fig. 6A. Western blot was performed on  
 463 HeLa cell lysates transfected with V5-tagged CAST alone, or together  
 464 with SUMO-1-wt or SUMO-1-ΔGG (defective in conjugation). The pres-  
 465 ence of SUMO-1-wt, but not SUMO-1-ΔGG, promoted the appearance of  
 466 a retarded migratory band. SUMO conjugation to CAST was further con-  
 467 firmed by Ni-NTA assays (SF4). In the cultured HeLa cells, CAST was a  
 468 weak SUMO-2 substrate compared with SUMO-1 (Fig. 6B). We also  
 469 found that SUMO conjugated CAST exhibited a shorter half-life than  
 470 free CAST as shown in Fig. 6C, in which 12 h treatment of cycloheximide  
 471 (CHX), a protein synthesis inhibitor, promoted turnover of SUMO-  
 472 conjugated CAST but not free CAST. To further confirm this observation,  
 473 we tested turnover of SUMO-ligated V5-tagged-CAST (SUMO-CAST-V5)  
 474 in the absence or presence of CHX for 12 h. Indeed, CHX treatment de-  
 475 creased the level of SUMO-CAST-V5, but not the level of free CAST-V5  
 476 (Fig. 6D). Since CAST can be cleaved by a number of proteases, we next  
 477 sought to find which protease(s) was responsible for the cleavage of  
 478 SUMO-attached CAST. Specific inhibitors for calpain 1, calpain 2, cathep-  
 479 sins and pan-caspase with two dosages each were tested in the presence  
 480 of CHX treatment for 16 h, and we found that only pan-caspase inhibitor  
 481 significantly slowed turnover of SUMO-1-CAST-V5 (Fig. 6E, compare  
 482 lanes 9 and 10 with lane 2). SUMO-2 fused CAST exhibited a similar turn-  
 483 over pattern caused by CHX treatment (data not shown). Collectively,  
 484 these data indicated that SUMO conjugation promoted cleavage of  
 485 CAST by caspases. Also, it appears that most of caspases contributed  
 486 more or less to this degradation, because only pan-caspase inhibitor,  
 487 but not any other individual caspase inhibitors tested substantially

488 stabilized SUMO-CAST-V5 (data not shown). In addition to the increased  
 489 turnover of SUMO-CAST, we also found that SUMO fusion to CAST al-  
 490 tered subcellular distribution of CAST. As shown in Fig. 7A and B, free  
 491 CAST mainly exhibited diffused distribution pattern in the cytoplasm,  
 492 however, the majority of SUMO-CAST showed aggregated (dotted) pat-  
 493 tern of cytoplasmic distribution. Taken together, SUMO conjugation  
 494 alters cytoplasmic distribution pattern of CAST, and promotes its  
 495 turnover.

496 Capn2, one of the two major Capns that were extensively studied,  
 497 was previously identified as a SUMO substrate, and SUMO conjugation  
 498 enhanced its ability to promote cell mobility [29]. We confirmed that  
 499 SUMO targeted Capn2 on lysine 390 (data not shown). To probe if  
 500 SUMO conjugation to Capn2 could positively mediate apoptotic path-  
 501 way, Ad-GFP, Ad-Capn2, Ad-SUMO-2 fused Capn2 (Ad-SUMO-Capn2),  
 502 or Ad-Capn2-K390R (unsumoylatable mutant) were introduced into  
 503 the cultured cardiomyocytes and up to 36 h after infection, Annexin-  
 504 V staining was performed. In agreement with the previous report  
 505 [29], K390R mutant showed less activity than Capn2 wt in promoting  
 506 Annexin-V staining in cardiomyocytes (Fig. 8A and B), and SUMO-  
 507 Capn2 possessed the most potent capability to activate the apoptotic  
 508 pathway. To further evaluate the changes in the enzymatic activity  
 509 of Capn2 once SUMO-conjugated, cultured cardiomyocytes were in-  
 510 fected with Ad-GFP, Ad-Capn2, Ad-SUMO-Capn2, or Ad-Capn2-  
 511 K390R, in the presence of the synthetic substrate, t-BOC-LM-CMAC  
 512 for 30 min, which is visualized by calpain via a cleavage-dependent  
 513 manner [35]. As shown in Fig. 8C, Capn2 increased fluorescence from



**Fig. 6.** SUMO targeted CAST for turnover. **A.** CAST was modified by SUMO. Western blot was performed on HeLa cell lysates overexpressing V5-tagged CAST (CAST-V5) alone, or in the presence of either flag-epitoped SUMO-1-wt or SUMO-1-ΔGG, the latter which is the unconjugatable form of SUMO-1. Note that that a retarded species (SUMO-1 modified CAST, asterisk) was only detected in the presence of both CAST-V5 and SUMO-1-wt, but not in the presence of both CAST-V5 and SUMO-1-ΔGG. Upper panel: anti-V5 blot; lower panel: anti-flag blot. HMW, high molecular weight conjugates. **B.** CAST was a weak SUMO-2 target in HeLa cells. Ni-NTA pulldown assay was performed on HeLa cells transfected with 6× His tagged CAST-V5 alone, or in the presence of either SUMO-1 or SUMO-2, as indicated. The blot was probed with anti-V5 antibody. **C.** SUMO conjugation promoted CAST turnover. Western blot was performed on HeLa cells expressing both CAST-V5 and SUMO-1-wt with or without cycloheximide (CHX) treatment (10 μg/ml) for 12 h as indicated. Note that the level of SUMO-conjugated CAST was significantly decreased after 12 h CHX treatment, while no obvious changes in the level of free CAST in these two groups. Asterisks indicate the sumoylated CAST. **D.** SUMO-fused CAST (SUMO-CAST) exhibited quick turnover. **a.** Schematic representation of SUMO-fused CAST-V5. **b.** Western blot was performed on HeLa cell lysates expressing either CAST-V5 or SUMO-CAST-V5 in the presence or absence of CHX for 12 h, as indicated. Note that while CAST-V5 showed no significant changes in the protein levels after 12 h CHX treatment (compare lane 1 with lane 2, upper panel), SUMO-CAST-V5 showed a significant decrease at protein levels after CHX treatment (compare lane 4 with lane 3, upper panel). GAPDH served as a control. **E.** SUMO-CAST was cleaved by caspases. HeLa cells were transfected with SUMO-CAST-V5 in the absence (lane 1) or the presence of CHX for 16 h. Various protease inhibitors with two dosages were added into the culture medium together with CHX from lane 3 to lane 10 as indicated. Note that only pan-caspase inhibitor was able to delay the turnover of SUMO-CAST-V5 (compare lanes 9 and 10 with lane 2 treated with vehicle). Upper panel: anti-V5; lower panel: anti-GAPDH-HRP as a control.

514 t-BOC-LM-CMAC as expected, and mutation of lysine 390 to arginine  
515 partially repressed its enzymatic activity. SUMO-Capn2 exhibited  
516 highest enzymatic function among these three tested. Thus, we con-  
517 clude that SUMO attachment to Capn2 substantiates its enzymatic  
518 activity, thus leading to increased capacity to activate the apoptotic  
519 pathway.

### 520 3.5. Increased SUMO-2/3 conjugation to CAST and Capn2 in human 521 cardiomyopathic heart muscles

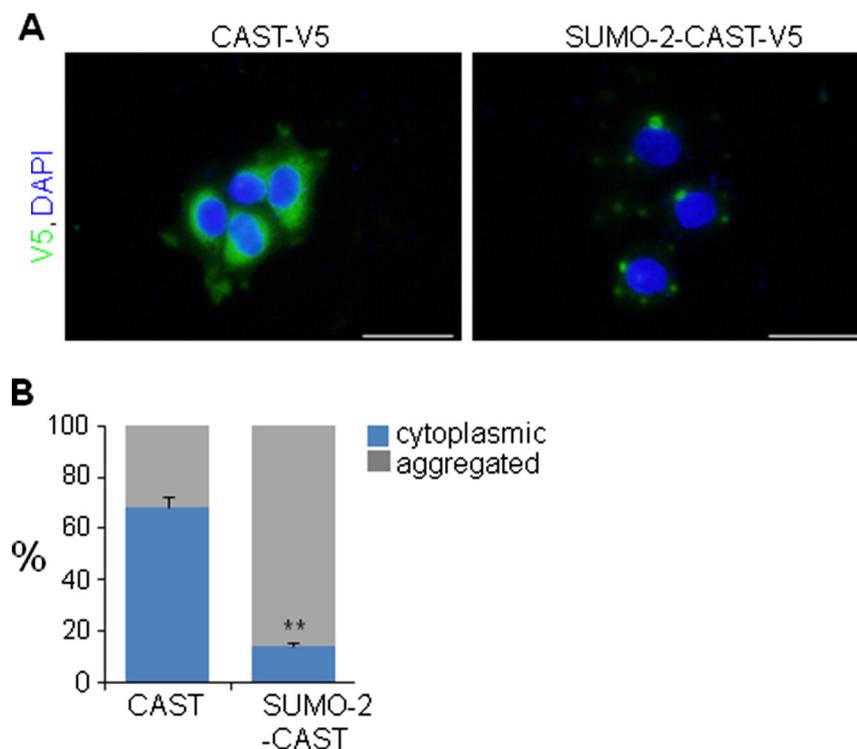
522 We next investigated if SUMO-2/3 conjugation to CAST and Capn2  
523 altered in the muscle-diseased human hearts. Co-IP was performed on  
524 two control and two diseased human hearts with SUMO-2/3  
525 antibody-conjugated beads, and the blot was subsequently probed  
526 with anti-Capn2 antibody or anti-CAST antibody, respectively. Clearly,  
527 **Q18** two specific bands above 98 kDa were detected by anti-Capn2 antibody  
528 in both diseased heart samples but not in the either negative control  
529 (only containing binding buffer and SUMO-2/3-conjugated beads) or  
530 control heart samples (Fig. 9A), indicating increased SUMO-2/3 con-  
531 jugation to Capn2. Similarly, SUMO-2/3 conjugated CAST was detected  
532 in both diseased heart samples but not in the control samples  
533 (Fig. 9B). Thus, we argue that in the cardiomyopathic hearts, SUMO-2/

3 conjugation to Capn2 and CAST is elevated, which may play a role in  
534 disease development/progression. 535

## 536 4. Discussion 536

### 537 4.1. SUMO-2/3 conjugation and cardiomyopathy 537

538 Cardiomyopathy represents a major health threat and is a leading  
539 cause of heart failure [36]. Two main types of cardiomyopathy are dilat-  
540 ed and hypertrophic cardiomyopathy. The exact mechanism underlying  
541 these cardiomyopathies is poorly understood, although it is believed  
542 that multiple factors, including genetic factors and environmental cues,  
543 play important roles in disease initiation and development. In the pres-  
544 ent study, we reported an increase in SUMO-2/3 conjugation in human  
545 failing hearts and recapitulated cardiomyopathy phenotype in the mu-  
546 rine model by using the gain-of-function approach, i.e., increasing the  
547 levels of SUMO-2 conjugation in cardiomyocytes. Furthermore, the se-  
548 verity of cardiac phenotypes was shown to be associated with the levels  
549 of SUMO-2 conjugation in the heart. Thus, we provided the direct evi-  
550 dence that links SUMO-2 conjugation to the development/progression  
551 of cardiomyopathy and heart failure. In contrast to this finding, an in-  
552 crease in SUMO-2/3 conjugation was observed in the transient ischemic  
553 brain [6–8] and was proposed to be protective [10,37]. While a short



**Fig. 7.** SUMO fusion altered intracellular localization pattern of CAST. A, CAST-V5 or SUMO-fused CAST-V5 in HeLa cells were visualized by immunostaining using anti-V5 antibody (green fluorescence). DAPI (blue fluorescence) was used for nuclear staining. Note the diffused pattern of CAST (left panel) and the aggregated pattern of SUMO-CAST (right panel) in cytoplasm. Bar indicates 50  $\mu$ m. B, Bar graph indicates the percentage of cells with diffused (CAST-V5) or aggregated (SUMO-CAST-V5) localization, respectively. Data were collected from four independent experiments. \*\* $p < 0.001$ .

554 term or transient increase in pan-SUMO conjugation might be beneficial  
 555 against certain particular insults, the consequence of a long term  
 556 increase in SUMO-2/3 conjugation alone had never been investigated.  
 557 Our data indicated that the increase in SUMO-2 conjugation in the  
 558 long run may be detrimental to heart function. We noted that cardiac  
 559 specific overexpression of SUMO-1 did not cause any discernible  
 560 phenotype(s) at baseline activity [14,16]. One possible explanation for  
 561 this is that SUMO-1 and SUMO-2 may modify different substrates, or  
 562 modify the same targets with different affinity in vivo under certain cir-  
 563 cumstances, subsequently leading to various functional outcomes. We  
 564 also noted that the SUMO-2-Tg mice (line #9606) with the most severe  
 565 heart phenotype exhibited an equivalent increase in SUMO-2 conjuga-  
 566 tion compared with that observed in the human failing hearts. Given  
 567 the long term nature of development of human heart failure and the  
 568 acute disease manifestation of line 9606, it is possible that the sensitivity  
 569 to increased SUMO-2/3 conjugation is species-dependent. In human, it is  
 570 currently not clear whether the elevated SUMO-2/3 conjugation was an  
 571 intrinsic nature down the road from cardiomyopathy to heart failure, or  
 572 it was just related to any particular clinical treatments the patients re-  
 573 ceived. However, since an increase in SUMO-2 conjugation is harmful  
 574 to cardiac function as shown in our SUMO-2 gain-of-function models,  
 575 our findings collectively raise the possibility that SUMO-2/3 conjugation  
 576 may be a potential therapeutic target.

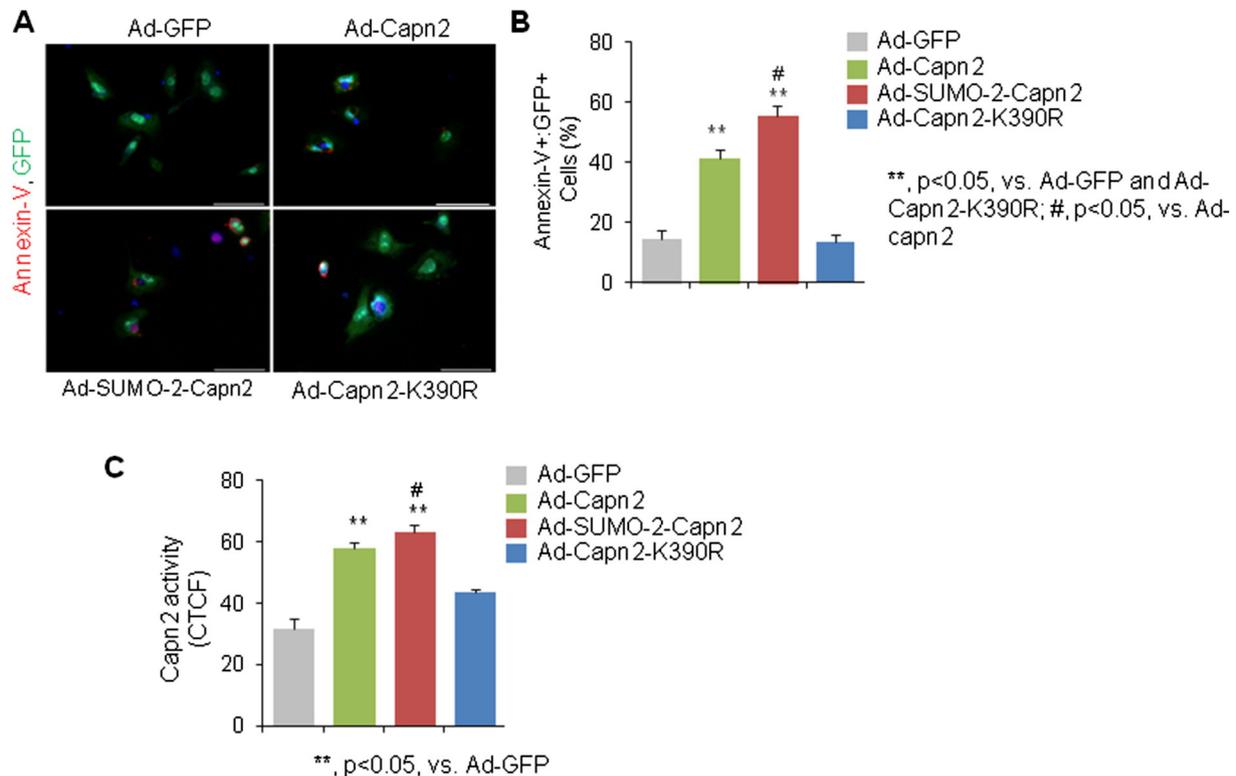
577 Since overexpression of SUMO-2 did not significantly alter the trans-  
 578 cripts of endogenous SUMO-1 and SUMO-3 (data not shown), we be-  
 579 lieved that cardiomyopathy observed in the SUMO-2-Tg mice was  
 580 solely attributable to SUMO-2 conjugation. In human and mouse hearts,  
 581 little SUMO-2/3 conjugation was observed during basal activity, but was  
 582 substantially potentiated under certain pathological circumstances. This  
 583 observation is consistent with the previous report showing that SUMO-  
 584 2/3 responded well to the external stimuli in vitro [20]. It is tempting to  
 585 further interrogate whether the increase in SUMO-2/3 conjugation is a  
 586 common phenomenon in other cardiac myopathic models, and the

587 importance of this increase in the initiation/development of cardiac  
 588 muscle disorders warrants further investigation using loss-of-function  
 589 mouse models.

590 We observed an increase in apoptosis in SUMO-2-Tg hearts and in  
 591 cultured cardiomyocytes overexpressing SUMO-2, indicating the poten-  
 592 tial implication of cell death in the progression of cardiomyopathy as-  
 593 sociated with SUMO-2 overexpression. Since the overexpressed SUMO-2-  
 594 GG, but not the mutant SUMO-2- $\Delta$ GG, in cultured cardiomyocytes pro-  
 595 moted apoptotic process, we concluded that it was the SUMO-2 conjuga-  
 596 tion, but not SUMO-2 itself, that was critical in the cell death  
 597 process and cardiomyopathy development. Thus, it is highly likely that  
 598 the functional consequence of a globally elevated SUMO-2 conjugation  
 599 may depend on the primary targets, and any pathological stimuli that  
 600 shift the substrates for conjugation even without significantly changing  
 601 the global levels of SUMO-2 conjugation may result in different func-  
 602 tional outcomes. In addition, we also observed cardiac hypertrophy in-  
 603 duced by increased SUMO-2, suggesting a potential implication of  
 604 SUMO-2 in cardiac remodeling. We are currently investigating this  
 605 issue.

#### 4.2. Modulation of calpain–calpastatin proteolytic system by SUMO-2 606

607 Calpain–calpastatin system plays an important role in mediating the  
 608 apoptotic process. Our findings suggested that SUMO-2 targeted at least  
 609 two components of this system. CAST, the natural inhibitor of Capns,  
 610 was a novel SUMO substrate identified by our study, and SUMO attach-  
 611 ment promoted its turnover and altered its nature of subcellular distri-  
 612 bution, subsequently decreasing its inhibitory impact on Capns. CAST  
 613 had a relatively stable half-life [38], although it can be cleaved/degraded  
 614 by a number of proteases including calpains, cathepsin and caspases  
 615 [39–41]. Interestingly, SUMO-linked CAST was mainly cleaved by  
 616 caspases, but not by other proteases tested, indicating that SUMO conju-  
 617 gation to CAST increased its sensitivity to caspases and/or decreased its



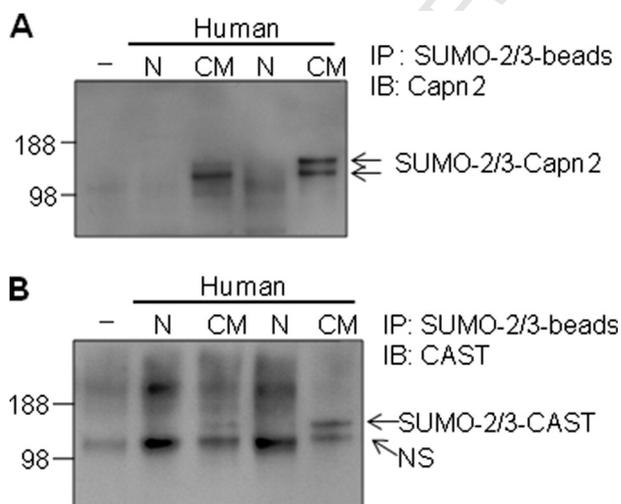
**Fig. 8.** SUMO-fused Capn2 promoted its enzymatic activity and apoptotic process. **A.** Overexpression of SUMO-fused Capn2 (SUMO-Capn2) promoted apoptotic process. Annexin-V immunofluorescence staining was performed on cardiomyocytes 36 h after adenovirus-mediated expression of GFP alone, Capn2, SUMO-Capn2 or Capn2-K390R (unsumoylatable form of Capn2), respectively. Red, Annexin-V; green, GFP. Bar, 50  $\mu$ m. **B.** Statistical analysis of **A.** \*\* $p < 0.001$  vs. Ad-GFP; # $p < 0.005$  vs. Ad-Capn2. **C.** SUMO-Capn2 exhibited higher enzymatic activity than Capn2. Cultured cardiomyocytes were infected with adenovirus expressing empty vector, Capn2, SUMO-Capn2 or Capn2-K390R, respectively, as shown in **A.** Synthetic substrate, t-BOC-LM-CMAC, was visualized in a cleavage-dependent manner by calpain. Randomly selected cells (at least over 100 cells/field) were scored by double positive signals of GFP and t-BOC-LM-CMAC. Note that SUMO-2 fusion enhanced proteolytic activity of Capn2, while SUMO site mutation attenuated the enzymatic activity of Capn2. Data were collected from three independent experiments. \*\* $p < 0.005$  vs GFP, # $p < 0.005$  vs Capn2.

618 sensitivity to other proteases. The mechanisms underlying this sensitivity  
619 change merit further investigation. MG132, the proteasome-  
620 associated degradation inhibitor, also slightly but significantly inhibited  
621 the turnover of SUMO-fused CAST (data not shown), indicating that the  
622 proteasome-associated protein degradation was also involved in its

623 facilitated turnover to some degree. Thus, it appears that the stability  
624 of SUMO conjugated CAST was regulated via at least two means: cas-  
625 pase cleavage and proteasome-associated degradation.

626 A previous study reported Capn2 as a SUMO target on lysine 390  
627 [29], and SUMO conjugation to Capn2 potentiated, but SUMO site muta-  
628 tion (K390R) repressed, its ability to promote cell mobility [29]. In  
629 agreement with the above observations, SUMO-2-fused form of Capn2  
630 exhibited elevated enzymatic activity and promoted apoptotic process  
631 in cultured cardiomyocytes, as evidenced by more significantly incre-  
632 ased Annexin-V staining in SUMO-2-Capn2 group compared with  
633 the free Capn2 group. Interestingly, Capn2 was the only SUMO substrate  
634 among the Capn family members we tested; Capn1, Capn3 and Capn4  
635 were not SUMO targeted (data not shown), indicating a unique regula-  
636 tion of Capn2 activity by the SUMO conjugation pathway. Since the  
637 SUMO conjugation pathway is governed by a number of enzymes, it  
638 will be interesting to probe whether there is any crosstalk between  
639 any of those enzymes involved in the SUMO conjugation pathway  
640 such as SUMO E3 ligases and/or SENPs and calpain-calpastatin proteo-  
641 lytic system.

642 In the present study, we used SUMO fused form to study the func-  
643 tions of SUMO modified CAST and Capn2. Although SUMO fused sub-  
644 strates are not identical to the native SUMO conjugated substrates, it  
645 has been widely used in the SUMO field [42,43], mainly due to the tech-  
646 nical difficulty with purifying a sufficient amount of functionally active  
647 SUMO conjugated targets for functional analysis.



**Fig. 9.** Increased SUMO conjugation of Capn2 and CAST in the human cardiomyopathic heart muscles. Co-IP was performed on protein lysates extracted from two control and two diseased human left ventricles using SUMO-2/3 conjugated beads, followed by Western blot probed with anti-Capn2 antibody (**A**) or anti-CAST antibody (**B**). -, negative control group, which only contained binding buffer and SUMO-2/3 conjugated beads. N, normal heart; CM, cardiomyopathy; NS, non-specific.

#### 4.3. SUMO conjugation and apoptosis

648  
649 The crosstalk between the SUMO conjugation pathway and the apo-  
650 ptosis pathway has been previously explored in a number of studies. For

instance, an elevated SUMO conjugation was reported to protect cells from oxidative stress-induced apoptosis [44,45]. However, some other reports favored the notion that enhanced SUMO conjugation promoted apoptosis. For example, the increased SUMO-1 conjugation was linked to cell death induced by external stimuli such as H<sub>2</sub>O<sub>2</sub> [46] or by treatment with progesterone receptor antagonists [47]. A more recent report suggested that knockout of SENP1, an isopeptidase that performs deconjugation functions, led to elevated SUMO conjugation and increased ER stress-induced apoptosis [48]. Our findings provided the evidence that the enhanced SUMO-2 conjugation promoted cell death. Although we revealed that SUMO-2 conjugation altered the activity of calpain-calpastatin system, we cannot rule out the possibility that SUMO-2 linkage to other substrates, such as caspases [49,50], may also play a role in the apoptotic process. Surprisingly, either increase or decrease in the levels of SENP2, a potent desumoylation enzyme, failed to significantly alter the cardiomyocyte survival status [14,51]. The exact mechanisms underlying this observation are not known. Possibly, under basal activity, little SUMO conjugation to the targets such as Capn2 and CAST was present, as shown in the control hearts; SUMO conjugation to these targets only increased under pathophysiological condition. Thus, altering the SENP2 levels under baseline conditions would not exert any significant effects on apoptosis. It is also possible that the subcellular localization of SENP2 may prevent it from efficiently “contacting” these SUMO modified targets to perform its desumoylation duties. It is highly likely that the functional interplay between the SUMO conjugation pathway and the cell death pathway is context/substrate dependent.

In conclusion, our studies demonstrate that SUMO-2/3 conjugation is involved in regulation of apoptosis at least partially via targeting calpain-calpastatin proteolytic system. Two major components of this system, calpain 2 and calpastatin, are SUMO substrates. SUMO-2 represses the function of calpastatin via inducing its turnover, while it potentiates the enzymatic activity of calpain 2, therefore subsequently increasing the proteolytic activity of this system. We further uncovered the elevated global SUMO-2/3 conjugation accompanied by increased levels of SUMO-2/3-attached calpain 2 and calpastatin in the human cardiomyopathic heart muscles. Collectively, our current findings suggest that the SUMO-2/3 conjugation pathway plays a pathogenic role in the development of cardiomyopathy and heart failure, and this pathway may serve as a potential novel target for therapeutic intervention in clinic.

## Disclosures

None declared

## Transparency document

The Transparency document associated with this article can be found, in the online version.

## Acknowledgements

We would like to thank Drs. Robert J. Schwartz and James T. Willerson for their support of the work. This work was supported in part by a Beginning Grant-in-Aid from the American Heart Association (grant no. 12BGIA12050174 to J.W.) and by a P30 grant from the Q19 National Institutes of Health as a startup package for J.W.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.bbadis.2015.03.013>.

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