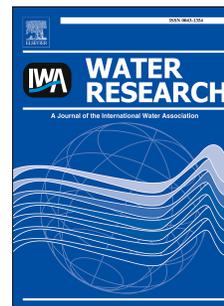


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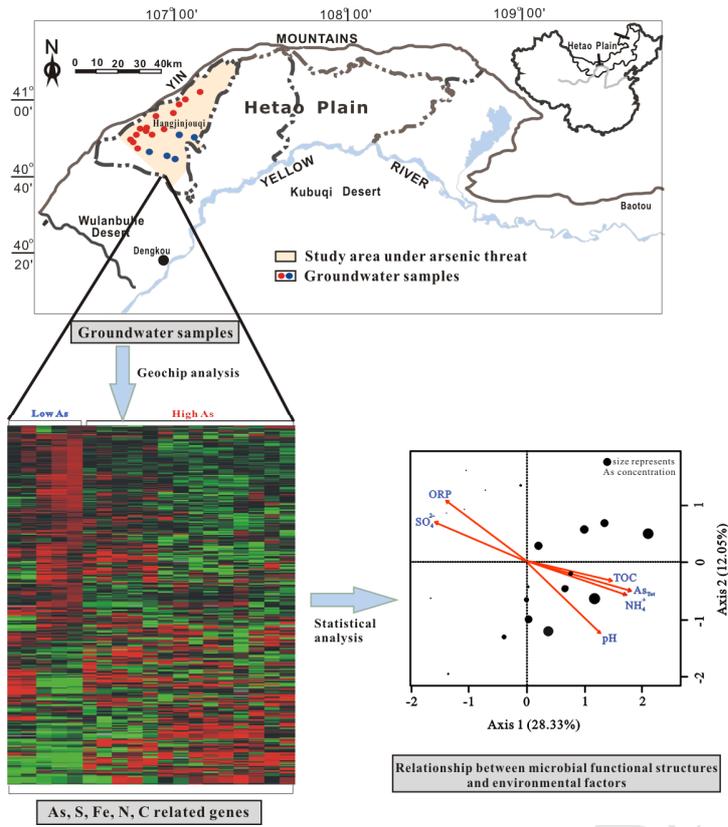
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1 **Analysis of the Functional Gene Structure and Metabolic Potential of Microbial Community in**  
2 **High Arsenic Groundwater**

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20

## 21 Abstract

22 Microbial functional potential in high arsenic (As) groundwater ecosystems remains largely  
23 unknown. In this study, the microbial community functional composition of nineteen groundwater  
24 samples was investigated using a functional gene array (GeoChip 5.0). Samples were divided into low  
25 and high arsenic groups based on geochemical parameters and microbial functional structure in  
26 hierarchical clustering analysis. The results showed that arsenic related genes (*arsC*, *arrA*), sulfate  
27 related genes (*dsrA* and *dsrB*), nitrogen cycling related genes (*ureC*, *amoA*, and *hzo*) and methanogen  
28 genes (*mcrA*, *hdrB*) were highly correlated with arsenic,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$  or  $\text{CH}_4$  concentrations in  
29 groundwater, respectively. Canonical correspondence analysis (CCA) results indicated that some  
30 geochemical parameters including As, total organic content,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ , oxidation-reduction potential  
31 (ORP) and pH were important factors shaping the functional microbial community structure. Alkaline  
32 and reducing conditions with relatively low  $\text{SO}_4^{2-}$ , ORP, and high  $\text{NH}_4^+$ , as well as  $\text{SO}_4^{2-}$  and Fe  
33 reduction and ammonification involved in microbially-mediated geochemical processes could be  
34 associated with arsenic enrichment in groundwater. This study provides an overall picture of functional  
35 microbial communities in high arsenic groundwater aquifers, and also provides insights into the critical  
36 role of microorganisms in As biogeochemical cycling.

37 **Keywords:** Arsenic, Groundwater, Functional genes, GeoChip5.0, Inner Mongolia

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39

## 40 1. Introduction

41 Arsenic (As) in groundwater is a serious environmental issue due to its widespread distribution  
42 and high toxicity, which threatens the health of millions of people in many countries such as  
43 Bangladesh, West Bengal, China, Cambodia, Japan, Argentina, Chile and USA (Pi et al. 2017;  
44 Schaefer et al., 2016; Michael, 2013; Rodríguez-Lado et al., 2013; Nordstrom 2002, Fendorf et al.,  
45 2010; Chakraborti et al., 2010). Long term ingestion of arsenic groundwater can result in arseniasis,  
46 which causes many chronic diseases including cardiovascular, respiratory diseases, and skin, lung, liver  
47 and kidney cancers (Chen, 2014; Chung et al., 2013). Over the last few decades, numerous studies  
48 focusing on hydrology, mineralogy, and geochemistry have been undertaken to detect As mobilization  
49 and determine transformation mechanisms in high arsenic groundwater aquifers. The explanation of As  
50 generation in groundwater aquifers is not trivial due to the complex sets of hydrogeological conditions  
51 and biogeochemical processes within the aquifers. The absorbed and sequestered arsenic on Fe

52 oxides/hydroxides is one of the most common As reservoirs in some sedimentary basins. Reductive  
53 dissolution of the Fe oxide minerals in sediments, as well as reduction of As(V) to highly mobile As(III)  
54 leads to the release of bound As into the groundwater (Guo et al., 2011; Kocar et al., 2010; Deng et al.,  
55 2009). Generation of HS<sup>-</sup> by sulfate reduction was proposed to promote As mobilization through HS<sup>-</sup>  
56 abiotic reductive dissolution of the Fe oxide minerals and formation of As-sulfur compounds in high  
57 HS<sup>-</sup> concentration water (Wang et al., 2014; Stauder et al., 2005). In addition, anthropogenic activities  
58 such as agricultural irrigation which releases chemicals including organic matter, nitrogen, and other  
59 chemicals into groundwater systems, were found with important roles on arsenic release in  
60 groundwater (Weng et al., 2017; Gao et al., 2014; Neidhardt et al., 2012; Itai et al., 2008; Bose et al.,  
61 2002). Previous studies showed that As mobilization and transformation could be ascribed to the  
62 complex interactions between microbes and geochemical processes and that microbes are likely to play  
63 key roles in driving the biogeochemical cycle in high arsenic groundwater aquifers (Chen et al., 2017;  
64 Zhang et al., 2015; Ghosh et al., 2013; Gorra et al., 2012; Mumford et al., 2012; Kocar et al., 2010;  
65 Barringer et al., 2010; Sutton et al., 2009).

66 Understanding microbial community structures and their associations with geochemical processes  
67 is one of the central topics in microbial ecology. Previous studies investigated microbially-mediated  
68 arsenic transform and release mechanisms using pure microbial cultures under laboratorial conditions  
69 (Flynn et al., 2014; Sutton et al., 2009; Saalfield and Bostick 2009). Recently, with the methods of  
70 sequencing technologies and qPCR, some studies explored the diversity and structure of in situ  
71 microbial communities and functional genes of arsenic reducing and oxidizing bacteria, sulfate  
72 reducing bacteria and methanogens in high As groundwater aquifers including Bangladesh, Cache  
73 Valley Basin Utah, Jiangnan and Hetao Plain of China (Ye et al., 2017; Chen et al., 2017; Mirza et al.,  
74 2017; Wang et al., 2016; Wang et al., 2015; Hassan et al., 2015; Li et al., 2015; Li et al., 2014; Sultana  
75 et al., 2011). These previous studies provided useful but limited information on the mechanisms of  
76 microbially-mediated arsenic transformation and mobilization in groundwater aquifers. It is still not  
77 fully understood which functional microbial populations mediate arsenic geochemical processes *in situ*.  
78 However, microbial communities in groundwater aquifers contaminated with high levels of arsenic are  
79 complex and largely unknown. Therefore, there is an urgent need to more fully investigate the  
80 functional potential of microbial communities in these As contaminated aquifers. In this study, we used  
81 GeoChip 5.0, a powerful and high-throughput technology which targets more than 1,400 functional  
82 gene families, and involves in geochemical cycling (N, C, S, and P), metal homeostasis, and organic

83 contaminant degradation genes (Van Nostrand et al., 2016) to investigate the functional potential  
84 microbial communities in high As groundwater aquifers.

85 Our study area is located in Hetao Plain, an arid region with annual precipitation 140-180 mm and  
86 evaporation from 2,000 to 2,500 mm. About half of the soils are saline, due to strong  
87 evapotranspiration (Deng et al., 2009). It has been one of the largest irrigation districts using delivered  
88 Yellow River water. The groundwater for drinking is taken from the late Pleistocene and Holocene  
89 layer in a complex organic-rich reductive environment which was detected with many gases such as  
90 H<sub>2</sub>S and CH<sub>4</sub> (Li et al., 2014; Tang et al., 2017). The water chemical types were mostly Na(-Mg)-Cl(-  
91 HCO<sub>3</sub>) and Na-Mg-HCO<sub>3</sub>. Most high-As groundwater in the region occurs at the depth 20-30 m.  
92 Groundwater from this area contains As concentrations up to 1.74 mg/L (Deng et al., 2009), which  
93 greatly exceeds the upper limit (10 µg/L) recommended by world health organization guidelines (WHO,  
94 2011).

95 The primary objectives of this study were to: (1) investigate the groundwater chemistry with  
96 respect to As and other components, characterize the high arsenic groundwater geochemistry  
97 comparing to the low arsenic samples. (2) examine functional diversity and structure of the *in situ*  
98 microbial communities; (3) assess the metabolic potential of microbial communities, and (4) determine  
99 the relationships between the functional microbial community and environmental factors in arsenic-rich  
100 aquifers. To achieve these objectives, a coordinated geochemical and molecular survey was conducted  
101 for 19 groundwater samples in Hangjinhouqi County, Inner Mongolia, China. The results of this study  
102 fill a much needed knowledge gap regarding the relationship between the functional microbial  
103 community and geochemical processes in natural arsenic aquifers and expand the current  
104 understanding of microbial ecology in these aquifers.

105

## 106 **2. Materials and Methods**

### 107 2.1 Site description

108 The study site is located in the western part of the Hetao Basin (the Great Bend of Yellow River)  
109 Inner Mongolia, China (Figure. 1) in an area that has been seriously affected by arsenic poisoning (Wei  
110 et al., 2016; Wade et al., 2009). The Basin was formed at the end of the Jurassic Period and contains  
111 fine clastic sediments. Frequent channel changes deposited sediments and generated oxbow lakes  
112 replete with accumulated humus and organic mud. The targets of this study are shallow aquifers which  
113 are composed of late Pleistocene and Holocene alluvial and lacustrine deposits. Groundwater is

114 recharged by lateral flowing groundwater from bedrocks, vertical infiltrating meteoric water, and/or by  
115 irrigation return flow and leakage from the Yellow River from the south. Discharge occurs mainly via  
116 evapotranspiration and pumping. These aquifers have been widely used for drinking water by local  
117 residents. Our case study was performed in Hangjinhouqi County (HC) in the western part of the Hetao  
118 Plain. Local residents have been drinking the arsenic contaminated groundwater for over 30 years.  
119 Some of the residents have been affected with serious skin cancer which was caused by arseniasis (Wei  
120 et al., 2016; Wade et al., 2009).

## 121 2.2 Sample collection and field measurements

122 Nineteen groundwater samples were collected from tube wells with a depth range of 20-30 m in  
123 June 2013 from nine towns in Hangjinhouqi County (Figure 1) and named S1-19 based on arsenic  
124 concentration. The groundwater samples consisted of one from Manhui (sample S1), three from Shahai  
125 (sample S2, 5 and 19), one from Sizhi (sample S3), five from Sandaoqiao (sample S4, S6, S15, S17 and  
126 S18), one from Shanba (sample S7), four from Taiyangmiao (sample S8, 9, 10 and 12), one from  
127 Shuangmiao (sample S11), two from Erdaoqiao (sample S13 and S14), and one from Menghai (sample  
128 S16) (Figure 1). Water samples were pumped out and then filtered or acidified. The tubing was flushed  
129 with copious amounts of the sampling groundwater before each use. Water pH, electronic conductivity  
130 (EC), oxidation-reduction potential (ORP), total dissolved solids (TDS) and dissolved oxygen (DO)  
131 were measured in the field at the site of water collection using a hand-held meter (Horiba W-23X D,  
132 Japan). Concentrations of ammonium, sulfide, ferrous iron (FeII) and total iron were also determined in  
133 the field with a Hach spectrophotometer (DR850, Hach Corp., USA) according to the manufacturer's  
134 instructions. Samples used for laboratory measurements, e.g., cations, anions and dissolved organic  
135 carbon (DOC) were filtered through 0.22  $\mu\text{m}$  mixed cellulose ester membranes and then the filtrates  
136 were collected into 50 mL acid-washed polypropylene bottles or brown glass bottles. Water samples  
137 for cations and DOC were acidified with 1% v/v  $\text{HNO}_3$ . AsIII (arsenite) and AsV (arsenate) species  
138 were separated with an anion exchange cartridges (Supelco, USA) (Li et al., 2013). Microbial samples  
139 were collected by on-line filtering of 5-10 L water through 0.22- $\mu\text{m}$  filters (Millipore). Filtered  
140 biomass-containing membranes were placed in 50 mL sterile tubes and immediately stored in dry ice.  
141 All microbial samples (biomass-containing membranes) were immediately frozen and stored on dry ice  
142 in the field and during transportation, and then kept at  $-80\text{ }^\circ\text{C}$  in laboratory until further analyses.

## 143 2.3 Geochemistry measurements

144 Anion and As measurements were performed according to Li et al. (2013). Briefly, pre-separated  
145 AsIII and AsV were determined by ion chromatography-hydride generation atomic fluorescence  
146 spectrometry (IC-HG-AFS-9700, Haiguang, China). Anions, including  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NO}_2^-$  and  $\text{Cl}^-$  were  
147 determined by ion chromatography (DX-120, Dionex, USA). Dissolved organic carbon (DOC), total  
148 carbon (TC) and total nitrogen (TN) of the samples were determined using a TOC analyzer (Vario  
149 MICRO cube, Elemental, Germany), respectively. Samples for  $\text{CH}_4$  determination were collected in  
150 100 mL brown serum bottles without filtration, which were fully filled with no headspace and sealed  
151 with gas impermeable butyl rubber septum stoppers, secured with a 20-mm aluminum seal. Two drops  
152 of saturated mercury chloride were added to the samples to retard microbial activity. Samples were  
153 kept on blue ice during transportation and then kept in 4 °C in laboratory before analysis. The head  
154 space was created by purging with pure helium (99.999%) (Johnson et al., 1990). Soluble  $\text{CH}_4$   
155 concentrations were analyzed from the head space by gas chromatography (Thermo Trace Ultra) at the  
156 Third Institute of Oceanography, State Oceanic Administration. All samples were run in triplicate and  
157 then averaged.

#### 158 2.4 DNA extraction and quantitation

159 In the laboratory, DNA extractions for all groundwater were conducted using FastDNA spin kits  
160 for soil (MP Bio, USA) according to the manufacturer's manual. DNA yield was quantified based on  
161 spectral absorbance at 260 nm, ratios of 260/280 nm and 260/230 nm, respectively (Thermo Scientific  
162 NanoDrop 2000), and then stored at -80 °C until required for PCR amplification. The final DNA  
163 concentrations were quantified by PicoGreen, using a FLUO star Optima instrument (BMG Labtech,  
164 Jena, Germany).

#### 165 2.5 GeoChip analysis

166 The new generation of functional gene array (GeoChip 5.0) was used to analyze the functional  
167 potential of microbial samples. The purified DNA (500 ng) was labelled with Cy 3 as described  
168 previously (Sun et al., 2014). Briefly, the labeled DNA was re-suspended in hybridization solution, and  
169 then hybridized in an Agilent hybridization oven at 67 °C for 24 h. After hybridization, the slides were  
170 washed with buffers to remove unbound DNA. The arrays were scanned with a NimbleGen MS200  
171 Microarray Scanner (Roche NimbleGen, Inc., Madison, WI, USA). The images were extracted by the  
172 Agilent Feature Extraction program. Poor quality spots with a signal-to-noise ratio of less than 2.0 were  
173 removed before statistical analysis. The positive signals were normalized within each sample and  
174 across all samples.

## 175 2.6 Statistical analysis

176 Pre-processed GeoChip data were further analyzed by the Vegan package in R 3.1.1  
177 (<http://www.r-project.org/>) unless otherwise stated. Hierarchical clustering was performed with  
178 CLUSTER 3.0 using uncentered correlations and the complete average linkage for both genes and  
179 environmental variables, and trees were visualized in TREEVIEW (de Hoon et al., 2004). Functional  
180 gene diversity was calculated using Simpson's 1/D, Shannon-Weiner's H' and evenness. The effects of  
181 arsenic on functional microbial communities were analyzed by response ratio (RR) using the formula  
182 described by Zhang et al (2013). Based on the standard error, the 90% confident interval for each  
183 response variable was obtained and the statistical difference between the high arsenic and low arsenic  
184 groups was estimated. The total abundance of each gene category or family was simply the sum of the  
185 normalized intensity for the gene category or family. Three non-parametric multivariate analyses  
186 (analysis of similarities (ANOSIM), non-parametric multivariate analysis of variance (ADONIS) using  
187 distance matrices, and multi-response permutation procedure (MRPP)) were used to examine whether  
188 arsenic has significant effects on microbial communities. Canonical correspondence analysis (CCA)  
189 and partial CCA for co-variation analysis (variation partitioning analysis, VPA) were performed to link  
190 microbial communities to environmental variables (Low et al., 2016; Zhou et al., 2008). Selection for  
191 CCA modeling was conducted by an iterative procedure of eliminating redundant environmental  
192 variable based on variance inflation factor (VIF).

193

## 194 3. Results

### 195 3.1 Sample characteristics and chemical composition

196 The groundwater geochemical parameters were measured. Total arsenic ( $As_{Tot}$ ) concentrations  
197 ranged from 38.93 to 863.42  $\mu\text{g/L}$  (Table S1), and were positively correlated with  $\text{NH}_4^+$ , TOC,  $\text{CH}_4$  and  
198 the ratio of Fe(II/III) ( $r=0.917$ ,  $p<0.001$ ;  $r=0.785$ ,  $p<0.001$ ;  $r=0.807$ ,  $p<0.001$ ;  $r=0.582$ ,  $p<0.01$ ,  
199 respectively) (Figure 2, Table S2). Negative correlations were observed between  $As_{Tot}$  and sulfate and  
200 nitrate concentrations, and ORP ( $r=-0.699$ ,  $p<0.001$ ;  $r=-0.669$ ,  $p<0.01$ ;  $r=-0.734$ ,  $p<0.01$ , respectively)  
201 (Figure 2, Table S2). Hierarchical clustering analyses separated the geochemistry of the 19 samples  
202 into two groups (Figure 3). The first group contained five samples (S1-5), which were characterized by  
203 relatively low arsenic (38.93-92.17  $\mu\text{g/L}$ ), relatively high oxidation reduction potential (ORP), and low  
204 TOC, FeII, and  $\text{NH}_4^+$ . The remaining 14 samples were grouped together, and were characterized by  
205 relatively high arsenic concentrations (157.71-863.42  $\mu\text{g/L}$ ). Most samples in this group were either

206 neutral or slightly alkaline in pH. Some samples in the group had a strong hydrogen sulfide smell,  
207 consistent with their high sulfide concentrations and low ORP (Table 1). Samples with high arsenic  
208 concentrations generally had low concentrations of sulfate, negative ORP, and relatively high  
209 concentrations of As<sup>III</sup>, Fe<sup>II</sup>, CH<sub>4</sub>, NH<sub>4</sub><sup>+</sup> and TOC contents. These geochemical characteristics  
210 indicated that strong reducing conditions prevailed in the high arsenic groundwater. The results of  
211 pairwise comparisons using ANOSIM (bray-cutis) also suggested that there was a significant  
212 difference in geochemistry between these two groups of samples ( $r=0.6526$ ,  $p<0.001$ ) (Table 1).

### 213 3.2 Functional microbial community

214 The functional potential of the microbial communities was measured using a high-throughput  
215 functional gene array, GeoChip5.0. Significant differences were observed in functional microbial  
216 structure between low and high arsenic groups ( $r=0.4776$ ,  $p=0.007$ ) (Table 1). Consistent with  
217 geochemical ordination patterns, CCA showed a differentiation in functional microbial structure  
218 between samples with different levels of arsenic (Figure 4). Samples were mainly divided into two  
219 groups which were characterized by relatively low and high arsenic concentrations, respectively. The  
220 microbial functional structure of samples with low arsenic clustered more tightly than those of the  
221 higher arsenic samples (Figure 3). Similarly, hierarchical clustering analysis showed similar clustering  
222 of microbial community functional structure (Figure S1).

223 The numbers of functional gene probes detected in these 19 groundwater samples were all in the  
224 magnitude of  $10^5$  (Table S3). The functional gene distribution among the samples was variable (Figure  
225 S1). For example, some genes involved in nitrogen cycling, sulfate reduction and methanogenesis from  
226 groups 9, 11 and 12 had a distinctly higher abundance in high arsenic samples than samples with low  
227 arsenic. Arsenic related genes were mainly distributed in group 8, 11 and 12. Three complimentary  
228 non-parametric multivariate statistical tests including MRPP (multi response permutation procedure),  
229 ANOSIM (analysis of similarity), and adonis further confirmed the significant differences of  
230 geochemical patterns and microbial communities between different sample groups (high and low  
231 arsenic groups) (Table 1).

### 232 3.3 Overall functional microbial community structure in relation to geochemistry

233 CCA ordination results showed that six variables, As<sub>Tot</sub>, SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub><sup>+</sup>, pH, ORP, and TOC were  
234 the most significant environmental variables shaping the microbial community structure ( $p=0.021$ ,  
235 Figure 4). The length and direction of vectors represent the influence of a given geochemical vector to  
236 samples. For microbial community samples with high arsenic, As<sub>Tot</sub>, TOC and NH<sub>4</sub><sup>+</sup> were the most

237 significantly correlated environmental factors, while ORP and  $\text{SO}_4^{2-}$  were correlated with low arsenic  
238 samples. To better understand the influence of the relative contributions from environmental variables  
239 to the microbial community structure, a CCA-based variation partitioning analysis was performed  
240 (Figure S2)(Zhou et al., 2008). Six environmental variables were divided into three group, including  
241 group 1 ( $\text{SO}_4^{2-}$ , ORP), group 2 ( $\text{As}_{\text{Tot}}$ , TOC and  $\text{NH}_4^+$ ) and group three (pH). Both groups 1 and 2  
242 showed significant correlation with the functional gene structure of the community. Group 1 ( $\text{SO}_4^{2-}$ ,  
243 ORP) explained 22.63% ( $p=0.010$ ), and group 2 ( $\text{As}_{\text{Tot}}$ , TOC and  $\text{NH}_4^+$ ) explained 24.98% ( $p=0.010$ ),  
244 the pH environmental variable (group 3) independently explained 17.64% ( $p=0.005$ ) of the observed  
245 variation (Figure S2). About 58.80% of the community functional variation remained unexplained by  
246 the above selected variables.

#### 247 3.4 Functional genes for arsenic cycling

248 Arsenic related genes including arsenic-resistance (*arsC/arsB*), dissimilatory arsenate-reducing  
249 (*arrA*), arsenic-oxidation (*aoxB*) and arsenic methylation genes (*arsM*) were detected in these samples.  
250 Compared with the other arsenic related genes, arsenic-resistance *arsC/arsB* genes were distinctly more  
251 diverse. A total of 855 arsenic-resistance *arsC/arsB* gene sequences derived from 418 bacterial genera  
252 were detected. Of these, 438 genes were shared among all the samples. *arsC* genes with percentages  
253 greater than 2% of the total intensity of *arsC* genes were from *Rhodanobacter*, *Rhodococcus*,  
254 *Stenotrophomonas*, *Aurantimonas*, *Nitrobacter*, *Xanthobacter*, *Agrobacterium*, *Rhodococcus*,  
255 *Acinetobacter*, *Thioalkalivibrio*, and *Mycobacterium* (Table S4). *arsB* genes with percentages higher  
256 than 2% of the total intensity of *arsB* genes were from *Methylobacterium*, *Micromonospora*,  
257 *Ethanoligenens*, *Acidovorax*, *Methylobacterium*, and *Oceanimonas*. Thirty-nine dissimilatory arsenate-  
258 reducing *arrA* genes were detected mainly from *Azoarcus*, *Aromatoleum*, *Chlorobium*, *Thauera*,  
259 *Geobacter*, *Alkaliphilus*, *Desulfonatronospira*, *Desulfitobacterium* and some uncultured bacteria (Table  
260 S4). Of these, 15 genes were shared among all the samples. Thirty-nine arsenic methylation genes  
261 *arsM* were detected and 15 genes were shared among all the samples. A majority (81%) of the *arsM*  
262 probes were derived from *Conexibacter*, *Desulfobalobium*, *Thiocapsa*, *Nitrosomonas*, *Cupriavidus*,  
263 *Leptonema*, *Halomonas* and *Pelotomaculum*. The 96 arsenic-oxidization genes, *aoxB*, detected were  
264 mainly from *Vibrio*, *Acidiphilium*, *Halomonas*, *Variovorax* and *Burkholderia*. Of these, 50 were shared  
265 among all the samples. Multiple arsenic-related genes from the same dissimilatory arsenate-reducing  
266 microorganisms were detected. For example, *arrA* and *arsCBM* derived from the genus *Geobacter*  
267 were detected in the same sample. Similarly, multiple genes derived from *Aromatoleum* (*arrA* and

268 *arsC*), *Azoarcus* (*arrA* and *arsCB*), *Chlorobium* and *Thauera* (*arrA* and *arsB*), *Alkaliphilus* (*arrA* and  
269 *arsM*), and *Desulfotobacterium* (*arrA* and *arsB*) were also detected. The predominated arsenic  
270 metabolic related populations were significantly different with those in high As groundwater of Cache  
271 Valley Basin Utah and Bangladesh (Mirza et al., 2017; Hassan et al., 2015).

272 Most of the arsenic related genes including the arsenic detoxification genes *arsC* and *arsB*, and  
273 the respiratory arsenate (AsV) reductase gene *arrA* had higher relative abundances in the high arsenic  
274 group than low arsenic group (Figure 5). Positive correlations were observed between arsenic  
275 concentration and the number of detected arsenic related genes *arsC* ( $r=0.462$ ,  $p<0.01$ ) and *arrA*  
276 ( $r=0.486$ ,  $p<0.01$ ). There was no correlation between *arsB*, AsIII S-adenosylmethionine  
277 methyltransferase gene *arsM*, arsenite (AsIII) oxidase gene *aoxB* and arsenic concentrations. More  
278 *arsC/arsB* genes were detected than the other genes (Figure 5), such as *arrA* (around 5-8 folds),  
279 indicating that the most common detoxification mechanism was reduction of AsV to AsIII rather than  
280 respiratory arsenate reduction in the high arsenic groundwater aquifers, which was consistent with  
281 results based on phylogenetic information detected with 454 (Li et al., 2015). Similar results were  
282 observed for paddy soils in a previous study (Zhao et al., 2013).

### 283 3.5 Functional genes for sulfur cycling

284 Of the 1211 sulfur related genes detected in this study, most were for sulfur reduction (the average  
285 gene intensity for the *dsrAB* probes was 53.48%, and was 25.33% for *soxY*). Microbial populations  
286 including *Desulfovibrio*, *Desulfobulbus*, *Desulfohalobium*, *Desulfotomaculum*, *Chlorobium*,  
287 *Clostridium*, *Geobacter*, *Magnetospirillum*, *Thiobacillus*, *Thioalkalivibrio*, *Syntrophobacter*,  
288 *Pyrobaculum*, and *Acetobacterium* were frequently detected in samples, while most of the detected  
289 *dsrAB* were from some uncultured sulfate-reducing bacteria. Both arsenic related genes and sulfate  
290 reductions genes were detected from *Desulfohalobium*, *Geobacter*, *Thioalkalivibrio*, and *Chlorobium*.  
291 The diversity of the sulfur reduction population in this study was much higher than that of high arsenic  
292 groundwater in Bangladesh (Gorra et al., 2012). There were positive correlations between arsenic and  
293 sulfur reduction genes (*dsrA* and As:  $r=0.455$ ,  $p<0.01$ ; *dsrB* and As:  $r=0.327$ ,  $p<0.05$ ). These results  
294 were consistent with our previous studies using qPCR (Li et al., 2014).

### 295 3.6 Functional genes for the nitrogen cycling

296 Nitrogen (N) cycling, an important process in high arsenic groundwater, is generally of concern  
297 for its roles of microbial activity (Kurosawa et al., 2013; Natarajan et al., 2009). Previous studies found  
298 that microbial nitrate-dependent oxidation of FeII enhances the immobilization of As in the anoxic

299 environments, ammonium-N could enhance microbial activity, and then improve As release in a  
300 reducing condition (Weng et al., 2017; Kurosawa et al., 2008). There are many genes involved in N  
301 cycling, including those for denitrification (*narG*, *nirS*, *nirK*, *norB*, and *nosZ*), nitrification (*amoA* and  
302 *hao*), dissimilatory N reduction (*napA* and *nrfA*, *nasA* and *nir*), ammonification (*ureC*, *gdh*), anammox  
303 (*hzo*) and N fixation (*nifH*). The dissimilatory N reduction gene (*nasA*) was positively correlated with  
304 arsenic ( $r=0.357$ ,  $p<0.01$ ). The *ureC* gene that transforms organic nitrogen to  $\text{NH}_4^+$  was positively  
305 correlated with arsenic and  $\text{NH}_4^+$  ( $r=0.571$ ,  $p<0.01$ ;  $r=0.323$ ,  $p<0.01$ , respectively). The  $\text{NH}_4^+$   
306 oxidization gene, *amoA*, was marginal negatively correlated with arsenic ( $r=-0.311$ ,  $p<0.05$ ), while the  
307 anammox gene, *hzo*, was positively correlated with arsenic concentration ( $r=0.452$ ,  $p<0.05$ ), which  
308 implies that anaerobic bacterial oxidation might be a survival strategy oxidizing ammonia in high  
309 arsenic groundwaters. The signal intensities were significantly different ( $p<0.05$ ) between the high and  
310 low arsenic groups for the genes *ureC*, *gdh*, *amoA*, *hzo*, *narG* and *norB* (Figure 6). The signal  
311 intensities of the other nitrogen related genes exhibited no significant correlation between arsenic and  
312 nitrate or  $\text{NH}_4^+$  and the intensities in high and low arsenic groups were not significantly different  
313 (Figure 6).

### 314 3.7 Methanogenesis genes

315 The methanogenesis genes detected (248) were mainly from *Methanosaeta*, *Methanoculleus*,  
316 *Methanocella*, *Desulfatibacillum*, and *Moorella*. Among these genes, 59-65% were *mcrA*, encoding the  
317  $\alpha$  subunit of methyl coenzyme M reductase, and *hdrB*, encoding cytoplasmic heterodisulfide reductase.  
318 Most of the methanogenesis genes were more abundant in the high arsenic group samples than in the  
319 low arsenic group. The average abundance of methanogenesis genes was 1.37% in the low arsenic  
320 group and 3.06% in the high arsenic group. Positive correlations were observed between  
321 methanogenesis genes and arsenic ( $\text{As}_{\text{Tot}}$ ) and methane concentrations (*mcrA*:  $r=0.549$ ,  $p<0.05$ ;  $r=0.314$ ,  
322  $p<0.05$ , and *hdrB*:  $r=0.387$ ,  $p<0.05$ ;  $r=0.389$ ,  $p<0.05$ , respectively). These results indicated that  
323 methanogenesis might be an important metabolic process and accelerate As release and accumulation  
324 in high arsenic aquifers, consistent with our previous study (Wang et al., 2015). The composition of  
325 methanogens in this study is totally different with high arsenic groundwater in Bangladesh (Gorra et al.,  
326 2012). The Fifty two methane oxidation genes were detected, mainly from *Methylosinus*, *Methylocapsa*  
327 and some uncultured bacteria (*pmoA*), as well as *Methylomonas*, *Citricella*, *Mesorhizobium* and  
328 *Methylocella* (*mmoX*). There was no correlation between methane oxidation gene abundance and  
329 arsenic concentration.

## 330 3.8 Genes related to phosphorus, carbon degradation, organic remediation and metal resistance

331 There was no correlation between arsenic concentration and the quantity of carbon degradation  
332 genes, although arsenic was positive correlated with TOC contents (Figure 2). A potential explanation  
333 is that dissolved organic matter, such as fulvic or humic acids could form stable complexes with  
334 mineral surfaces, effectively blocking arsenic from adsorption onto minerals, which would improve  
335 arsenic keeping in the aqueous phase (Natarajan et al., 2009). Similarly, no obvious changes in relative  
336 abundance across the nineteen samples were detected between arsenic and phosphorus, organic  
337 remediation genes, or metal resistance genes (data not shown), indicating that organic remediation and  
338 metal resistance mechanisms contributed little to the change observed in the functional microbial  
339 communities.

340

341 **4. Discussion**

342 In this study, a large number of genes with a tremendous diversity of sequences were detected in  
343 our samples. Such functional gene information is useful for assessing the impacts of microbially-  
344 mediated As geochemistry. Our study highlights that the geochemistry is a strong driver of a microbial  
345 community's functional structure, with arsenic being one of the key environmental factors contributing  
346 to the differences in the geochemistry and microbial community structure in groundwater. Groundwater  
347 samples could be divided into well-defined high and low arsenic groups based on both geochemistry  
348 and the functional composition of the microbial communities. Similar results were found in our  
349 previous phylogeny based studies on microbial communities in this sites using high throughput and  
350 traditional sequencing technologies (Wang et al., 2016; Li et al., 2015; Wang et al., 2014; Li et al.,  
351 2013). This could be explained by arsenic release and mobilization. Our study provides evidence for  
352 possible links between functional potential of *in situ* microbial communities and As, S, N and C  
353 chemicals reduction which promoted As release in groundwater. The results are in agreement with  
354 previous studies that reported arsenic enrichment was related to nitrogen, carbon, sulfur and iron  
355 geochemistry, all of which are usually considerable drivers of specific metabolic pathways in microbes,  
356 and the composition of associated microbial communities (ThomasArrigo et al., 2016; Wang et al.,  
357 2016; Li et al., 2015; Ghosh et al., 2015; Wang et al., 2014; Li et al., 2013; Nordstrom, 2002; Kocar et  
358 al., 2010; Xiong et al., 2010). The current investigation supported the finding that alkaline and  
359 reducing conditions with relatively low ORP could be linked to natural arsenic enrichment (Rodríguez-  
360 Lado et al., 2013). Our study indicated that alkaline pH was one of the most important environmental

361 variables shaping the functional microbial community structure in high arsenic samples (Figure 4 and  
362 S2). The elevated pH might be associated with the rise of  $\text{NH}_4^+$  and TOC in high arsenic groundwater.  
363 The pH value of most of the high arsenic samples in this study were slightly alkaline (7.77-8.48).  
364 Water chemical types were mostly  $\text{Na(-Mg)-Cl(-HCO}_3)$  and  $\text{Na-Mg-HCO}_3$ . Most groundwater  
365 samples in our study area are unsaturated with gypsum and close to saturation with respect to calcite  
366 and dolomite (Deng et al., 2009). The rise of  $\text{NH}_4^+$  increased microbial activities (Kiyoshi et al., 2008),  
367 which might in turn promote the biotic dissolution of calcite and dolomite minerals, and then increases  
368 pH value in groundwater. Reducing conditions associated with arsenic, sulfate and iron reduction, have  
369 long been considered the prevailing geochemical processes in arsenic enrichment mechanisms in many  
370 high arsenic groundwater aquifers and some other anoxic natural systems (Wang et al., 2014; Ehlert et  
371 al., 2014; Kocar et al., 2010; Razzak et al., 2009; Deng et al., 2009; Stauder et al., 2005). Reductive  
372 dissolution of Fe oxide minerals and the oxidation of pyrite and mineral phase transition in sediments  
373 were demonstrated to promote the release of bound As into the groundwater in our study area (Zhang et  
374 al., 2017; Guo et al., 2016; Guo et al., 2011). Additionally, As(III) reducing, sulfate reducing and  
375 methanogenesis were proposed to promote As mobilization in the study sites (Dai, et al., 2016; Wang  
376 et al., 2015; Li et al., 2014). Our current study connected the reducing geochemical conditions with  
377 functional gene profiles including As, S, C and N related functional genes in the high arsenic  
378 groundwater aquifers.

379 In this study our data implied that arsenic, sulfate and iron reduction might occur in high arsenic  
380 samples at the same sites (Figure 2). In our previous study demonstrated that indigenous arsenic/iron  
381 reducing bacteria from the high arsenic aquifer could release arsenic from sediments to aqueous phase  
382 (Dai et al., 2016). Moreover, the positive correlation between *dsrAB* gene abundance and As  
383 concentration was found in our study. Some arsenic and sulfate reduction genes from the same  
384 organism were also found, which was in agreement with previous findings that some microorganisms  
385 are capable of reducing both arsenic and sulfate (Macy et al., 2000; Newman et al., 1997). In high  
386 arsenic groundwater aquifers, arsenic, sulfur and iron often coexist and can be important for arsenic  
387 transformation and mobilization (Kocar et al., 2010; Saalfield and Bostick 2009). The homeostasis of  
388 dissolved arsenic may be controlled by biotic reduction of Fe-(hydr)oxides and FeIII minerals and the  
389 solubility of sulfide phases (Wang et al., 2014; Xiong et al., 2010). Biotic sulfate reduction could drive  
390 reductive dissolution of Fe-(hydr)oxides as well as arsenic reduction, resulting in increased As release  
391 and mobilization when As is not incorporated into iron sulfides (Li et al., 2014).

392 Ammonification is an important biological process providing N in high arsenic groundwater by  
393 transforming organic nitrogen to inorganic nitrogen. In high arsenic groundwater aquifers,  $\text{NH}_4^+\text{-N}$  was  
394 found in high concentration. The gene *ureC* was in relatively high abundance in high arsenic  
395 groundwater samples in this study (Figure 6). Although there is no direct evidence of  $\text{NH}_4^+\text{-N}$  in  
396 groundwater from agriculture in our study sites, previous studies indicated that the  $\text{NH}_4^+\text{-N}$  (mainly  
397 urea) in some other high arsenic groundwater aquifers is from fertilizer in agriculture practices (Weng  
398 et al., 2017; Uddin and Kurosawa 2014; Mayorga et al., 2013; Itai et al., 2008). Our current evidence  
399 of  $\text{NH}_4^+$  accumulation in high arsenic groundwater might be due to the higher abundance of genes such  
400 as *ureC* that transform organic nitrogen to  $\text{NH}_4^+$  and the lower abundance of  $\text{NH}_4^+$  utilization genes  
401 (*hzo* and *gdh*) (Figure 6). The rise in ammonium-N concentration, as well as elevated TOC, might  
402 enhance microbial activities, which in turn would lower ORP, and then enhance arsenic reduction,  
403 microbial reduction of Fe(III) oxides/hydroxides, and sulfate reduction which improved As  
404 mobilization through dissolution of Fe(III) oxide minerals or formation of As-sulfur compounds in high  
405  $\text{HS}^-$  concentration water (Kurosawa et al., 2008; Deng et al; 2009; Wang et al., 2014). This might  
406 explain why  $\text{NH}_4^+$  was significantly correlated with arsenic concentrations in groundwater aquifers. In  
407 addition, organic matter from agricultural irrigation was reported to release into groundwater in this  
408 study area (Gao et al., 2014). Previous studies proposed high concentrations of TOC might be in favor  
409 of microbial mediated As enrichment (Uddin and Kurosawa 2014; Mayorga et al., 2013; Barringer et al.  
410 2010; Rezza et al. 2010). These results suggested again that human agriculture activities might be one  
411 of the most important factors of improving microbial activities, causing arsenic contamination in  
412 groundwater (Gao et al., 2014; Neidhardt et al., 2012).

413 It is worth noting that genes specific for anammox were detected, indicating that ammonium and  
414 nitrite can be converted directly into nitrogen gas via anammox pathways in high arsenic groundwater.  
415 Anammox can happen without requiring substantial amounts of organic-C, reduced iron, or mineral  
416 phase electron donors. Groundwater hydrology could create expanded zones suitable for anammox  
417 communities and widespread spatial distribution of anammox activity (Smith et al., 2015). Arsenic  
418 concentrations were positively correlated with anammox gene numbers, suggesting anammox was a  
419 survival strategy oxidizing ammonia in high arsenic groundwaters. However, the importance of  
420 anammox in groundwater aquifers had not been considered previously. Further tracer studies of the  
421 anammox pathway in the groundwater might help elucidate nitrogen cycling in this ecosystem.

422

**423 5. Conclusion**

424 Our results reveal that environmental variables account for the majority of variation in microbial  
425 functional potential. This study provides evidence for possible links between As, S, N and C related  
426 microbial functional gene abundances and As geochemistry. Alkaline and reducing conditions as well  
427 as  $\text{SO}_4^{2-}$  and Fe reduction and ammonification associated with microbially-mediated geochemical  
428 processes could be linked to arsenic enrichment in groundwater in this study area. These results suggest  
429 that the indigenous microbial communities could have a significant role in arsenic release and  
430 transformation in high arsenic groundwater aquifers of the Hetao Basin, Inner Mongolia. This study  
431 expands our current understanding of microbial ecology in high arsenic aquifers.

432

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437

**438 Supporting Materials**

439 Additional information: Figure S1-2, Table S1-4.

440

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633

634 **Tables**

635 Table 1. Significance test of the overall microbial community structures and geochemical patterns  
 636 between different sample groups using three statistical analyses. Samples S1-5 with relatively low  
 637 concentration of arsenic were grouped together and sample S6-19 with higher arsenic were included  
 638 into another group.

Method	Geochemical parameters <sup>I</sup>		Microbial community	
	Statistic	P-value	Statistic	P-value
MRPP <sup>II</sup>	0.4708	0.002	0.0469	0.018
ANOSIM <sup>III</sup>	0.6526	0.001	0.4776	0.007
Adonis <sup>IV</sup>	0.3852	0.001	0.1264	0.060

639 Abbreviations: ANOSIM, analysis of similarity; MRPP, multi response permutation procedure. All  
 640 three tests are non-parametric multivariate analyses based on dissimilarities among samples.

641 <sup>I</sup>Geochemical parameters included DO (dissolved oxygen), ORP (oxidation reduction potentials), As<sub>Tot</sub>  
 642 (total arsenic), As<sub>III</sub> (arsenite), Fe<sub>Tot</sub> (total dissolved iron), Fe<sub>II</sub> (ferrous iron), TOC (total organic  
 643 carbon) and etc (see table S1).

644 <sup>II</sup>Multiple response permutation procedure.

645 <sup>III</sup>Analysis of similarities.

646 <sup>IV</sup>Non-parametric multivariate analysis of variance (MANOVA) with the adonis function.

647 **Figure captions**

648 Fig. 1. Geographic map of Hangjinhouqi County (HC), Inner Mongolia of China. Map showing  
649 location of study area (HC) and the sampling sites. Numbers 1-19 refer to groundwater samples S1-19.

650 Fig. 2. Variation of arsenic concentrations with (a) AsIII,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and  $\text{NH}_4^+$  (b) pH and ORP,  
651 (c) TOC,  $\text{CH}_4$  and ratios of FeII to FeIII in the groundwater sample S1-19 from Inner Mongolia.

652 Fig. 3. Hierarchical cluster analysis of geochemical parameters of sample S1-19 from Inner Mongolia.

653 Results were generated in CLUSTER and visualized using TREEVIEW. Red indicates signal  
654 intensities below background, whereas blue indicates signal intensities above background. Brighter  
655 blue color indicates higher signal intensities. Sample S1-5 with lower arsenic concentrations clustered  
656 together and were well separated from other samples S6-19 with higher arsenic concentrations. EC:  
657 electrical conductivity; DO: dissolved oxygen, ORP: oxidation reduction potentials; TDS: total  
658 dissolved solids,  $\text{As}_{\text{Tot}}$ : total arsenic; AsIII: arsenite;  $\text{Fe}_{\text{Tot}}$ : total dissolved iron, FeII: ferrous iron; TOC:  
659 total organic carbon, C/N: ratio of total carbon to total nitrogen.

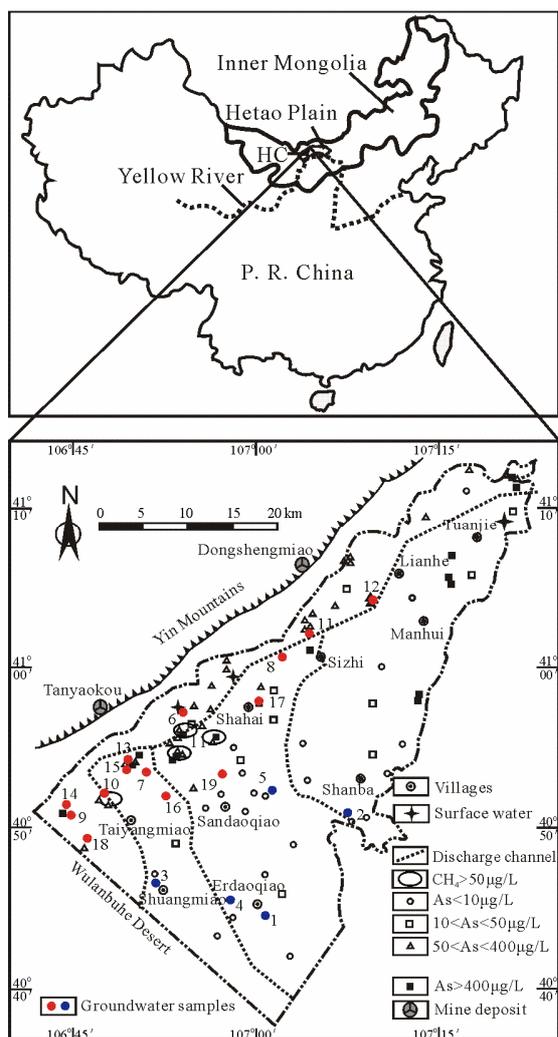
660 Fig. 4. Canonical correspondence analysis (CCA) analysis of GeoChip data (symbols) and  
661 environmental variables (arrows). Environmental variables were chosen based on significance  
662 calculated from individual CCA results and variance inflation factors (VIFs) calculated during CCA.  
663 The percentage of variation explained by each axis is shown, and the relationship is significant  
664 ( $P=0.021$ ).

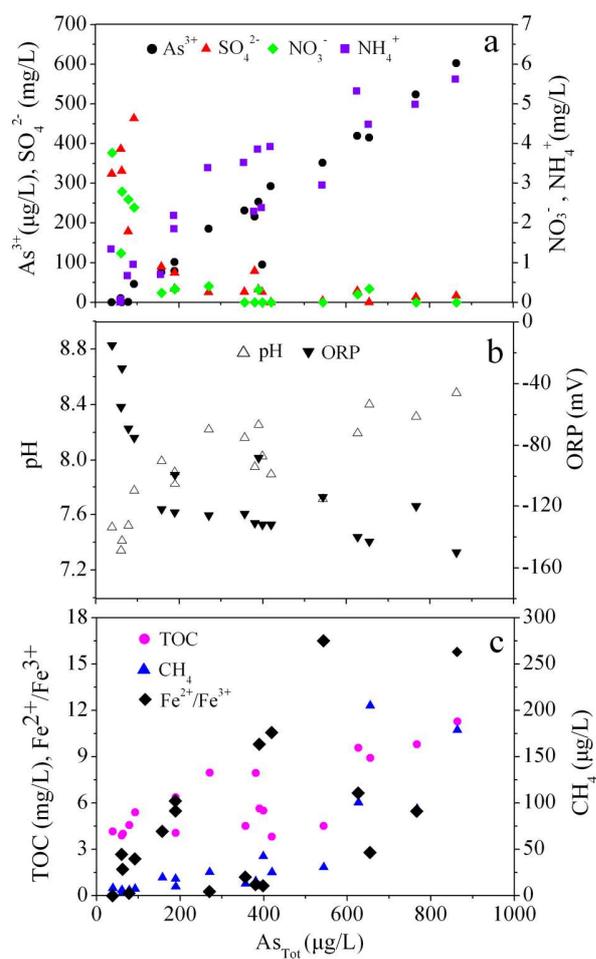
665 Fig. 5. Relative abundance of the genes involved in arsenic metabolisms in the groundwater samples.  
666 The signal intensity for each gene was the average of the total signal intensity in each group. L: low  
667 arsenic group samples; H: high arsenic group samples.

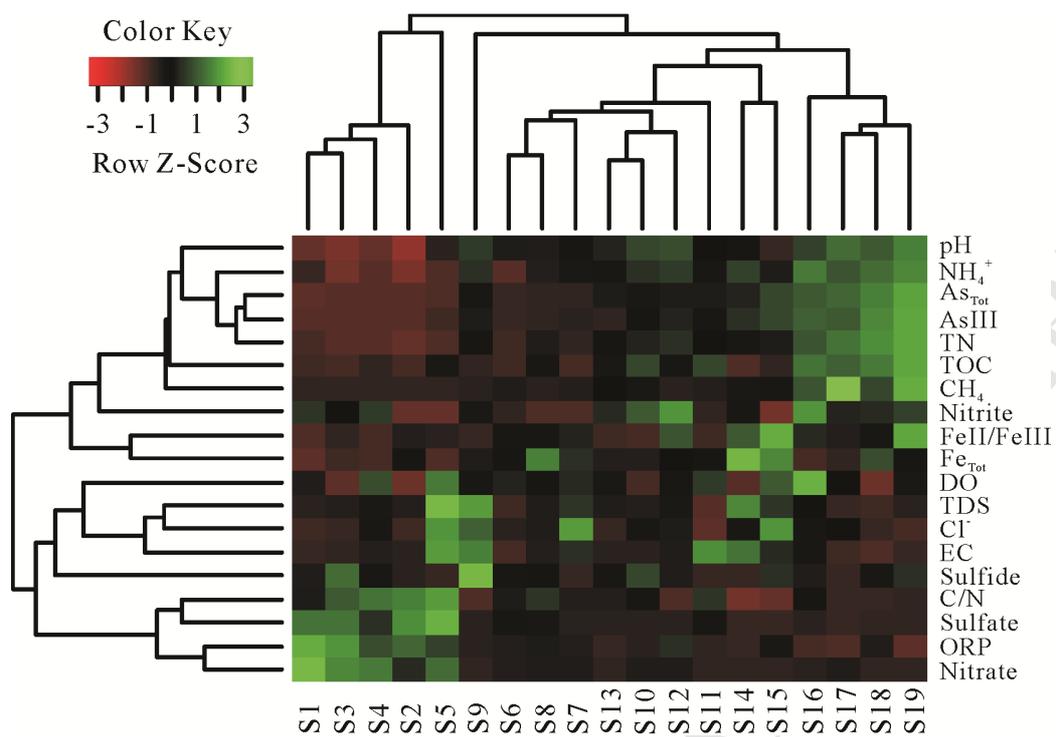
668 Fig. 6. The relative change of detected nitrogen cycling genes from the high arsenic group samples.  
669 The signal intensity for each gene was normalized by the mean signal of all detected gene sequences in  
670 the high arsenic samples. Percentages indicate the normalized total intensity of the functional genes.  
671 Red color indicates the difference in gene abundance between high and low arsenic groups was

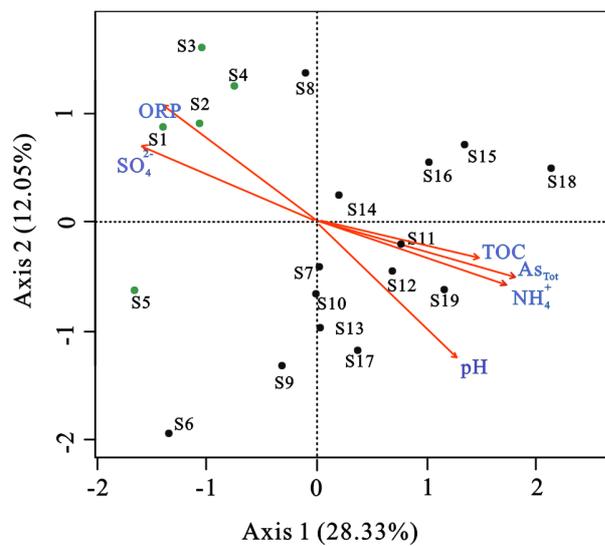
672 significant (\*\* $p < 0.01$ , \* $p < 0.05$ ). Orange arrows indicate ammonium source processes, green arrows  
673 indicate ammonium utilization processes.

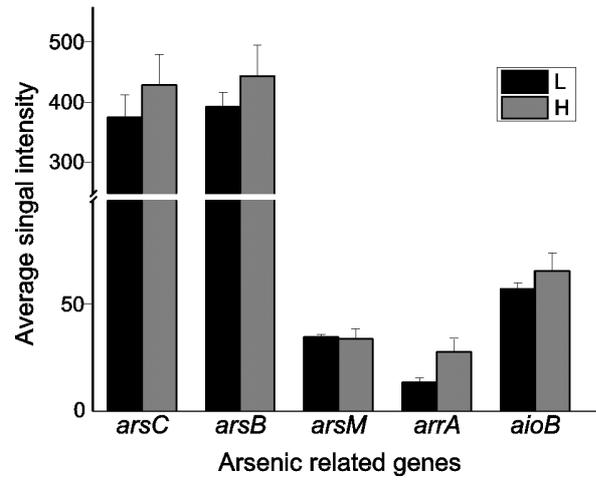
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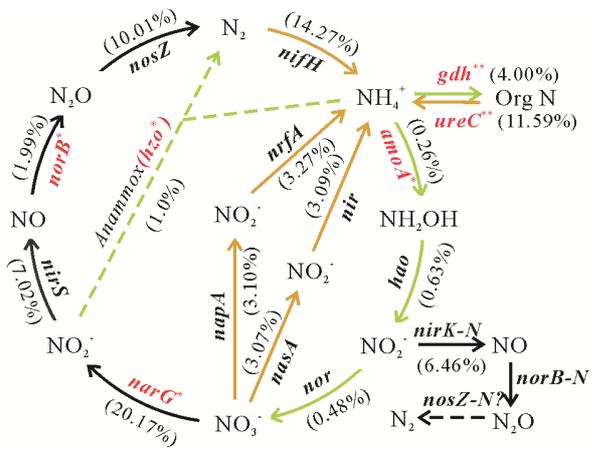












### Highlights

1. Samples were divided into low and high arsenic groups based on geochemical parameters and microbial functional structures
2. Genes *arsC*, *arrA*, *dsrA/B*, *ureC*, *amoA*, *hzo*, *mcrA*, *hdrB* were highly correlated with arsenic,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$  or  $\text{CH}_4$ , respectively.
3. As, TOC,  $\text{SO}_4^{2-}$ ,  $\text{NH}_4^+$ , ORP and pH were important factors shaping the functional microbial community structure.
4. Alkaline and reducing conditions could be associated with arsenic enrichment in groundwater.
5. An overall picture of functional microbial communities in high arsenic aquifers is provided.