

Clinical Investigations

Role of Cardiac Resynchronization in End-Stage Heart Failure Patients Requiring Inotrope Therapy

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ABSTRACT

Background: Outcomes among inotrope-treated heart failure (HF) patients receiving cardiac resynchronization therapy (CRT) have not been well characterized, particularly in those requiring intravenous inotropes at the time of implant.

Methods: We analyzed 759 consecutive CRT-defibrillator recipients who were categorized as never on inotropes (NI; n = 585), weaned from inotropes before implant (PI; n = 124), or on inotropes at implant (II; n = 50). Survival free from heart transplant or ventricular assist device and overall survival were compared using the Social Security Death Index. A patient cohort who underwent unsuccessful CRT implantation and received a standard defibrillator (SD; n = 94) comprised a comparison group. Propensity score analysis was used to control for intergroup baseline differences.

Results: Compared with the other cohorts, II patients had more comorbidities. Both survival endpoints differed significantly ($P < .001$) among the 4 cohorts; II patients demonstrated shorter survival than NI patients, with the PI and SD groups having intermediate survival. After adjusting for propensity scores, overall differences and patterns in survival endpoints persisted ($P < .01$), but the only statistically significant pairwise difference was overall survival between the NI and II groups at 12 months (hazard ratio 2.95, 95% confidence interval 1.05-8.35). CRT recipients ever on inotropes (PI and II) and SD patients ever requiring inotropes (n = 17) experienced similar survival endpoints. Among II patients, predictors of hospital discharge free from inotropes after CRT included male gender, older age, and ability to tolerate β -blockade.

Conclusions: Inotrope-dependent HF patients show significantly worse survival despite CRT than inotrope-naïve patients, in part because of more comorbid conditions at baseline. CRT may not provide a survival advantage over a standard defibrillator among patients who have received inotropes before CRT. Weaning from inotropes and initiating neurohormonal antagonists before CRT should be an important goal among inotrope-dependent HF patients. (*J Cardiac Fail* 2010;16:931-937)

Key Words: Cardiac resynchronization, inotropes, heart failure, defibrillators.

The prognosis of New York Heart Association (NYHA) functional class 4 heart failure (HF) patients requiring continuous inotrope infusion is poor; one-half of such patients die within 6 months.^{1,2} Accordingly, these patients have

been excluded from multicenter clinical trials evaluating cardiac resynchronization therapy (CRT),³⁻⁷ and no controlled studies have compared survival between CRT recipients who are inotrope-dependent and those never requiring

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inotropes. Small retrospective analyses have provided limited insight into the morbidity and mortality benefits of CRT in inotrope-treated HF patients.^{8,9}

We examined survival outcomes in patients requiring inotrope infusion at the time of CRT implantation, comparing these outcomes to CRT recipients successfully weaned from inotropes before CRT implantation and inotrope-naïve patients. A cohort of patients with unsuccessful CRT implant procedures but in whom a standard defibrillator was implanted provided perspective in these comparisons.

Methods

Patient Selection

We conducted a systemic review of the medical records of all patients ($n = 759$) receiving CRT-defibrillators (CRT-D) for currently accepted indications^{10,11} from 2002–2008 at Presbyterian University Hospital. As such, all study patients had left ventricular ejection fraction (LVEF) $\leq 35\%$, QRS duration ≥ 120 ms, and NYHA functional class 3–4 HF despite optimally tolerated medical therapy. Patients who had ever been treated with intravenous milrinone or dobutamine were identified and divided into those successfully weaned from inotropes before implant (PI; $n = 124$) and those on inotropes at the time of implant (II; $n = 50$). CRT recipients never on inotropes (NI; $n = 585$) and a separate group of patients who met eligibility criteria for CRT-D but failed transvenous left ventricular lead placement and did not receive a surgically implanted epicardial lead (SD; $n = 94$) composed comparative cohorts. Patients in the SD group received a standard defibrillator, and the decision to forgo surgical epicardial lead placement was primarily made by the patients involved.

Device Implantation and Follow-Up

CRT-D implantation was performed under moderate conscious sedation by electrophysiologists using transvenous techniques. Patients received a standard pacemaker lead in the right atrium, a high-voltage lead in the right ventricular apex, and a left ventricular lead preferentially placed in a lateral or posterolateral branch of the coronary sinus. Ventricular fibrillation was induced routinely by the shock-on-T method or rapid ventricular burst pacing to ensure at least a 10-J safety margin of defibrillation below the maximum output of the implanted device. Patients were regularly followed in a device clinic, with programming changes made as deemed to be clinically appropriate. Pharmacologic means, device reprogramming, or ablation of the atrioventricular junction were used to ensure that biventricular pacing occurred $\geq 90\%$ of the time among CRT recipients. Right ventricular pacing was minimized in SD patients, either by programming long atrioventricular delays or using proprietary pacing algorithms.

Heart Failure Therapy

Optimal pharmacologic therapy included β -adrenergic antagonists, angiotensin-converting enzyme inhibitors or angiotensin-receptor blockers, and aldosterone antagonists. Efforts were made to maximize doses of neurohormonal antagonists without inducing symptomatic hypotension or renal dysfunction. Patients were free to receive follow-up care at our institution and/or with local practitioners for HF management. The decision to undergo cardiac transplant or mechanical circulatory support with

a ventricular assist device (VAD) was made by cardiologists specializing in HF/transplantation and transplant surgeons.

Endpoints

The primary endpoint was death or the need for either transplant or VAD, reflecting a failure of CRT to reverse the clinical HF syndrome. The secondary endpoint was death from any cause. Follow-up was limited to 5 years in all patients. Events after 5 years from CRT implant were censored. Mortal status was determined using the United States Social Security Death Index (<http://ssdi.rootsweb.ancestry.com>). Patients requiring transplant or VAD implant were identified in the electronic medical record.

Statistical Analysis

Baseline characteristics across inotrope groups were compared using the chi-square test for dichotomous variables and analysis of variance for continuous variables, which are reported as mean \pm SD. When necessary, Kruskal-Wallis and Fisher exact tests were used when there were violations of normality. Because this is observational data and subjects were not randomized to one of the four groups, a multiple propensity score analysis was used to control for underlying bias.^{12,13} The multiple propensity score is an extension of basic two-treatment propensity score described by Rosenbaum and Rubin.¹⁴ First, a multinomial logistic regression was fitted with treatment group as the outcome and all of the baseline variables in Table 1 as predictor variables except for left ventricular end-diastolic diameter, left ventricular end-systolic diameter, and cardiomyopathy duration. These variables had nearly half of all subjects missing and were not related to outcome. The model was then used to estimate predicted probabilities of being in each treatment group. Because the predicted probabilities sum to one, only three of them were needed. We investigated the distribution of each propensity score across inotrope groups to see if there was considerable overlap. The distribution of baseline variables across the four groups was recalculated adjusting for subjects' multiple propensity scores. Finally, all primary and secondary analyses were conducted with multiple propensity scores as covariates.

Comparisons of time-dependent outcomes across the four groups were made using Cox proportional hazard models for multivariate analyses. For multiple comparisons, Bonferroni post hoc analyses were used to compare the II group with the other three groups. NYHA functional class 4 HF was not included in multivariate analyses, because it largely segregates with the defined cohorts; II patients are much more likely to be classified as NYHA functional class 4, whereas NI and PI patients are more likely to be class 3. As an exploratory analysis, subjects in the PI and II groups were collapsed into the EI group and compared with the SD group. A P value of $\leq .05$ was considered to be statistically significant. All statistical analyses were performed using STATA/SE 11.0 (StataCorp, College Station, TX).

Results

Baseline Characteristics

Baseline characteristics of the study groups are listed in Table 1. The four primary cohorts (NI, PI, II, and SD) differed in baseline renal function, HF etiology, and incidence of diabetes, right bundle branch-block, NYHA functional

Table 1. Baseline Characteristics of the Study Population

	NI (n = 585)	PI (n = 124)	II (n = 50)	SD (n = 94)	<i>P</i> Value	Adjusted <i>P</i> Value
Demographics						
Age, y	66.1 ± 12.1 (68)	67.9 ± 10.6 (70)	68.3 ± 9.2 (69)	65.0 ± 14.4 (66)	.34*	> .999
Male, n	426 (72.8%)	89 (71.8%)	36 (72.0%)	66 (70.2%)	.96	> .999
HF duration, mo	61 ± 64 (41)	69 ± 67 (55)	76 ± 73 (59)	72 ± 81 (50)	.45	.999
ECG findings						
QRS duration, ms	171 ± 30 (169)	168 ± 30 (160)	172 ± 34 (170)	170 ± 32 (170)	.67	.998
RBBB, n	59 (10.3%)	9 (7.4%)	6 (12.0%)	17 (18.9%)	.05	.986
Comorbidities						
Diabetes, n	204 (34.9%)	53 (43.1%)	27 (54.0%)	35 (37.6%)	.03	> .999
GFR, mL/min	62 ± 24 (60)	55 ± 20 (54)	52 ± 26 (48)	59 ± 28 (59)	.001*	.992
Known atrial fibrillation, n	274 (46.8%)	71 (57.7%)	29 (58.0%)	44 (48.4%)	.09	> .999
Ischemic HF, n	317 (54.3%)	83 (66.9%)	32 (64.0%)	56 (60.2%)	.04	.995
NYHA functional class 4, n	12 (2.1%)	10 (8.1%)	20 (40.0%)	3 (3.2%)	< .001	—
Echocardiography						
LVEF, %	22.3 ± 6.9 (22)	20.6 ± 6.4 (21)	20.3 ± 7.4 (22)	23.1 ± 10.3 (22)	.07*	.999
LVEDD, cm	6.27 ± 0.88 (6.2)	6.26 ± 0.95 (6.2)	6.23 ± 1.00 (6.2)	6.12 ± 0.77 (6.0)	.65	.895
LVESD, cm	5.34 ± 0.98 (5.3)	5.32 ± 0.98 (5.4)	5.43 ± 1.16 (5.6)	4.98 ± 0.99 (4.9)	.09	.454
Pharmacologic therapy						
β-blocker, n	488 (83.4%)	88 (71.0%)	27 (54.0%)	72 (77.4%)	< .001	.996
ACE-I/ARB, n	500 (85.5%)	104 (83.9%)	37 (74.0%)	76 (81.7%)	.17	> .999
Aldosterone antagonist, n	157 (26.8%)	22 (17.7%)	14 (28.0%)	18 (19.4%)	.10	> .999

ACE-I, angiotensin-converting enzyme inhibitor; ARB, angiotensin-receptor blocker; ECG, electrocardiogram; GFR, glomerular filtration rate; HF, heart failure; II, on inotropes at time of implantation; LVEDD, left ventricular end-diastolic diameter; LVEF, left ventricular ejection fraction; LVESD, left ventricular end-systolic diameter; NI, never on inotropes; NYHA, New York Heart Association; PI, weaned from inotrope before cardiac resynchronization therapy; RBBB, right bundle branch block; SD, standard defibrillator. Values are presented as mean ± SD (median) or n (%). *P* values reflect four-way comparison. Adjusted *P* values reflect adjustment for propensity scores.

**P* value based on Kruskal-Wallis or Fisher exact test.

class 4 HF, and β-blocker use. The higher incidence of NYHA functional class 4 HF and lower β-blocker use in the II group was expected, given the inability to wean these patients from inotropes. After adjusting for the multiple propensity scores, all baseline differences across cohorts disappeared.

Long-Term Survival

Mean follow-up times were 42.3 ± 17.5, 37.1 ± 20.5, 30.5 ± 24.0, and 24.5 ± 18.9 months for the NI, PI, II, and SD groups, respectively (*P* < .001). Differences in follow-up reflected a higher incidence of death, transplantation, and need for VAD in the II cohort. Among NI patients, 226 (38.6%) met the primary endpoint of death (n = 196), VAD (n = 9), or transplant (n = 21). The PI cohort had 59 patients (48.0%) meeting the primary endpoint (44 deaths, 3 VADs, and 12 transplantations), and the II cohort had 35 patients (70.0%) who fulfilled this endpoint (26 deaths, 1 VAD, and 8 transplantations). Among SD patients, 43 (45.7%) met the primary endpoint (35 deaths, 1 VAD, and 7 transplantations).

Before adjusting for subjects' multiple propensity scores, survival curves were compared among the four cohorts. Due to the violation of the proportional hazards assumption, an interaction between time and group was included in the model. There was a significant difference in transplant- and VAD-free survival among the groups (*P* < .001), holding time constant (Fig. 1A). After adjusting for multiple comparisons, II subjects had worse survival at 12 months than the NI (hazard ratio [HR] 3.70, 95% confidence interval

[CI] 2.05-6.68) and PI (HR 2.03, 95% CI 1.11-3.69) groups. At 48 months, survival free from transplantation and VAD continued to be worse in the II cohort compared with NI (HR 2.56, 95% CI 1.55-4.26) and PI patients (HR 1.40, 95% CI 0.74-2.67). Findings for overall survival were similar (Fig. 1B).

After adjusting for their multiple propensity scores, there was a significant difference in VAD- and transplant-free survival among the cohorts (Fig. 2A; *P* = .002). With adjustment for multiple comparisons, however, the II cohort did not differ statistically from any of the other groups at either 12 or 48 months. There was, again, a significant difference in overall survival among the four groups (Fig. 2B; *P* < .001), and at 12 months II subjects had worse survival than NI subjects (HR 2.95, 95% CI 1.05-8.35). This difference was not observed at 48 months.

Receiver operating characteristic analysis among II patients demonstrated that > 8 pre-CRT inotrope days was the best predictor of 6-month mortality, transplantation, or VAD (sensitivity 50%, specificity 69%), with an area under the curve of 0.63 (95% CI 0.45-0.82). The number of pre-CRT inotrope days did not correlate with the ability to wean from inotropes before hospital discharge.

Among the 94 SD patients, the 17 subjects who were treated with inotropes before or during CRT implantation comprised the SDI subgroup. This cohort was similar at baseline to CRT recipients who had ever been on inotropes (EI), which included both PI and II patients (Table 2). Neither the primary nor the secondary endpoint differed between the EI and SDI groups (Fig. 3).

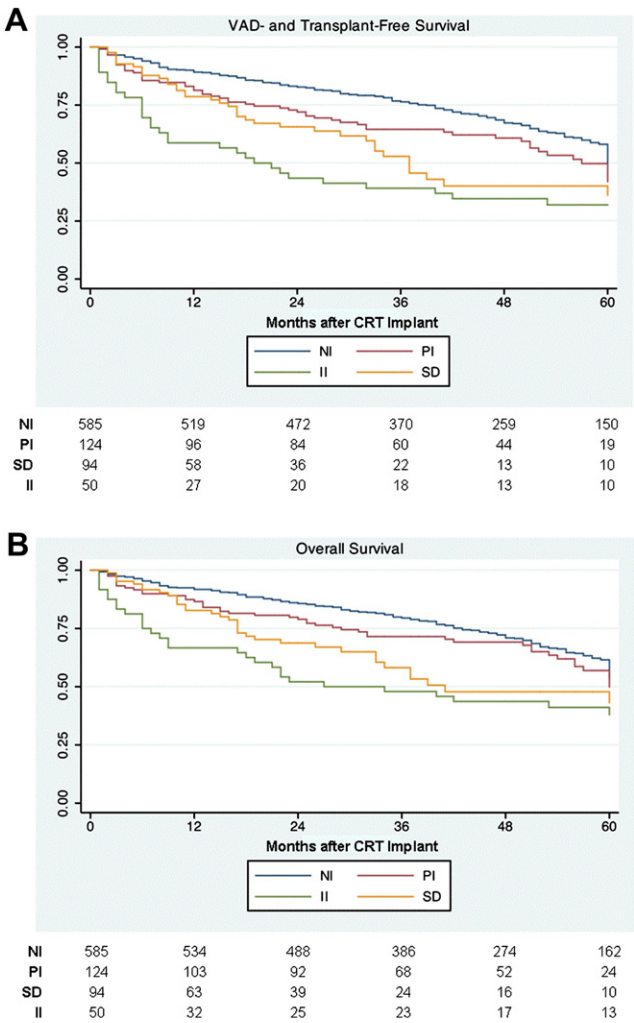


Fig. 1. Kaplan-Meier curves depicting outcomes among patients never on inotropes (NI), those weaned from inotropes before cardiac resynchronization therapy (CRT) (PI), those on inotropes at the time of implantation (II), and those with a standard defibrillator (SD). (A) Survival free from transplantation or ventricular assist device (VAD). (B) Overall survival.

Periprocedural Morbidity and Mortality

Death, VAD implantation, or transplantation at 30 days after CRT was considered separately as a surrogate for potential subclinical implantation-associated morbidity. The PI group had five patients (4.1%) who met this endpoint, compared with four patients (8.0%) in the II group and two patients (0.3%) in the NI group ($P < .001$). The number of ventricular fibrillation inductions did not affect early survival.

There were two adverse events among II patients that were attributable to their inotrope-dependent status during CRT implantation. One patient required mechanical ventilation because of worsening hypoxemia from decompensated HF compounded by sedation and orthopnea, and the other developed contrast-induced nephropathy in the setting of low cardiac output and a modest intravenous contrast load.

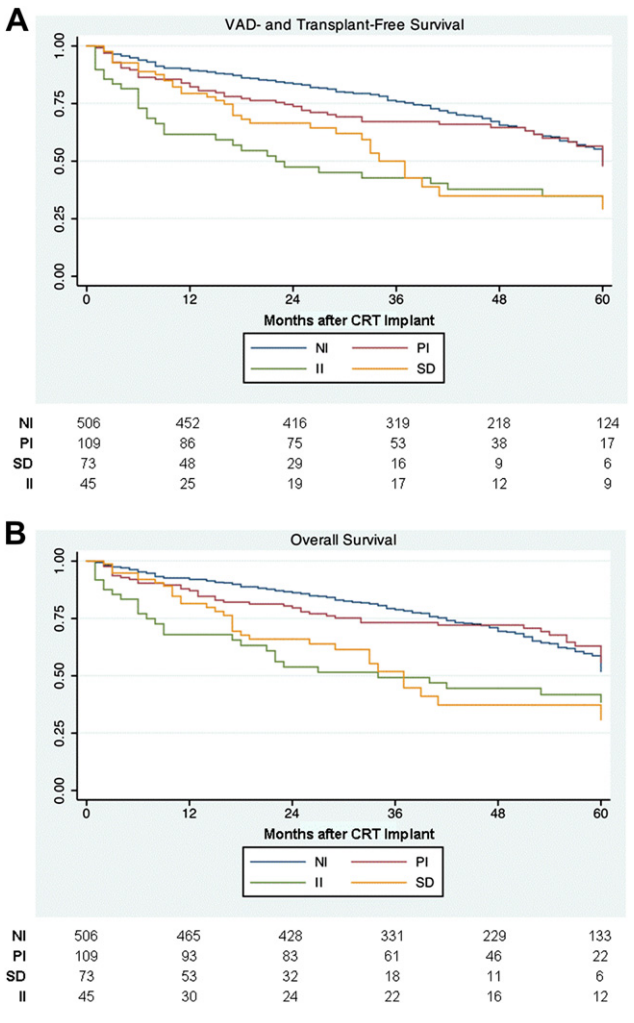


Fig. 2. Propensity score-adjusted Kaplan-Meier curves depicting outcomes among patients never on inotropes (NI), those weaned from inotropes before cardiac resynchronization therapy (CRT) (PI), those on inotropes at the time of implantation (II), and those with unsuccessful left ventricular lead placement who received a standard defibrillator (SD). (A) Survival free from transplantation or ventricular assist device (VAD). (B) Overall survival.

Factors Associated with Successful Weaning from Inotropes

Predictors of successful weaning from inotrope among II patients after CRT were assessed by investigating the significance of each of the baseline variables as a predictor of hospital discharge off inotropes at the 0.10 level. Age, gender, ischemic HF, NYHA functional class 4 HF, and β -blocker use were independently associated with successful weaning. These five variables were then entered into a single model, in which older age, male gender, and β -blocker use independently correlated with inotrope weaning.

Discussion

We have described the outcomes of a large modern cohort of inotrope-treated CRT recipients at an academic

Table 2. Baseline Characteristics of the Study Patients Who Had Ever Received Inotropes Before CRT Implant

	EI (n = 174)	SDI (n = 17)	P Value
Demographics			
Age, y	68 ± 10 (70)	60 ± 18 (60)	.11*
Male, y	125 (71.8%)	10 (58.8%)	.27*
HF duration, mo	71 ± 68 (57)	127 ± 127 (85)	.33*
ECG findings			
QRS duration, ms	169 ± 31 (160)	171 ± 29 (165)	.77
RBBB, n	15 (8.7%)	4 (23.5%)	.07*
Comorbidities			
Diabetes, n	80 (46.2%)	5 (29.4%)	.18
GFR, mL/min	54 ± 22 (53)	62 ± 24 (66)	.11
Known atrial fibrillation, n	100 (57.8%)	8 (47.1%)	.39
Ischemic HF, n	115 (66.1%)	11 (64.7%)	.91
NYHA functional class 4, n	30 (17.2%)	3 (17.6%)	>.99*
Echocardiography			
LVEF, %	20.5 ± 6.7 (22)	17.6 ± 5.3 (17)	.09
LVEDD, cm	6.25 ± 0.96 (6.2)	6.28 ± 0.72 (6.2)	.92
LVESD, cm	5.36 ± 1.04 (5.4)	5.16 ± 0.81 (5.1)	.55
Pharmacologic therapy			
β-blocker, n	115 (66.1%)	11 (64.7%)	.91
ACE-I/ARB, n	141 (81.0%)	15 (88.2%)	.74*
Aldosterone antagonist, n	36 (20.7%)	3 (17.6%)	>.99*

EI, ever on inotropes; SDI, with standard defibrillator and had ever received inotropes; other abbreviations as in Table 1. Values are presented as mean ± SD (median) or n (%).

*P value based on Kruskal-Wallis or Fisher exact test.

hospital and placed these findings into perspective by comparison with CRT recipients never treated with inotropes and a group of patients with unsuccessful CRT implantation who received an SD. Propensity score analysis was used to control for baseline differences among the groups; the II cohort, in particular, was associated with more baseline comorbid conditions. Both survival free from VAD and transplantation and overall survival differed significantly among the NI, PI, II, and SD cohorts, in that NI patients had the best outcomes, II patients had the worse outcomes, and the PI and SD groups had intermediate survival. Pairwise adjusted comparisons demonstrated that the only statistically significant difference was overall survival between NI and II patients at 12 months, however. In addition, CRT did not obviate the excess mortality risk associated with inotropes when the PI and II groups were compared together with SD patients ever treated with inotropes, and the ability to tolerate β-blockers was also a predictor of successful inotrope-free hospital discharge among II patients.

There are few data addressing the role of CRT in HF patients requiring inotrope support despite the significant morbidity and mortality experienced by these patients. A retrospective review of 38 inotrope-dependent HF patients showed mortality rates of 26% and 29% at 6 months and 1 year, respectively, after CRT.⁹ In the present study, we observed similar 6-month mortality but somewhat higher 1-year mortality (Fig. 1b). Konstantino et al.¹⁵ analyzed the role of urgent CRT implantation in ten patients with ischemic cardiomyopathy and intraventricular conduction delay presenting with decompensated HF requiring inotrope therapy. Although CRT was associated with symptomatic improvement in eight patients, mortality was 50% over a median follow-up of 9.5 months. In comparison, the REMATCH

(Randomized Evaluation of Mechanical Assistance for Treatment of Congestive Heart Failure) trial, which studied a cohort of NYHA functional class 4 HF patients who were ineligible for transplant, demonstrated 1-year mortality of 48% in those receiving mechanical circulatory support and 75% in those on medical-therapy alone.² Mortality was lower in a retrospective observational study of ten inotrope-dependent HF patients by Cowburn et al.,¹⁶ in which three patients died and one was transplanted during a follow-up of 1 year after CRT.

Taken together, these data show poor survival outcomes regardless of treatment modality in inotrope-dependent HF patients; therefore, current guidelines do not advocate using CRT in this population despite a paucity of randomized clinical trial data.^{10,11} The MIRACLE (Multicenter InSync Implantable Cardioversion Defibrillation Randomized Clinical Evaluation) study demonstrated that in addition to improving NYHA functional class, 6-minute walk time, and LVEF, CRT decreased the need for intravenous vasodilator or inotropic agents.³ Other major CRT trials,^{5–7} however, have excluded patients recently treated with inotropes. In fact, the unscheduled administration of inotropes or other intravenous vasoactive agents for > 4 hours in the emergency department or outpatient setting was a primary endpoint (i.e., CRT failure) in the COMPANION (Comparison of Medical Therapy, Pacing and Defibrillation in Heart Failure) study.⁶

The shorter survival of II patients observed in the present study may reflect a more advanced degree of pump failure compared with NI patients, suggesting that there is a threshold of decompensated HF beyond which CRT is ineffective. The use of neurohormonal antagonists, such as β-adrenergic antagonists or angiotensin-converting enzyme inhibitors, in these patients is often limited by hypotension and/or renal

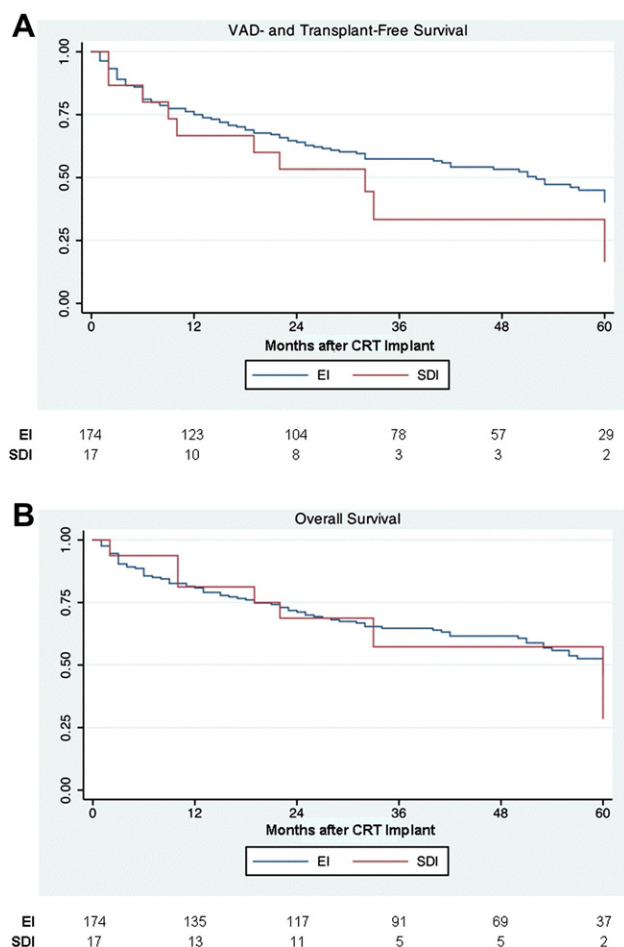


Fig. 3. Kaplan-Meier curves comparing outcomes between cardiac resynchronization therapy (CRT) recipients who had ever received inotropes (EI) and patients with unsuccessful left ventricular lead placement who received a standard defibrillator and had ever received inotropes (SDI). (A) Survival free from transplantation or ventricular assist device (VAD). (B) Overall survival.

dysfunction, yet blockade of the renin-angiotensin-aldosterone axis and reduction in sympathetic tone are known to benefit HF patients.^{17–19} By improving hemodynamics, CRT may also diminish neurohormonal activation. For example, studies have demonstrated that CRT decreases circulating levels of brain natriuretic peptide and reverses derangements in adrenergic cardiac enervation.^{20,21} Patients who cannot be weaned from inotropes have already demonstrated that they require augmented adrenergic tone to maintain cardiac output. Therefore, CRT may not attenuate neurohormonal activation, and as a consequence, these patients may not derive benefit from CRT. The intermediate survival within the PI group may reflect the fact that it represents a mixed cohort of NYHA class 3–4 HF patients who have been intermittently treated with inotropes. Some patients may require more neurohormonal activation to maintain hemodynamic stability than others. Long-term prospective follow-up and comparison with a larger group of SDI patients would provide further insight into whether CRT benefits this cohort.

It is difficult to ascertain whether CRT provides additional survival benefit above that conferred by a defibrillator among patients ever treated with inotropes, particularly the II subgroup, in the absence of randomized data. We addressed this question in the comparison of EI and SDI patients. Because all SD patients received defibrillators, sudden cardiac death rates were presumably equal between this cohort and the CRT-D groups. Noncardiac deaths would also be expected to be randomly distributed between EI and SDI patients, given their similar baseline characteristics. Therefore, the lack of any observed survival difference suggests similar rates of HF death from progressive pump failure.

Limitations of the Study

This analysis was limited by its retrospective nature. Propensity score adjustment was therefore used to provide less biased comparisons among the cohorts. We acknowledge that although mortality data were complete, mode of death was not known. Other outcomes, including HF hospitalizations and quality-of-life measures, were also not ascertained. However, including transplantation or VAD implantation in the primary endpoint does incorporate quality of life and HF morbidity; patients undergoing these procedures presumably did not derive functional benefit from CRT. Another limitation was the size of the SDI group; although survival among EI patients was not statistically different from SDI patients, these comparisons may be relatively underpowered.

Conclusions

In this retrospective single-center analysis of HF patients receiving CRT while on modern background medical therapy, survival free from cardiac transplantation or VAD and overall survival differed significantly according to whether patients had never been on intravenous inotropes, had received inotropes in the past, were on inotropes at implantation, or did not receive a left ventricular lead. Patients who were inotrope-dependent at implant had particularly poor survival, and this was significantly worse than inotrope-naïve patients at 12 months. CRT recipients who had ever been on inotropes had similar survival outcomes to patients who failed left ventricular lead placement but did receive a defibrillator and had ever been on inotropes. Based upon these findings, “rescue” CRT may be of limited clinical benefit in decompensated HF patients who cannot be weaned from inotropes. Aggressive efforts should be made to wean these patients from inotropes and initiate neurohormonal antagonists, with consideration of CRT-D implantation when HF is better compensated. If weaning is poorly tolerated, implantation of a conventional defibrillator combined with mechanical circulatory support as a bridge to transplantation may be an alternative to CRT.

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