

RESEARCH ARTICLE

Open Access



Pancreatic resection for intraductal papillary mucinous neoplasm– a thirteen-year single center experience

Katharina Marsoner^{1†}, Johannes Haybaeck^{2†}, Dora Csengeri¹, James Elvis Waha¹, Jakob Schagerl¹, Rainer Langeder¹, Hans Joerg Mischinger¹ and Peter Kornprat^{1*}

Abstract

Background: The purpose of this study is to review our results for pancreatic resection in patients with intraductal papillary mucinous neoplasm (IPMN) with and without associated carcinoma.

Methods: A total of 54 patients undergoing pancreatic resection for IPMN in a single university surgical center (Medical University of Graz) were reviewed retrospectively. Their survival rates were compared to those of patients with pancreatic ductal adenocarcinoma.

Results: Twenty-four patients exhibit non-invasive IPMN and thirty patients invasive IPMN with associated carcinoma. The mean age is 67 (+/-11) years, 43 % female. Surgical strategies include classical or pylorus-preserving Whipple procedure ($n = 30$), distal ($n = 13$) or total pancreatectomy ($n = 11$), and additional portal venous resection in three patients ($n = 3$). Median intensive care stay is three days (range 1 – 87), median in hospital stay is 23 days (range 7 – 87). Thirty-day mortality is 3.7 %. Median follow up is 42 months (range 0 – 127). One-, five- and ten-year overall actuarial survival is 87 %; 84 % and 51 % respectively. Median overall survival is 120 months. Patients with non-invasive IPMN have significantly better survival than patients with invasive IPMN and IPMN-associated carcinoma ($p < 0.008$). In the subgroup of invasive IPMN with associated carcinoma, a positive nodal state, perineural invasion as well as lymphovascular infiltration are associated with poor outcome ($p < 0.0001$; < 0.0001 and $= 0.001$, respectively). Elevated CA 19-9 (> 37 U/l) as well as elevated lipase (> 60 U/l) serum levels are associated with unfavorable outcome ($p = 0.009$ and 0.018 ; respectively). Patients operated for pancreatic ductal adenocarcinoma show significantly shorter long-term survival than patients with IPMN associated carcinoma ($p = 0.001$).

Conclusions: Long-term outcome after pancreatic resection for non-invasive IPMN is excellent. Outcome after resection for invasive IPMN with invasive carcinoma is significantly better than for pancreatic ductal adenocarcinoma. In low- and intermediate risk IPMN with no clear indication for immediate surgical resection, a watchful waiting strategy should be evaluated carefully against surgical treatment individually for each patient.

Keywords: Invasive intraductal papillary mucinous neoplasm (IPMN), Non-invasive IPMN, Invasive IPMN, IPMN associated carcinoma, Pancreatic resection, Perioperative outcome, Long-term survival

* Correspondence: peter.kornprat@medunigraz.at

[†]Equal contributors

¹Department of General Surgery, Medical University of Graz, Auenbruggerplatz 29, A-8036 Graz, Austria

Full list of author information is available at the end of the article



Background

The intraductal papillary mucinous neoplasm (IPMN) is the most frequent cystic lesion of the pancreas, originating from the epithelial cells of the pancreatic duct or its branches. IPMN produce mucin and leads to typical dilatation of pancreatic ducts [1]. In 1996, the entity of IPMN was included in the World Health Organization (WHO) classification of pancreatic neoplasms [2]. The histopathological diagnosis of IPMN requires the presence of neoplastic epithelium with intraductal proliferation of columnar mucinous cells, generally with papillary architecture [1, 3]. IPMNs as well as other cystic pancreatic tumors are increasingly diagnosed, mostly due to the greater diagnostic accuracy of radiologic imaging modalities such as multi-detector row computed tomography (MDCT) and magnetic resonance imaging (MRI) and better awareness on the part of pathologists of this entity. Frequently, a cystic pancreatic lesion is diagnosed incidentally in asymptomatic patients undergoing abdominal diagnostics for other potential pathologies [4].

IPMNs are classified according to their radiologic and macroscopic morphologic features into a main duct (MD-IPMN; from 16 – 36 %), a branch duct (BD-IPMN; from 40 – 65 %) and a mixed type (15 – 23 %). The rate of invasive IPMN is significantly higher in main and mixed type lesion than in branch duct IPMN [5, 6]. The malignant potential of IPMN is based on an adenoma-carcinoma sequence [6, 7], which is not the case for ductal adenocarcinoma of the pancreas, where the sequence from low grade to high grade pancreatic intraepithelial neoplasia (PanIN) and further to ductal adenocarcinoma is well established [8]. IPMNs are further classified according to the degree of dysplasia as low, intermediate and high grade dysplasia as well as IPMN with associated carcinoma, previously described as adenoma, borderline and carcinoma in situ and invasive carcinoma lesions [9, 10]. Histopathological and immune-histochemical analysis differentiates between four subtypes: the intestinal, the gastric, the oncocytic and the pancreato-biliary type [11–14]. An IPMN can occur with associated adenocarcinoma as well as concomitant adenocarcinoma, the latter with lower long-term survival [15, 16].

The localization of an IPMN can be uni- or multifocal, and determines the type of surgical resection [2, 6, 7].

The treatment modalities of IPMN were described in the Fukuoka guidelines: patients with main or mixed duct IPMN should be always scheduled for surgical resection. Branch duct lesions with „worrisome features“(cystic size > 30 mm, thickened cystic walls, non-enhanced mural lesions, dilatation of the pancreatic duct of 5 – 9 mm, lymphadenopathy, distal pancreatic atrophy, caliber alterations of the pancreatic duct) or “high-risk stigmata” (duct dilatation > 5 mm, solid enhancing components with biliary obstruction) should be considered

for surgical resection. Clinically symptomatic lesions always require surgical intervention [7].

This study reports our experience with pancreatic resection for IPMN in a total of 54 patients over a 13-year period.

Methods

Patients and methods

Fifty-four consecutive patients (43 % female; mean age 67 +/- 11 years) who in a 13-year period underwent pancreatic resection for IPMN with or without associated carcinoma at our institution were reviewed retrospectively. Patients with infiltration of portal venous branches requiring vascular resection and subsequent reconstruction were included. Survival rates were compared to a total of 221 patients operated for pancreatic ductal adenocarcinoma during the same period at our institution. The institutional review board approved the study and waived the need for patient consent according to the Helsinki and its own criteria [EK 25 -404 - ex 12/12].

Preoperative diagnostic algorithm

All patients underwent a detailed clinical examination, blood testing including functional liver parameters as well as tumor markers carcino-embryonic antigen (CEA) and carbohydrate antigen (CA) 19-9. Preoperative radiologic imaging included abdominal ultrasound, MDCT with pancreas protocol and/or MRI with cholangio-pancreaticography (MRCP) and/or endoscopic retrograde cholangiopancreatography (ERCP). Computed-tomography (CT) guided biopsy was performed for further investigation of unclear cystic lesions of the pancreas; it should be noted that during the study period, the newer methods of endoscopic ultrasound (EUS) and fine needle aspiration (FNA) saw increasing clinical application. Positron emission tomography (PET) scan was performed for oncological staging.

Surgical approach

The type of resection was based on tumor localization: patients presenting with lesions of the pancreatic head or processus uncinatus received standard or pylorus preserving pancreatico-duodenectomy; those with lesions in the pancreatic tail and/or body received distal pancreatectomy with splenectomy in patients with invasive lesions, and spleen-preserving surgery when the intraoperative rapid frozen section showed no invasive component. Total pancreatectomy was conducted in patients with diffuse distribution of IPMN and/or large tumor size involving the pancreatic head and body. The bilio-digestive anastomoses were connected with 5-0 or 6-0 double layer single sutures. Internal drains placed routinely to protect the pancreatico-jejunostomy and the hepatico-jejunostomy,

which were both performed as end-to-side anastomosis. The standard protocol called for intraoperative rapid frozen section diagnosis and depending on that histopathologic diagnosis, resection was extended until negative margins could be obtained when there was high-grade dysplasia, invasive IPMN and/or high-grade PANin. If this was not possible, the surgical strategy was changed to total pancreatectomy.

Follow-up protocol

Postoperative complications were classified according to the system established by Clavien and Dindo [17]. All patients underwent clinical, laboratory and radiological follow-up three, six and twelve months postoperatively, and every six months thereafter. Follow-up data were obtained from the patient's records, the hospital database and the national cancer registry [Austrian National Cancer Registry; [18]].

Statistical analysis

Data were acquired prospectively and saved in an institutional pancreatic database including baseline data, pre-, intra- and postoperative parameters, results of histopathological and immune-histochemical assays and follow-up details.

Data were collected retrospectively in an Excel database (Microsoft Inc., Redmond, USA) All statistical analyses were performed with SPSS 22.0 for Windows (IBM Inc., Somers, USA). If not otherwise indicated, continuous variables were reported as mean and standard deviation; categorical data were reported as count and percentages. Categorical variables were compared with Fisher's exact or the Chi-square test, as appropriate; for numeric variables, we used the Wilcoxon test. A $p < 0.05$ was considered statistically significant. Overall survival was calculated according to the method of Kaplan and Meier. Differences between subgroups were compared with the log-rank test.

Results

Preoperative results

Mean age is 67 ± 11 (range 29 – 84) years, 43 % female, mean body mass index was 25 ± 4 . At initial presentation, seventy-eight percent of patients are symptomatic; only 22 % are asymptomatic and diagnosed incidentally with cystic pancreatic mass after undergoing abdominal imaging for other reasons. Seven percent of patients have jaundice with a serum bilirubin value greater than 3 mg/dl. The distribution of baseline and preoperative patient's characteristics in both non-invasive and invasive subgroups is presented in Table 1. Initial diagnostic imaging always includes abdominal sonography; further, there is MDCT with pancreas protocol in 91 % and abdominal MRI in 69 %. For detailed evaluation of the pancreatic duct system, we perform an ERCP in 39 %

and MRCP in 35 %. A malignant lesion is suspected in 20 % and staging diagnostics are completed with a PET scan; CT-guided biopsy is performed in 19 %. In preoperative radiological diagnostic imaging, a cystic diameter > 3 cm is present in 38 % of patients with non-invasive and 47 % of patients with invasive IPMN ($p = 0.50$). Preoperative liver function parameters are displayed in detail in Tables 2 and 3.

Operative results

Pancreatic resection is performed as a classical Kausch-Whipple procedure in 15 %, pylorus preserving pancreaticoduodenectomy in 41 % of patients. Twenty-four percent % of patients are treated with distal and 20 % of patients with total pancreatectomy. Splenectomy is performed in 35 % of patients. The distribution of surgical approaches between invasive and non-invasive subgroups is shown in Table 4. Three patients with portal venous infiltration require a more radical surgical approach including an extended resection of mesenterico-portal venous tissue. After resection, the portal axis is reconstructed by interposition of a Gore-Tex® tube graft using a running polypropylene suture. The mean duration of surgery is 293 (range 115 – 525) minutes; fifty percent of patients require intraoperative blood products.

Postoperative results

The median hospital stay is 23 (range 7 – 87) days, and the median ICU (intensive care unit) stay 3 (range 1 – 87) days. Twenty-six percent of all patients, 25 % of patients in the non-invasive as well as 27 % in the invasive subgroup receive transfusion of red blood cells during the postoperative period ($p = 0.92$). Sixty-three percent of patients show an uneventful postoperative course. Postoperative morbidity details for the other patients are displayed in detail in Table 5. Thirty-day mortality is 3.7 % (2 out of 54 pts), both with an invasive IPMN with associated carcinoma. Nineteen percent of patients, all of whom having an IPMN associated carcinoma, receive postoperative chemotherapy; none of patients undergoes radiotherapy.

Histopathological results

In 44 % of pts, definitive postoperative histopathological examination reveals a non-invasive IPMN. The remaining 56 % suffer from IPMN with associated carcinoma, i.e., invasive IPMN. Seven percent are classified as MD-, 17 % as BD- and 32 % as mixed type IPMN. In the remaining 44 % of patients, no further specification is undertaken. Immuno-histochemical analysis demonstrates gastral subtype in 15 %, intestinal in 17 %, pancreato-biliary in 6 % and mixed type in 11 %; in 52 %, no immuno-histochemical data are available. Details of histopathologic tumor size and localization are shown in Table 6.

Table 1 Demographic and preoperative patient data

Factor	Overall (n = 54)	Non-invasive IPMN ^a (n = 24)	Invasive IPMN ^a (n = 30)	Two-sided p-value
Age (years)	67 ± 11	66 ± 12	67 ± 11	0.70
Age > 70 years	23 (43 %)	9 (38 %)	14 (47 %)	0.50
Female gender	31 (57 %)	14 (58 %)	17 (57 %)	0.90
Body mass index	25 ± 4	26 ± 4	25 ± 4	0.51
<i>American Society of Anesthesiologists (ASA) classification</i>				
ASA I	3 (6 %)	3 (13 %)	0	0.06
ASA II	19 (35 %)	8 (33 %)	11 (37 %)	0.86
ASA III	25 (46 %)	11 (46 %)	14 (47 %)	1.00
ASA IV	7 (13 %)	2 (8 %)	5 (17 %)	0.42
<i>Chronic health factors</i>				
Smoking	18 (33 %)	8 (33 %)	10 (33 %)	1.00
Alcoholism	7 (13 %)	2 (8 %)	5 (17 %)	0.37
<i>Preoperative symptoms</i>				
Abdominal pain	36 (67 %)	15 (63 %)	21 (79 %)	0.57
Nausea	7 (13 %)	1 (4 %)	6 (20 %)	0.09
Diarrhea	3 (6 %)	1 (4 %)	2 (7 %)	0.69
Weight loss	15 (28 %)	5 (21 %)	10 (33 %)	0.32
Diabetes	27 (50 %)	7 (29 %)	20 (77 %)	0.006
Jaundice	4 (7 %)	1 (4 %)	3 (10 %)	0.42
<i>Comorbidities</i>				
Arterial hypertension	31 (57 %)	14 (58 %)	15 (50 %)	0.91
Coronary artery disease	8 (15 %)	4 (17 %)	4 (13 %)	0.73
Chronic obstructive pulmonary disease	4 (7 %)	2 (8 %)	2 (7 %)	0.82
Gastroesophageal reflux disease	9 (17 %)	2 (8 %)	7 (23 %)	0.14
Gastritis	2 (4 %)	1 (4 %)	1 (3 %)	0.87
Hiatus hernia	6 (11 %)	2 (8 %)	4 (13 %)	0.56
Extra-pancreatic malignancy (current/anamnestic)	14 (26 %)	6 (25 %)	8 (27 %)	0.89

^aIPMN intraductal papillary mucinous neoplasm**Table 2** Preoperative laboratory tests

Laboratory parameter	Overall cohort (n = 54) mean ± SD ^a	Non-invasive IPMN ^b mean ± SD ^a	Invasive IPMN ^b mean ± SD ^a	Two-sided p-value
Alanin-Aminotransferase (ALT) (Units/liter (U/l))	45.0 ± 63.9	39.6 ± 67.5	49.5 ± 61.5	0.16
Aspartat-Aminotransferase (AST)(U/l)	34.8 ± 29.1	33.4 ± 32.6	36 ± 26.5	0.34
Cholinesterase (CHE)(U/l)	6566.8 ± 2101.9	6409.7 ± 2230.9	6701.4 ± 2016.1	0.58
Alcalic phosphatase (ALP)(U/l)	126 ± 136.1	78.6 ± 9.5	166.3 ± 174.7	0.02
Gamma glutamyl transferase (GGT)(U/l)	126.9 ± 194.6	69.8 ± 154.3	174.1 ± 194.6	0.009
Carcinoembryonic antigen (CEA) (nanogramm/liter (ng/l))	3.1 ± 2.8	2.6 ± 2.8	3.8 ± 2.7	0.07
Carbohydrate antigen (CA) 19-9 (U/l)	202.7 ± 734.0	20.3 ± 31.6	352.4 ± 972.2	0.001
Lipase (U/l)	80.1 ± 209.9	106.2 ± 311.6	59.1 ± 50.0	0.59
Amylase (U/l)	41.7 ± 80.7	55.2 ± 116.0	30.8 ± 31.0	0.19
Bilirubin (milligramm/deciliter (mg/dl))	1.2 ± 2.8	1.1 ± 1.8	1.3 ± 3.4	0.63

^aSD standard deviation^bIPMN intraductal papillary mucinous neoplasm

Table 3 Pathological preoperative laboratory values

Laboratory parameter	Overall cohort (n = 54) mean ± SD ^a	Non-invasive IPMN ^b mean ± SD ^a	Invasive IPMN ^b mean ± SD ^a	Two-sided p-value
Alanin-Aminotransferase (ALT) > 45 Units/liter (U/l)	13 (25 %)	5 (21 %)	8 (28 %)	0.59
Aspartat-Aminotransferase (AST) > 35 U/l	14 (26 %)	6(25 %)	8 (28 %)	0.84
Cholinesterase (CHE) < 3900 U/l	10 (19 %)	5 (21 %)	5 (18 %)	0.79
Alcalic phosphatase (ALP) > 130 U/l	15 (30 %)	2 (8 %)	13 (48 %)	0.002
Gamma glutamyl transferase (GGT) > 55 U/l	22 (42 %)	8 (33 %)	14 (48 %)	0.28
Carcinoembryonic antigen (CEA) > 5 nanogramm/liter (ng/l)	9 (18 %)	3 (13 %)	6 (21 %)	0.45
Carbohydrate antigen (CA) 19-9 > 37 U/l	21 (41 %)	5 (22 %)	16 (57 %)	0.01
Lipase > 60 U/l	14 (26 %)	4 (17 %)	10 (33 %)	0.17
Amylase > 53 U/l	24 (44 %)	9 (38 %)	15 (50 %)	0.37
Bilirubin > 1.2 milligramm/deciliter (mg/dl)	11 (20 %)	6 (25 %)	5 (17 %)	0.46

^aSD standard deviation^bIPMN intraductal papillary mucinous neoplasm

Results at follow-up

Median follow-up is 42 (range 0 – 142) months. Eighty percent of patients (43 out of 54) show no evidence of disease and eleven percent are alive with disease, while the remaining seventeen percent (9 out of 54) have succumbed to their disease, all but one with a recurrence of invasive IPMN and/or IPMN with associated carcinoma [Table 7].

One- and five-year overall actuarial survival is 87 and 84 % for the overall cohort [Fig. 1], for non-invasive IPMN 100 % and 100 %, and for invasive IPMN 76 % and 69 %, respectively [Fig. 2]. Median overall survival is 120 months for the overall cohort; 120 months for patients with a non-invasive form and 111 months for patients with invasive IPMN. In patients with invasive IPMN, a positive nodal state, perineural invasion and lymphovascular infiltration ($p < 0.0001$ vs. $p < 0.0001$ vs. $p = 0.001$) are associated with unfavorable outcome; median overall survival in the absence of nodal disease was 120 months vs. 11.5 when IPMN is associated with nodal disease. With perineural invasion, median overall survival is 11 months vs. 120 months in the absence of same. Lymphovascular invasion is associated with a median overall survival of 11 months vs. 120 months without lymphovascular infiltration [Figs. 3, 4 and 5]. Preoperatively elevated CA 19-9 serum levels (>37 U/l)

as well as elevated lipase levels (>60 U/l) are associated with unfavorable long term outcome ($p = 0.009$ vs $p = 0.018$, respectively) [Figs. 6 and 7].

Overall survival of IPMN associated carcinoma is correlated with survival of patients operated for ductal adenocarcinoma [Fig. 8], with a significantly better long-term outcome in patients undergoing pancreatic resection for IPMN associated carcinoma than for patients suffering from ductal adenocarcinoma. The median overall survival for patients with IPMN associated carcinoma is 60 months vs. 20 months for patients with ductal adenocarcinoma. There is an actuarial one- and five-year overall survival of 76 % and 52 % in patients with IPMN associated carcinoma vs. 67 % and 8 % in patients with ductal adenocarcinoma (log rank: $p = 0.001$) with no significant differences in baseline characteristics such as age, gender and comorbidities [Table 8].

Discussion

The widespread use of imaging modalities such as MDCT and MRI has increased the frequency of incidental detection of cystic pancreatic tumors, most commonly IPMN, in patients undergoing abdominal diagnostic work-up for other diseases [19].

Table 4 Surgical measures

Surgical data	Overall (n = 54)	Non-invasive IPMN ^a (n = 24)	Invasive IPMN ^a (n = 30)	Two-sided p-value
Whipple procedure	8 (15 %)	5 (21 %)	3 (10 %)	0.27
Pylorus preserving pancreatico-duodenectomy	22 (41 %)	10 (42 %)	12 (40 %)	0.90
Distal pancreatectomy	13 (24 %)	6 (25 %)	7 (23 %)	0.89
Pancreatectomy	11 (20 %)	3 (13 %)	8 (27 %)	0.18
Vascular reconstruction	3 (6 %)	0	3 (10 %)	0.25
Intraoperative blood transfusion	27 (50 %)	10 (42 %)	17 (57 %)	0.52

^aIPMN intraductal papillary mucinous neoplasm

Table 5 Postoperative morbidity details

Morbidity details	Overall (n = 54)
<i>Cholangitis</i>	1 (2 %)
Cholestasis	1 (2 %)
Postoperative shock	1 (2 %)
Fever of unknown origin	1 (2 %)
Anastomotic leakage	1 (2 %)
Bleeding	3 (6 %)
Pleural effusion	1 (2 %)
Abscess formation	3 (6 %)
Postoperative pneumonia	2 (4 %)
Pancreatic fistula	1 (2 %)
Multi organ failure	2 (4 %)
Atrial fibrillation	1 (2 %)

The distribution of age in our cohort is similar to other surveys, with a wide range from 29 to 84 years [14, 20, 21] and no preponderance of male or female gender, as in other series [19, 22].

Most of our patients are symptomatic at initial presentation, irrespective of the presence of an invasive component. The distribution of symptoms do not differ between the invasive and the non-invasive subgroup apart from diabetes mellitus, and patients in the invasive subgroup have a greater tendency toward nausea ($p = 0.09$). As also reported by D'Angelica et al., in our cohort, jaundice is not a common clinical presentation in IPMN associated carcinoma patients, as only 7 % have elevated serum bilirubin levels in contrast to 30 %

of patients with ductal adenocarcinoma and higher serum bilirubin levels [15, 23].

New onset or preoperative aggravation of preexisting diabetes mellitus is more frequent in the invasive subgroup ($p = 0.006$), in agreement with Marchegiani et al. [21]. In contrast to our series, they reported significantly more patients presenting with preoperative obstructive jaundice and extensive weight loss when an invasive IPMN was present. In their series, they further differentiated between minimally invasive (<5 mm) and macroscopically invasive (>5 mm) IPMN associated carcinoma. They reported that both preoperative diabetes and obstructive jaundice were associated with macroscopic invasive carcinoma, indicating that these symptoms point to an aggressive tumor and/or locally advanced disease [22].

In our series, 56 % of resected specimens include an invasive component and/or an associated carcinoma, but there is no concomitant ductal adenocarcinoma that did not originate from premalignant intraductal lesions or PanIN. This is reflected in a significantly better median overall survival compared to median survival after resection for pancreatic ductal adenocarcinoma [23–25] as well as significantly better 5-year overall survival [23, 26]. This can be explained by slower progression of the malignant transformation into an invasive carcinoma. Interestingly, in our series, bile duct obstruction is only seen in the invasive group, with all the tumors located in the pancreatic head. This finding is supported by Brambs et al. [27, 28] as well as Ogawa et al. [29]; both judged biliary obstruction to be an index for the malignancy of an IPMN lesion [20].

Table 6 Histopathological details

Histology	Overall (n = 54)	Non-invasive IPMN ^a (n = 24)	Invasive IPMN ^a (n = 30)	Two-sided <i>p</i> -value
<i>Grade of dysplasia</i>				
Low grade	3 (6 %)	3 (13 %)	0	0.06
Intermediate ^a	11 (20)	11 (46 %)	0	<0.001
High grade	21 (29 %)	0	21 (70 %)	<0.001
Mixed	19 (35 %)	10 (42 %)	9 (30 %)	0.54
<i>Histopathological cyst size</i>				
<3 cm	24 (48 %)	11 (48 %)	13 (48 %)	0.91
>3 cm	26 (48 %)	12 (50 %)	14 (47 %)	0.81
Not available	4 (7 %)	1 (4 %)	3 (10 %)	0.47
R0	36 (67 %)	17 (71 %)	19 (63 %)	0.80
R1	18 (33 %)	7 (29 %)	11 (37 %)	0.68
Obstruction of the pancreatic duct	13 (24 %)	0	13 (43 %)	0.003
Concrements	2 (4 %)	0	2 (7 %)	0.21
Calcifications	8 (15 %)	3 (13 %)	5 (17 %)	0.71
Pancreatitis	30 (66 %)	14 (58 %)	16 (53 %)	0.85

^aIPMN intraductal papillary mucinous neoplasm

Table 7 Follow-up details

Status at follow-up	Overall (n = 54)	Non-invasive IPMN ^a (n = 24)	Invasive IPMN ^a (n = 30)	Two-sided p-value
No evidence of disease	43 (80 %)	23 (96 %)	20 (71 %)	0.3
Dead of disease	9 (17 %)	1 (4 %)	8 (29 %)	0.05
Alive with disease	6 (11 %)	0	6 (20 %)	0.04

^aIPMN intraductal papillary mucinous neoplasm

As reported above, none of patients suffers from a concomitant ductal adenocarcinoma; but one-fourth of them from a current and/or previous extra-pancreatic malignancy. In four patients there is a history of renal cell carcinoma, in one each, rectal carcinoma and pulmonary carcinoma; other tumor entities included, among others, ovarian, testicular or prostate cancer, and a malignant tumor of the spine.

Sahora et al. reported a frequency of 7 % of concomitant pancreatic ductal adenocarcinoma or peri-ampullary carcinoma in a recent series of 441 patients. It mainly occurred in patients with branch duct IPMN; other synchronous neoplasms in this series were found sporadically without suspected association. This is in contrast to our data, in which most of patients exhibit anamnestic extra-pancreatic malignancy, corresponding to the life-time risk for malignancies in an age adjusted population [30, 31].

Preoperative serum levels of CA 19-9 are significantly higher in the invasive subgroup than in the non-invasive subgroup in line with the findings of Kawai et al. and Goh et al. [20, 32–35]. Nevertheless, the role of CA 19-9 as a predictor for invasiveness of an IPMN was seen

critically by other authors, above all for the sub-entirety of branch-duct IPMN. Sahora et al. reported that only 35 % of pts with invasive BD-IPMN carcinoma showed elevated CA 19-9 levels, while 14 % of patients with benign lesions were false positive for CA 19-9 [36], indicating that CA 19-9 is not an appropriate diagnostic tool for preoperative differentiation and decision making with respect to the potential invasiveness of a cystic pancreatic mass, but can be helpful together with cyst fluid analysis, cytopathology and radiological imaging [7, 36]. In our series, we also observe significantly elevated levels of ALP (alkaline phosphatase) and GGT (gamma glutamyltransferase) in the invasive subgroup. These laboratory values can be elevated with other pathologies such as cystic pancreatic tumors or pancreatic ductal adenocarcinoma, above all in inoperable stages, and therefore do not predict invasiveness [37].

Preoperative diagnostics in our series includes MDCT and/or MRI [20, 21, 36]. Additional ERCP is performed in 39 % and MRCP in 35 %; preoperative cytology from CT guided biopsy is available for 19 % of patients. Following implementation of the Fukuoka Guidelines, we increasingly use EUS and fine needle aspiration [7]. EUS

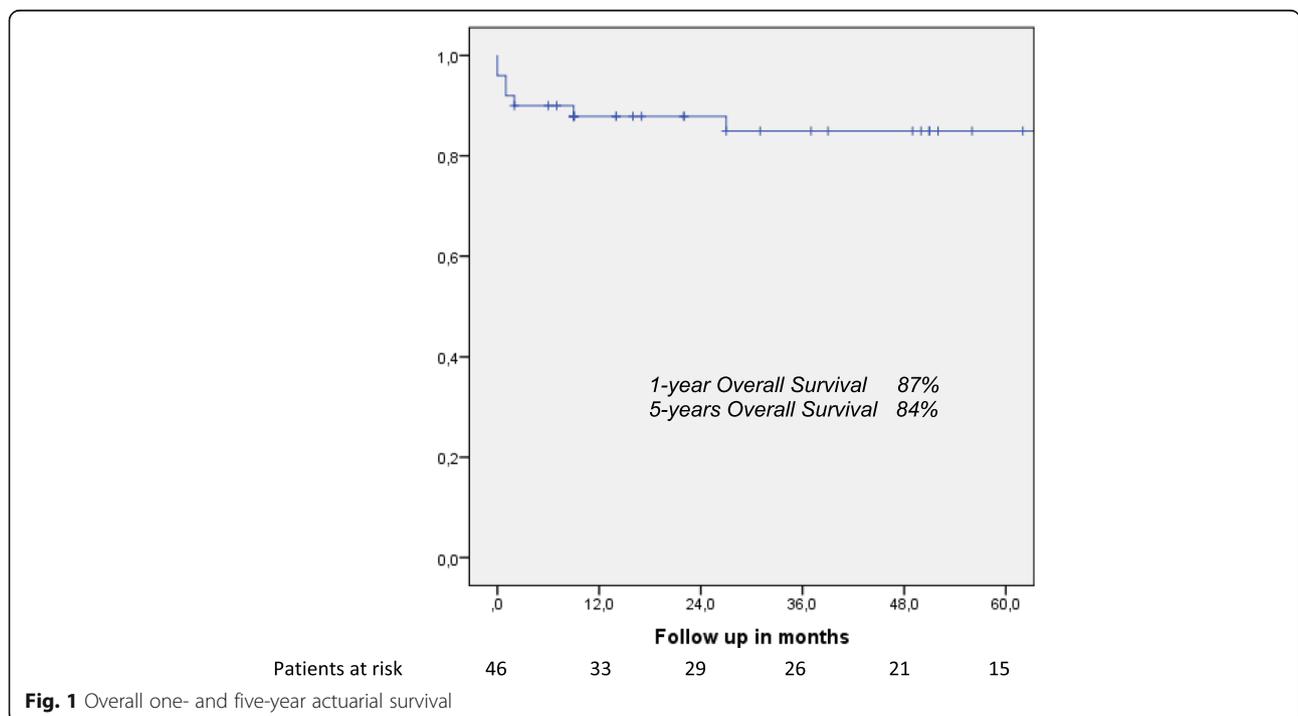
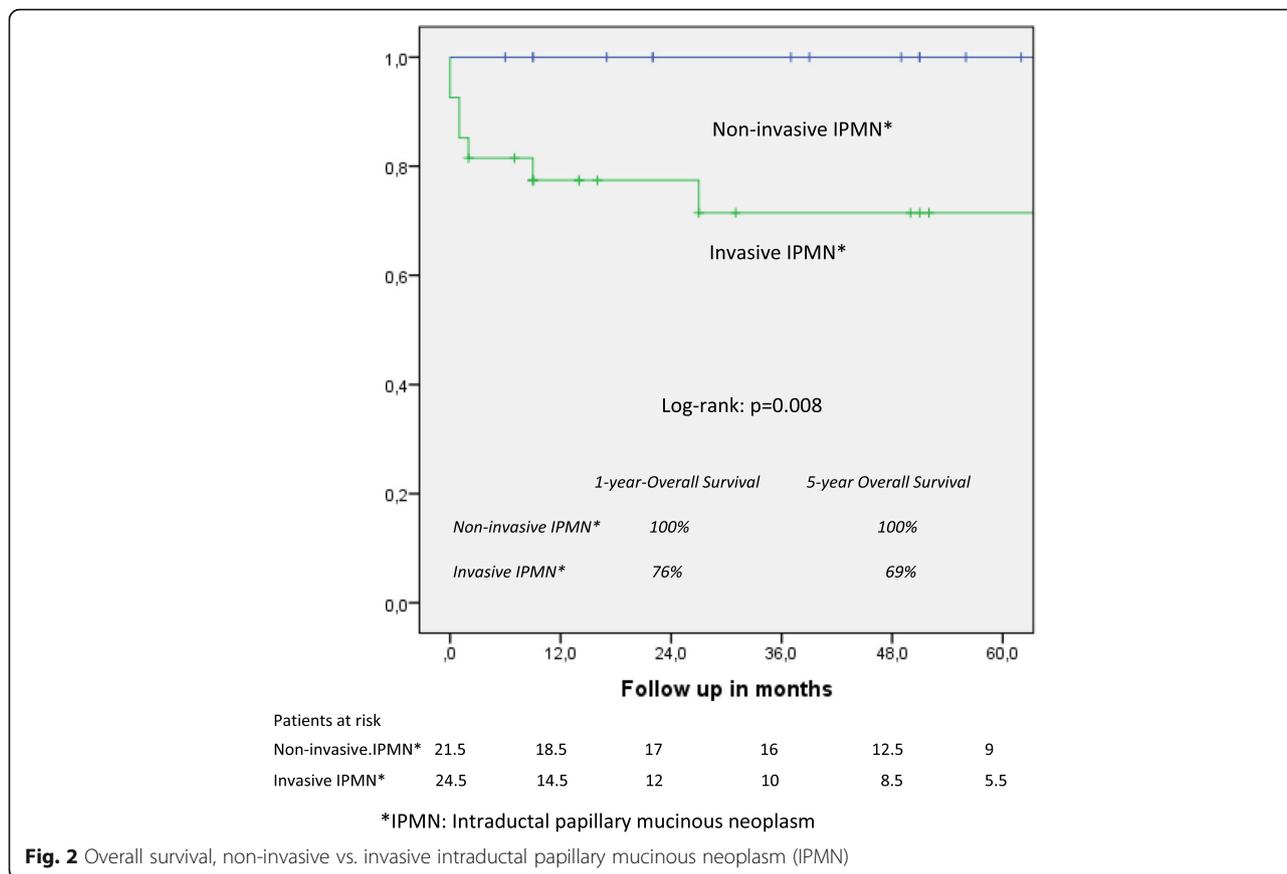


Fig. 1 Overall one- and five-year actuarial survival



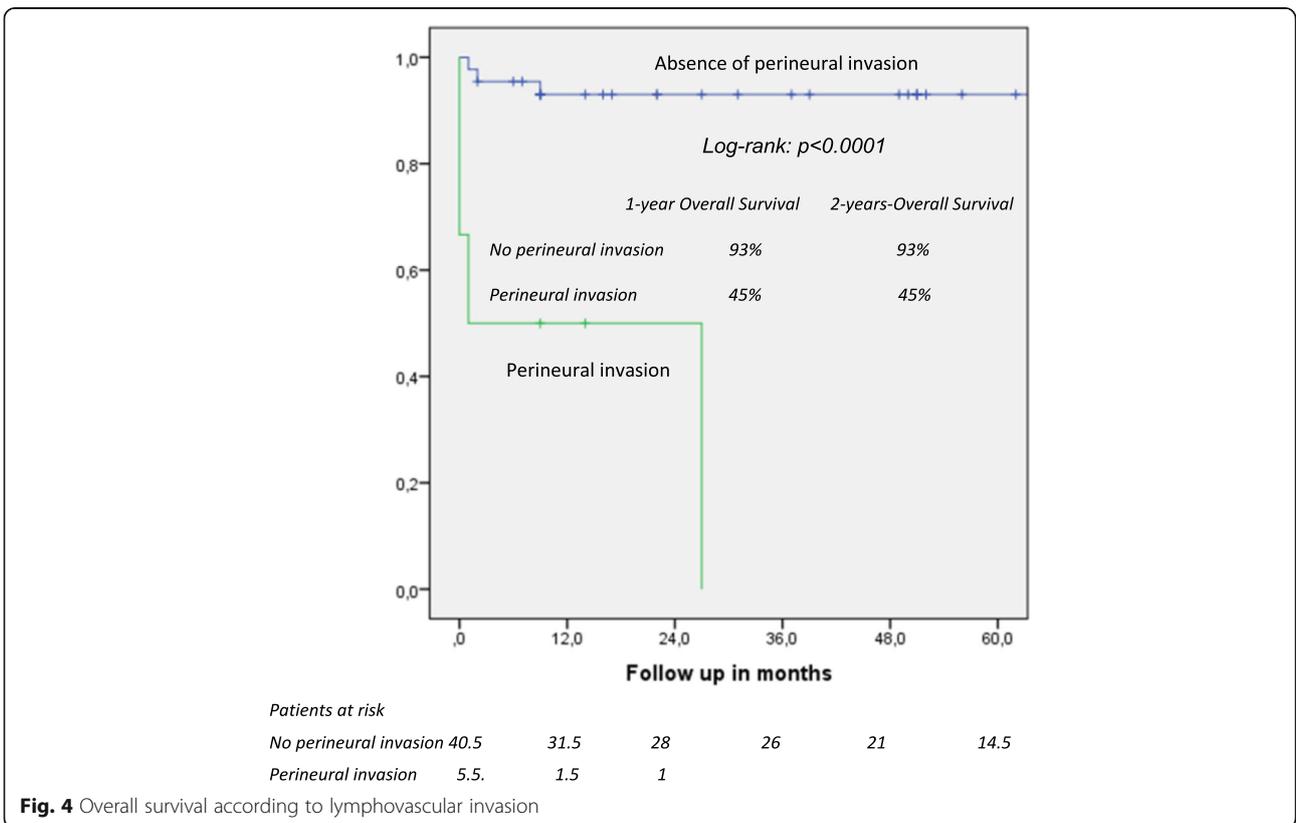
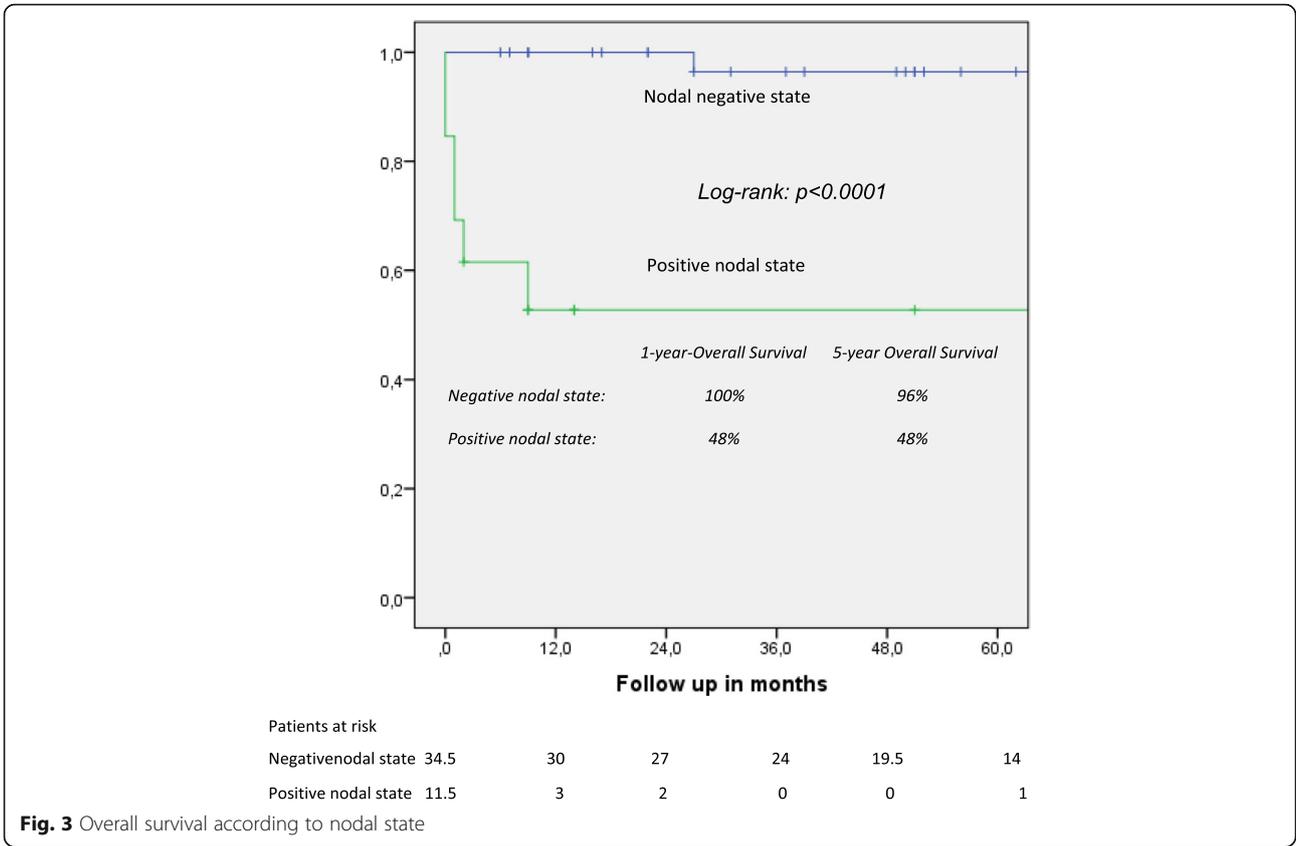
including Doppler ultrasound provided higher diagnostic accuracy in preoperative evaluation of potential malignancy of cystic pancreatic lesions thanks to better imaging of the dilated pancreatic duct, and detailed imaging of the morphology of an intraductal lesion [1, 38]. As a limitation, there was significant inter-observer variability in the diagnostic accuracy of EUS, depending on the experience of the examiner. In experienced hands, together with PET, MRCP and CT, the malignancy of an IPMN lesion could be detected with a diagnostic accuracy of 90 % [39].

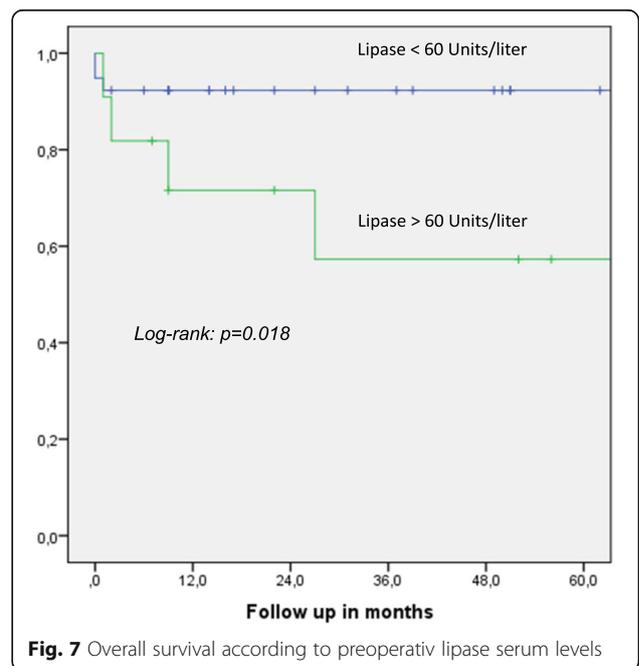
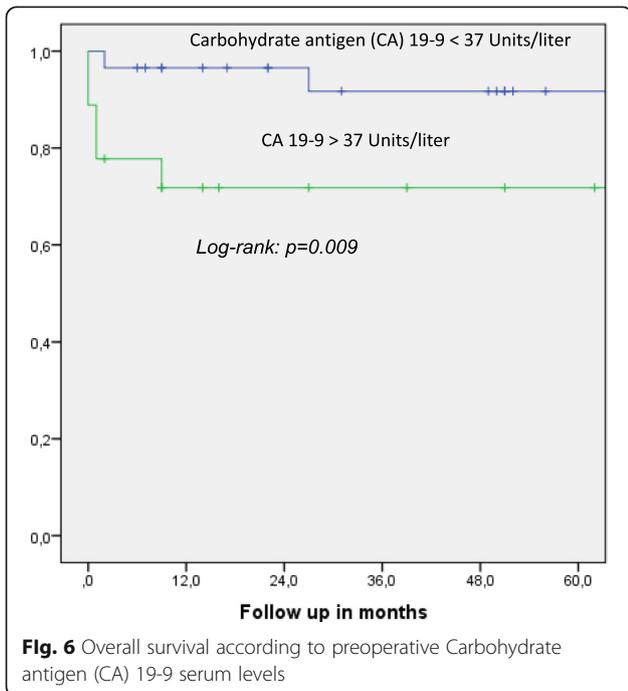
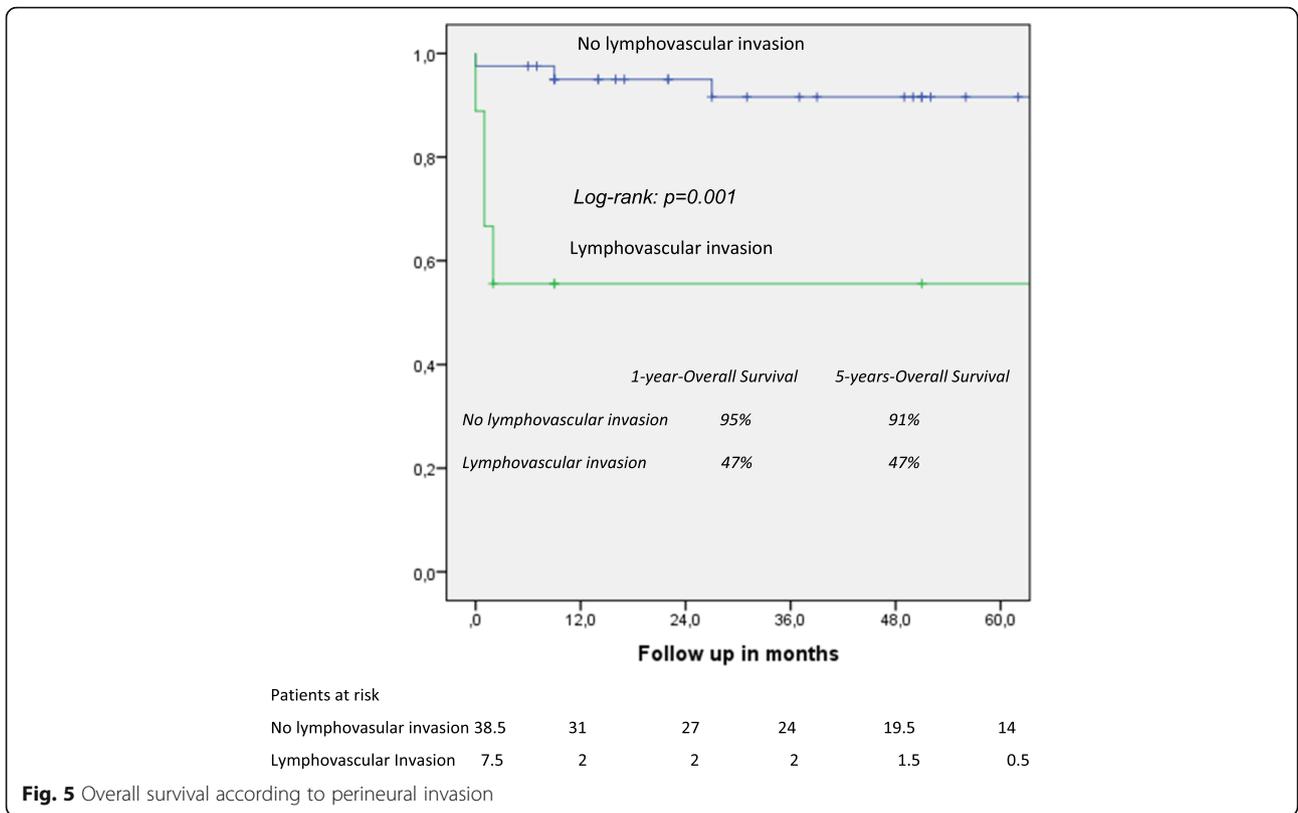
Perioperative morbidity and mortality in our series are comparable to other studies [14, 21, 22, 35, 37, 40], with no mortality in the non-invasive cohort [Table 9]. One-, five- and ten-year overall survival rates are excellent for the overall cohort but with a significantly poorer outcome in the invasive subgroup, comparable to results from other surveys [14, 22, 41]. For our non-invasive subgroup, the 10-year overall survival rate is 80 %, which is comparable to the age-adjusted attrition rate [42]. In our cohort, the recurrence rate in the non-invasive cohort is low, with one patient developing an invasive recurrence and consecutively succumbs to his disease during follow-up. All the other patients are free of tumor recurrence at follow-up. Other series reported recurrence rates up to 17 % for resected

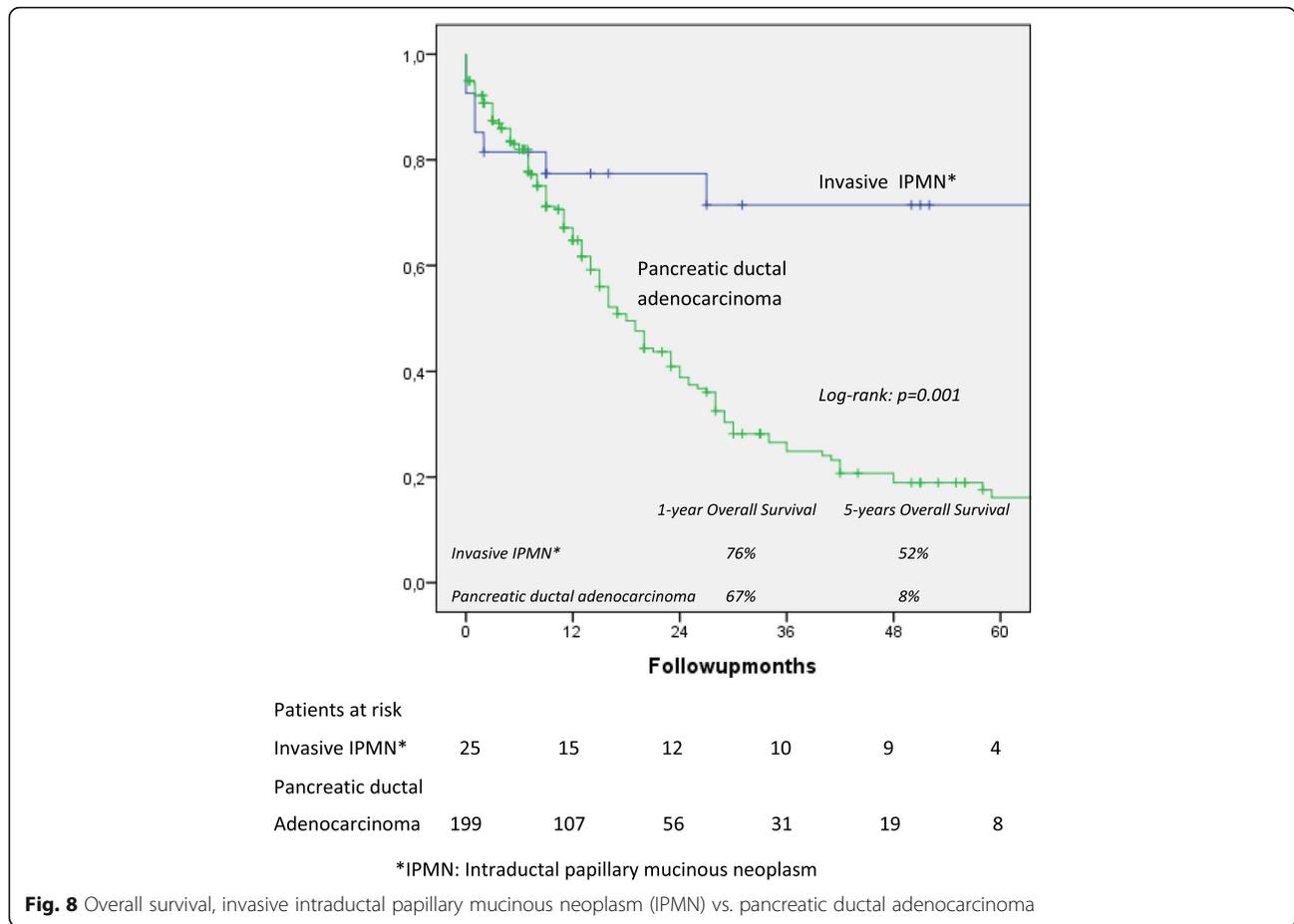
non-invasive IPMN [36, 43, 44]. In our series, after resection of invasive IPMN seventy-nine percent of patients are free of tumor recurrence during follow-up. In other series, the reported recurrence rate was significantly higher, with 28 – 60 % after pancreatic resection for invasive IPMN [20, 40, 45].

An invasive component, a positive nodal state, and lymphovascular and perineural invasion are associated with a significantly poorer outcome in our study. Lymph node positivity was also predictive for lower long-term survival rates in other series [14, 22]. As is known for pancreatic ductal adenocarcinoma, lympho-vascular as well as perineural invasion were histological surrogates for advanced disease and therefore associated with unfavorable long-term outcome [25, 26, 45, 46].

The Fukuoka Guidelines offer a useful orientation on the treatment of IPMN, but even with the high diagnostic accuracy of radiologic imaging techniques, EUS, FNA, cyto-pathological, immune-histochemical and genetic analyses, cystic pancreatic lesions cannot always be classified correctly before resection. On the one hand, we have to be aware of the potential danger that a cystic lesion classified as low risk can progress to an invasive carcinoma over time. Treatment options should be thoroughly discussed with every patient with a cystic pancreatic mass and weighed







carefully against a watchful waiting strategy, particularly with young, fit patients with low perioperative risk. On the other hand, we are encouraged to follow the therapeutic algorithm of the Fukuoka Guidelines [7] and avoid “unnecessary” pancreatic resections that could result in even higher mortality that would then be IPMN-associated [19]. This is of special impact for patients with diffuse distribution of low-risk IPMN where curative resection is only accessible

by means of total pancreatectomy – we performed total pancreatectomy in 13 % of patients with non-invasive IPMN – with postoperative brittle diabetes and its associated risks and reduced quality of life as a consequence [22].

Limitations and strength of the study

This report has all the limitations of a retrospective single center study. Because of the retrospective nature of this

Table 8 Baseline characteristics invasive intraductal papillary mucinous neoplasm (IPMN) vs. pancreatic ductal adenocarcinoma

Factor	Invasive IPMN ^a (n = 30)	Pancreatic ductal adenocarcinoma (n = 221)	Two-sided p-value
Age (years)	67 ± 11	66 ± 9	0.58
Age > 70 years	14 (47 %)	75 (34 %)	0.17
Female gender	17 (57 %)	112 (51 %)	0.54
Body mass index	25 ± 4	26 ± 4	0.78
<i>American Society of Anesthesiologists (ASA) classification</i>			
ASA I	0	8 (4 %)	0.29
ASA II	11 (37 %)	75 (35 %)	0.77
ASA III	14 (47 %)	113 (52 %)	0.65
ASA IV	5 (17 %)	20 (9 %)	0.19

^aIPMN intraductal papillary mucinous neoplasm

Table 9 Perioperative and long-term outcome after pancreatic resection for intraductal papillary mucinous neoplasm (IPMN)

Series	number of patients	non-invasive IPMN ^a (n/%)	Invasive IPMN ^a (n/%)	Perioperative mortality (n/%)	Perioperative morbidity (Clavien Dindo/others; n(%))	Survival	Recurrence rate	Comment
Salvia et al. [44]	n = 140	47 (34 %)	83 (66 %)	0	33 (24 %)(major complication)	10-year overall survival non-invasive IPMN ^a 100 %; invasive IPMN ^a : 50 %.	n.s. ^b	
Sohn et al. [14]	n = 136	84 (62 %)	52 (38 %)	5 (3.7 %)	47 (35 %)	5-year overall survival non-invasive IPMN ^a :77 %; invasive. IPMN ^a : 43 %.	n.s. ^b	
D'Angelica et al. [15]	n = 62 ^a	33 ^a (52 %)	30 (48 %)	4 (6 %)	31 (50 %)	5-year-overall survival:75 %/10 year-overall survival:60 %	23 %	^a 1 patient Unresectable
Rodriguez et al. [39]	n = 145	113 (78 %)	32 (22 %)	0	86 (59 %)	10-year-overall survival: 70 %, invasive Carcinoma : 10-year-overall survival: 63 %	6.9 %	only branch duct-IPMN ^a
Niedergethmann [20]	n = 97	29 (30 %)	68 (70 %)	1 (1 %)	55 (56.7 %)	median overall survival: 36 months	n.s. ^b	
Sahora et al. [35]	n = 226	174 (77 %)	52 (23 %)	1.3 %	34 %	n.s.	8.5 %	only branch-duct-IPMN ^a
Marchegiani et al. [21]	n = 173	48 (28 %)	125 (72 %)	0	15.6 % ("major surgical complications")	10 year-overall survival: 69 %	25 %	only main-duct-IPMN ^a
present series	n = 54	24 (44 %)	30 (56 %)	2 (3.7 %)	20 (37 %)	5-year-overall survival non-invasive IPMN ^a : 100 %, invasive IPMN ^a 69 %	6 (12 %)	

^aIPMN intraductal papillary mucinous neoplasm

^bn.s. not specified

study over a 13-year period, numerous patients in this series were not treated with strict adherence to the Fukuoka guidelines. We cannot exclude that some patients in the non-invasive subgroup were over-treated from the present point of view. Our study deals with a patient population suffering from what is still a challenging disease that demands a “tailored approach“, taking into account both the guidelines and the individual patient’s state of health. This is indispensable if surgeons are to choose an optimal medical treatment that would neither miss potentially invasive IPMN nor over-treat, with subsequent surgery-related morbidity and mortality.

Conclusion

Both long-term and disease-free survival after pancreatic resection for non-invasive IPMN is excellent; survival rates after pancreatic resection for invasive IPMN and IPMN-associated carcinoma are significantly higher than for patients undergoing resection for pancreatic ductal adenocarcinoma. In low- and intermediate risk IPMN with no clear indication for surgical intervention, a close follow-up strategy according to the guidelines [7] should be considered carefully and evaluated against surgical treatment for every potential risk candidate risk by an interdisciplinary board consisting of hepatobiliary surgeons, pathologists, radiologists and oncologists.

Abbreviations

ALP: Alkaline phosphatase; BD-IPMN: Branch-duct IPMN; CA 19-9: Carbohydrate antigen; CEA: Carcino-embryonic antigen; CT: Computed tomography; ERCP: Endoscopic retrograde cholangio-pancreaticography; EUS: Endoscopic ultrasound; FNA: Fine needle aspiration; GGT: Gamma glutamyl transferase; ICU: Intensive care unit; IPMN: Intraductal papillary mucinous neoplasm; MDCT: Multi-detector computed tomography; MD-IPMN: Main-duct IPMN; MRCP: Magnetic resonance cholangio-pancreaticography; MRI: Magnetic resonance imaging; PanIN: Pancreatic intraepithelial neoplasia; PET: Positron emission tomography; WHO: World Health Organization

Acknowledgements

We thank Mrs. Eugenia Lamont (Department of Surgical Research, Medical University of Graz, Austria) for proof-reading and language editing. All other persons involved in this study meet criteria for authorship; none of authors received financial support.

Funding

The authors did not receive any financial support or funding.

Availability of data and sources

The datasets supporting the conclusions of the article are included within the article.

Authors’ contributions

KM, DC, JS, RL and JEW contributed to data acquisition and collection. KM, JH, (these two authors contributed equally), DC and PK made substantial contributions to the conception and design of the study. The manuscript was drafted and critically revised by PK, JH and HJM. DC and KM contributed to the statistical analysis. The study was supervised by PK. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interest.

Consent for publication

Informed consent was not obtained from the patients because this retrospective study was approved by the local Ethical Committee, which waived the requirement for written patients’ consent.

Ethics approval and consent to participate

This retrospective study was approved by the Ethics Committee of the Medical University of Graz (EK 25 -404 - ex 12/12), which waived the requirement for patients’ consent.

Author details

¹Department of General Surgery, Medical University of Graz, Auenbruggerplatz 29, A-8036 Graz, Austria. ²Institute of Pathology, Medical University of Graz, Graz, Austria.

Received: 11 May 2016 Accepted: 25 October 2016

Published online: 04 November 2016

References

- Fernandez-del Castillo C, Adsay NV. Intraductal papillary mucinous neoplasms of the pancreas. *Gastroenterology*. 2010;139:708–13.
- Kloppel G, Solcia E, Longnecker DS, Capellac C, Sobin LH. Histological typing of tumours of the exocrine pancreas. In: World Health Organization international classification of tumours. 2nd ed. Berlin: Springer; 1996. p. 11–20.
- Furukawa T, Kloppel G, VolkanAdsay N, Albores-Saavedra J, Fukushima N, Horii A, et al. Classification of types of intraductal papillary-mucinous neoplasm of the pancreas: a consensus study. *Virchows Arch*. 2005;447(5):794–9.
- Tanaka M, Chari S, Adsay V, Fernandez-del Castillo C, Falconi M, Shimizu M, et al. International consensus guidelines for management of intraductal papillary mucinous neoplasms and mucinous cystic neoplasms of the pancreas. *Pancreatology*. 2006;6(1-2):17–32.
- Shi C, Klein AP, Goggins M, Maitra A, Canto M, Ali S, et al. Increased Prevalence of Precursor Lesions in Familial Pancreatic Cancer Patients. *Clin Cancer Res*. 2009;15(24):7737–43.
- Castellano-Megias VM, Ibarrola-de Andres C, Lopez-Alonso G, Colina-Ruizdelgado F. Pathological features and diagnosis of intraductal papillary mucinous neoplasm of the pancreas. *World J Gastrointest Oncol*. 2014;6(9):311–24.
- Tanaka M, Fernandez-del Castillo C, Adsay V, Chari S, Falconi M, Jang JY, et al. International consensus guidelines 2012 for the management of IPMN and MCN of the pancreas. *Pancreatology*. 2012;12(3):183–97.
- Takaori K. Current understanding of precursors to pancreatic cancer. *J Hepatobiliary Pancreat Surg*. 2007;14(3):217–23.
- Bosman FT, Carneiro F, Hruban RH, Theise ND (Eds.), WHO Classification of Tumours of the Digestive System, 4th Edition, International Agency for Research on Cancer, Lyon 2010
- Luttges J, Zamboni G, Longnecker D, Kloppel G. The immunohistochemical mucin expression pattern distinguishes different types of intraductal papillary mucinous neoplasms of the pancreas and determines their relationship to mucinous noncystic carcinoma and ductal adenocarcinoma. *Am J SurgPathol*. 2001;25(7):942–8.
- Furukawa T, Hatori T, Fujita I, Yamamoto M, Kobayashi M, Ohike N, et al. Prognostic relevance of morphological types of intraductal papillary mucinous neoplasms of the pancreas. *Gut*. 2011;60(4):509–16.
- Adsay NV, Merati K, Andea A, Sarkar F, Hruban RH, Wilentz RE, et al. The dichotomy in the preinvasive neoplasia to invasive carcinoma sequence in the pancreas: differential expression of MUC1 and MUC2 supports the existence of two separate pathways of carcinogenesis. *Mod Pathol*. 2002; 15(10):1087–95.
- Takasu N, Kimura W, Moriya T, Hirai I, Takeshita A, Kamio Y, et al. Intraductal papillary-mucinous neoplasms of the gastric and intestinal types may have less malignant potential than the pancreatobiliary type. *Pancreas*. 2010;39(5):604–10.
- Sohn TA, Yeo CJ, Cameron JL, Hruban RH, Fukushima N, Campbell KA, et al. Intraductal papillary mucinous neoplasms of the pancreas: an updated experience. *Ann Surg*. 2004;239(6):788–97. discussion 97-9.
- D’Angelica M, Brennan MF, Surianawinata AA, Klimstra D, Conlon KC. Intraductal papillary mucinous neoplasms of the pancreas: an analysis of clinicopathologic features and outcome. *Ann Surg*. 2004;239(3):400–8.
- Crippa S, Fernandez-Del Castillo C, Salvia R, Finkelstein D, Bassi C, Dominguez I, et al. Mucin-producing neoplasms of the pancreas: an analysis

- of distinguishing clinical and epidemiologic characteristics. *Clin Gastroenterol Hepatol.* 2010;8(2):213–9.
17. Dindo D, Demartines N, Clavien PA. Classification of surgical complications: a new proposal with evaluation in a cohort of 6336 patients and results of a survey. *Ann Surg.* 2004;240:205–13.
 18. Austrian National cancer registry. http://www.statistik.at/web_de/statistiken/menschen_und_gesellschaft/gesundheit/krebskrankungen/bauchspeicheldruese/index.html
 19. Sahara K, Fernandez-del CC. Intraductal papillary mucinous neoplasms. *Curr Opin Gastroenterol.* 2015;31:424–9.
 20. Niedergethmann M, Grutzmann R, Hildenbrand R, Dittert D, Aramin N, Franz M, et al. Outcome of invasive and noninvasive intraductal papillary-mucinous neoplasms of the pancreas (IPMN): a 10-year experience. *World J Surg.* 2008;32(10):2253–60.
 21. Baiocchi GL, Bertagna F, Gheza F, Grazioli L, Calanducci D, Giubbini R, et al. Searching for indicators of malignancy in pancreatic intraductal papillary mucinous neoplasms: the value of 18FDG-PET confirmed. *Ann Surg Oncol.* 2012;19(11):3574–80.
 22. Marchegiani G, Mino-Kenudson M, Sahara K, Morales-Oyarvide V, Thayer S, Ferrone C, et al. IPMN Involving the Main Pancreatic Duct. Biology, epidemiology, and long-term outcomes following resection. *Ann Surg.* 2015;261:976–83.
 23. Cameron JL, Riall TS, Coleman J, Belcher KA. One thousand consecutive pancreaticoduodenectomies. *Ann Surg.* 2006;244(1):10–5.
 24. Andrén-Sandberg A, Neoptolemos JP. Resection for pancreatic cancer in the new millennium. *Pancreatol.* 2002;2(5):431–39.
 25. Marsoner K, Kornprat P, Sodeck G, Schagerl J, Langeder R, Csengeri D, Wagner D, Mischinger HJ, Haybaeck J. Pancreas cancer surgery in octogenarians – should we or should we not? *Anticancer Res.* 2016;36(4):1979–84.
 26. Shimada K, Sakamoto Y, Sano T, Kosuge T, Hiraoka N. Invasive carcinoma originating in an intraductal papillary mucinous neoplasm of the pancreas: a clinicopathologic comparison with a common type of invasive ductal carcinoma. *Pancreas.* 2006;32(4):281–7.
 27. Brambs HJ, Juchems M. Cystic tumors of the pancreas. *Radiologe.* 2008;48(8):740–51.
 28. Brambs HJ, Juchems M. Radiologische Diagnostik der intraduktalen papillär muzinösen Neoplasie. *Chirurg.* 2012;83(2):116–22.
 29. Ogawa H, Itoh S, Ikeda M, Suzuki K, Naganawa S. Intraductal papillary mucinous neoplasm of the pancreas: assessment of the likelihood of invasiveness with multisection CT. *Radiology.* 2008;248(3):876–86.
 30. Austrian cancer registry. http://www.statistik.at/web_de/statistiken/menschen_und_gesellschaft/gesundheit/krebskrankungen/krebspraevaleanz/index.html
 31. Sahara K, Crippa S, Zamboni G, Ferrone C, Warshaw AL, Lillemo K, Mino-Kenudson M, Falconi M, Fernandez-del CC. Intraductal papillary mucinous neoplasms of the pancreas with concurrent pancreatic and periampullary neoplasms. *EJSO.* 2016;42:197–204.
 32. Kawai M, Uchiyama K, Tani M, Onishi H, Kinoshita H, Ueno M, et al. Clinicopathological features of malignant intraductal papillary mucinous tumors of the pancreas: the differential diagnosis from benign entities. *Arch Surg.* 2004;139(2):188–92.
 33. Goh BK, Tan YM, Thng CH, Cheow PC, Chung YF, Chow PK, et al. How useful are clinical, biochemical, and cross-sectional imaging features in predicting potentially malignant or malignant cystic lesions of the pancreas? Results from a single institution experience with 220 surgically treated patients. *J Am Coll Surg.* 2008;206(1):17–27.
 34. Wang W, Zhang L, Chen L, Wei J, Sun Q, Xie Q, Zhou X, Zhou D, Huang P, Yang Q, Xie H, Zhou L, Zheng S. Serum carcinoembryonic antigen and carbohydrate antigen 19-9 for prediction of malignancy and invasiveness in intraductal papillary neoplasms: a meta-analysis. *Biomed Rep.* 2015;3:43–50.
 35. Fritz S, Hackert T, Hinz U, Hartwig W, Büchler MR, Werner J. Role of serum carbohydrate antigen and carcinoembryonic antigen in distinguishing between benign and invasive intraductal papillary neoplasms of the pancreas. *Br J Surg.* 2011;98:104–10.
 36. Sahara K, Mino-Kenudson M, Brugge W, Thayer SP, Cr F, Sahani D, Pitman MB, Warshaw AL, Lillemo K, Fernandez-del Castillo CF. Branch duct intraductal papillary mucinous neoplasms: does cyst size change the tip of the scale? A critical analysis of the revised international consensus guidelines in a large single-institutional series. *Ann Surg.* 2013;258:466–75.
 37. Brugge WR, Lauwers GY, Sahani D, Fernandez-del Castillo C, Warshaw AL. Cystic neoplasms of the pancreas. *N Engl J Med.* 2004;16:351(12):1218–26.
 38. Farrell JJ. Prevalence, diagnosis and management of pancreatic cystic neoplasms: current status and future directions. *Gut Liver.* 2015;9(5):571–89.
 39. Saito M, Ishihara T, Tada M, Tsuyuguchi T, Mikata R, Sakai Y, Tawada K, Sugiyama H, Kurosawa J, Otuska M, Uchida Y, Uchiyama K, Miyazaki M, Yokosuka O. Use of F-18 fluorodeoxyglucose positron emission tomography with dual-phase imaging to identify intraductal papillary mucinous neoplasm. *Clin Gastroenterol Hepatol.* 2013;11(2):181–6.
 40. Rodriguez JR, Salvia R, Crippa S, Warshaw AL, Bassi C, Falconi M, et al. Branch-duct intraductal papillary mucinous neoplasms: observations in 145 patients who underwent resection. *Gastroenterology.* 2007;133(1):72–9. quiz 309–10.
 41. Mimura T, Masuda A, Matsumoto I, Shiomi H, Yoshida S, Sugimoto M, Sanuki T, Yoshida M, Fujita T, Kutsumi H, Ku Y, Azuma T. Predictors of malignant intraductal papillary mucinous neoplasm of the pancreas. *J Clin Gastroenterol.* 2010;44(9):224–9.
 42. Austrian cancer registry. http://www.statistik.at/web_de/statistiken/menschen_und_gesellschaft/gesundheit/todesursachen/todesursachen_ausgewaehlte/index.html
 43. He J, Cameron JL, Ahuja N, Makary MA, Hirose K, Choti MA, Schulick RD, Hruban RH, Pawlik TM, Wolfgang CL. Is it necessary to follow patients after resection of a benign pancreatic intraductal papillary mucinous neoplasm? *J Am Coll Surg.* 2013;216:657–65.
 44. Xourafas D, Tavakkoli A, Clancy T, Ashley SW. Noninvasive intraductal papillary mucinous neoplasms and mucinous cystic neoplasms: Recurrence rates and postoperative imaging follow-up. *Surgery.* 2015;157:473–83.
 45. Salvia R, Fernandez-del Castillo C, Bassi C, Thayer SP, Falconi M, Mantovani W, et al. Main-duct intraductal papillary mucinous neoplasms of the pancreas: clinical predictors of malignancy and long-term survival following resection. *Ann Surg.* 2004;239(5):678–85. discussion 85–7.
 46. Mino-Kenudson M, Fernandez-del Castillo C, Baba Y, Valsangkar NP, Liss AS, Hsu M, Correa-Gallego C, Ingakul T, Perez Johnston R, Turner BG, Androustopoulos V, Deshpande V, McGrath D, Sahani DV, Brugge WR, Ogino S, Pitman MB, Warshaw AL, Thayer SP. Prognosis of invasive intraductal papillary mucinous neoplasm depends on histological and precursor epithelial subtypes. *Gut.* 2011;60(12):1712–20.

Submit your next manuscript to BioMed Central and we will help you at every step:

- We accept pre-submission inquiries
- Our selector tool helps you to find the most relevant journal
- We provide round the clock customer support
- Convenient online submission
- Thorough peer review
- Inclusion in PubMed and all major indexing services
- Maximum visibility for your research

Submit your manuscript at
www.biomedcentral.com/submit

