

FULL PAPER

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# Solar events and solar wind conditions associated with intense geomagnetic storms

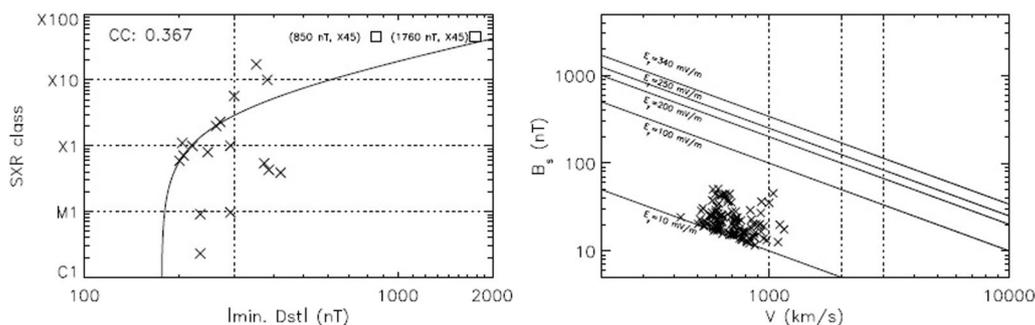
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## Abstract

Intense magnetic storms pose a systemic threat to the electric power grid. In this study we examined the solar/interplanetary causes of such storms, their peak theoretical and observed intensities, and their occurrence frequency. Using coronal mass ejection (CME) and solar wind data, we selected the 18 intense magnetic storms from 1996 to 2021 with disturbance storm time (Dst) index of less than  $-200$  nT and analyzed solar events and solar wind conditions associated with them. Approximately 83% of the CMEs associated with the storms were full halo type and more than 83% of the flares associated with the storms were located within 30 degrees in longitude of solar central meridian. The integrated dawn-to-dusk electric field in the solar wind ( $E_y$ ) showed a good correlation with  $|\text{min. Dst}|$  of the storms and the peak  $E_y$  ( $E_{yp}$ ) and the peak southward interplanetary magnetic field showed next good correlations with  $|\text{min. Dst}|$ . We obtained the  $E_{yp}$  of 236 mV/m for  $|\text{min. Dst}|$  of 2500 nT of the expected upper limit of Earth's magnetosphere using the empirical equations from the correlations between  $|\text{min. Dst}|$  and solar wind parameters and showed that this value of  $E_y$  is possible according to the past observations. The  $E_{yp}$  of 54 mV/m for the 13 March 1989 storm and that of 165/79 mV/m for the Carrington storm ( $|\text{min. Dst}| = 1760/850$  nT) were also obtained. The analysis using the complimentary cumulative distribution function suggested the probabilities of  $E_y$  of 100, 200, 250, and 340 mV/m over the next 100 years to be 0.563, 0.110, 0.060 and 0.026, respectively.

**Keywords** Geomagnetic storm, Disturbance storm time (Dst) index, Ring current, Solar wind electric field, Coronal mass ejection (CME), Soft X-ray (SXR) flare, Complimentary cumulative distribution function (CCDF), Carrington storm, 13 March 1989 storm

## Graphical Abstract



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### Introduction

Studies of space weather hazards (Committee on the social and economic impacts of severe space weather events 2008; Cannon et al. 2013; Knipp et al. 2021) have revealed that severe space weather affects our social facilities. Intense geomagnetic storms are one of the space weather hazards. For example, geomagnetically induced current (GIC) by an intense geomagnetic storm on 13 March 1989 caused a power blackout in Quebec, Canada (Bolduc 2002; Boteler 2019).

To prepare for such a large geomagnetic storm, it is necessary to study the solar events and solar wind conditions associated with it. And it is useful for space weather forecasts to find a simple parameter suggesting occurrence of an intense storm. Several studies have been conducted on this. For example, Vennerstrom et al. (2016) and Lefevre et al. (2016) studied extreme geomagnetic storms between 1868 and 2010 using aa-index and the related activities with them. Zhang et al. (2007a, b), Meng et al. (2019), and Cliver et al. (2022) examined solar and interplanetary causes of the major storms considering Disturbance storm time (Dst) index of less than  $-100$ ,  $-250$ , and  $-300$  nT, respectively. According to their results, major storms are associated with sheaths and magnetic clouds of interplanetary coronal mass ejections (ICMEs) originated from large active regions near center of the Sun.

On the solar wind condition, Gonzalez and Tsurutani (1987) analyzed ten storms with  $Dst < -100$  nT from August 1978 to December 1979 and showed that these storms were associated with the southward interplanetary magnetic field (IMF)  $> 10$  nT with the dawn-to-dusk electric field in the solar wind ( $E_y$ ) of more than 5 mV/m lasting for the time intervals of more than 3 h. Echer et al. (2008b) analyzed 90 storms with peak Dst ( $Dst_p$ )  $\leq -100$  nT between 1996 and 2006. Following to the previous studies, we use  $Dst_p$  to refer the peak value of Dst in this section. They reported that  $Dst_p$  and the peak  $E_y$  ( $E_{yp}$ ) have a high correlation coefficient (R) of  $-0.86$ , and  $Dst_p$  and the peak of the southward IMF ( $B_s$ ) show a slightly lower R of  $-0.80$ . Gonzalez and Echer (2005) studied 64 storms with  $Dst \leq -85$  nT and showed R of  $-0.87$  between  $Dst_p$  and  $E_{yp}$ , R of  $-0.82$  between  $Dst_p$  and the peak  $B_s$  ( $B_{sp}$ ), and R of  $-0.53$  between  $Dst_p$  and the integrated  $E_y$  ( $E_{yi}$ ) up to the time of the peak  $B_s$ . Contrary to these results, Echer et al. (2008a) showed R of  $-0.23$  between  $Dst_p$  and  $E_{yp}$ , R of  $-0.23$  between  $Dst_p$  and  $B_{sp}$ , and R of  $-0.62$  between  $Dst_p$  and  $E_{yi}$  from the start of Dst decrease to the peak Dst for eleven storms with  $Dst_p \leq -250$  nT.

The storm evolution is expressed by the Burton's equation (Burton et al. 1975; O'Brien and McPherron 2000),

$$\frac{dDst_0}{dt} = Q - \frac{Dst_0}{\tau} \tag{1}$$

where  $Q$  is the injection rate,  $\tau$  is the decay time constant, and  $Dst_0$  is the corrected Dst on contribution of the magnetopause current. Burton et al. 1975 and O'Brien and McPherron 2000 considered that  $Q$  is proportional to  $E_y$  for southwards IMF. Hence,  $E_y$  is an important parameter to determine the storm evolution.

When  $dDst_0/dt$  becomes zero in Eq. (1),  $Q_b$  is given by

$$Q_b = Dst_{0_b}/\tau, \tag{2}$$

where  $Q_b$  is a value corresponding to  $Dst_{0_b}$ .

Equation (2) implies that the rate of energy input into the ring current is balanced with the rate of loss of energy stored in the ring current.

The injection rate  $Q$  is most likely related to the dawn-dusk magnetospheric convection electric field that transports hot ions in the plasma sheet on the nightside to the inner magnetosphere (Ebihara and Ejiri 2003). The magnitude of the magnetospheric convection can be approximated by the cross polar cap potential (CPCP). The CPCP is known to saturate under strong  $E_y$  condition (Reiff et al. 1981; Reiff and Luhmann 1986; Wimmer et al. 1990). On the other hand, Russell et al. (2001) were the first to suggest that the ring current is not affected by this saturation. Lopez et al. (2009) confirmed this using a simulation model. Myllys et al. (2016) analyzed geomagnetic storms with the symmetric disturbance field in H (SYM-H) index of less than  $-50$  nT and showed that SYM-H does not saturate to the solar wind electric field using OMNI data. Here, the one-minute SYM-H index (Iyemori 1990; Iyemori et al. 2010) is essentially the same as the hourly Dst index (Sugiura 1964).

For a possible large geomagnetic storm, there are studies based on statistical possibility analysis. Watari et al. (2001) reported the return periods of large Dst using the Weibull distribution. Figure 3 in Watari et al. (2001) suggested the return period of approximately 100 years for Dst of  $-600$  nT. Tsubouchi and Omura (2007) estimated an occurrence probability of Dst of  $-589$  nT corresponding to the March 1989 storm is approximately  $1/60 \text{ y}^{-1}$ . Riley (2012) obtained a probability of a storm with Dst of  $-1700$  nT of 0.015 for the next decade assuming a power law distribution. Love (2012) showed that the most likely Poisson occurrence probability for another Carrington-type event in the next 10 years is 0.063. Kataoka (2013) estimated that the probability of another Carrington-type storm occurring over the next decade is 0.04–0.06. Theoretically, Vasyliunas (2010) obtained the upper limit of  $|\text{min. Dst}|$  of 2500 nT based on the Dessler–Parker–Sckopke theorem.

Major causes of geomagnetic storms are ICMEs and corotating interaction regions (CIRs) associated with high-speed solar wind stream from coronal holes (Tsurutani and Gonzalez 1997, and references therein). Richardson et al. (2006) showed that maximum CIR-storm strength is Dst of  $-180$  nT. Hence, storms with Dst of less than  $-200$  nT are considered to be mainly caused by ICMEs.

Continuous observations of coronal mass ejections (CMEs) and solar wind by space assets began in the 1990s. We studied solar events and solar wind conditions associated with intense geomagnetic storms with  $|\text{min. Dst}|$  of more than 200 nT between 1996 and 2021 in order to examine  $E_{yp}$  corresponding to the upper limit of Dst using the relationship between  $|\text{min. Dst}|$  and the  $E_{yp}$  and a possibility of such a value of  $E_y$ .  $|\text{min. Dst}|$  is equal to the absolute value of peak Dst ( $\text{Dst}_p$ ) of the storms. The final Dst up to 2016 was used in our analysis while the preliminary or real-time Dst (World Data Center (WDC) for Geomagnetism, Kyoto 2022) were often used in the previous studies. The intense storms with Dst of less than  $-200$  nT have been not observed after 2016 because of low solar activity.

We also estimated the  $E_{yp}$  of the 13 March 1989 storm (Bolduc 2002; Boteler 2019) and the 1859 Carrington storm (Carrington 1859; Tsurutani et al. 2003; Cliver and Svalgaard 2004; Siscoe et al. 2006) and the occurrence probability of  $E_y$  corresponding to the upper limit of Dst using the complimentary cumulative distribution function (CCDF).

### Data and analysis

Observations of CMEs and solar wind have been conducted almost continuously after 1996. Hence, we used the data obtained by such observations between 1996 and 2021 for our analysis. We selected storms with  $\text{Dst} < -200$  nT to pick-up storms mainly associated with ICMEs (Richardson et al. 2006). Eighteen geomagnetic storms were selected during this period, on the basis of the report of geomagnetic storms from the Kakioka Magnetic Observatory (2015). The Dst index was obtained from the World Data Center for Geomagnetism, Kyoto (2015) and the final Dst was available up to 2016 on this analysis. Solar events associated with the geomagnetic storms were investigated using the SOHO LASCO CME catalog ([https://cdaw.gsfc.nasa.gov/CME\\_list/index.html](https://cdaw.gsfc.nasa.gov/CME_list/index.html)) and the Geostationary Operational Environment Satellites (GOES) flare reports archived in the National Centers for Environmental Information (NCEI), NOAA (<https://ngdc.noaa.gov/ngdc.html>). Solar wind conditions in the geocentric solar magnetic (GSM) coordinates were analyzed using the hourly averaged OMNI data ([https://pdf.gsfc.nasa.gov/pub/data/omni/low\\_res\\_omni/](https://pdf.gsfc.nasa.gov/pub/data/omni/low_res_omni/)).

In the OMNI data, the time tag shows the first hour of the average and the same time tag is used for Dst index.

Eruptive flares and CMEs associated with the storms were investigated using expected occurrence time at the Sun calculated by the in situ solar wind speed. The GOES flare reports and extreme ultra-violet (EUV) images linked from the SOHO LASCO CME catalog were also used to identify the eruptive flares associated with the CMEs.

Table 1 shows a list of the geomagnetic storms ( $|\text{min. Dst}| > 200$  nT) along with the solar events and solar wind conditions. The selected storms consist of 16 storms with sudden commencements (SSCs) and two storms with gradual commencements (SGs). Table 1 shows the peak values, selected in the period before  $|\text{min. Dst}|$ , of speed ( $V$ ):  $V_p$ ,  $B_s$ ;  $B_{sp}$ , and total magnetic field ( $B$ ):  $B_p$ , and  $E_y$ ;  $E_{yp}$  with their maximum time and the integrated  $E_y$  ( $E_{yi}$ ). The  $E_{yi}$  was calculated according to Echer et al. (2008a).

Figure 1 shows histograms of time differences between  $|\text{min. Dst}|$  and  $B_p$ ,  $B_{sp}$ ,  $V_p$ , and  $E_{yp}$ , respectively. The average time differences between  $|\text{min. Dst}|$  and  $B_p$ ,  $B_{sp}$ ,  $V_p$ , and  $E_{yp}$  was  $4.7 \pm 3.7$  h,  $3.3 \pm 2.2$  h,  $4.4 \pm 4.7$  h, and  $3.3 \pm 2.1$  h, respectively. Approximately 90% of the  $B_{sp}$  and the  $E_{yp}$  occurred within 5 h before  $|\text{min. Dst}|$ .

Table 1 also showed eruptive flares and CMEs associated with the storms. In Table 1, a CME with an apparent width of 360 deg. is called ‘a full halo CME’ by a coronagraph observation to contrast it with ‘a partial halo CME’. For the gaps in the OMNI plasma data, we calculated hourly values of  $V$  using the speed of alpha particles observed by the Solar Wind Ion Composition Spectrometer (SWICS) of the Advanced Composition Explorer (ACE) spacecraft (<https://pdf.gsfc.nasa.gov/pub/data/ace/swics/>). According to Steiger et al. (2000), the speed of solar wind alpha particles generally agrees with the speed of solar wind protons within 0.5%. The hourly values of  $E_y$  were calculated using the speeds by the SWICS and the OMNI magnetic field data.

For estimation of the correlation analysis, we showed  $T$  and p-value, an occurrence probability of  $T$ .

$$T = \frac{R}{\sqrt{1-R^2}} \sqrt{N-2}, \tag{3}$$

where  $N$  is number of data points and  $R$  is a correlation coefficient. A value of  $T$  follows t-distribution with  $N-2$  degrees of freedom (Kurihara 2001)

For estimation of the fitting by

$$y = a + bx, \tag{4}$$

where  $a$  and  $b$  are constants, we showed  $F$  and p-value, an occurrence probability of  $F$ .

**Table 1** Geomagnetic storms with Dst of less than - 200 nT between 1996 and 2021 and solar events and solar wind conditions associated with them

| No | Magnetic storm <sup>a1</sup> |                       | min. Dst  (nT) | Peak V (V <sub>p</sub> ) (km/s)  | Peak B <sub>s</sub> (B <sub>sp</sub> ) (nT) | Peak B (B <sub>p</sub> ) (nT)      | Peak E <sub>y</sub> (E <sub>yp</sub> ) (mV m <sup>-1</sup> ) | Integrated E <sub>y</sub> (E <sub>yt</sub> ) (mV m <sup>-1</sup> h) | Solar event  | CME |
|----|------------------------------|-----------------------|----------------|----------------------------------|---|------------------------------------|--|---|--|-----|
|    | Start                        | End                   |                |                                  |   |                                    |  |   |  |     |
| 1  | 1998/05/03<br>1743 UT        | 1998/05/05<br>2100 UT | SSC<br>205     | 05/04 04 UT<br>290               | 05/04 04 UT<br>39.1                         | 05/04 04 UT<br>24.19               | 59.94  | 05/02 1331 UT<br>X1.1/3B<br>(S15W15)                                | 05/02 1406 UT<br>full halo<br>(938 km/s)                             |     |
| 2  | 1998/09/24<br>2345 UT        | 1998/09/26<br>0000 UT | SSC<br>207     | 09/25 07 UT<br>820               | 09/25 00 UT<br>28.6                         | 09/25 02 UT<br>13.44               | 62.04  | 09/23 0640 UT<br>M7.1/3B<br>(N18E09)                                | N/A  |     |
| 3  | 1999/10/21<br>1554 UT        | 1999/10/23<br>1000 UT | SG<br>237      | 10/22 06 UT<br>548               | 10/22 05 UT<br>35.8                         | 10/22 05 UT<br>16.24               | 70.59  | No obvious<br>corresponding flare                                   | 10/18 0006 UT<br>partial halo<br>(AW = 240 deg.)<br>(144 km/s)       |     |
| 4  | 2000/04/06<br>1639 UT        | 2000/04/07<br>2000 UT | SSC<br>292     | 04/06 18 UT<br>590               | 04/06 22 UT<br>31.4                         | 04/06 21 UT<br>15.78               | 102.82   | 04/04 1511 UT<br>C9.7/2F<br>(N16W66)                                | 04/04 1632 UT<br>full halo<br>(1188 km/s)                            |     |
| 5  | 2000/07/15<br>1436 UT        | 2000/07/16<br>1800 UT | SSC<br>300     | 07/15 21 UT<br>1107              | 07/15 20 UT<br>51.6                         | 07/15 20 UT<br>47.11               | 130.78   | 07/14 1054 UT<br>X5.7/3B<br>(N22W07)                                | 07/14 1054 UT<br>full halo<br>(1674 km/s)                            |     |
| 6  | 2000/08/11<br>1846 UT        | 2000/08/13<br>1600 UT | SSC<br>234     | 08/12 04 UT<br>672               | 08/12 09 UT<br>33.6                         | 08/12 08 UT<br>17.33               | 83.44  | 08/09 1533 UT<br>C2.3/SF<br>(N11W11)                                | 08/09 1630 UT<br>full halo<br>(702 km/s)                             |     |
| 7  | 2000/09/17<br>1424 UT        | 2000/09/19<br>0000 UT | SG<br>201      | 09/17 23 UT<br>795               | 09/17 18 UT<br>30.0                         | 09/17 21 UT<br>14.94               | 32.41  | 09/16 0406 UT<br>M5.9/2B<br>(N14W07)                                | 09/16 0518 UT<br>full halo<br>(1215 km/s)                            |     |
| 8  | 2001/03/31<br>0052 UT        | 2001/04/01<br>1500 UT | SSC<br>387     | 03/31 04 UT<br>716 <sup>*2</sup> | 03/31 06 UT<br>44.7 <sup>*2</sup>           | 03/31 06 UT<br>30.62 <sup>*2</sup> | 90.08  | 03/28 1121 UT <sup>*2</sup><br>M4.3/SF<br>(N18E02) <sup>*2</sup>    | 03/28 1250 UT <sup>*2</sup><br>full halo<br>(519 km/s) <sup>*2</sup> |     |
| 9  | 2001/04/11<br>1343 UT        | 2001/04/12<br>1900 UT | SSC<br>271     | 04/11 20 UT<br>732               | 04/11 23 UT<br>20.5                         | 04/11 23 UT<br>14.86               | 64.08  | 04/10 0506 UT<br>X2.3/3B<br>(S23W09)                                | 04/10 0530 UT<br>full halo<br>(2411 km/s)                            |     |
| 10 | 2001/11/06<br>0151 UT        | 2001/11/07<br>2000 UT | SSC<br>292     | 11/06 06 UT<br>789 <sup>*3</sup> | 11/06 02 UT<br>57.8                         | 11/06 02 UT<br>44.06 <sup>*3</sup> | 97.39  | 11/04 1603 UT<br>X1.0/3B<br>(N06W18)                                | 11/04 1635 UT<br>full halo<br>(1810 km/s)                            |     |
| 11 | 2001/11/24<br>0555 UT        | 2001/11/26<br>0000 UT | SSC<br>221     | 11/24 14 UT<br>1040              | 11/24 11 UT<br>22.7                         | 11/24 11 UT<br>19.14               | 58.16  | 11/22 2232 UT<br>M9.9/2N<br>(S15W34)                                | 11/22 2330 UT<br>full halo<br>(1437 km/s)                            |     |

**Table 1** (continued)

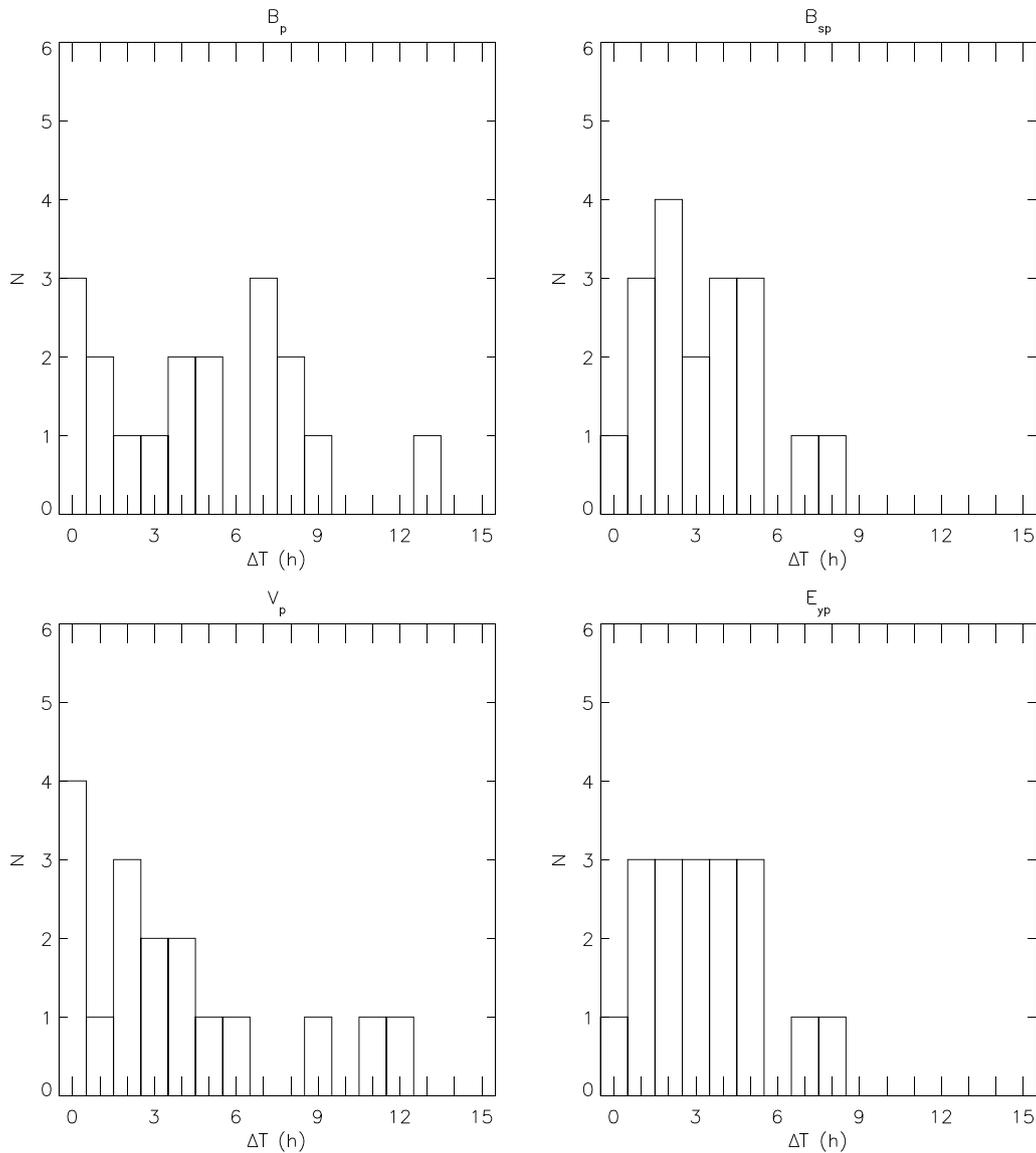
| No | Magnetic storm <sup>a1</sup> | Start   | End                   | type | min. Dst  (nT)     | Peak V (V <sub>p</sub> ) (km/s)  | Peak B <sub>s</sub> (B <sub>sp</sub> ) (nT) | Peak B (B <sub>p</sub> ) (nT) | Peak E <sub>y</sub> (E <sub>yp</sub> ) (mV m <sup>-1</sup> ) | Integrated E <sub>y</sub> (E <sub>y</sub> ) (mV m <sup>-1</sup> h) | Solar event                           | CME  |
|----|------------------------------|---------|-----------------------|------|--------------------|----------------------------------|---|-------------------------------|--|--|---------------------------------------|--|
| 12 | 2003/10/29                   | 0611 UT | C                     | SSC  | 10/30 00 UT<br>353 | 10/29 08 UT<br>1917 <sup>3</sup> | 10/29 19 UT<br>26.5                         | 10/29 16 UT<br>47.3           | 10/29 19 UT<br>29.36 <sup>3</sup>                            | 146.79   | 10/28 0951 UT<br>X17.2/4B<br>(S16E08) | 10/28 1130 UT<br>full halo<br>(2459 km/s)                      |
| 13 | 2003/10/30                   | 1637 UT | 2003/11/02<br>2100 UT | SSC  | 10/30 22 UT<br>383 | 10/30 18 UT<br>1891 <sup>3</sup> | 10/30 20 UT<br>27.1                         | 10/30 19 UT<br>38.0           | 10/30 19 UT<br>44.03 <sup>3</sup>                            | 139.14   | 10/29 2037 UT<br>X10.0/2B<br>(S15W02) | 10/29 2054 UT<br>full halo<br>(2029 km/s)                      |
| 14 | 2003/11/20                   | 0802 UT | 2003/11/22<br>0000 UT | SSC  | 11/20 20 UT<br>422 | 11/20 11 UT<br>703               | 11/20 15 UT<br>50.9                         | 11/20 15 UT<br>55.8           | 11/20 15 UT<br>31.25   | 190.50   | 11/18 0812 UT<br>M3.9<br>(S02E18)     | 11/18 0850 UT<br>full halo<br>(1660 km/s)                      |
| 15 | 2004/11/07                   | 1827 UT | C                     | SSC  | 11/08 06 UT<br>374 | 11/08 06 UT<br>728               | 11/08 03 UT<br>44.9                         | 11/07 23 UT<br>47.8           | 11/08 03 UT<br>29.5  | 207.81   | 11/04 2253 UT<br>M5.4<br>(N08E18)     | 11/04 2330 UT<br>partial halo<br>(AW > 293 deg)<br>(1055 km/s) |
| 16 | 2004/11/09                   | 1849 UT | 2004/11/13<br>0000 UT | SSC  | 11/10 10 UT<br>263 | 11/09 22 UT<br>824               | 11/10 06 UT<br>24.0                         | 11/09 21 UT<br>40.3           | 11/10 06 UT<br>17.69   | 101.23   | 11/07 1542 UT<br>X2.0<br>(N09W17)     | 11/07 1654 UT full<br>halo (1759 km/s)                         |
| 17 | 2005/05/15                   | 0238 UT | 2005/05/16<br>1800 UT | SSC  | 05/15 08 UT<br>247 | 05/15 06 UT<br>928               | 05/15 06 UT<br>36.5                         | 05/15 08 UT<br>54.8           | 05/15 06 UT<br>33.87   | 68.38  | 05/13 1613 UT<br>M8.0/2B<br>(N12E12)  | 05/13 1712 UT<br>full halo (1689 km/s)                         |
| 18 | 2015/03/17                   | 0445 UT | 2015/03/21<br>1500 UT | SSC  | 03/17 22 UT<br>234 | 03/17 11 UT<br>614               | 03/17 14 UT<br>18.6                         | 03/17 14 UT<br>31.5           | 03/17 14 UT<br>10.55   | 94.01  | 03/15 0115 UT<br>C9.1/1F (S22W25)     | 03/15 0148 UT<br>full halo (719 km/s)                          |

SSC storm with a sudden commencement, SG storm with a gradual commencement, C continue, N/A not available, AIW angular width

<sup>a</sup> 1: based on the report of Kakioka magnetic observatory

<sup>b</sup> 2: corresponding to the first ICME

<sup>c</sup> 3: based on speed of alpha particles observed by the ACE/SWICS



**Fig. 1** Histograms of the time differences between |min. Dst| and  $B_p, B_{sp}, V_p$ , and  $E_{yp}$

$$F = \frac{V_R}{V_e}, \tag{5}$$

where

$$V_R = \sum_{i=1}^N ((a + bx_i) - \bar{y})^2, \tag{6}$$

$$V_e = \frac{1}{N-2} \sum_{i=1}^N (y_i - (a + bx_i))^2, \tag{7}$$

and

$$\bar{y} = \frac{1}{N} \sum_{i=1}^N y_i \tag{8}$$

for  $x_i$  in  $x$  and  $y_i$  in  $y$ .

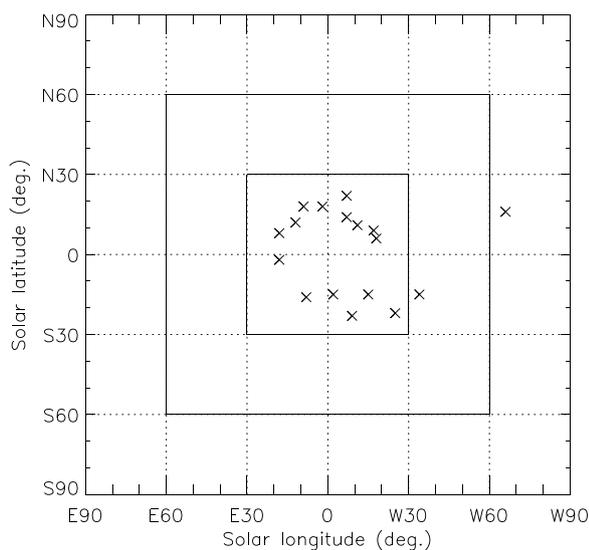
A value of  $F$  follows F-distribution with one degree of freedom in the numerator and  $N-2$  degrees of freedom in the denominator (Kurihara 2001).

In this study, the p-values of less than 0.05 were considered that the obtained correlation coefficient or fitting is statistically significant.

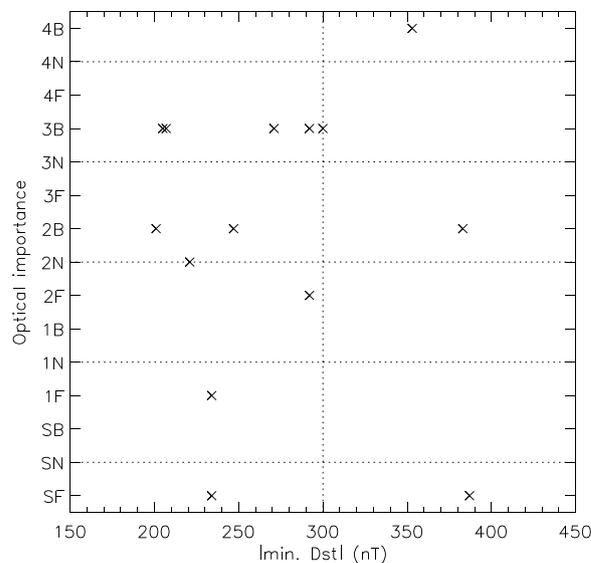
**Solar events associated with storms**

According to Table 1, over 83% of the selected storms were associated with full halo CMEs. Figure 2 shows locations of the solar flares associated with the storms. More than 83% of the flares associated with the storms occurred within the solar longitude of 30 degrees, except for three storms. This suggests that a full halo CME originated near the solar center has a good chance of hitting Earth with its main body and producing intense geomagnetic storms. No obvious corresponding flare was found for the no. 3 event. For the no. 4 event, the dimming channel expanded toward the southeast direction, according to the SOHO/Extreme ultraviolet Imaging Telescope (EIT) data linked from the SOHO LASCO CME catalog. The no. 11 event occurred in the bright and wide area around W34 degrees, according to the EIT data.

Figure 3 shows a scatter plot of |min. Dst| of the storms and optical importance of the flares associated with the storms. The optical importance is determined by the area (S:  $\leq 2.0$  hemisphere square degrees, 1: 2.1–5.1 square degrees, 2: 5.2–24 square degrees, 3: 12.5–24.7 square degrees, and 4:  $\geq 24.8$  square degrees) and brilliance (F: faint, N: normal, and B: bright) of flares observed by ground-based H-alpha observations. Figure 4 shows a scatter plot of |min. Dst| of the storms and soft X-ray (SXR) class (A:  $< 10^{-5} \text{ Wm}^{-2}$ , B:  $10^{-5} - 10^{-6} \text{ Wm}^{-2}$ , C:  $10^{-6} - 10^{-5} \text{ Wm}^{-2}$ , M:  $10^{-5} - 10^{-4} \text{ Wm}^{-2}$ , X:  $> 10^{-4} \text{ Wm}^{-2}$ ) of the flares in association with the storms. The storm sizes expressed by |min. Dst| appear to be roughly proportional to the optical importance and SXR class of the flares. Three events in Table 1 (Nos. 8, 14, and 15) with  $\text{Dst} \leq -300 \text{ nT}$  were associated with



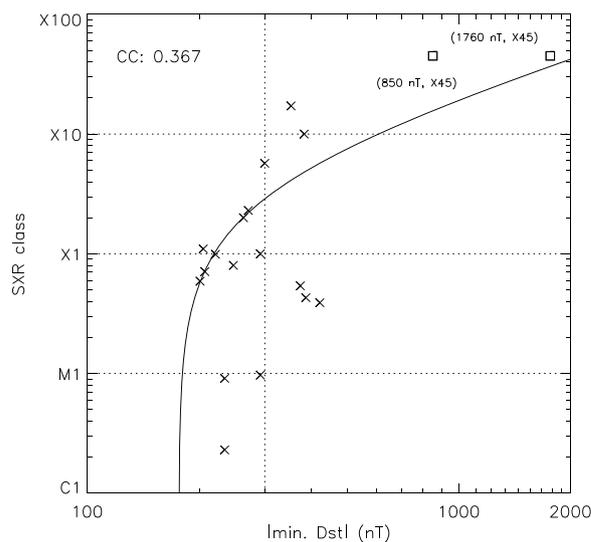
**Fig. 2** Locations of solar flares associated with the intense geomagnetic storms



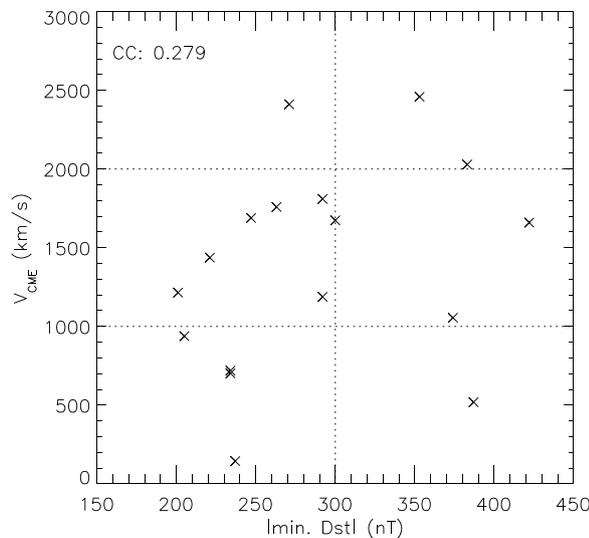
**Fig. 3** A scatter plot of |min. Dst| and the optical importance of the flares associated with the intense geomagnetic storms

M-class SXR flares. And the event No. 8 had an association with the SF optical flare according to the NOAA/GOES flare reports with the optical flare importance from ground-based observations. Zhang et al. (2007a, b) associated this event with the X1.7/SF flare.

The solid line in Fig. 4 shows the least squares (LS) fitting. Two square marks show the values of the Carrington event (|min. Dst|=1760/850 nT and SXR flare



**Fig. 4** A scatter plot of |min. Dst| and the SXR class of the flares associated with the intense geomagnetic storms. Solid line shows the LS fitting. Square marks show the values of the 1859 Carrington event



**Fig. 5** A scatter plot of  $|\text{min. Dst}|$  and CME speed ( $V_{\text{CME}}$ ) associated with the intense geomagnetic storms

**Table 2** R, T, and p-values of  $|\text{min. Dst}|$  vs. SXR class and  $|\text{min. Dst}|$  vs. CME speed

| Parameter                         | R     | T     | p-value |
|-----------------------------------|-------|-------|---------|
| $ \text{min. Dst} $ vs. SXR class | 0.367 | 1.528 | 0.147   |
| $ \text{min. Dst} $ vs. CME speed | 0.279 | 1.125 | 0.278   |

class of X45) reported by Tsurutani et al. (2003), Siscoe et al. (2006), and Cliver and Dietrich (2013).

Figure 5 shows a scatter plot of  $|\text{min. Dst}|$  and CME speed. Here, we used the linear speeds taken from the SOHO LASCO CME catalog. The storms with  $|\text{min. Dst}|$  of more than 300 nT are associated with the CMEs with speed of more than 1000 km/s, except for the no. 8 storm. The no. 8 storm was associated with two interplanetary CMEs (ICMEs). The second fast CME caught up to the first one on the way to Earth (Farrugia and Berdichevsky 2004). Table 2 shows T and p-values for R of  $|\text{min. Dst}|$  vs. SXR class and  $|\text{min. Dst}|$  vs. CME speed. The CME speeds show a weak positive correlation with  $|\text{min. Dst}|$ . This could be because the CME speeds are apparent speeds containing a projection effect.

**Solar wind conditions associated with storms**

Figure 6 shows scatter plots of  $|\text{min. Dst}|$  of the storms and the solar wind parameters at 1 AU shown in Table 1:  $B_p$ ,  $B_{sp}$ ,  $V_p$ ,  $E_{yp}$ ,  $E_{yi}$ , and integration time of  $E_y$ . Table 3 shows R, T, and p-values of  $|\text{min. Dst}|$  and solar wind parameters shown in Fig. 6. The p-values were less than 0.05 except for R of  $|\text{min. Dst}|$  vs.  $V_p$  and  $|\text{min. Dst}|$  vs. integration time of  $E_y$ . The  $E_{yi}$  showed a good correlation

with  $|\text{min. Dst}|$  (R of 0.838) as reported by Echer et al. (2008a). However, the integration time of  $E_y$  varied from storm to storm in the range of 3–12 h and R of  $|\text{min. Dst}|$  vs. the integration time of  $E_y$  (R of 0.121) was small. The average of the integration time of  $E_y$  was  $7.7 \pm 2.7$  h. The  $E_{yp}$  (R of 0.586) and the  $B_{sp}$  (R of 0.579) showed the next good correlations as reported by Gonzalez and Echer (2005), Echer et al. (2008a), Echer et al. (2008b), Echer et al. (2013), and Rawat et al. (2018).

We obtained the empirical equations using the LS fitting for  $|\text{min. Dst}|$  vs.  $E_{yi}$ ,  $|\text{min. Dst}|$  vs.  $B_{sp}$ , and  $|\text{min. Dst}|$  vs.  $E_{yp}$ , respectively.

$$E_{yi} = 0.556 \times |\text{min. Dst}| - 58.238 \text{ mV/m-h} \tag{9}$$

$$E_{yp} = 0.095 \times |\text{min. Dst}| - 1.912 \text{ mV/m} \tag{10}$$

$$B_{sp} = 0.097 \times |\text{min. Dst}| + 4.465 \text{ nT} \tag{11}$$

Table 4 shows F and p-values for the fitting of the above three equations. The p-values of the three equations were less than 0.05.

$|\text{min. Dst}|$  (=589 nT) of the 13 March 1989 storm is the largest one since 1957. However, successive solar wind data during the main phase of the storm are unavailable. The  $E_{yi}$  of 269 mV/m-h,  $E_{yp}$  of 54 mV/m, and  $B_{sp}$  of 62 nT were obtained using Eqs. (9), (10), and (11).

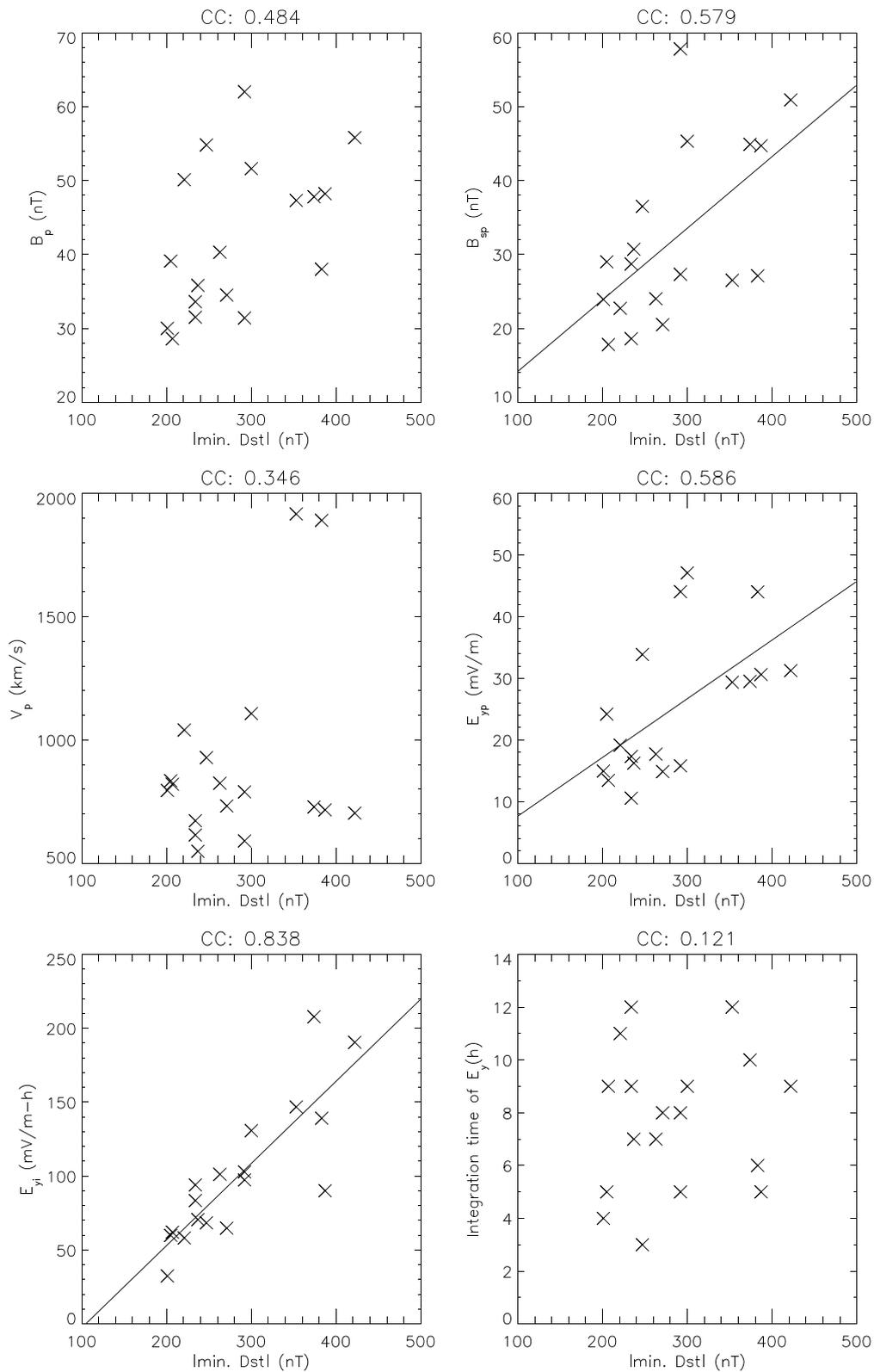
According to Boteler (2019), this storm was caused by two CMEs: the first associated with a X4.5 flare on 10 March and the second linked to a M7.3 flare on 12 March.

A sudden impulse (SI) caused by the second CME and the substorm triggered by this SI impacted the Hydro-Quebec system. For the second CME, Boteler (2019) calculated the maximum solar wind speed of 983 km/s at 1 AU from the average shock transit speed of 1320 km/s using Cliver et al.'s empirical Eq. (1990); the relationship between the average shock transit speed ( $V_{tr}$ ) from the Sun to Earth and the maximum solar wind speed at Earth ( $V_{max}$ ) is given by

$$V_{max} = 0.775V_{tr} - 40 \text{ km/s} \tag{12}$$

For  $E_{yp}$  of 54 mV/m and  $V_{max}$  of 983 km/s, we calculated the expected  $B_s$  ( $=E_{yp}/V_{max}$ ) to be 55 nT. This value is consistent with the  $B_s$  range of 40–60 nT suggested by Boteler (2019).

For the Carrington storm that occurred 17.5 h after the white light flare (Carrington 1859),  $|\text{min. Dst}|$  is estimated to be 1760 nT (Tsurutani et al. 2003), or 850 nT (Siscoe et al. 2006). Using Eqs. (9), (10), and (11), we obtained  $E_{yi}$  of 920 mV/m-h,  $E_{yp}$  of 165 mV, and  $B_{sp}$  of 175 nT for  $|\text{min. Dst}|$  of 1760 nT and  $E_{yi}$  of 414 mV/m-h,  $E_{yp}$  of 79 mV, and  $B_{sp}$  of 87 nT for  $|\text{min. Dst}|$  of 850 nT.



**Fig. 6** Scatter plots of |min. Dst| and solar wind parameters:  $B_p$ ,  $B_{sp}$ ,  $V_p$ ,  $E_{yp}$ ,  $E_{yi}$ , and integration time of  $E_y$ . Solid line shows the LS fittings of |min. Dst| vs.  $B_{sp}$ , |min. Dst| vs.  $E_{yp}$ , and |min. Dst| vs.  $E_{yi}$ , respectively

**Table 3** R, T, and p-values of |min. Dst| and solar wind parameters

| Parameter                                   | R     | T     | p-value |
|---|-------|-------|---------|
| min. Dst  vs. Peak B ( $B_p$ )              | 0.484 | 2.212 | 0.0418  |
| min. Dst  vs. Peak $B_s$ ( $B_{sp}$ )       | 0.579 | 2.841 | 0.0118  |
| min. Dst  vs. Peak V ( $V_p$ )              | 0.346 | 1.475 | 0.1596  |
| min. Dst  vs. Peak $E_y$ ( $E_{yp}$ )       | 0.586 | 2.893 | 0.0106  |
| min. Dst  vs. Integrated $E_y$ ( $E_{yi}$ ) | 0.838 | 6.143 | 0.00001 |
| min. Dst  vs. Integration time of $E_y$     | 0.121 | 0.488 | 0.6325  |

**Table 4** F and p-values for the LM fittings of Eqs. (9), (10), and (11)

|  | F      | p-value |
|--|--------|---------|
| Equation (9) for integrated $E_y$ ( $E_{yi}$ ) | 37.762 | 0.00001 |
| Equation (10) for peak $E_y$ ( $E_{yp}$ )      | 8.309  | 0.0108  |
| Equation (11) for peak $B_s$ ( $B_{sp}$ )      | 8.090  | 0.0117  |

$V_{max}$  of 1801 km/s is calculated applying the Eq. (12) to  $V_{tr}$  of 2375 km/s (the travel time of 17.5 h). Recently Hayakawa et al. (2022) found that the transit time was shorter than previously considered ( $\leq 17.1$  h).  $V_{max}$  of 1843 km/s was obtained applying Eq. (12) to  $V_{tr}$  of 2430 km/s corresponding to the travel time of 17.1 h.

Table 5 summarizes the estimated solar wind parameters of the Carrington storm. The values shown in Table 5 are consistent with that estimated by Tsurutani et al. (2003), who used an empirical relationship between the solar wind speed and peak magnetic field of ICMEs (Gonzalez et al. 1998).

Vasyliunas (2010) suggested that the upper limit of |min. Dst| is approximately 2500 nT based on the Dessler–Parker–Sckopke theorem. For |min. Dst| of

2500 nT,  $E_{yi}$  of 1332 mV/m-h,  $E_{yp}$  of 236 mV/m, and  $B_{sp}$  of 247 nT were obtained using Eqs. (9), (10), and (11).

On the other hand, Tsurutani and Lakhina (2014) noted that the expected maximum solar wind electric field would be approximately 340 mV/m on the basis of an observed maximum CME speed of 3000 km/s near the Sun measured using the SOHO coronagraph data.

Figure 7 shows the relationship of  $B_s$  and V for constant  $E_y$  of 10, 100, 200, 250, and 340 mV/m with  $B_s$  and V pairs for  $E_y$  of more than 10 mV/m between 1996 and 2021 in the hourly averaged OMNI data. Cliver et al. (1990) reported the highest solar wind speed of 2170 km/s using  $V_{tr}$  of 2850 km/s and Eq. (12) for the 4 August 1972 sudden commencement (SC). For this SC on 4 August 1972, Araki et al. (2004) estimated the interplanetary shock speed of 3080 km/s using the rise time of this SC. However, the storm associated with this SC was only |min. Dst| of 125 nT because the interplanetary magnetic field did not direct southward (Knipp et al. 2018). Araki (2014) analyzed the SCs between 1968 and 2013 and reported a shock speed over 2000 km/s for the 24 March 1940 SC, determined using the measured amplitude of the SC. STEREO A spacecraft ( $\sim 1$  AU) measured solar wind speed of 2246 km/s associated with the shock of the 23 July 2012 CME, which missed Earth (Baker et al. 2013; Russell et al. 2013; Liu et al. 2014). This shock took only 18.6 h to reach STEREO A at 1 AU. The maximum IMF strength of 109 nT was observed associated with this event.

From these events, it is considered that a solar wind speed exceeding 2000 km/s is possible. If we assume V of 2000 km/s,  $B_s$  of about 118 nT is necessary for |min. Dst| of 2500 nT, according to Fig. 7. For V of 3000 km/s,  $B_s$  of 79 nT is necessary. These values are feasible on the basis of the above consideration.

**Table 5** The  $V_{tr}$ ,  $V_{max}$ ,  $E_{yi}$ ,  $E_{yp}$ ,  $B_s$ , and  $B_{sp}$  estimated using |min. Dst| of 1760 and 850 nT and transit time of 17.5 and 17.1 h on the Carrington storm

| min. Dst  (nT) | Transit time (h) | $V_{tr}$ (km/s) | $V_{max}^1$ (km/s) | Integrated $E_y$ ( $E_{yi}$ ) <sup>b2</sup> (mV/m-h) | Peak $E_y$ ( $E_{yp}$ ) <sup>c3</sup> (mV/m) | $B_s$ <sup>d4</sup> (nT) | Peak $B_s$ ( $B_{sp}$ ) <sup>e5</sup> (nT) |
|----------------|------------------|-----------------|--------------------|--|--|--------------------------|--|
| 1760           | 17.1             | 2430            | 1843               | 920  | 165  | 90                       | 175  |
| 1760           | 17.5             | 2375            | 1801               | 920  | 165  | 92                       | 175  |
| 850            | 17.1             | 2430            | 1843               | 414  | 79   | 43                       | 87   |
| 850            | 17.5             | 2375            | 1801               | 414  | 79   | 44                       | 87   |

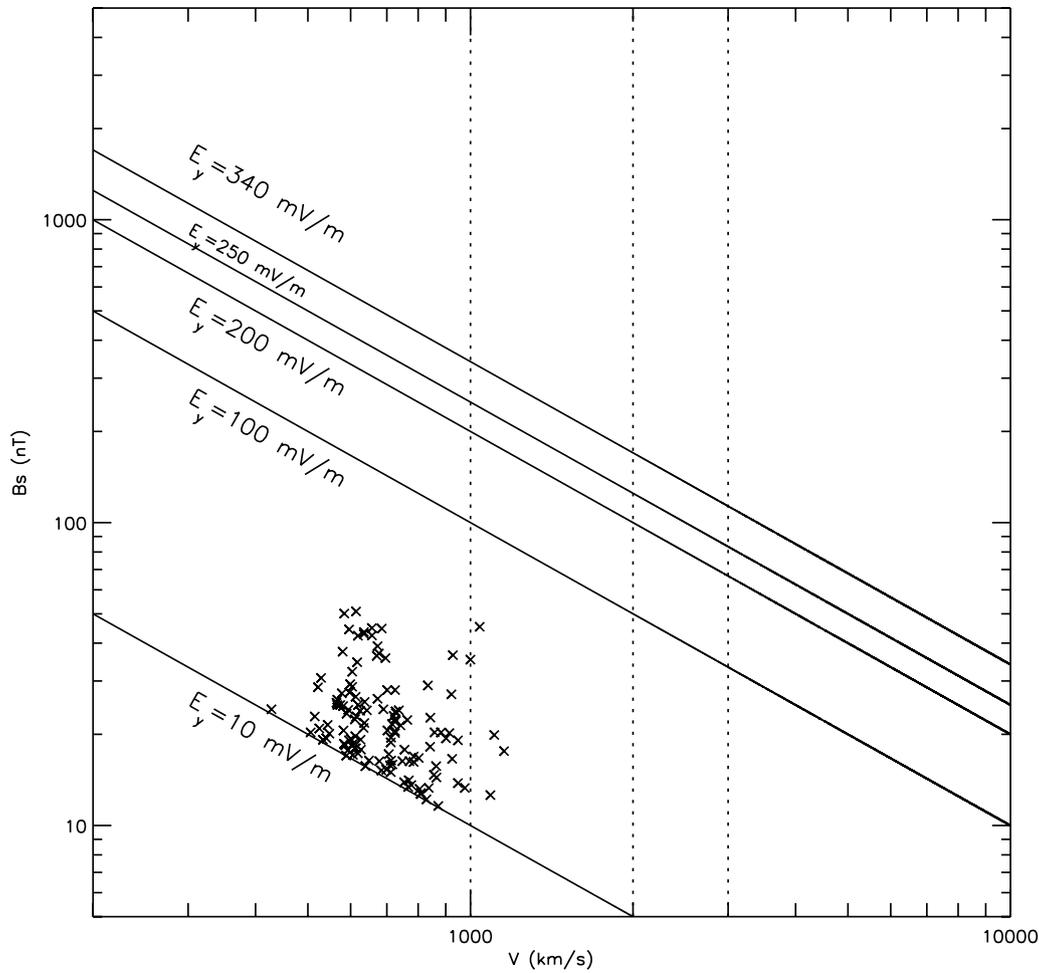
<sup>a</sup> 1: calculation by Eq. (12)

<sup>b</sup> 2: calculation by Eq. (9)

<sup>c</sup> 3: calculation by Eq. (10)

<sup>d</sup> 4: calculation by Eq. (10) and  $V_{max}$

<sup>e</sup> 5: calculation by Eq. (11)



**Fig. 7** Relationship of V and  $B_s$  for constant  $E_y$  of 10, 100, 250, and 340 mV/m and V and  $B_s$  pairs with  $E_y$  of more than 10 mV/m in the hourly averaged OMNI data between 1996 and 2021

**Statistical analysis of extreme solar wind conditions**

We estimated the probabilities of occurrence of large B,  $B_s$ , V,  $E_y$ , N, and  $P_d$  by applying Riley’s statistical method (2012) to the hourly averaged OMNI data between 1996 and 2021. When the probability  $p(x)$  follows the power law, the cumulative distribution function  $P(x)$ , which expresses the probability of an event of magnitude equal to or greater than the critical value  $x_{crit}$  also follows a power law.

$$p(x \geq x_{crit}) = \int_x^\infty p(x') dx' = \frac{C}{\alpha - 1} x^{-\alpha+1} \tag{13}$$

The slope  $\alpha$  and constant C are calculated as,

$$\alpha - 1 = N_p \left[ \sum_{i=1}^{N_p} \ln \left( \frac{x_i}{x_{min}} \right) \right]^{-1} \tag{14}$$

and

$$C = \frac{\alpha - 1}{x_{min}^{-\alpha+1}}, \tag{15}$$

where  $x_i$  is the measured value of  $x$ ,  $N_p$  is the total number of events for  $x \geq x_{min}$ , and  $x_{min}$  is some appropriate minimum value of  $x$  below the breakdown of the power-law relationship.

The probability of one or more events greater than  $x_{crit}$  occurring during a certain time period  $\Delta t$  is

$$p(x \geq x_{crit}, t = \Delta t) = 1 - e^{-N_p \frac{\Delta t}{\tau} p(x \geq x_{crit})}, \tag{16}$$

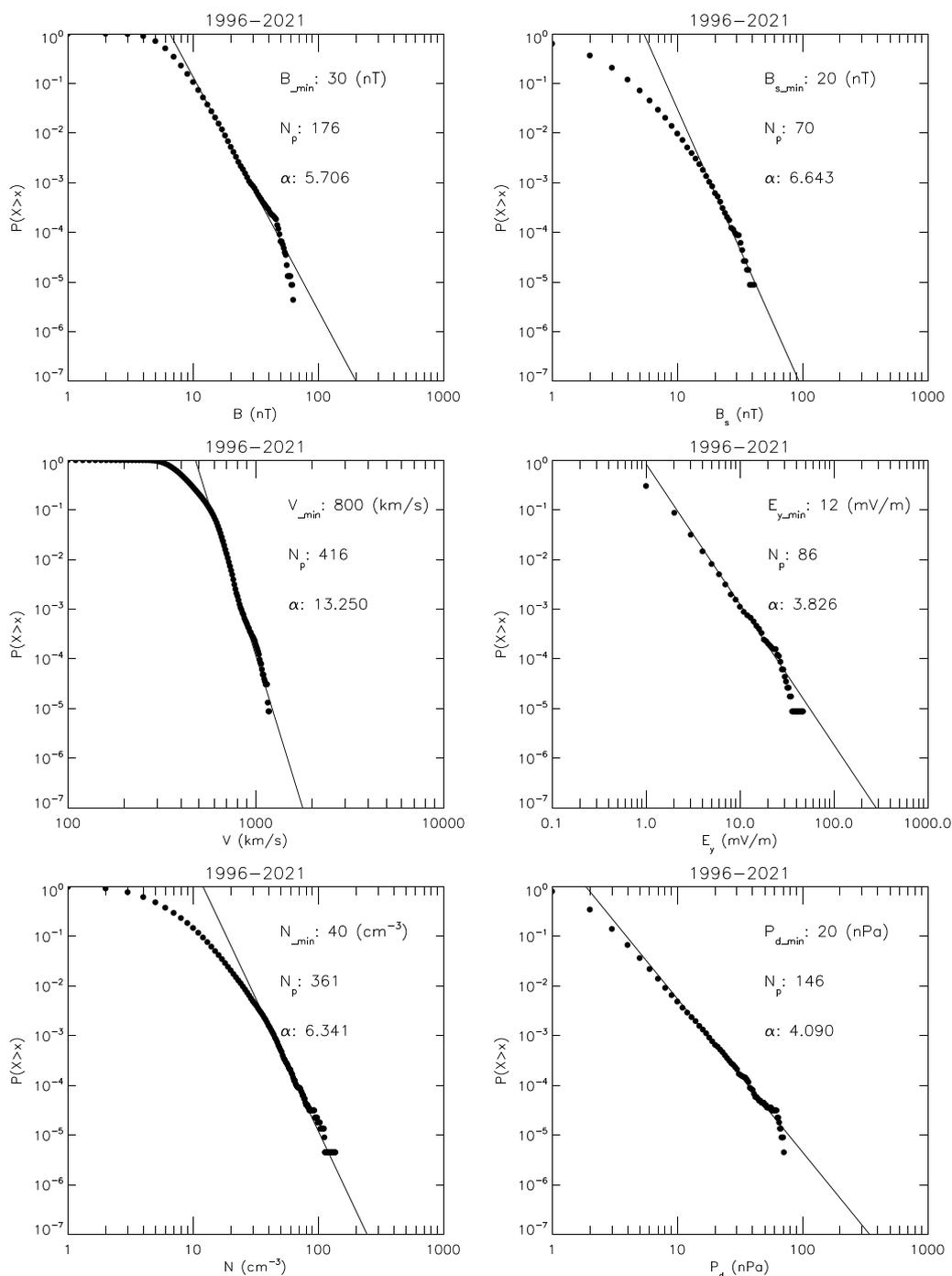
where  $\tau$  is the total time span of the data set.

Figure 8 shows the CCDFs of B,  $B_s$ , V,  $E_y$ , N, and  $P_d$  for the 26-year OMNI data between 1996 and 2021. The observation data of B,  $B_s$ , V,  $E_y$ , N, and  $P_d$  cover 99.8%,

99.8%, 99.7%, 99.7%, 97.6%, and 97.6% of the 26 year period, respectively. Skoug et al. (2004) reported the highest directly measured solar wind speed of over 1850 km/s during the 29–30 October 2003 event. For this event, Zurbuchen et al. (2004) reported the speed of alpha particles of over 1900 km/s referring to the

ACE/SWICS data. Unfortunately, there is a gap in the OMNI data of the plasma measurement between 28 October 2003 and 3 November 2003 because of the presence of intense solar energetic particles.

We fitted a power law to the CCDFs above  $B_{min}$  of 30 nT,  $B_{s,min}$  of 20 nT,  $V_{min}$  of 800 km/s,  $E_{y,min}$  of 12 mV/m,  $N_{d,min}$  of 20 nPa,  $B_{s,min}$  of 20 nT,  $V_{min}$  of 800 km/s,  $E_{y,min}$  of 12 mV/m,



**Fig. 8** CCDFs of  $B$ ,  $B_s$ ,  $V$ ,  $E_y$ ,  $N$ , and  $P_d$  for the hourly averaged OMNI data between 1996 and 2021. Solid lines show power law fittings

$N_{\min}$  of  $40 \text{ cm}^{-3}$ , and  $P_{d,\min}$  of 20 nPa in Fig. 8. The power law fittings in Fig. 8 are almost good. Deviations in the fittings of  $B$ ,  $E_y$ , and  $P_d$  are only last 5 out of 176, 3 out of 86, and 2 out of 146 data, respectively. We obtained the occurrence probabilities of 0.904 for  $B=100 \text{ nT}$ , 0.030 for  $B_s=100 \text{ nT}$ , 0.021 for  $V=2000 \text{ km/s}$ , 0.060 for  $E_y=250 \text{ mV/m}$ , 0.226 for  $N=200 \text{ cm}^{-3}$ , and 0.027 for  $P_d=500 \text{ nPa}$  over the for 100 years using Eq. (16).

The occurrence probability of  $B_s$  is rather small compared to that of  $B$  because the probability that IMF will turn completely to the south is very low. The occurrence probabilities of  $E_y$  of 100, 200, 250, and 340 mV/m over the next 100 years are 0.563, 0.110, 0.060, and 0.026, respectively. Here,  $E_y$  of 100 and 200 mV/m correspond to  $|\min. \text{Dst}|$  of 1073 and 2125 nT according to Eq. (10).

Riley (2012) reported that the possibility of  $|\min. \text{Dst}|$  of 1700 nT (e.g., the 1859 Carrington storm) occurring over the next 10 years is 0.015. Love (2012) gave the probability of another Carrington-type storm in the next decade as 0.063 and Kataoka (2013) as 0.04–0.06. We obtained the occurrence probability of 0.020 for  $|\min. \text{Dst}|$  of 1760 nT using the CCDF of  $E_y$  and Eq. (10). Our result is between their results.

## Summary

We selected 18 magnetic storms with  $|\min. \text{Dst}|$  of more than 200 nT using the final Dst and studied the solar events and solar wind conditions associated with them. We obtained the following results.

1. Over 83% of the storms were associated with full halo CMEs.
2. More than 83% of the flares associated with the storms were within 30 degrees solar longitude.
3.  $|\min. \text{Dst}|$  and the  $E_{yi}$  showed a good correlation ( $R$  of 0.838) as shown by Echer et al. (2008a) while the integration time of  $E_y$  varied from storm to storm. The  $E_{yp}$  ( $R$  of 0.586) and the  $B_{sp}$  ( $R$  of 0.579) showed next good correlations with  $|\min. \text{Dst}|$  as reported by Gonzalez and Echer (2005), Echer et al. (2008a), Echer et al. (2008b), Echer et al. (2013), and Rawat et al. (2018). We obtained the empirical equations based on these correlations and calculated the expected  $E_{yi}$ ,  $E_{yp}$ , and  $B_{sp}$  of the March 1989 storm, the Carrington storm, and the expected upper limit of  $|\min. \text{Dst}|$ , respectively.
4. We obtained the  $E_{yi}$  of 1332 mV/m-h, the  $E_{yp}$  of 236 mV/m, and the  $B_{sp}$  of 247 nT corresponding to the expected upper limit of  $|\min. \text{Dst}|$  of 2500 nT and showed that this  $E_{yp}$  is possible according to the past observations.
5. Using the CCDF, we estimated the probabilities of  $E_y$  of 100, 200, 250, and 340 mV/m occurring over the

next 100 years will be 0.563, 0.110, 0.060, and 0.026, respectively. We also showed the probability of large  $B_s$  is small comparing with that of large  $B$  because the probability that IMF will turn completely to the south is very low.

The above results suggest that large eruptive flares originating near solar central meridian appear to be an almost necessary condition for a magnetic storm with  $\text{Dst} < -200 \text{ nT}$ . The estimated values of  $E_y$  for the 13 March 1989 storm and the 1859 Carrington storm were consistent with those in previous studies (Tsurutani et al. 2003; Boteler 2019). The obtained  $E_y$  corresponding to the upper limit of  $|\min. \text{Dst}|$  seems to be feasible on the basis of the past observations. The possibility of this value of  $E_y$  occurring over the next 100 years was estimated to be 0.060 using the analysis of the CCDF.

## Abbreviations

|                |  |
|----------------|--|
| ACE            | Advanced composition explorer                    |
| CCDF           | Complementary cumulative distribution function   |
| CME            | Coronal mass ejection                            |
| CPCP           | Cross polar cap potential                        |
| Dst index      | Disturbance storm time index                     |
| EIT            | Extreme ultraviolet imaging telescope            |
| GIC            | Geomagnetically induced current                  |
| GOES           | Geostationary Operational Environment Satellites |
| GSM coordinate | Geocentric solar magnetic coordinate             |
| ICME           | Interplanetary coronal mass ejection             |
| IMF            | Interplanetary magnetic field                    |
| NCEI           | National Centers for Environmental Information   |
| SC             | Sudden commencement                              |
| SOHO           | Solar hemispheric observatory                    |
| SWICS          | Solar wind ion composition spectrometer          |
| SXR class      | Soft X-ray class                                 |
| SYM-H          | Symmetric disturbance field in H                 |
| WDC            | World data center                                |

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## Author contributions

SW analyzed the data and prepared the manuscript. AN and YE provided the impetus for this analysis and made comments on the manuscript. All authors read and approved the final manuscript.

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## Availability of data and materials

The Dst index was obtained from the WDC for Geomagnetism, Kyoto (<http://wdc.kugi.kyoto-u.ac.jp/wdc/Sec3.html>). The report of geomagnetic storms was provided by the Kakioka Magnetic Observatory (<http://www.kakioka-jma.go.jp/en/index.html>). The solar events associated with the geomagnetic storms were investigated using the SOHO LASCO CME catalog (<https://cdaw>

[gssc.nasa.gov/CME\\_list/index.html](https://gssc.nasa.gov/CME_list/index.html)) and the GOES flare reports archived in the National Centers for Environmental Information (NCEI), NOAA (<https://ngdc.noaa.gov/ngdc.html>). Solar wind parameters were analyzed using hourly averaged OMNI data ([https://spdf.gsfc.nasa.gov/pub/data/omni/low\\_res\\_omni/](https://spdf.gsfc.nasa.gov/pub/data/omni/low_res_omni/)) and the ACE/SWICS data (<https://spdf.gsfc.nasa.gov/pub/data/ace/swics/>) from the NASA Space Science Data Coordinated Archive (NSSDCA).

## Declarations

### Ethics approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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