

## REVIEW ARTICLE

# Mechanism understanding for stripping electrochemistry of Li metal anode

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## Abstract

The pursuit of sustainable energy has a great request for advanced energy storage devices. Lithium metal batteries are regarded as a potential electrochemical storage system because of the extremely high capacity and the most negative electrochemical potential of lithium metal anode. Dead lithium formed in the stripping process significantly contributes to the low efficiency and short lifespan of rechargeable lithium metal batteries. This review displays a critical review on the current research status about the stripping electrochemistry of lithium metal anode. The significance of stripping process to a robust lithium metal anode is emphasized. The stripping models in different electrochemical scenarios are discussed. Specific attention is paid to the understanding for the electrochemical principles of atom diffusion, electrochemical reaction, ion diffusion in solid electrolyte interphase (SEI), and electron transfer with the purpose to strengthen the insights into the behavior of lithium electrode stripping. The factors affecting stripping processes and corresponding solutions are summarized and categorized as follows: surface physics, SEI, operational and external factors. This review affords fresh insights to explore the lithium anode and design robust lithium metal batteries based on the comprehensive understanding of the stripping electrochemistry.

## KEYWORDS

dead lithium, dendrite, lithium metal batteries, solid electrolyte interphase, stripping models

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## 1 | INTRODUCTION

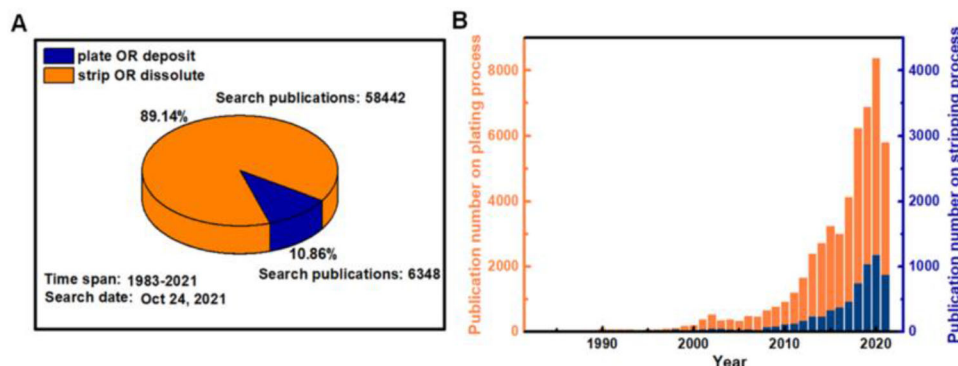
Energy storage systems are regarded as an important medium for the pursuit of cheaper, cleaner, and more sustainable energies, including wind, tidal, and solar power, instead of fossil fuels. Since 1990s, rechargeable lithium (Li) ion batteries (LIBs) have been widely used in the energy storage systems.<sup>1–4</sup> However, LIBs with graphite anodes are unable to meet the demand of applications in longer-range electric vehicles and high-end portable electronics, though their theoretical energy densities have almost been reached.<sup>5–7</sup> Beyond LIBs, advanced electrode materials are critically required. Li metal anodes with the ultrahigh theoretical capacity (3860 mAh/g vs. 372 mAh/g for graphite anode) and the most negative electrochemical potential (−3.040 V vs. standard hydrogen electrode) are strongly considered as potential anodes of next-generation batteries.<sup>8–15</sup> Nevertheless, Li metal anodes have not come into practical applications even though they were proposed 20 years earlier than the graphite anode of commercial LIBs.<sup>16–19</sup>

There are following several significant hurdles impeding the practical applications of Li metal anodes in rechargeable Li metal batteries (LMBs)<sup>20–28</sup>: (1) The uncontrolled Li dendrites have the tendency to pierce the separator and contact the cathode, leading to the short-circuit and even catastrophic issues. (2) The high reactivity of metallic Li results in irreversible and continuous reactions between Li metal and electrolyte, forming solid electrolyte interphase (SEI) and negatively impacting the Coulombic efficiency of batteries. (3) Unlike these intercalated anodes, that is, graphite and silicon anodes,<sup>29–32</sup> the virtually infinite volumetric change of Li metal anode leads to deadly destruction to the electrode structure during repeated plating and stripping processes. (4) Li dendrites out of connection to current collector and wrapped by the insulating SEI are electrochemically inactive and named as “dead Li” during repeated Li plating/stripping processes, resulting in a dominant capacity loss of LMBs. Even worse, the above four issues are strongly coupled together rather than mutually independent, which incurs more serious challenges regarding the use of the Li anode.<sup>33</sup> As a result, the practical application of Li metal anode in rechargeable batteries remains elusive yet.

Numerous methods have been proposed to address these problems including electrolyte additives,<sup>34–45</sup> artificial SEI,<sup>46–56</sup> superconcentrated electrolyte,<sup>57–70</sup> solid-state electrolyte (SSE),<sup>71–80</sup> functional membrane,<sup>81–89</sup> and structured host,<sup>90–98</sup> etc. Several important reviews have been released in the scope of the mechanism of dendrite growth and strategies to suppress dendrite growth.<sup>99–104</sup> Based on these ideas, significant advances have been achieved for Li metal anode in Coulombic efficiency

and lifespan. For example, the available Coulombic efficiency has been improved to  $99 \pm 0.5\%$ .<sup>105</sup> However, these two indicators are still not high enough for practical applications (99.9% and 500 cycles). To further boost the electrochemical cycling performance of Li metal anode, innovative research paradigm is of vital importance.

In the past 40 years, researchers devote themselves to regulate the plating process and obtain a dendrite-free Li metal anode, while the researches and understandings on stripping process are less conducted. According to the statistics from 1983 to 2021, the number of literature focused on plating or deposition process is 58 442 which is about nine times larger than that of stripping or dissolution process (Figure 1A). In addition, the slow increase in publication number on stripping process makes a striking contrast to that of plating process as well (Figure 1B). Many reviews about LMBs are related to three-dimensional (3D) porous matrix, artificial SEI, and functional polymer electrolyte, etc.<sup>100–103</sup> while the reviews concerning about the stripping process are much scarce. Therefore, greater effort is needed on the investigations of stripping process. In addition, this review presents a comprehensive overview of the stripping process from a fundamental perspective including basic electrochemical principles, visual stripping models, etc. It plays a great promotion role in the field of stripping electrochemistry and is beneficial to improve the Coulombic efficiency and lifespan of batteries from the innovative research perspective. During discharging or stripping of Li metal anodes, electrically insulating Li metal, usually identified as dead Li, can form due to the heterogeneous dissolution of Li metal anode.<sup>106–108</sup> The forming mechanism of dead Li is varied with the cell systems including electrode and electrolyte features, and cycling parameters. Even dendrite-free Li metal anode can lead to dead Li in the stripping process, which will be clearly discussed in the following sections.<sup>109</sup> The formation of dead Li during plating/stripping process has hindered the practical applications of Li metal in rechargeable batteries based on the following reasons<sup>110–115</sup>: (1) Since dead Li is electrochemically inactive during further reaction, it directly leads to the rapid capacity degradation and lowers the Coulombic efficiency of batteries. (2) The dead Li accumulation at Li anodes introduces a tortuous diffusion pathway and an exponential increase of resistance for Li ions and electrons inevitably.<sup>116</sup> Therefore, a large polarization occurs, which leads to an unsatisfactory energy efficiency. (3) Dead Li formed in the stripping processes will definitely have a significant influence on the plating process and further decrease the electrochemical performance. Based on these considerations, more efforts are required to comprehensively understand the electrochemical principles in stripping process and realize a full life-cycle regulation for Li metal anode. Fortunately,



**FIGURE 1** Profiles of researches on Li metal anode. (A) Literature distribution in the plating versus stripping processes. (B) The evolution of literature number in plating versus stripping process in the last 39 years. The stripping process and plating process were surveyed by the topic of “Lithium deposit OR Lithium plate & Lithium battery” and “Lithium strip OR Lithium dissolute & Lithium battery,” respectively in Web of Science. All databases were last updated in September 2021

the researches on stripping process are increased gradually (Figure 1B), which can provide more insightful suggestions for the regulation of the stripping process.

Galvanic corrosion as an electrochemical reaction is another challenge for the long lifespan of LMBs. The corrosion rate is proportional to the distance from the junction point of the galvanic couple; therefore, galvanic corrosion is an important origin for the generation of dead Li.<sup>117,118</sup> Exposing different metals with an electric contact to electrolyte at the same time is the necessary condition for galvanic corrosion.<sup>119–121</sup> For Li metal battery, galvanic corrosion can occur at Li|Cu interface as long as the Cu current collector together with Li anode are exposed in electrolyte at the same time. Then, the oxidation occurs at Li electrode due to its lowest negative potential while the reduction of electrolyte happens on Cu electrode. As a result, the contact between these two metal losses and a great number of dead Li is generated. In fact, galvanic corrosion is a local effect of electrode. It happens in the certain scenarios involving a galvanic couple and electrolyte. Therefore, it can be excluded as long as the contact between electrolyte and current collector disappears. In contrast, it is common to produce dead Li during stripping process and it is difficult to inhibit the generation of dead Li during the dissolution process. Therefore, the electrochemical stripping of Li electrode with the electron participation from external circuit is the emphasis in this contribution.

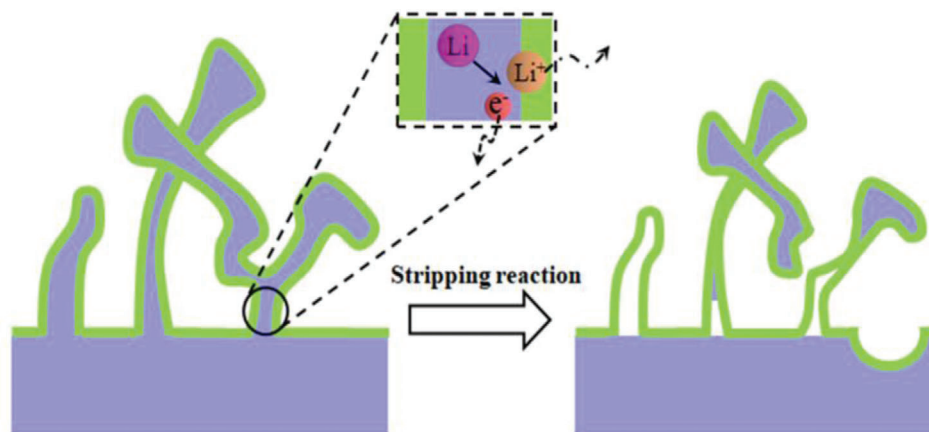
In this review, we present an overview of the current research status about the stripping electrochemistry of Li metal anode (Figure 2). The necessity of researches on the stripping process in Li-metal anode is first illustrated (Section 2). Then, three models for dendrite stripping process are categorized, that is, the tip-, base-, and tip-/base-stripping models which clarify the influence of the transfer and reaction behaviors of Li ions and electrons on the generation of dead Li (Section 3). Specific attention is paid

to the understanding for the electrochemical principles of atom diffusion, electrochemical reaction, ion diffusion in SEI, and electron transfer with the purpose to strengthen the insights into the behavior of Li electrode stripping (Section 4). The factors affecting stripping processes and corresponding solutions are summarized and discussed subsequently to enhance the understanding of the stripping behaviors (Section 5). Finally, in Section 6, our perspectives on the further development of LMBs are presented.

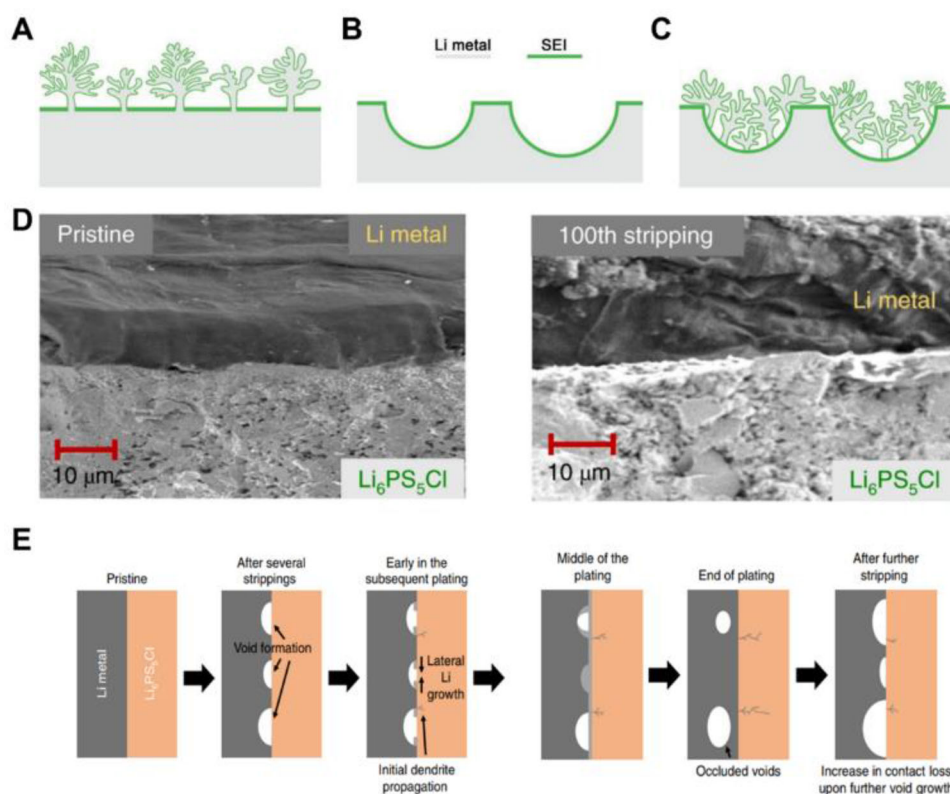
## 2 | IMPORTANCE OF STRIPPING PROCESS

As the plating/stripping process is preceded alternatively during the charging/discharging cycles, stripping process exhibits an inevitable influence on the subsequent plating process and cycling performance of batteries inevitably, that is, the dendrite nucleation location and the critical current for plating.<sup>122–125</sup> Liu et al.<sup>109</sup> found that the Li dendrites and dead Li were generated on the initially flatted areas of Li electrodes (Figure 3A). However, the nucleation locations of dendrite were changed after the Li electrodes stripping process when many pits were formed during this stage (Figure 3B and C). This was because the pits not only had a higher local current density than the surface of the unreacted Li anodes but also were activated during the previous dissolution process. Therefore, these pits were more electrochemically active and facilitated nucleation sites for the dendrites.

Dendritic growth during the plating process is regarded as the most serious obstacle for the practical applications of LMBs.<sup>126–130</sup> Therefore, a comprehensive understanding of the mechanism of Li dendrite nucleation and growth is necessary. Researchers have put great efforts into these problems and remarkable progress has been



**FIGURE 2** Schematic diagram of Li dendrite stripping. Li atoms are taken out off the Li dendrite where it has been enlarged within the black circle. Li dendrites stripping including the three classical processes, that is, Li atoms self-diffusion, Li ion diffusion in SEI, and electron transfer



**FIGURE 3** Schematic diagrams of the surface morphology of (A) Li plated on the pristine Li electrode, (B) stripped Li electrode, and (C) Li plated on electrode after stripping. Reprinted from Liu et al.,<sup>109</sup> with permission. Copyright 2019, Wiley-VCH. (D) Cross-sectional SEM images of the pristine Li/Li<sub>6</sub>PS<sub>5</sub>Cl and after 100th stripped at 1.0 mA/cm<sup>2</sup> and 7 MPa. (E) Schematic diagrams of Li/Li<sub>6</sub>PS<sub>5</sub>Cl interface cycled at an overall current density above the critical current for stripping. Reprinted from Kasemchainan et al.,<sup>141</sup> with permission. Copyright 2019, Nature Publishing Group

achieved until now. Several meaningful and fundamental models to explain Li depositing behavior are presented in the last 40 years, that is, heterogeneous nucleation model,<sup>131,132</sup> SEI-induced nucleating mode,<sup>133,134</sup> and

“Sand’s time” model,<sup>135–137</sup> etc. According to “Sand’s time” model,<sup>48</sup> an applied current density leading to the ionic concentration gradient at the negative electrode is critical for the formation of dendrites. During Li deposition at



high charge rate, the concentration of Li cation in the vicinity of electrode is expected to drop rapidly and reaches to zero at a time  $\tau_s$  (Equation 1). As a result, a strong negative electronic field is produced, leading to the nucleation and unavoidable growth of Li dendrites. Contrarily, low current density results in a minimal and stable ionic concentration gradient close to the negative electrode; therefore, Li dendrites are not expected to form under this condition. The boundary between the two regimes described above is the limiting current density,  $J^*$  (Equation 2), at which an electrochemical system is theoretically able to sustain indefinitely.

$$\tau_s = \pi D \left( \frac{C_o e z_c}{J} \right)^2 \left( \frac{\mu_a + \mu_c}{\mu_a} \right)^2, \quad (1)$$

$$J^* = \frac{2eC_o D(\mu_a + \mu_c)}{\mu_a L}, \quad (2)$$

where  $D$  is the ambipolar diffusion coefficient,  $C_o$  is the initial Li salt concentration,  $z_c$  is the cationic charge number,  $J$  is current density,  $\mu_a$  and  $\mu_c$  are anionic and cationic mobilities, respectively, and  $L$  is the distance between two electrodes.

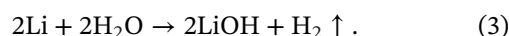
However, some observations clearly indicate that dendrites form even at a current density below  $J^*$ .<sup>138–140</sup> Kasemchainan et al.<sup>141</sup> found that the dendrites can form though the overall current density (1 mA/cm<sup>2</sup>) of the Li/Li<sub>6</sub>PS<sub>5</sub>Cl/Li cell was below the limiting current density of 2 mA/cm<sup>2</sup> obtained from the experimental observations. This was because sizable voids could be formed at the interface between the anode and solid electrolyte when Li was removed faster than it was replenished during the heterogeneous stripping process of Li electrode (Figure 3D). In addition, these voids were only partially filled on subsequent deposition, and they grew with cycling, reducing the contact area, and increasing the local current density at the interface. As a result, dendrites formed below the limiting current density (Figure 3E).

Besides the intrinsic link between the plating process and stripping process, dead Li is the dominant driving force to investigate the stripping process. For some instances, dead Li debris was reported to generate during the deposition process for the corrosion of electrolyte to Li electrode.<sup>142</sup> However, much more dead Li is formed during the dissolution process. The formation of dead Li is frequently observed during the dissolution while the effect of stripping on the plating is affected by various factors, that is, the order of dissolution and deposition and the existence state of electrolyte.

During the electrochemical dissolution process of Li metal, the inhomogeneous stripping of Li dendrites induces “dead Li,” which leads to the capacity loss and

shortens the cycling life of LMBs. It is generally believed that low Coulombic efficiency results from the irreversibility of deposited Li, including Li<sup>+</sup> compounds consumed in the SEI formation and metallic Li<sup>0</sup> isolated from current collector though it is still chemically active.<sup>143–145</sup> However, it is difficult to quantitatively distinguish the contribution of these two factors for the low Coulombic efficiency of LMBs separately.

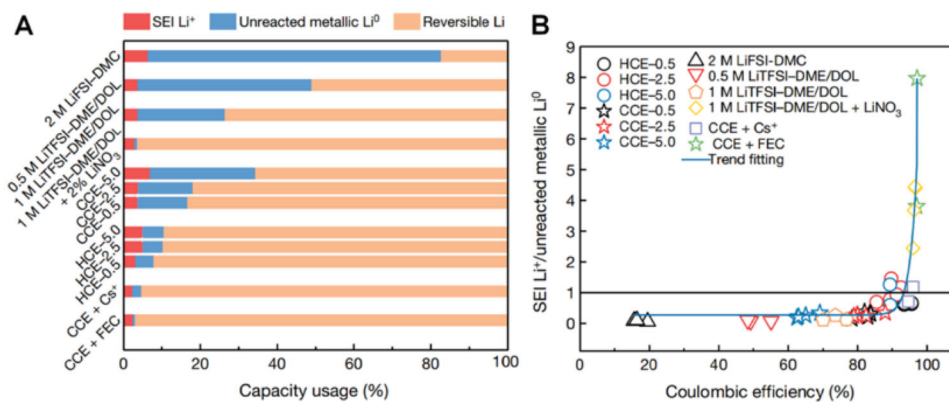
Optical microscopy,<sup>146–148</sup> transmission electron microscopy,<sup>149–151</sup> atomic force microscope,<sup>152</sup> scanning electron microscope,<sup>153–155</sup> and transmission X-ray Microscopy<sup>156</sup> have been widely used in characterizing the morphological features of dead Li within a mixture of Li-containing compounds qualitatively. Furthermore, X-ray photoelectron spectroscopy,<sup>157,158</sup> cryogenic transmission electron microscopy,<sup>159,160</sup> scanning electron microscope<sup>161</sup> (with a controlled X-ray beam irradiation intensity), and operando electron paramagnetic resonances spectroscopy<sup>162</sup> were reported to distinguish between Li species in the SEI and dead Li. Recently, titration gas chromatography (TGC) was introduced by Fang et al.<sup>144</sup> to quantitatively analyze the contribution of dead Li to the all inactive Li generated during the charge and discharge cycles. The quantitative measurement of dead Li was dependent on its different chemical reactivity with SEI Li<sup>+</sup> compounds. Metallic Li<sup>0</sup> could react with H<sub>2</sub>O and generate hydrogen gas which was detected by gas chromatography to quantify the content of metallic Li<sup>0</sup> (Equation 3). The electrochemical inert metallic Li<sup>0</sup> strongly influenced by the types of electrolytes was found as the dominant source of inactive Li during the first cycle with a Coulombic efficiency below 95%, whereas Li<sup>+</sup> compounds contained in the SEI remained at a relatively low level all the time (Figure 4).



Based on the above discussions, the stripping behavior of Li anode is of vital significance for a robust LMB, while it is relatively unexplored. Therefore, more efforts are required in this direction and enhance mechanism understanding on the stripping electrochemistry.

### 3 | STRIPPING MODEL

Li metal in rechargeable batteries is plated and stripped from the substrate repeatedly. Several models of Li dendrite formation and growth have been proposed with the aim to understand the deposition process and mitigate or further eliminate the growth of dendrites. In contrast, the models describing the stripping processes are still not established yet.



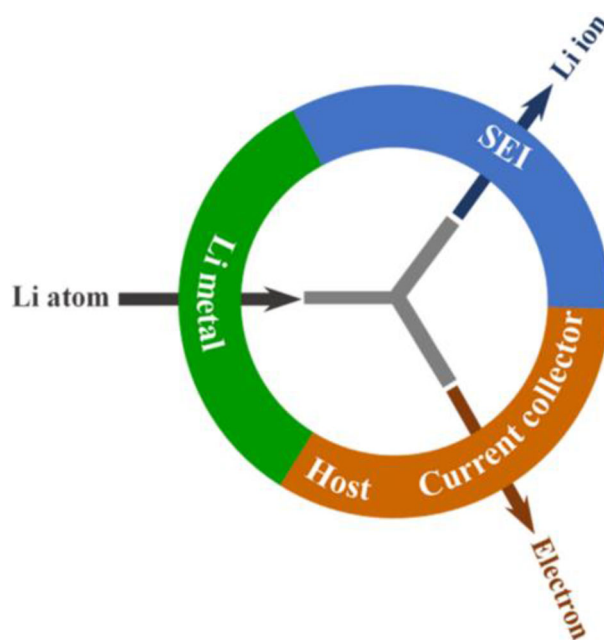
**FIGURE 4** Quantification results of dead Li and SEI Li<sup>+</sup> compounds through titration gas chromatography (TGC) method. (A) Capacity assignment of reversible and irreversible (dead Li and SEI Li<sup>+</sup>) Li testing through the TGC method. (B) The ratio between SEI Li<sup>+</sup> and unreacted metallic Li<sup>0</sup> under various electrolytes. Reprinted from Fang et al.,<sup>144</sup> with permission. Copyright 2019, Nature Publishing Group

Li metal is a conversion-pattern anode and Li atoms, Li ions, and electrons participate in the electrochemical reaction (Equation 4). During stripping, Li metal is electrochemically oxidized to Li ions, which will get away from the anodes and then migrate through the SEI to bulk electrolyte. Therefore, the rate of Li atom self-diffusion inside anode, electrochemical reaction rate at the Li electrode-electrolyte interface, and ionic diffusion rates through the SEI are three important factors that should be taken into consideration when regulating the stripping process (Figure 5).<sup>163</sup>



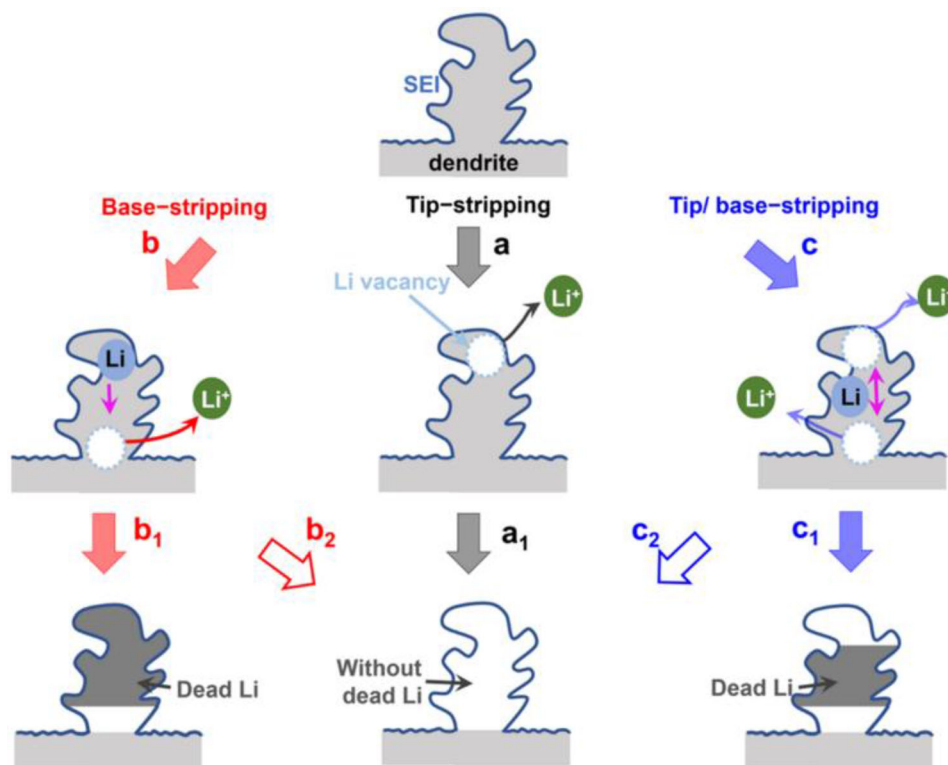
The stripping electrochemistry is discussed based on three dissolution sites including the tip, base, and the combined tip/base.

1. For tip-stripping model (Figure 6A), stripping processes occur from the top to bottom of dendrites. Li dendrite can keep a tight contact with the substrate during the stripping process and dissolve mostly. As a consequence, dead Li will not form in this condition, which is the most desirable situation for dendrites stripping.
2. Base-stripping model is a general phenomenon because the dendrite roots always exhibit a higher current density compared with that of the tip, easily leading to more Li dissolution from the base and the formation of dead Li (Figure 6B). To avoid this detrimental result, Li stripping rate from the roots must be slower than that of Li replenished from other sites of dendrites. Therefore, electrochemical oxidation reaction and Li ion diffusion rates around the roots should slow down, while the self-diffusion rate of Li atoms must speed up. However, at the same temperature, the self-diffusion rate is several orders of magnitude lower than the electrochemi-



**FIGURE 5** Schematic of electrochemical stripping. During the stripping process, four fundamental processes occur: self-diffusion of Li atom inside Li deposits, the oxidation reaction at the interface between electrolyte and electrode, Li ion diffusion through the SEI, and electron transfer

- cal oxidation reaction (Figure 6B<sub>1</sub>). Increasing the number of vacancies in Li anode and the Li atomic vibration energy can potentially increase the self-diffusion rate of Li atoms and decrease the generation of dead Li (Figure 6B<sub>2</sub>).
3. The tip-/base-stripping model indicates that the tip and base of dendrite are both considered as the active sites for dendrite dissolution (Figure 6C). A faster stripping rate at the tip than that at the base is preferred to reduce the amount of dead Li by designing the heterostructure



**FIGURE 6** Schematic diagram of three Li dendrite stripping models: tip-stripping model (A), base-stripping model (B), and tip/base-stripping model (C). The dead Li will not form in tip-stripping model (A<sub>1</sub>). For base-stripping model, Li dissolves from the base forming dead Li (B<sub>1</sub>). This situation can be avoided if the Li stripping rate from the roots can be controlled slower than that of Li replenished from other sites of dendrites (B<sub>2</sub>). When the tip and base of dendrite are both the active sites for stripping, dead Li can be formed (C<sub>1</sub>). By controlling a faster stripping rate at the tip than that at the base, the opposite situation occurs (C<sub>2</sub>)

in SEI and electron transfer, etc. The gradient in electronic conductivity and the design in components and thickness of SEI at different zones are more likely to regulate the stripping behaviors at tip and base.

To sum up, the accelerated stripping at the tip is preferred, while the factors affecting this behavior and strategies to achieve this goal is rather lacking. In the next sections, we will describe the electrochemical principles of atom diffusion, electrochemical reaction, ion diffusion in SEI, and electron transfer to afford the physical/chemical foundation for the stripping.

## 4 | ELECTROCHEMICAL PRINCIPLES

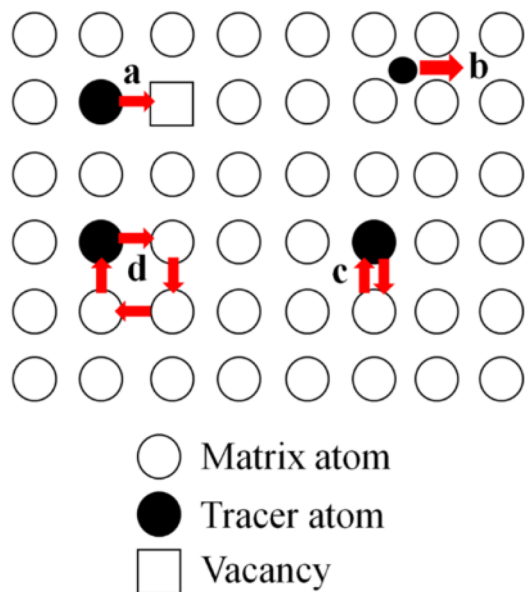
### 4.1 | Atom diffusion

According to the above discussions, it is necessary to accelerate the Li atoms diffusion in Li electrodes with the aim to avoid the heterogeneous dissolution of Li dendrite. Alternatively, Hao et al.<sup>164</sup> demonstrated that the small Li surface self-diffusion barrier was beneficial to

the homogeneous Li deposition, resulting in the dense Li film rather than needle-like Li or fractal Li. Jäckle et al.<sup>165</sup> considered that the high surface self-diffusion barrier of Li was one of the reasons to explain the higher probability toward the generation of dendrite compared to Mg. Therefore, it is critically important to comprehensively understand Li atom diffusion mechanisms in solids including the vacancy mechanism, interstitial mechanism, and exchange mechanism.<sup>166</sup> Furthermore, to facilitate the atom diffusion, it is essential to know the relationship between the atom diffusion and various effects such as temperature, crystal structure, and defects. In this section, basic atom diffusion mechanisms, the correlation between the atom diffusion and various affecting factors are discussed.

#### 4.1.1 | Diffusion mechanism

It is widely accepted that vacancies are inevitable atomic defects in metal crystals above absolute zero. Vacancy diffusion avoids the generation of large lattice distortions of metal and requires less energy. Therefore, it is regarded



**FIGURE 7** Diffusion mechanisms of atoms in Li metals or alloys: (A) vacancy diffusion mechanism; (B) interstitial diffusion mechanism; (C) direct exchange mechanism, and (D) cyclic exchange mechanism

as the dominant and common mechanism for the diffusion of matrix atoms in metals. An atom is easy to jump into its neighboring vacancies and change its original position into a fresh vacancy. Each atom undergoes a series of exchanges with vacancies from time to time and this continuous exchange is donated as the vacancy diffusion (Figure 7A).

Li-rich composite alloys have attracted great attention as a novel electrode because they can present a matrix to promote the uniform plating/stripping behaviors of Li.<sup>167–170</sup> The diffusion of Li atoms in alloys involves another diffusion mechanism. Li atoms are much smaller than the matrix atoms and distributed in the lattice gaps of the matrix. As a result, an interstitial solid solution with Li and matrix atom can be formed. An interstitial mechanism works for the diffusion of the Li atoms in the interstitial solid solution. As shown in Figure 7B, the interstitial Li can diffuse from one interstitial site to another nearby by the interstitial mechanism.

Exchange mechanism includes two patterns: direct exchange mechanism and cyclic exchange mechanism. If two neighboring atoms move simultaneously and exchange their positions directly, they are considered to diffuse by the direct exchange mechanism (Figure 7C). In fact, this process results in the severe lattice distortion and is energetically unfavorable. Consequently, direct exchange mechanism is difficult to realize in practice. As shown in Figure 7D, cyclic exchange mechanism corresponds to a simultaneous rotation of  $n$  atoms ( $n > 2$ ) by one atom distance. Compared with the direct exchange mecha-

nism, the activation energy of cyclic exchange mechanism is lower but it requires several atoms in the crystal to move regularly at the same time, therefore, this exchange mechanism is difficult to implement as well.

The self-diffusivity of Li atom in electrode can be deduced by various methods including the radioisotope tracer method, nuclear magnetic resonance, and calculational method based on a thermodynamical model.<sup>171–174</sup> The self-diffusion coefficient of metal Li at room temperature was reported to be  $5.6 \times 10^{-11} \text{ cm}^2/\text{s}$ , which is about two orders of magnitude lower than the diffusion coefficient of  $\text{Li}^+$  in SEI ( $1 \times 10^{-9} \text{ cm}^2/\text{s}$ ).<sup>175</sup> Therefore, to regulate the atom diffusion rate, we will analyze the effects of various factors on the atom self-diffusion in the following part.

#### 4.1.2 | Affecting factors

The factors affecting atom diffusion include temperature, defects, and crystal structure. Diffusion in solids generally depends largely on temperature. The relationship between diffusion coefficient and temperature is found to obey the Arrhenius formula (Equation 5). Therefore, it can be concluded that the diffusion coefficient increases with the rise of the temperature. It was reported that the self-diffusion coefficient of Li at 350 and 195 K were  $2.13 \times 10^{-9}$  and  $1.35 \times 10^{-15} \text{ cm}^2/\text{s}$ , respectively.<sup>176</sup> In addition, internal factors, including the defects and crystal structure, have an impact on the frequency factor  $D_0$  and activation enthalpy  $Q$ , therefore, influencing the atom diffusion obviously. Grain boundaries, dislocations, and free surfaces of metal are beneficial for the atom diffusion and they have been defined as the high-diffusivity paths or diffusion short circuits as well. Many metallic elements reveal different crystal structures due to the allotropic transformations at different temperature ranges. The dense crystal structure results in the great diffusion activation energy. Therefore, the atom diffusion of metal with densely packed structures is slower than the less dense packing metal.

$$D = D_0 \exp\left(-\frac{Q}{kT}\right), \quad (5)$$

where  $D_0$  is the frequency factor,  $Q$  is the activation enthalpy of diffusion,  $T$  is the absolute temperature, and  $k$  is the Boltzmann constant.

## 4.2 | Electrochemical reaction

The electrochemical reaction of Li metal anode during the stripping process is shown in Equation 4. As discussed above, electrochemical reaction rate (C-rate) regulates the



formation of dead Li. Consequently, the understanding of interfacial reaction mechanism of Li electrode is beneficial to restrain or eliminate the formation of dead Li. As we all know, the current density is proportional to the corresponding electrochemical reaction rate, therefore, the redox reaction rate of Li electrode can be described by Butler-Volmer equation (Equation 6).<sup>177</sup>

$$j = j_0 \left[ \exp \left( \frac{\beta F \eta}{RT} \right) - \exp \left( -\frac{\alpha F \eta}{RT} \right) \right], \quad (6)$$

where  $j$  is the current density,  $j_0$  is the exchange current density,  $\alpha$  and  $\beta$  are charge transfer coefficients for the anodic and cathodic reactions respectively, and  $\alpha + \beta = 1$ ,  $F$  is the Faraday's constant,  $\eta$  is the overpotential,  $R$  is the ideal gas constant, and  $T$  is the temperature.

According to the Butler-Volmer equation, it can be concluded that the redox reaction rate of Li electrode is related to the exchange current density and the overpotential. When the electrode is at equilibrium, the net current density which is composed of the anodic current density and cathodic current density equals to zero. And the exchange current density is that current in the absence of net electrolysis. The exchange current density shows a characteristic value for the electron transfer process and the mass transfer process at the interface of electrode. It can be adjusted through the electrode materials and the concentration of reactants. Tao et al.<sup>178</sup> reported that the formation of Li dendrite was controlled by the value of  $j_0$  which was a critical charge transfer parameter for the electrolytes. They confirmed a strong relationship between solvent and exchange current density while the Li salts species have slight effect on the kinetic parameter ( $j_0$ ).

The overpotential is the potential difference between the equilibrium potential ( $\varphi_e$ ) and the actual potential ( $\varphi$ ) at which the oxidation and reduction reactions occur (Equation 7). Akolkar et al.<sup>179</sup> considered that the net overpotential at the flat Li electrode surface was different from that at the dendrite tip. The total overpotential of the Li plate electrode was the sum of the activation overpotential and the concentration overpotential. However, the net overpotential at the tip of the dendrite included not only the activation overpotential and the concentration overpotential but also the overpotential caused by the surface energy of the curved dendrite tip. The equilibrium potential of battery is described by Nernst equation (Equation 8). Therefore, it is obvious that the overpotential of an electrode critically depends on various factors, that is, the temperature, Li ion concentration at the electrode interface, and the surface area of electrode. For example, the galvanostatic voltage response provides critical evidences for the evolution of Li dendrite structures (needle and mossy) during the cycling process.<sup>21,156</sup> The influence of overpotential on the Li den-

drite growth has been widely investigated while the opposite is true for the stripping process of Li dendrite.<sup>180–182</sup> Pei et al.<sup>131,180</sup> found that the nuclei size of Li decreased with the increasing of the overpotential while the number density of Li nuclei was proportional to the overpotential during the deposition process. Therefore, much more effort should be devoted to explore the relationship between the overpotential and the dissolution process.

$$\eta = \varphi - \varphi_e, \quad (7)$$

$$\varphi_e = \varphi^\ominus - \frac{RT}{zF} \ln \frac{\alpha_O}{\alpha_R}, \quad (8)$$

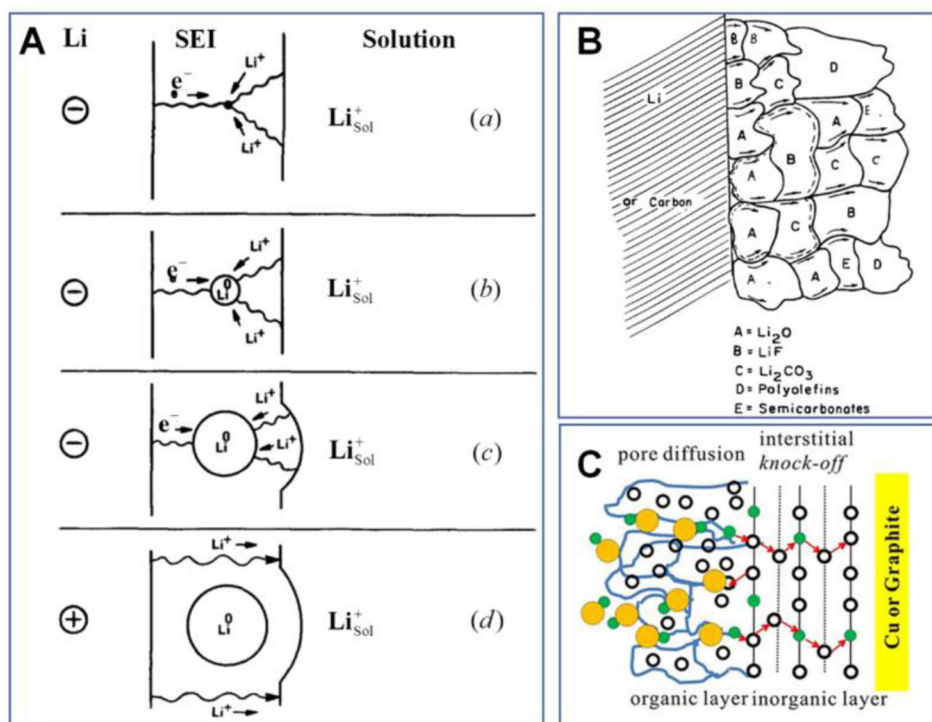
where  $\varphi^\ominus$  is the standard oxidation electrode potential,  $R$  is the ideal gas constant,  $T$  is the temperature,  $z$  is the number of charges involved in the oxidation reaction,  $F$  is the Faraday's constant,  $\alpha_O$  and  $\alpha_R$  are the activity of the product and the reactant of oxidation reaction, respectively.

## 4.3 | Ion diffusion in SEI

### 4.3.1 | SEI features

After immersed into the electrolyte, Li metal with high reactivity will react spontaneously with the electrolyte (including solvents, salts, and additives) and a new film named SEI forms, which was firstly proposed by Peled in 1979.<sup>183–186</sup> Both Li metal and electrolyte are consumed during this process. However, SEI stops growing when it is thick enough to block electron transferring into the interface between SEI and electrolyte and prevents Li metal electrodes from further corrosion.

The following features are required for an ideal SEI of LMBs with high safety and long cycle life: electrical insulation, adequate thickness and density, high Li ionic conductivity, structural and composition uniformity, and extraordinary mechanical strength to bear the volume change during repeated Li plating and stripping.<sup>106,187,188</sup> Unfortunately, a practical SEI cannot have the whole characteristics. Therefore, the inhomogeneous coverage of SEI results in the uneven deposition and dissolution of Li electrode.<sup>50,189,190</sup> It is realized that an SEI is the key to the stripping process of Li electrode, because it serves as the conductor of  $\text{Li}^+$  which is the oxidation product of Li metal during the stripping process. The concentration of Li ion at the interface depends both on the oxidation rate of Li and the Li ionic diffusion rate. Therefore, the fundamental of SEI must be fully understood. In this section, we will give a basic overview of SEI from the following aspects: structure, component, and diffusion mechanism.



**FIGURE 8** Models of solid electrolyte interphase (SEI) on Li electrode: (A) “2D” model. Reprinted from Peled et al.,<sup>183</sup> with permission. Copyright 1979, Electrochemical Society, Inc.; (B) Mosaic model. Reprinted from Peled et al.,<sup>194</sup> with permission. Copyright 1997, The Electrochemical Society, Inc.; (c) Dual-layer structure model. Reprinted from with permission. Copyright 2012, American Chemical Society

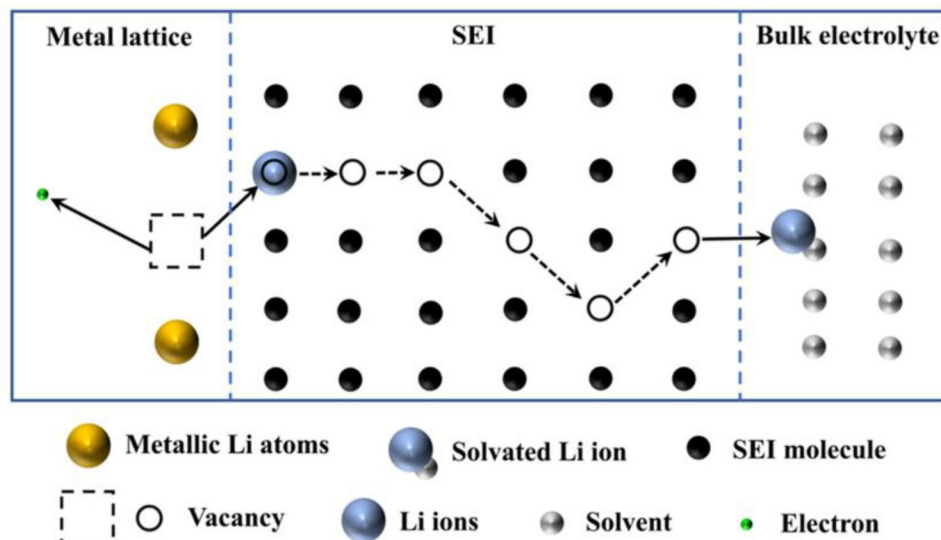
The film formed by the reaction between Li metal and electrolyte was first discovered by Dey in 1970.<sup>191</sup> Then in 1979, Peled named it as SEI and proposed the earliest model to describe it.<sup>183</sup> The SEI with “2D model” was induced instantly by the contact of Li metal with electrolyte and it was about 15–25 Å thick, determined by the electron tunneling range (Figure 8A). Several other models have been proposed for the SEI. “Mosaic model<sup>192–195</sup>” where insoluble multiphase products were deposited on Li anode randomly was defined by Peled and was used to modify his previous “2D model” (Figure 8B). Apart from the “mosaic model,” another widely accepted description of SEI is “dual-layer structure model,” which has been confirmed by several experimental and theoretical investigations.<sup>196</sup> In most cases, the layer close to Li metal is composed of the lower oxidation states which are derived from the reaction between salt and solvents, while the outer layer contains the higher oxidation constituents and is porous and nonuniform<sup>197–201</sup> (Figure 8C).

The components of the SEI layer strongly depend on the electrolyte constituents, including the Li salts, solvents and additives, which can heavily influence Li ion diffusion in SEI.<sup>202–204</sup> It is reported that the organic Li salts in SEI layers are derived from the solvent while the inorganic composites are obtained from the reduction of anions in Li salts.<sup>205</sup> In this regard, the dependence of SEI on the

component of electrolytes has been widely reported. Fluorinated electrolyte has been extensively studied due to the outstanding performance of SEI with rich LiF. It was reported that the grain boundaries among LiF facilitated the uniform diffusion of Li ions and regulated the uniform Li deposition.<sup>206–208</sup> Recently, many theoretical and experimental observations investigate the effect of the thickness and composition of the native inorganic layers on modulating the thermodynamics and kinetics of reduction processes of the electrolyte as well.<sup>209</sup> Meyerson et al.<sup>210</sup> found that the initial surface chemical composition of Li anode influenced the uniformity of SEI. The native Li surface with rich organic component grew as an SEI which was abundant in LiF. Therefore, these organic-rich areas were preferential sites for the inhomogeneous dendrite nucleation.

#### 4.3.2 | Diffusion through SEI

SEI is an essential route for Li ions to diffuse into electrolyte during the stripping process. Li-ion concentration on the surface of the Li electrode is strongly influenced by the SEI. Therefore, it has a significant impact on the stripping process of the Li metal electrode. To eliminate the formation of dead Li and guarantee the uniform stripping



**FIGURE 9** Schematic diagram of Li ion diffusion through the SEI from the anode to the bulk electrolyte

of flat Li metal electrode, it is valuable to investigate the diffusion mechanism of Li ion through SEI.

Li ions pass through the SEI in three steps (Figure 9): (1) Li metal loses an electron to the current collector and changes into a Li ion; (2) Li ion diffuses through the SEI by relaying itself in Schottky vacancies; (3) Li ion detaches from the Schottky vacancies and is immediately solvated by the available solvent molecules. However, compared with the SEI obtained in Li ion battery, the SEI of Li metal battery is generally unstable and is not well understood until now.<sup>211</sup> Therefore, much more effort must be devoted to understand the SEI formed in the Li metal battery.

#### 4.4 | Electron transport

The relationship between the numbers of reactant molecules and the charges (electrons) flowing in the circuit is described by the Faraday's law (Equation 9). It is clear that the quantities of different substances involved in the redox reaction are proportional to the amount of charges which have been consumed at the electrode. Therefore, the electronic conductivity is an index to judge the performance of electrodes.

Fortunately, the electron transport during the stripping process of Li electrode mainly occurs in the Li metal, the conductive host, and the current collector with a fast electron transfer. It is reported that Li metal has been an effective additive to improve the electronic properties of electron transport layer (ETL) employed in perovskite solar cells.<sup>212,213</sup> Additionally, it is critical to promote the uniform electron transfer on the Li metal, the conductive host

as well as the current collector with the aim to avoid the formation of dead Li.

$$Q = nF, \quad (9)$$

where  $Q$  is the amount of charge,  $n$  is the number of reactants, and  $F$  is the Faraday's constant.

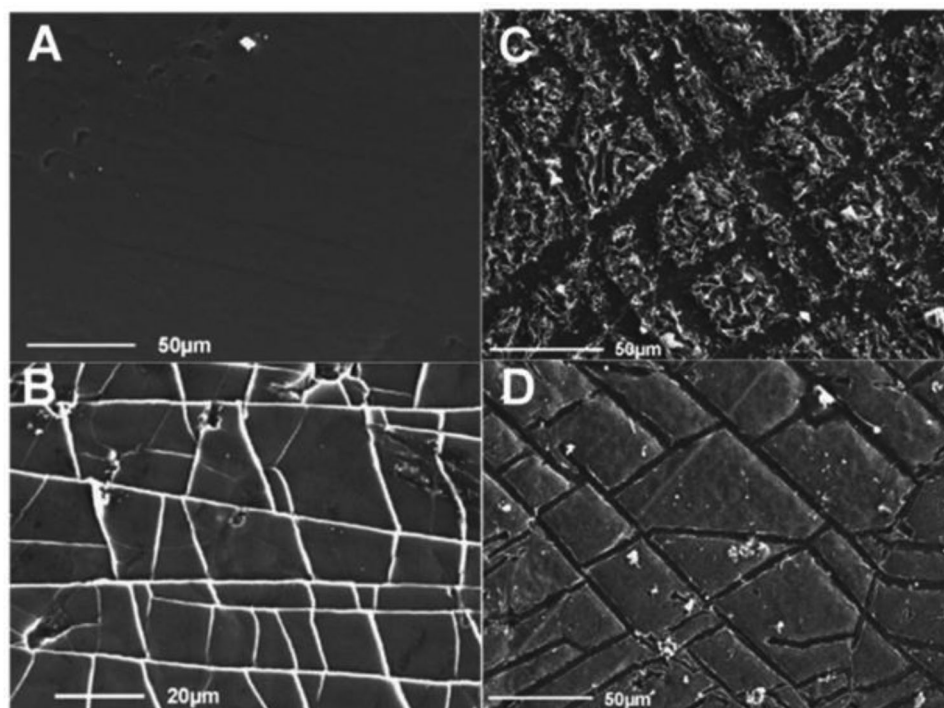
Li metal, conductive host, and the current collector are conductor with free electric charges (electrons). These free charges always migrate directionally in an applied electric field, resulting in an electric current. Therefore, it can be concluded that electric field distribution which is related to the structure of conductive surface is particularly important for electron transfer. 3D substrates, including current collectors and conductive hosts, are not only beneficial to improve the electroactive surface area of Li anode, but also facilitate the uniformity of the electric field.<sup>214,215</sup> Therefore, the rational design of 3D substrates is important for developing Li anodes with a long lifespan.

In summary, the relative speed of the electrochemical reactions and Li atom diffusion is of vital importance for the formation of dead Li. Li atom diffusion rate is expected to be higher than the electrochemical oxidation reaction rate with the aim to suppress the generation of dead Li.

## 5 | AFFECTING FACTORS AND SOLUTIONS

### 5.1 | Surface physics

For the Li-free cathodes, Li stripping can first occur for Li metal anode and even the "seemingly" uniform Li plates can lead to heterogeneous stripping, which also



**FIGURE 10** SEM of Li surface texture (A) before the “Sellotape” treatment and (B) after the “Sellotape” procedure. Different surface morphologies of stripped Li at a current density of 50 mA/cm<sup>2</sup> in (C) 1 M LiPF<sub>6</sub> –EC/dimethyl carbonate (DMC) and (D) 1 M LiPF<sub>6</sub> –EC. Reprinted from Gireaud et al.,<sup>222</sup> with permission. Copyright by 2006, Elsevier B.V

demonstrates that Li stripping is worth being investigated even though the electrode is without dendrites. The commercial Li plate has numerous geometrical domains, corresponding to surface defects, and ideal Li plates without any surface defects at micro scale cannot be obtained in practice. The metallurgical factors, that is, grain boundaries and slip lines can possibly impact the Li stripping process. These heterogeneous features on the Li anodes can influence the formation barrier of pits and then lead to the preferential stripping at energetically favorable sites such as low-index surfaces or step sites.<sup>216</sup>

### 5.1.1 | Slip lines

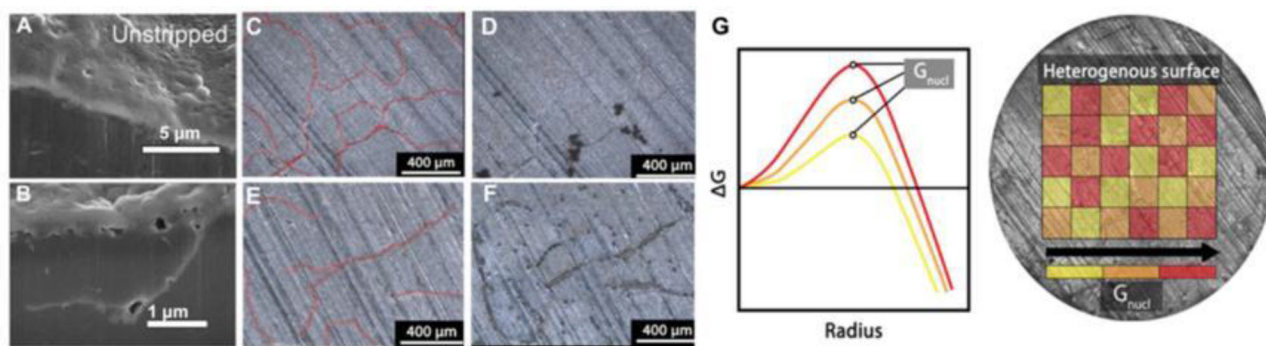
In metallurgical field, slip lines exist as a result of dislocation displacements through the crystal which are induced by the shear stress, depending on the manufacturing process.<sup>217–220</sup> Even through the rolling of electrodes, the slip lines cannot be eliminated due to the irreversible plastic strain.<sup>221</sup> Gireaud et al.<sup>222</sup> utilized the “Sellotape” procedure to visualize the slip lines which were originated from the dislocations displacements through the crystal induced by the mechanical stress (Figure 10A and B). They found that the dissolution process initially occurred on the slip lines. This was attributed to the high interfacial energy of slip lines where the passivating layers were unstable and

preferentially broken down (Figure 10C and D). In addition, the slip lines were abundant of the steps and kinks. As a result, they were hot spots for Li dissolution compared to other areas on the surface of anode.<sup>223</sup>

### 5.1.2 | Grain boundaries

Li metal has a polycrystalline structure and its grain boundaries have a strong influence on the dissolution process of electrode. It is well known that the atoms at the grain boundaries, deviating from equilibrium, involve a higher interfacial energy<sup>221,224</sup> and defects, such as caves, impurities, are abundant in the grain boundaries. Therefore, atomic diffusion at the grain boundaries is faster than lattice diffusion. The self-diffusion coefficient of metallic Li was reported to be  $5.6 \times 10^{-11}$  cm<sup>2</sup>/s.<sup>175,200,225</sup> Furthermore, Shi et al.<sup>223</sup> considered that solvent molecules were able to diffuse into the grain boundaries and formed SEI (Li<sub>2</sub>CO<sub>3</sub>) with a high Li ion diffusion rate ( $1.1 \times 10^{-7}$  cm<sup>2</sup>/s). Therefore, grain boundaries with an in compact structure were beneficial to the diffusion for both Li atoms and Li ions and helpful for the fast dissolution of Li atoms. A string of voids along the grain boundaries during the dissolution process were discovered (Figure 11A and B), illustrating that grain boundaries had an obvious impact on the uniformity of the stripping process.





**FIGURE 11** (A) Cross-section SEM photograph of Li foil before cycling. (B) SEM graph of stripped Li foil with grain boundary in 1 M  $\text{LiPF}_6\text{--EC/DEC}$  at a current density of  $0.5 \text{ mA/cm}^2$ . Reprinted from Shi et al.,<sup>223</sup> with permission. Copyright 2018, National Academy of Sciences of the United States of America. Surface morphology of the pristine Li surface before plating (C) with grain boundaries marked by red line and (D) after plating at current density of  $0.01 \text{ mAh/cm}^2$ . Surface morphology of the pristine Li surface before stripping (E) with grain boundaries marked by red line and (F) after stripping at a current density of  $0.2 \text{ mAh/cm}^2$ . (G) Distribution of Gibbs energy for nucleation ( $G_{\text{nucl}}$ ) on the heterogeneous Li surface. Reprinted from Sanchez et al.,<sup>20</sup> with permission. Copyright by 2020, American Chemical Society

Optical microscope was used by Sanchez et al.<sup>20</sup> to observe the relationship between the grain boundaries on the electrodes and the location of dendrite and pit formation. Pits and dendrites are preferentially formed near the surface grain boundaries of Li electrode, because the Gibbs energy for nucleation varied on the heterogeneous Li surface and the grain boundaries had smaller activation barriers for nucleation compared with the other regions on the electrode surface. In addition, pits showed a higher nucleation density than dendrites along grain boundaries which was attributed to the different effects of heterogeneous nature of the Li electrode on the local activation barrier for nucleation of dendrites and pits (Figure 11C–G).

As discussed above, both metallurgical factors, that is, slip lines and grain boundaries have a great influence on the stripping of the Li metal anode. The surface defects of Li anode largely aggravate the nonuniformity of stripping on the Li surface and result in a continuous decline in discharging capacity of Li-metal battery. Therefore, Li electrodes with better metallurgical uniformity are desired.

## 5.2 | SEI film

### 5.2.1 | The composition of SEI

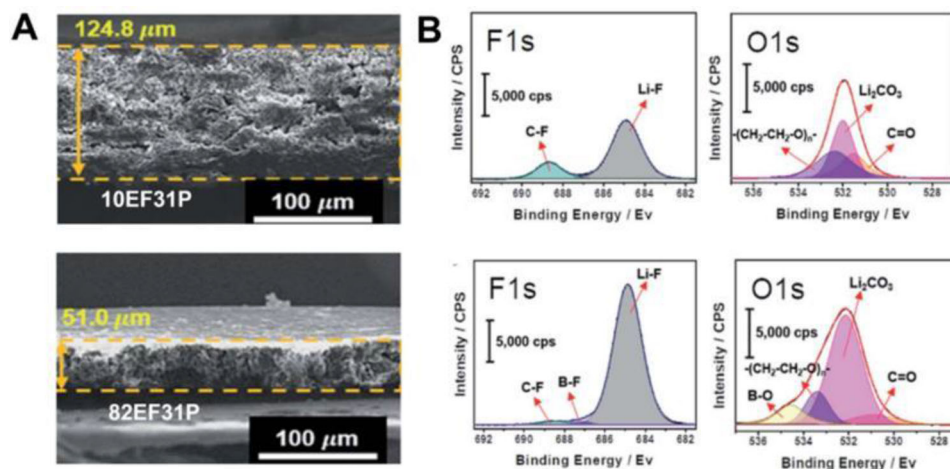
Due to the complexity of SEI, Li microstructures (dendrites and fibers) grow uncontrollably and their role in the plating process has been investigated for decades.<sup>226–229</sup> However, the impacts of SEI on the stripping process which are critically important for the performance of batteries are relatively less involved. Sun et al.<sup>186</sup> found that the battery performance was sensitive to the Li ionic conductivity and mechanical strength of SEI. (1) The deposition morphology of Li was affected by the composition

of the SEI. A dense and thick Li deposition morphology was observed for SEI with higher transference numbers, because SEI delayed the dendrite nucleation time. Contrarily, when the SEI had a low ionic conductivity, the deposited Li structures were uneven, loosely packed, and consisted of thin wires. Compared to the dense Li dendrites, the Li deposition with loose structure showed more tendencies to produce dead Li during the stripping process. (2) The chemical composition of the SEI influenced its structural modulus and the formation of dead Li as well. The SEI lacking  $\text{LiF}$  and  $\text{Li}_2\text{CO}_3$  was mechanically weak and the deposited Li was easier to fracture at weak points to leave behind electrically disconnected dead Li (Figure 12A and B).

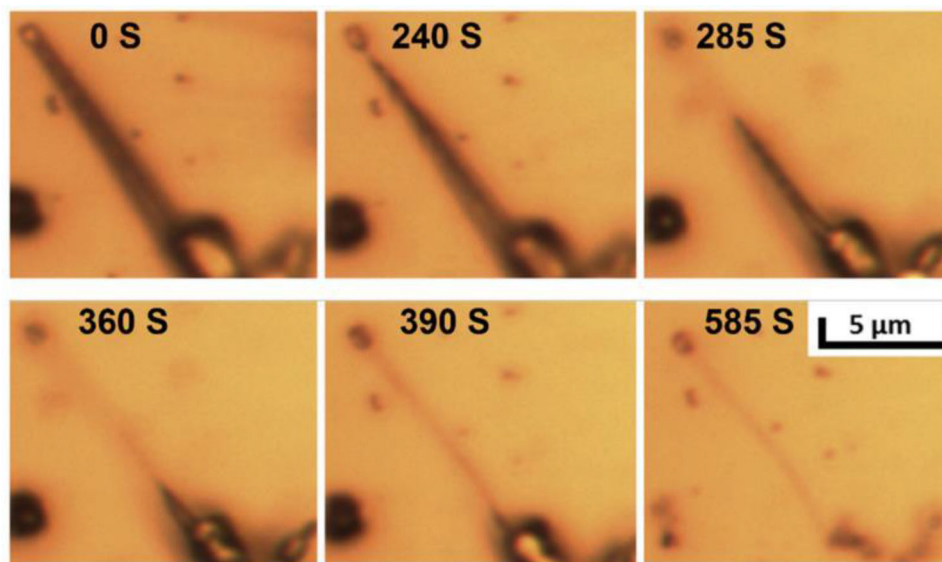
### 5.2.2 | The structure of SEI

During dissolution, the SEI formed at the stage of deposition has an obvious influence on the stripping process of Li anode. Steiger et al.<sup>230</sup> found that the thinning process of dendrites during the electrochemically stripping process started at its tip where the corresponding SEI mainly consisted of metal oxides or Li salt (Figure 13). Similarly, Li et al.<sup>122</sup> mentioned that inhomogeneous dissolution of Li with dendrite/mossy/granular morphology and hole-like structures were related to inhomogeneous coverage of the SEI rather than the homogeneity of the electronic or ionic conductivity of the Li electrode. Sun et al.<sup>231</sup> utilized inline phase-contrast X-ray tomography to observe the Li stripping from the negative electrode. The results showed that differently sized cavities stemming from the unevenly formed SEI appeared in the partially dissolved Li anode.

The relationship between the SEI nanostructure and stripping behavior of dendrites was established by Li



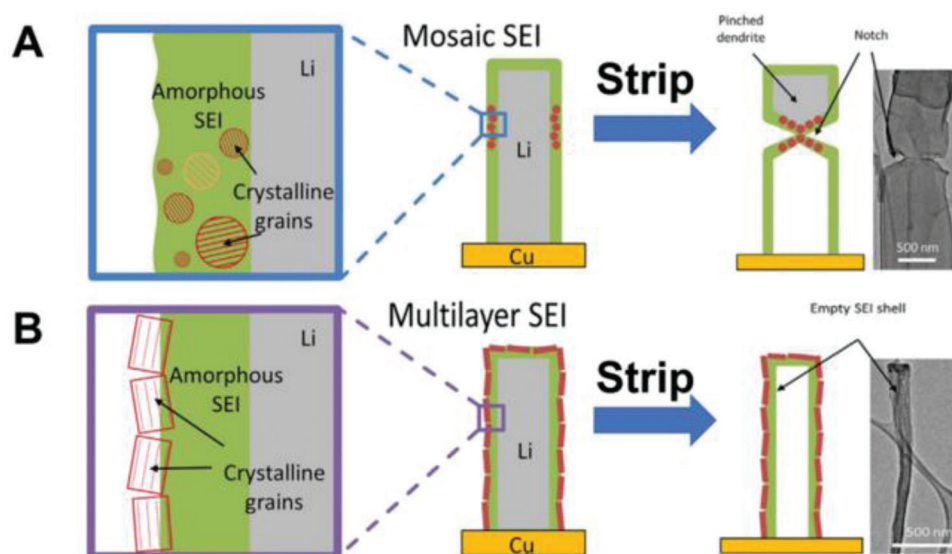
**FIGURE 12** Effects of SEI on stripping behaviors of Li metal anode. (A) Cross-section views of dead Li layer in Li||Cu cells with different electrolytes after 50 cycles. (B) XPS spectra of F1s and O1s of the SEI layer after first deposition in Li||Cu cells with different electrolytes. Reprinted from Sun et al.,<sup>186</sup> with permission. Copyright 2019, The Royal Society of Chemistry



**FIGURE 13** Observations of electrochemical dissolution process of a Li needle through in situ light microscopy. Reprinted from Steiger et al.,<sup>230</sup> with permission. Copyright 2014, Elsevier BV

et al.<sup>196</sup> The atomic structural and crystallography of SEI were revealed by cryoelectron microscopy (Cryo-EM) without destroying the samples.<sup>232–235</sup> It was observed that the distribution of crystalline grains within the two models of SEI (mosaic and multilayer) were significantly different. The mosaic model was characterized with microphases of both crystalline and amorphous heterogeneous distributed organic and inorganic decomposition components of the electrolytes. Instead, an ordered and layered structure was formed with uniformly distributed decomposition products in the multilayer model. For the Li metal anode surrounded by mosaic SEI, notches were observed on the sur-

face of the stripped dendrites, where the SEI contained mostly crystalline nanograins. Subsequently, these notches changed into cracks during the nonuniform stripping process, leading to the formation of dead Li. On the contrary, crystalline grains were more uniformly arranged in the multilayered SEI which was beneficial to uniform Li transport until the fully stripping of Li dendrites (Figure 14). More drastic chemical reactions occurred along the boundary of the SEI and the size of a single SEI grain was small, leading to the homogeneous dispersion of pits macroscopically. Besides, based on the molecular dynamics simulation, Li stripping occurred at the defects of SEI was



**FIGURE 14** Cryo-EM image and schematic of a typical Li metal dendrite with different SEI films. Mosaic SEI formed in EC/DEC electrolyte leads to Li partly stripped during the dissolution process, while multilayer SEI in EC/DEC electrolyte with 10 vol.% fluoroethylene carbonate (FEC) additive renders Li completely stripped during the dissolution process. Reprinted from Li et al.,<sup>196</sup> with permission. Copyright 2018, Elsevier Inc.

confirmed by Zhang et al.,<sup>236</sup> agreeing well with experimental results.

In summary, the properties of SEI, including the Li ionic conductivity, chemical homogeneity, and mechanical strength, etc., are not only related to the formation of Li dendrite but also influence the homogeneous stripping process of Li electrodes. However, the clear relationship between SEI feature and design principles, and stripping electrochemistry are still not known.

### 5.3 | Operational factors

#### 5.3.1 | Current density

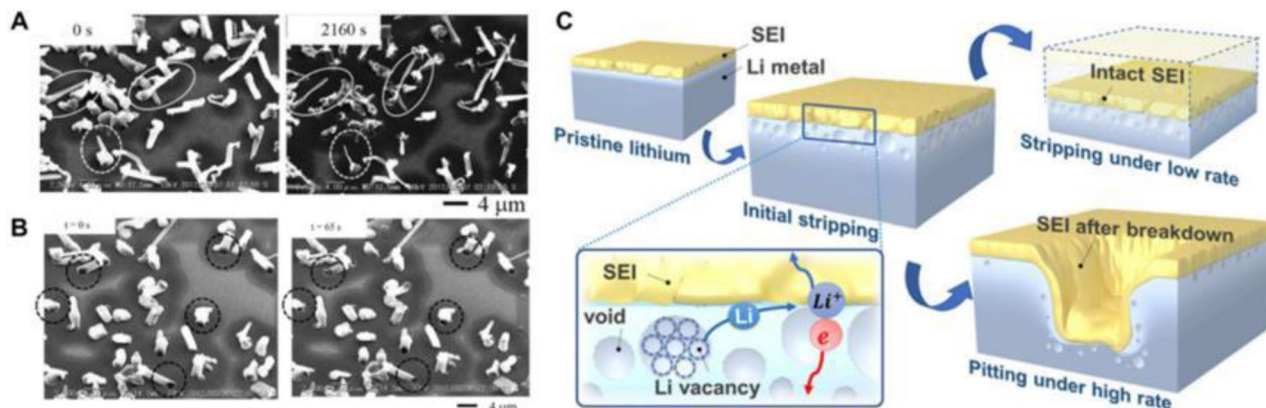
It has been widely accepted that dendrite growth is strongly affected by the charging current density and it forms easily at high current densities or C-rates during the charging process according to the Sand's time model.<sup>237–240</sup> Similarly, current density can affect the distributions of electrons on the anode surface and finally the stripping processes. During the plating and stripping process, electrons are reactants and products, respectively. However, it is essential to be noted that the electrons are not transferred between the electrodes and electrolyte directly, otherwise it may result in the fast self-discharge and the poor battery performance. In fact, the passivating SEI produced by the reduction of electrolytes acts as an interface between the electrodes and electrolyte and exhibits the property with high electronic resistivity.<sup>241,242</sup>

Sagane et al.<sup>243</sup> observed the morphology variations of Li dendrites during electrochemical stripping reactions by in-situ scanning electron microscopy at a relatively small current and discovered that the formation of dead Li was strongly dependent on the relationship between the self-diffusion rate of Li atoms and Li stripping rate. When the precipitated Li with 5  $\mu\text{m}$  length was stripped at 50  $\mu\text{A}/\text{cm}^2$ , it stripped mostly and left an inert husk of Li precipitates before losing its contact with current collector. One-dimensional diffusion length (4.5  $\mu\text{m}$ ) of Li atoms at room temperature for the stripping process was nearly the same as the length of Li precipitates (5  $\mu\text{m}$ ) according to the relationship among the diffusion distance ( $x$ ), self-diffusion coefficient ( $D$ ), and diffusion time ( $t$ ) (Equation 10). In contrast, when the stripping current density was increased to 500  $\mu\text{A}/\text{cm}^2$ , the Li around the root of precipitates was stripped while the others remained unchanged. In this case, the diffusion length was about 1.6  $\mu\text{m}$ , which was much shorter than the length of Li precipitates. Therefore, dead Li was formed and the Coulombic efficiency was reduced a lot (Figure 15A and B).

$$x = \sqrt{Dt}. \quad (10)$$

Similar to the stripping of dendrites, the magnitude of current density has a significant influence on the dissolution of Li plate. Shi et al.<sup>223</sup> investigated the formation of pits caused by the high current density (beyond 1  $\text{mA}/\text{cm}^2$ ) on the Li plates in 1 M  $\text{LiPF}_6$ -EC/DEC electrolyte. A string of voids was formed between SEI and Li anode during





**FIGURE 15** The captured in-situ SEM observations during Li stripping process at a current density of (A) 50  $\mu\text{A}/\text{cm}^2$  and (B) 500  $\mu\text{A}/\text{cm}^2$ , respectively. Reprinted from Sagane et al.,<sup>243</sup> with permission. Copyright 2013, Elsevier Inc. (C) Schematic diagram: Li stripping process of electrode under different current densities. Reprinted from Shi et al.,<sup>223</sup> with permission. Copyright 2018, National Academy of Sciences of the United States of America

the stripping process with a low current density, while the voids became larger as the stripping current density increased to 1  $\text{mA}/\text{cm}^2$ . A local collapse of SEI occurred with the vigorous growth of voids, leading to the heterogeneous stripping of Li anodes and the formation of pits (Figure 15C).

As mentioned above, the discharge current density is limited to avoid the formation of dead Li and voids on the surface of Li electrode, while a harsh operating condition (current density > 3  $\text{mA}/\text{cm}^2$ , areal capacity > 3  $\text{mAh}/\text{cm}^2$ ) is necessary for the practical application of rechargeable LMBs. Fortunately, Zheng et al.<sup>244</sup> probed the impact of discharge current density on the performance of Li metal battery. It was found that increasing the Li stripping rate was conducive to stabilize the Coulombic efficiency and enhance the long cycle life of  $\text{Li}||\text{LiNi}_x\text{Mn}_y\text{Co}_{1-x-y}\text{O}_2$  cells. This finding challenges the conventional belief that high stripping rate of Li-metal battery results in the rapid decrease of Coulomb efficiency because of the formation of inactive Li. The high concentrated Li ions formed during high C-rate discharge process were immediately solvated by the adjacent solvent molecules. Therefore, they could protect fresh Li electrode from serious corrosion with electrolyte components, that is, solvent and salt anions. In addition, the formation of a relatively stable and flexible SEI with mixed organic and inorganic components integrated in a poly (ethylene carbonate) framework was facilitated by the transient layer of highly concentrated electrolyte (Figure 16). As a result, the side-reactions between Li metal and electrolyte were considerably suppressed, thereby improving the performance of the Li-metal battery.

As discussed above, both the stripping of dendrites and Li plates are sensitive to the current density. Therefore, an

appropriate operating current density is essential for LMBs with a high cycling efficiency and long lifespan.

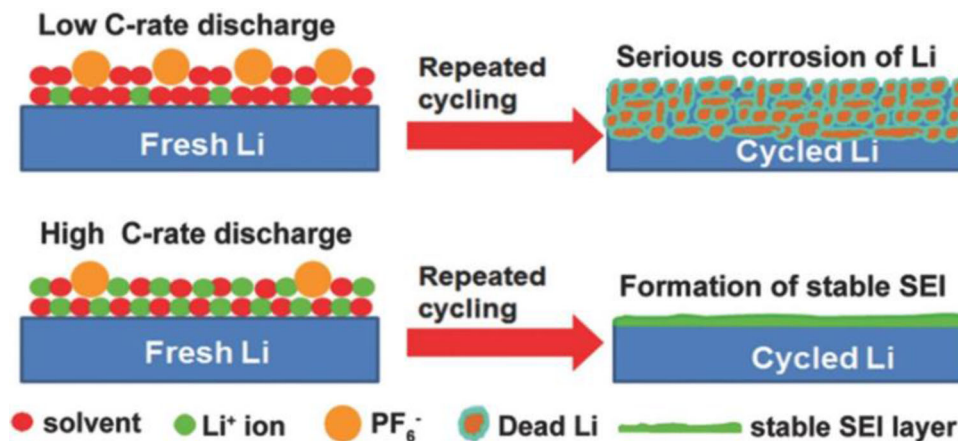
### 5.3.2 | Stripping capacity

The amount of Li deposited/stripped during the charge/discharge cycle varies with the cycling capacity. There is a general belief that the lifespan of battery is shortened by increasing capacity. This could be verified by the amount of dead Li and morphology characteristics of LMBs ( $\text{Li}||\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$ ) at different capacities after cycles.<sup>245</sup> The wider cracks were clearly observed on bulk Li with the increase of the cycling capacity. The degradation layer was easier to separate from the bulk Li, resulting in the obvious decrease in the amount of active Li.

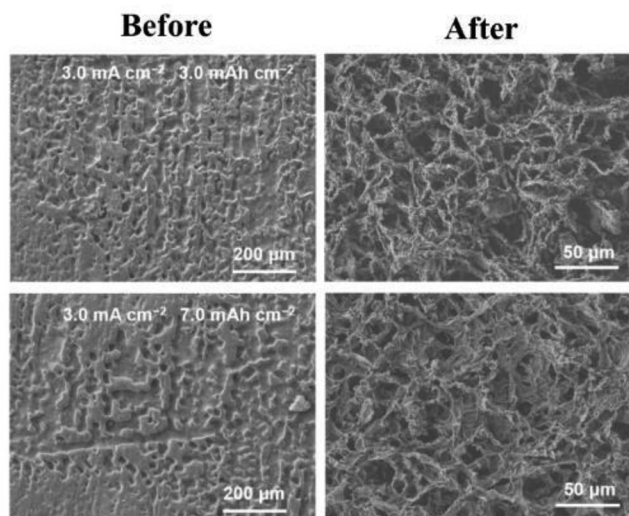
Based on above descriptions, the direct relationship between the stripping capacity and the battery performance is still indistinct. Shi et al.<sup>237</sup> independently studied the deposition and stripping behaviors of Li metal anode at different capacities with a constant current density. The length and diameter of plated Li was found to be significantly dependent on plating capacity. However, the comparable stripping process of Li metal anode could be observed at different capacities, as shown in Figure 17. The effect of stripping capacity on the dissolution process was not as obvious as plating process. A similar phenomenon is also observed in the resultant Li anode based on a periodically conductive/dielectric host.<sup>246</sup>

In conclusion, the effort on the stripping capacity which impacts directly the dissolution process of Li electrode is still less involved. In fact, more evidences are needed to clarify the above statement.





**FIGURE 16** Schematic illustrations describing the function of different SEI during the discharge and charge cycles. Reprinted from Zheng et al.<sup>244</sup> with permission. Copyright by 2016, Wiley-VCH



**FIGURE 17** Stripping behaviors of Li metal anode at different capacities. (A) SEM images (surface view) of stripping morphology before and after Li-stripping with different capacities with an identical current density. Reprinted from Shi et al.,<sup>237</sup> with permission. Copyright 2019 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim

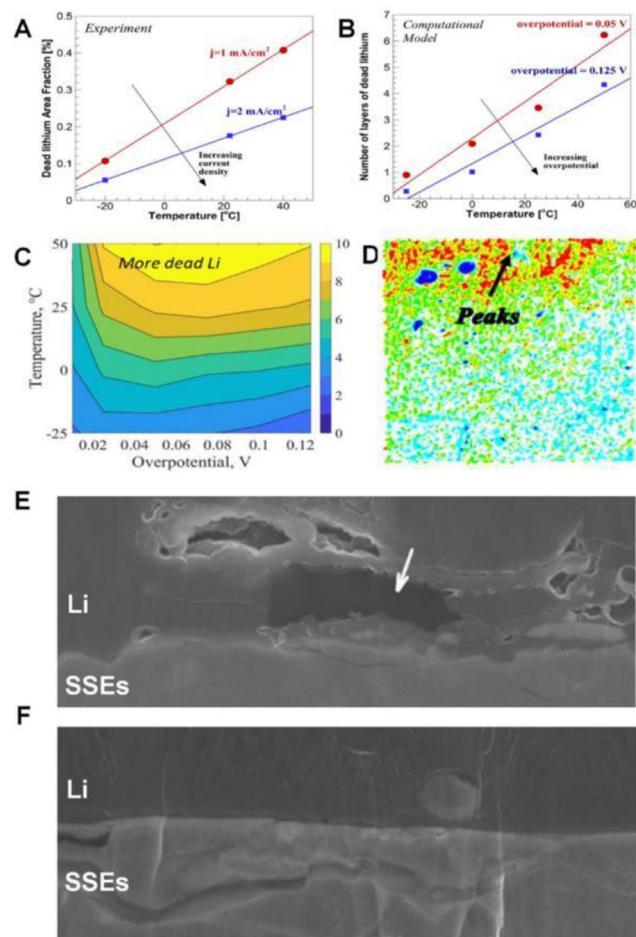
## 5.4 | External factors

### 5.4.1 | Temperature

Temperature is an important influencing factor for the battery performance. Many processes, that is, the formation of dendrites, the irreversible side reactions between Li metal and electrolyte are impacted by the temperature.<sup>247–250</sup> In addition, as discussed in Section 2, the temperature can generate significant effects on the transfer rates of Li atoms, Li ions, and the electrochemical reaction rates, which will lead to the change in the stripping behavior of Li metal anode. Tewari et al.<sup>163</sup> explored the effect of tem-

perature on the formation of dead Li during the dissolution by the mesoscale model and experimental researches. The amount of dead Li was positively correlated to the temperature. This was because the mobility of Li ions at the interface was higher with the increasing temperature, rendering the stripping reactions faster (Figure 18A–D). To gain a deeper understanding on the consideration, the following scenario can be assumed. As indicated by Monroe et al.,<sup>251</sup> the concentration of  $\text{Li}^+$  was high at the interface during stripping. If a low temperature was applied, the ionic diffusion at the interface was limited and the accumulation of Li ions could be regarded as a passivating layer which prevented the next Li layer from further oxidation. Instead, the  $\text{Li}^+$  was easy to move into the bulk electrolyte when a higher operating temperature was conducted during the stripping process. Then more Li atoms in the next layer could be oxidized into  $\text{Li}^+$ , resulting in the formation of dead Li.

LMBs with SSEs receive extensive attention for the excellent properties, that is, suppressing Li dendrite formation, preventing electrolyte decomposition on the Li surface, and high security.<sup>252–255</sup> Yonemoto et al.<sup>256</sup> investigated the cycling stability of LMB with SSEs under various temperatures (25, 60, and 100°C). The higher temperature was found to significantly improve the cycling stability of Li plating/stripping. There were two points: diffusion of Li atoms and mechanical deformation of Li electrode were all related to temperature. First, some small voids (1–2  $\mu\text{m}$ ) could be observed near the interface after stripping at 25°C while these voids totally disappeared as the temperature reached 100°C (Figure 18E and F). This was mainly because the voids could be removed by the frequent occurrence of lattice diffusions in Li at high temperature. At low temperature, the remaining voids at interface might cause the decrease in contact area of Li/SSEs. Then, the local current density increased, leading to the growth



**FIGURE 18** Effects of temperature on (A) the dead Li area fraction and (B) the number of layers of dead Li. (C) The contour lines of the amount of dead Li. (D) Surface topography (top view) of the stripped Li electrode at a current density of 1 mA/cm<sup>2</sup> and temperature of 40°C. Reprinted from Tewari et al.,<sup>163</sup> with permission. Copyright by 2020, American Chemical Society. Cross-sectional SEM images of Li/SSEs interface after stripping at temperature of (E) 25 and (F) 100°C. Reprinted from Yonemoto et al.,<sup>256</sup> with permission. Copyright by 2017, Elsevier B.V.

of Li dendrites. On the other hand, for LMB with SSEs, the electrodes and electrolyte always packaged together under a certain level of pressure. This meant the “soft” Li electrode was chronically pressured and the external load drove the continuous deformation (creep) of Li electrode especially under a high temperature.<sup>257,258</sup> The accelerated creep deformation by high temperature was also beneficial to remove the voids near the interface. Furthermore, that the texture of Li film pretreated at high temperature was conducive to the cycling stability of LMB even though the operating temperature was not high enough.<sup>256</sup>

Therefore, diffusion dynamics is the main approach, through which temperature affects the battery performance during the stripping process. However, direct observation of diffusion process is almost impossible in experi-

ments. Therefore, simulation methods at different dimensions should be introduced to investigate the physical mechanism of temperature effects.

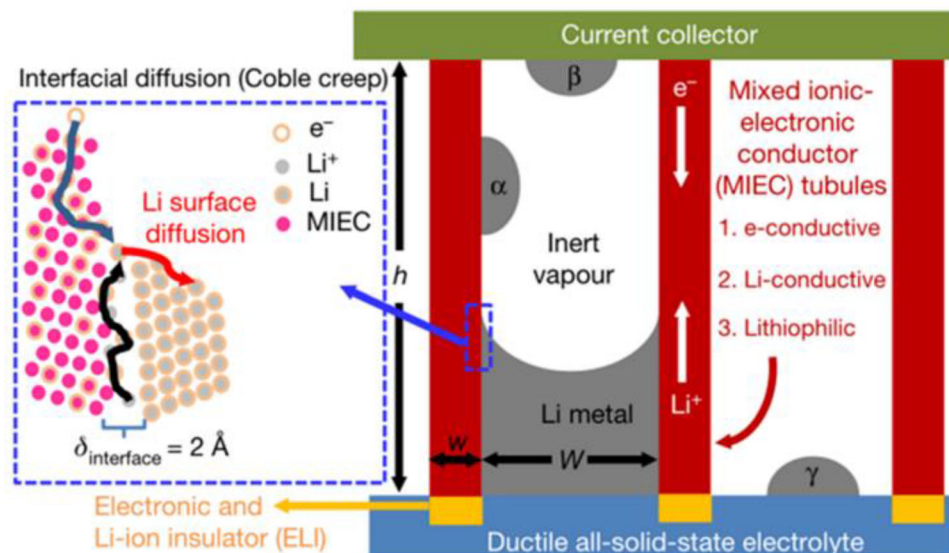
#### 5.4.2 | Pressure

Due to the malleable nature of Li, stress constraints, including SSE and SEI, with high mechanical strength can be utilized to restrain the growth of Li dendrite.<sup>259–261</sup> However, mechanical stress generated from the volume change during charging/discharging induces the growth of dendrite and continuous cracking of SEI and solid electrolyte,<sup>262–267</sup> thus, resulting in the recession of battery performance. It is reported that an overpotential of −0.135 V during the Li deposition can theoretically generate GPa-level hydrostatic pressure.<sup>268,269</sup> Therefore, it is significant to achieve a comprehensive understanding of the role of stress formed during the plating and stripping process.

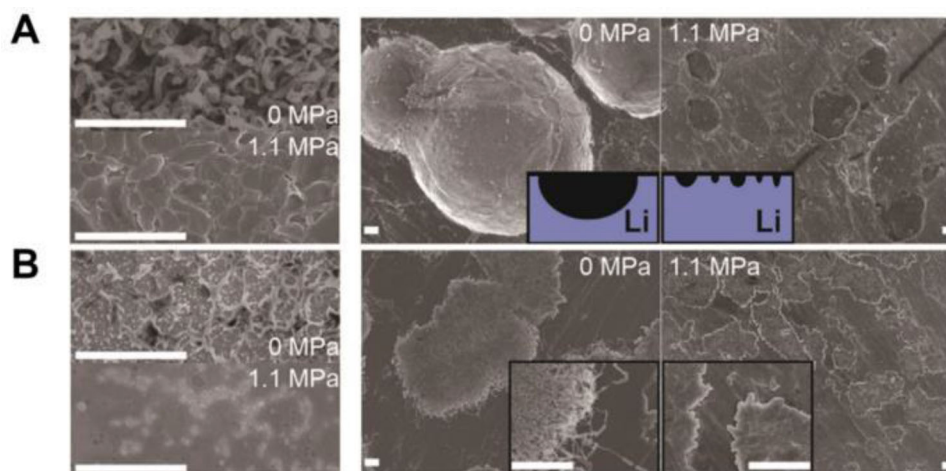
Using an in-situ transmission electron microscopy, Chen et al.<sup>270</sup> investigated the plating and stripping process of alkali metals (Li or Na) in the hollow tubules with good conductivity of electrons and ions. They correlated the relationship between the stress and creep, finding that Coble creep forced the growth and contraction of Li or Na along the interface between these alkali metals and tubules. Hydrostatic and deviatoric stress were relieved mainly through the interfacial-diffusional Coble creep rather than dislocation creep and the bulk diffusional Nabarro–Herring creep (Figure 19). Dislocation creep was demonstrated not to be the dominant creep mechanism for Li or Na during the electrochemical reaction because the voids generated during discharge could not prevent the Li from being further stripped. In addition, the bulk diffusional Nabarro–Herring creep was excluded as a major kinetic mechanism through theoretical calculations. Therefore, the release of internal stress formed during the discharge and charge cycle is closely related to the creep.

Similarly, creep deformations, including the dislocation power-law creep, grain boundary sliding creep, and diffusional creep, are favored at high external pressure as well.<sup>258</sup> The external high pressure is conducive to the contact between dendrites and current collector.<sup>271</sup> Therefore, an external press has a significant influence on the stripping process. It is not only beneficial to effectively reduce the generation of dead Li but also eliminate interfacial resistance ( $R_{\text{int}}$ ) between Li electrodes and SE through the creep deformation.

Due to the excellent plasticity of Li metal, mechanical pressure can generate an obvious influence on the morphological characteristics and cycling life of LMBs. Yin et al.<sup>272</sup> investigated the plating and stripping



**FIGURE 19** Schematic diagram describing the Li dissolution/deposition process in a mixed ionic-electronic conductor (MIEC) through the Coble creep along the MIEC/Li<sub>bcc</sub> incoherent interface. Reprinted from Chen et al.<sup>270</sup> with permission. Copyright 2020, Nature Publishing Group

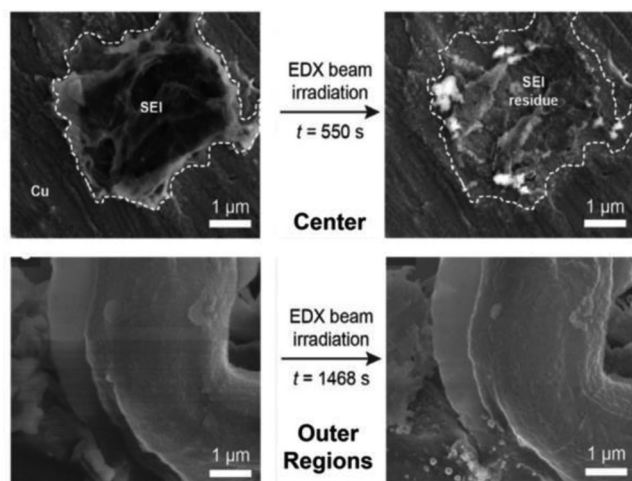


**FIGURE 20** SEM images of the surface of Cu foil with a pressure of 0 and 1.1 MPa for (A) first deposition on Cu and (B) first stripping from Cu. Scale bar: 10 μm. Reprinted from Yin et al.,<sup>272</sup> with permission. Copyright 2018, Elsevier B.V

process on the Li||Cu battery under different pressures and found that Li dissolution under high pressure led to less inactive Li, which was beneficial to the higher Coulombic efficiency (~90%) and longer cycling life. Pits formed with pressure on the Li electrode in first plating process were smaller and more uniform than those without pressure (Figure 20A). During the following stripping process, more Li metal stripped from the Cu electrode and transferred back to electrolytes (Figure 20B). This was because the deposited Li layer was closely stacked on the surface of electrode in the battery with pressure during the dissolution, which was beneficial to the complete Li stripping and rendered less Li residual and a higher Coulombic efficiency.

During cell assembly, in the whole cell, it was very difficult to maintain the same pressure everywhere. Electrode edge effects induced by the different local pressure distribution were investigated by Lee et al.<sup>161</sup> Generally, the cell was highly compressed in the center part and was less or not pressurized for the boundary area. The energy dispersive X-ray beam exposure was utilized to analyze the formation of localized dead Li. The SEI was sensitive to the X-ray beam while the dead Li could maintain its morphology even under a long irradiation time. The high pressure facilitated the electrical contact between Li deposition and Cu electrode and was conducive to the complete stripping of Li dendrites. The results indicated that the sediment in the center area could be fully decomposed (500 s) and the only





**FIGURE 21** SEM images of center and outer regions of the Li-stripped Cu electrode before and after EDX beam irradiation, respectively. Reprinted from Lee et al.<sup>161</sup> with permission. Copyright 2018, Wiley-VCH

residual was the inorganic components of the SEI, meaning that Li metal can fully be stripped. However, the morphology of the substance in the outer part remained the same (1468 s), revealing the generation of dead Li in this area (Figure 21).

The replacement of liquid electrolyte with SSE has been regarded as an efficient method to solve the existing problems of liquid batteries such as electrolyte leakage, short circuit, and fires.<sup>273,274</sup> As the dynamic changes of the interface between Li-metal and SSE have an obvious influence on the battery performance, a deep understanding of the interface is required. The voids formed at the interface during the stripping process lead to the increase in cell resistance and shorten the battery lifespan. Therefore, it is important to eliminate or reduce them. Beyond controlling the temperature to avoid the formation of voids which had been discussed in the last section, an alternative schedule is to increase the stack pressure. Wang et al.<sup>125</sup> investigated the relationship among stack pressure, current density, and cell resistance and found that voids caused by Li stripping had a significant influence on the total resistance of the cell. Cells with a three-electrode configuration were cycled at a constant current density of 0.2 mA/cm<sup>2</sup> and the potential difference between the working electrode and counter electrode was nearly flat at higher stack pressures while it increased dramatically with the pressure below 1.2 MPa. This was attributed to the flux of Li that was pushed by the low stack pressure through the creep deformation and was insufficient to replenish the voids caused by the dissolution of Li electrode. As a result, the resistance at interface increased, which was harmful for the performance of the battery (Figure 22).

Additionally, another challenge for the Li metal battery with solid electrolyte at high current densities and high areal capacities is the Li dendrite penetration via defects, that is, grain boundaries and voids during the plating process. It induces short circuit of Li metal battery and gives rise to safety hazards during the deposition process. However, a distinct opposing requirement for the external pressure of Li deposition and Li dissolution was elucidated by Wang et al.<sup>275</sup> They found that high external pressure exacerbated the penetration of Li dendrite through the solid electrolyte. As shown in Figure 23, the critical current density of dendrite penetration at 10.2 MPa was below 0.1 mA/cm<sup>2</sup> while it was about 0.6 mA/cm<sup>2</sup> at 2.8 MPa. The penetration of Li dendrite was caused by the accumulation of internal stress formed during Li deposition. The Li metal preferred to creep into the cracks, that is, the voids of the solid electrolyte in Li metal battery with high external pressure for releasing the internal stress.

In summary, the plating and stripping process exhibit a distinctly different dependency on the external pressure. Therefore, refining external pressure regulation during the cycling process can be expected to be an effective way to further improve the performances of LMBs.

Recent experimental investigations about stripping behaviors of Li metal anode mostly focus on the correlation between one certain operating condition (surface physics, SEI, operational factors, and external factors) and the phenomenological stripping results (generation of dead Li and the homogeneousness of stripping Li). However, the physical essence of the influence of operating condition on the dissolution process is still uncertain. Specifically, more information about the relationship between microscopic mechanisms, that is, atom/ion diffusion, electron migration, and macroscopical operating factors is needed in the further investigations for a more comprehensive understanding of stripping behaviors of Li metal anode.

## 6 | CONCLUSIONS AND PERSPECTIVE

Plating and stripping process are two sides of practical Li metal anode, both of which are critically significant for the safe, high-energy density, and long-lifespan LMBs. Previously, the Li plating process that strongly influences the formation of Li dendrites has been investigated extensively. In contrast, stripping process is previously regarded as dependent on the plating process and has not attracted a great deal of attention in the past few years. Actually, stripping itself can generate significant effects on the robust cycling of LMBs. A dendrite-free Li metal anode, without a uniform Li stripping behavior, even the pristine Li plate can still lead to dead Li formation. Comprehensively understanding the stripping process of Li electrode is



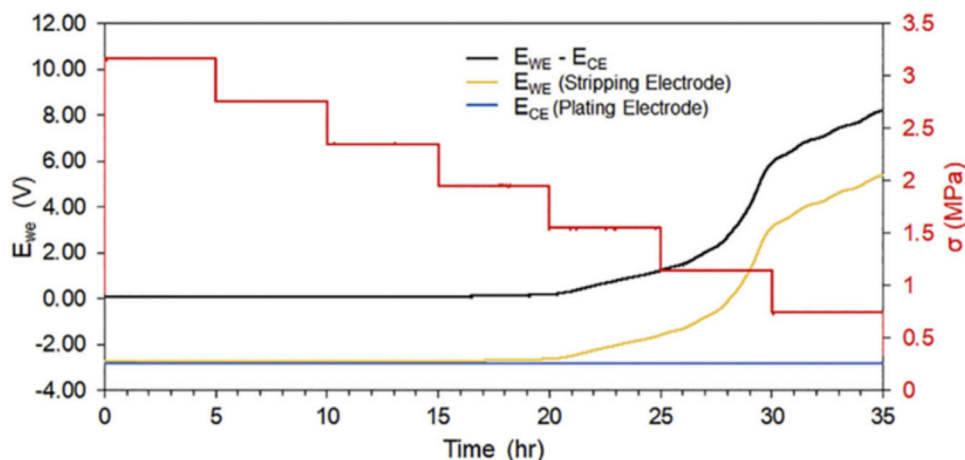


FIGURE 22 The potential responses to a constant current density ( $0.2 \text{ mA/cm}^2$ ) under different pressures. Reprinted from Wang et al.,<sup>125</sup> with permission. Copyright 2019, Elsevier Inc.

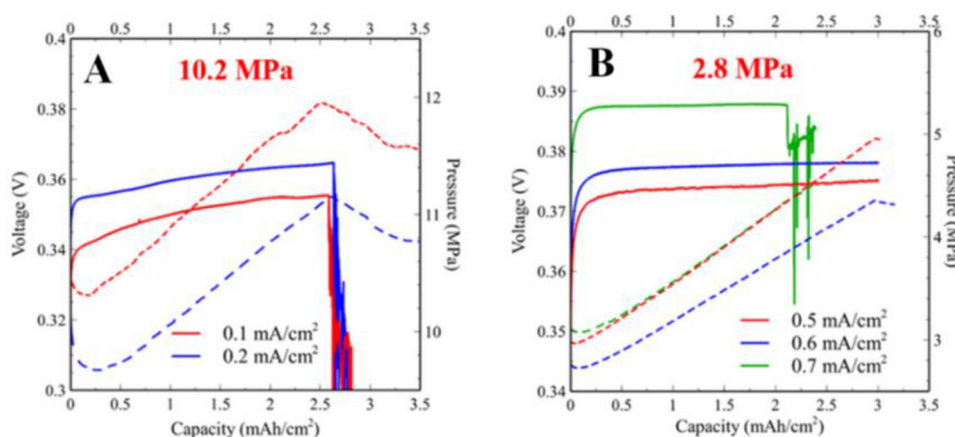


FIGURE 23 Voltage response of the  $\text{Li}_{1.5}\text{In}/\text{Li}$  cells at different external pressures and corresponding pressure changes induced by the volume change of the battery during the deposition process. The dashed and solid lines are for pressure and voltage, respectively. Reprinted from Wang et al.,<sup>275</sup> with permission. Copyright 2020, American Chemical Society

critically significant for LMBs with a high Coulombic efficiency and long lifespan that is not only helpful to restrain the formation of dead Li but for the following plating process. In this review, we summarize a range of factors that affect the stripping process clearly including surface defects of Li plates, the SEI, current density, temperature, and pressure. Working principles and regulation examples are presented to deeply understand the stripping behaviors induced by these factors.

Compared to the extensive researches on regulating the plating process, the researches on stripping processes are still less involved. Several aspects can be considered in the future researches.

1. Surface defects (grain boundaries and slip lines) which are related to the preparation technology of Li anode

can strongly affect the uniformity of the dissolution process. Further investigations of preparation technologies (rolling speed and temperature) of Li metal electrodes for refining grains and diminishing the generation of slip lines are requested. Other advanced manufacturing technologies to obtain Li metal electrodes with smooth textures, such as evaporation and electrodeposition, etc., and their effects on the stripping processes can also be conducted.

2. Structural and composition uniformity of SEI are favorable for the uniform stripping of Li metal anode. However, the understanding of the formation process of SEI is limited since the SEI with nanostructure is significantly sensitive to air and easily injured by the high energy electron beam necessary for high-resolution imaging.<sup>276</sup> Current characterization

methods of SEI mainly focus on the chemical composition analysis, such as time-of-flight secondary ion mass spectrometry,<sup>277–279</sup> X-ray photoelectron spectroscopy (XPS),<sup>280–282</sup> nuclear magnetic resonance,<sup>283,284</sup> and Fourier-transform infrared spectroscopy,<sup>285</sup> while their structure at the nanoscale and crystallography have not been explored clearly. Therefore, it is difficult to control the generation of SEI with uniform structure and composition without the comprehensive understanding of the surface film. In the past, many theoretical and experimental observations primarily investigated the effect of the electrolyte composition on the SEI,<sup>286–290</sup> and recently the effect of the initial surface chemistry of the Li anode on the SEI has been investigated as well.<sup>209,210</sup> Anyway, the ultimate objective is to obtain the SEI with the homogeneous compositions and structures.

3. Except for the nature of battery itself, operating conditions (current density, stripping capacity, temperature, and pressure) influence the stripping of Li electrode as well. However, the apparent external conditions, such as rapid discharge at low temperature, are decided by the customs and cannot be changed, while the local conditions can be regulated to render uniform stripping behaviors by battery management systems. Proper current density, stripping capacity, temperature, and pressure are required to be elaborately designed to reduce the formation of dead Li.
4. Advanced characterization techniques with real-time visualization of the dendrite during the stripping process can be extremely useful toward understanding the formation of dead Li and are beneficial to develop the potential solutions for the failure modes induced by the dead Li.<sup>291</sup> Therefore, further development of in situ characterization techniques especially the three-dimensional (3D) operando imaging<sup>292</sup> and their combinations which focus on morphological variation of the Li electrode-electrolyte interface, the formation process and relative quantification of dead Li during stripping process are needed.
5. Due to the difficulty in detecting the evolution of morphology and surface chemistry, the experimental progress in understanding the electrochemical mechanisms is relatively slow. Therefore, investigations by simulation methods can be more conducted, such as quantum chemical calculations, molecular dynamics simulations, and finite element method.<sup>202,293–297</sup>

Li metal anode is regarded as the “Holy Grail” electrode due to its high gravimetric capacity and the most negative potential, which has received extensive research attention. However, relative to extensive investigation on understanding the plating process, the researches on stripping

process are less involved though it has an obvious influence on the electrochemical performance of batteries. This review affords fresh insights to gradually acknowledge the mystery of Li metal anode by bridging the gap of Li stripping processes. Comprehensively understanding the stripping process of Li metal anode is not only helpful to design robust Li metal-based batteries, but also enhancing the understanding of nonaqueous electrochemistry.

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## CONFLICT OF INTEREST

The authors declare no conflict of interest.

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