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A Kirchhoff-type problem involving concave-convex nonlinearities

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Abstract

A Kirchhoff-type problem with concave-convex nonlinearities is studied. By constrained variational methods on a Nehari manifold, we prove that this problem has a sign-changing solution with least energy. Moreover, we show that the energy level of this sign-changing solution is strictly larger than the double energy level of the ground state solution.

MSC: 35J20; 35J65; 35A15; 35J60

Keywords: Concave-convex nonlinearities; Kirchhoff-type problem; Nehari manifolds; Ground state sign-changing solutions

1 Introduction

We study the following Kirchhoff-type equation with concave-convex nonlinearities:

$$\begin{cases} (a + \lambda \int_{\mathbb{R}^3} |\nabla u|^2 + \lambda b \int_{\mathbb{R}^3} u^2)(-\Delta u + bu) \\ = Q(x)|u|^{p-1}u + \kappa G(x)|u|^{q-1}u, & x \in \mathbb{R}^3, \\ u \in H_r^1(\mathbb{R}^3), \end{cases} \quad (1.1)$$

where $a > 0$, $b > 0$, $\lambda > 0$, $\kappa < 0$, $p \in (3, 5)$, $q \in (0, 1)$, and $Q, G \in C(\mathbb{R}^3, \mathbb{R}^+)$ satisfying the following conditions:

- (Q₁) There exists $\beta \in [0, p - 2)$ such that $\limsup_{x \rightarrow +\infty} \frac{Q(x)}{|x|^\beta} < +\infty$;
- (G₁) $G(x) \in L^2(\mathbb{R}^3, \mathbb{R}^+)$.

In recent years, the following elliptic problem has been investigated by many researchers [1, 3, 6, 9, 17, 20]:

$$\begin{cases} -(a + b \int_{\mathbb{R}^3} |\nabla u|^2) \Delta u = f(x, u), & x \in \mathbb{R}^3, \\ u \in H^1(\mathbb{R}^3), \end{cases} \quad (1.2)$$

where $f \in C(\mathbb{R}^3 \times \mathbb{R}, \mathbb{R})$ and $a > 0$, $b > 0$. The term $\int_{\mathbb{R}^3} |\nabla u|^2$ in (1.2) has an interesting physical application. Moreover, this problem is related to the stationary analogue of the

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following equation proposed by Kirchhoff [10]:

$$u_{tt} - \left(a + b \int_{\Omega} |\nabla u|^2 \right) \Delta u = f(x, u). \quad (1.3)$$

Inspired by the variational framework given by Lions [12], problem (1.3) has been investigated by many researchers, and the reader is referred to [5, 7, 11, 13, 19, 22] and the references therein for more details.

Shuai [16] studied the ground state sign-changing solution of problem (1.2) by using Brouwer degree theory, where $f(x, u)$ is replaced with $f(u)$ with the following hypotheses:

- (f'_1) : $f(s) = o(|s|)$ as $s \rightarrow 0$;
- (f'_2) : For some constant $p \in (4, 2^*)$, $\lim_{s \rightarrow \infty} \frac{f(s)}{s^{p-1}} = 0$, where $2^* = +\infty$ for $N = 1, 2$ and $2^* = 6$ for $N = 3$;
- (f'_3) : $\lim_{s \rightarrow \infty} \frac{F(s)}{s^4} = +\infty$, where $F(s) = \int_0^s f(t) dt$;
- (f'_4) : $\frac{f(s)}{|s|^3}$ is an increasing function with respect to $s \in \mathbb{R} \setminus \{0\}$.

Huang and Liu [8] obtained the ground state sign-changing solutions of problem (1.4) with accurately two nodal domains

$$-\left(1 + \lambda \int_{\mathbb{R}^N} (|\nabla u|^2 + V(x)u^2)\right) [\Delta u + V(x)u] = |u|^{p-1}u, \quad x \in \mathbb{R}^N, \quad (1.4)$$

where $p \in (3, 5)$, $\lambda > 0$ and $V \in C(\mathbb{R}^N, \mathbb{R})$ is to ensure the establishment of compactness.

Deng et al. [4] showed the existence of radial sign-changing solutions u_k^b of problem (1.5)

$$\begin{cases} -(a + b \int_{\mathbb{R}^3} |\nabla u|^2) \Delta u + V(x)u = f(x, u), & x \in \mathbb{R}^3, \\ u \in H_r^1(\mathbb{R}^3), \end{cases} \quad (1.5)$$

by constrained minimization on the Nehari manifold, where k is any positive integer. Ye [21] studied the existence of least energy sign-changing solutions for problem (1.5), where $f(x, u)$ is replaced with $f(u)$.

Shao and Mao [15] got at least one sign-changing solution of problem (1.6) with concave-convex nonlinearities

$$\begin{cases} -(a + b \int_{\Omega} |\nabla u|^2) \Delta u = \mu g(x, u) + f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \quad (1.6)$$

by using the method of invariant sets of descending flow.

Motivated by the aforementioned works, we prove the existence of sign-changing solutions with least energy for problem (1.1) with concave-convex nonlinearities and unbounded potential by constrained variational methods on a Nehari manifold.

Now we will give the main results by Theorems 1.1 and 1.2.

Theorem 1.1 *Assume that (Q_1) and (G_1) hold, then, for $a > 0$, $b > 0$, $\lambda > 0$, and $\kappa < 0$, problem (1.1) has one least energy sign-changing solution with accurately two nodal domains.*

Theorem 1.2 *Assume that (Q_1) and (G_1) hold, then, for $a > 0$, $b > 0$, $\lambda > 0$, and $\kappa < 0$, problem (1.1) has one least energy solution. Moreover $m_{\lambda} > 2c_{\lambda}$, where m_{λ} and c_{λ} are defined by (2.3) and (2.5) respectively.*

Remark 1.3 Comparing with Shuai [16], Huang and Liu [8], Deng et al. [4], and Ye [21], the difference is to consider Kirchhoff-type equation with concave and convex terms, where $Q(x)$ is unbounded at infinity. Moreover, since $H_r^1(\mathbb{R}^3) \hookrightarrow L^{q+1}(\mathbb{R}^3)$ is not compact for $q \in (0, 1)$, this means that the appearance of concave and convex terms has greatly increased the difficulty of problem (1.1). Shao and Mao [15] got sign-changing solutions for Kirchhoff equation with concave and convex terms by using the method of invariant sets of descending flow. However, we want to obtain ground state sign-changing solutions of (1.1) by variational methods and constrained minimization on the sign-changing Nehari manifold. It should be addressed that our methods are different to those in [15].

The rest of the paper is organized as follows. In Sect. 2 we give some notations and the main lemmas related to the proof of our main results. Sections 3 and 4 give the proofs of Theorems 1.1 and 1.2, respectively.

2 Some notations and preliminary lemmas

Here are some notations to be used in this paper.

- C denotes a positive constant;
- $H^1(\mathbb{R}^3)$ denotes the usual Sobolev space with the norm $\|u\|^2 = \int_{\mathbb{R}^3} (|\nabla u|^2 + b|u|^2)$;
- $|\cdot|$ denotes the usual norm $L^{\tilde{q}}(\mathbb{R}^3)$ for $\tilde{q} \in [1, \infty)$;
- $H_r^1(\mathbb{R}^3) := \{u : u \in H^1(\mathbb{R}^3), u(x) = u(|x|)\}$;
- $u^+ := \max\{u, 0\}$ and $u^- := \min\{u, 0\}$.

Lemma 2.1 (see Berestycki and Lions [2]) *Let $N \geq 2$ and $u \in H_r^1(\mathbb{R}^N)$, Then*

$$|u(r)| \leq C_0 \|u\| r^{\frac{1-N}{2}} \quad \text{for } r \geq 1,$$

where $C_0 > 0$ is only related to N .

Remark 2.2 For any $u \in H_r^1(\mathbb{R}^3)$, by (Q_1) , (G_1) , and Lemma 2.1, we have

$$0 \leq \int_{\mathbb{R}^3} Q(x)|u|^{p+1} \leq C_1 \|u\|^{p+1}$$

and

$$\left| \int_{\mathbb{R}^3} G(x)|u|^{q+1} \right| \leq \int_{\mathbb{R}^3} |G(x)| |u|^{q+1} \leq \|G(x)\|_2 \|u\|_{2(q+1)}^{q+1} \leq C_1 \|u\|^{q+1}.$$

The energy functional $J_\lambda \in C^1(H_r^1(\mathbb{R}^3), \mathbb{R})$ is well defined by

$$J_\lambda(u) = \frac{1}{2} a \|u\|^2 + \frac{1}{4} \lambda \|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{1}{q+1} \kappa \int_{\mathbb{R}^3} G(x)|u|^{q+1}. \quad (2.1)$$

For each $u, v \in H_r^1(\mathbb{R}^3)$,

$$\langle J'_\lambda(u), v \rangle = a(u, v) + \lambda \|u\|^2(u, v) - \int_{\mathbb{R}^3} Q(x)|u|^{p-1}uv - \kappa \int_{\mathbb{R}^3} G(x)|u|^{q-1}uv. \quad (2.2)$$

In order to get a sign-changing solution $u^\pm \neq 0$ of (1.1), the following functionals need to be established:

$$\begin{aligned} J_\lambda(u) &= J_\lambda(u^+) + J_\lambda(u^-) + \frac{\lambda}{2} \|u^+\|^2 \|u^-\|^2, \\ \langle J'_\lambda(u), u^+ \rangle &= \langle J'_\lambda(u^+), u^+ \rangle + \lambda \|u^-\|^2 \|u^+\|^2, \\ \langle J'_\lambda(u), u^- \rangle &= \langle J'_\lambda(u^-), u^- \rangle + \lambda \|u^+\|^2 \|u^-\|^2. \end{aligned}$$

Let us define

$$\mathcal{M}_\lambda = \{u \in H_r^1(\mathbb{R}^3) : u^\pm \neq 0, \langle J'_\lambda(u), u^+ \rangle = \langle J'_\lambda(u), u^- \rangle = 0\}$$

and

$$m_\lambda := \inf\{J_\lambda(u) : u \in \mathcal{M}_\lambda\}. \quad (2.3)$$

In addition, we define

$$\mathcal{N}_\lambda = \{u \in H_r^1(\mathbb{R}^3) \setminus \{0\} : \langle J'_\lambda(u), u \rangle = 0\} \quad (2.4)$$

and

$$c_\lambda := \inf\{J_\lambda(u) : u \in \mathcal{N}_\lambda\}. \quad (2.5)$$

Lemma 2.3 Assume that (Q_1) , (G_1) , and $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$ hold, then

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x) |u_n|^{q+1} = \int_{\mathbb{R}^3} G(x) |u|^{q+1}.$$

In particular,

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x) |u_n^\pm|^{q+1} = \int_{\mathbb{R}^3} G(x) |u^\pm|^{q+1}.$$

Proof If $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$, then $u_n \rightarrow u$ in $L^{\bar{q}}(\mathbb{R}^3)$ for $\bar{q} \in (2, 6)$. According to [18, Theorem A.4, p. 134], we can obtain that $|u_n|^{q+1} \rightarrow |u|^{q+1}$ in $L^2(\mathbb{R}^3)$. By the Hölder inequality, we have

$$\begin{aligned} & \left| \int_{\mathbb{R}^3} G(x) |u_n|^{q+1} - \int_{\mathbb{R}^3} G(x) |u|^{q+1} \right| \\ & \leq \int_{\mathbb{R}^3} |G(x)| \left| |u_n|^{q+1} - |u|^{q+1} \right| \\ & \leq \|G(x)\|_2 \| |u_n|^{q+1} - |u|^{q+1} \|_2 \rightarrow 0. \end{aligned}$$

Thus, $\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x) |u_n|^{q+1} = \int_{\mathbb{R}^3} G(x) |u|^{q+1}$. Similarly, $\lim_{n \rightarrow \infty} \int_{\mathbb{R}^3} G(x) |u_n^\pm|^{q+1} = \int_{\mathbb{R}^3} G(x) |u^\pm|^{q+1}$. \square

Lemma 2.4 *Under the assumptions of Theorem 1.1. If $u \in H_r^1(\mathbb{R}^3)$ with $u^\pm \neq 0$, there exists a unique pair $(s_u, t_u) \in (0, +\infty) \times (0, +\infty)$ such that $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$. Moreover,*

$$J_\lambda(s_u u^+ + t_u u^-) = \max_{s, t \geq 0} J_\lambda(s u^+ + t u^-).$$

Proof Let $u \in H^1(\mathbb{R}^3)$ with $u^\pm \neq 0$. Define

$$\begin{aligned} g_1(s, t) &= a s^2 \|u^+\|^2 + \lambda s^4 \|u^+\|^4 + \lambda s^2 t^2 \|u^+\|^2 \|u^-\|^2 \\ &\quad - s^{p+1} \int_{\mathbb{R}_3} Q(x) |u^+|^{p+1} - \kappa s^{q+1} \int_{\mathbb{R}_3} G(x) |u^+|^{q+1}, \end{aligned} \quad (2.6)$$

$$\begin{aligned} g_2(s, t) &= a t^2 \|u^-\|^2 + \lambda t^4 \|u^-\|^4 + \lambda s^2 t^2 \|u^-\|^2 \|u^+\|^2 \\ &\quad - t^{p+1} \int_{\mathbb{R}_3} Q(x) |u^-|^{p+1} - \kappa t^{q+1} \int_{\mathbb{R}_3} G(x) |u^-|^{q+1}. \end{aligned} \quad (2.7)$$

According to Remark 2.2, for $\kappa < 0$, we have $g_i(s, s) > 0$ as $s > 0$ small and $g_i(t, t) < 0$ as $t > 0$ large, where $i = 1, 2$. Then there exists $0 < \mu < \nu$ such that

$$g_i(\mu, \mu) > 0, \quad g_i(\nu, \nu) < 0. \quad (2.8)$$

By (2.6), (2.7), (2.8), we have that

$$\begin{aligned} g_1(\mu, t) &> 0, & g_1(\nu, t) &< 0, & t &\in [\mu, \nu], \\ g_2(s, \mu) &> 0, & g_2(s, \nu) &< 0, & s &\in [\mu, \nu]. \end{aligned}$$

From Miranda's theorem [14], there exists a pair (s_u, t_u) such that

$$g_1(s_u, t_u) = 0, \quad g_2(s_u, t_u) = 0, \quad \mu < s_u, t_u < \nu.$$

Thus, $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$.

Secondly, we prove the uniqueness. Let both (s_1, t_1) and (s_2, t_2) satisfy $u_i = s_i u^+ + t_i u^- \in \mathcal{M}_\lambda$ ($i = 1, 2$) and $u_1 = s_1 u^+ + t_1 u^- = m s_2 u^+ + n t_2 u^- = m u_2^+ + n u_2^-$, where $m = \frac{s_1}{s_2}$, $n = \frac{t_1}{t_2}$. By (2.6) and (2.7),

$$g_1^{u_1}(1, 1) = g_1^{u_2}(m, n) = g_1^{u_2}(1, 1) = 0, \quad (2.9)$$

$$g_2^{u_1}(1, 1) = g_2^{u_2}(m, n) = g_2^{u_2}(1, 1) = 0. \quad (2.10)$$

We only need to prove that $m = n = 1$. Now, assume that $0 < m \leq n$. By (2.9) and (2.10),

$$g_1^{u_2}(1, 1) - \frac{g_1^{u_2}(m, n)}{m^4} = 0 \quad (2.11)$$

and

$$g_2^{u_2}(1, 1) - \frac{g_2^{u_2}(m, n)}{n^4} = 0. \quad (2.12)$$

If $m < 1$, then

$$\begin{aligned} & \left(1 - \frac{1}{m^2}\right) a \|u_2^+\|^2 + \left(1 - \frac{n^2}{m^2}\right) \lambda \|u_2^-\|^2 \|u_2^+\|^2 \\ &= (1 - m^{p-3}) \int_{\mathbb{R}^3} Q(x) |u_2^+|^{p+1} + (1 - m^{q-3}) \kappa \int_{\mathbb{R}^3} G(x) |u_2^+|^{q+1}, \end{aligned}$$

this is impossible for $\kappa < 0$. Then $m \geq 1$. Similarly, if $n > 1$, (2.12) is impossible. Then $n \leq 1$.

Thus $m = n = 1$.

At last, let

$$\begin{aligned} H_\lambda(s, t) &= J_\lambda(su^+ + tu^-) \\ &= \frac{a}{2} s^2 \|u^+\|^2 + \frac{\lambda}{4} s^4 \|u^+\|^4 - \frac{s^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} - \frac{s^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x) |u^+|^{q+1} \\ &\quad + \frac{a}{2} t^2 \|u^-\|^2 + \frac{\lambda}{4} t^4 \|u^-\|^4 - \frac{t^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x) |u^-|^{p+1} - \frac{t^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x) |u^-|^{q+1} \\ &\quad + \frac{\lambda}{2} s^2 t^2 \|u^-\|^2 \|u^+\|^2. \end{aligned}$$

Then, for $\kappa < 0$, we have $H_\lambda(s, t) > 0$ as $|(s, t)| \rightarrow 0$, $H_\lambda(s, t) < 0$ as $|(s, t)| \rightarrow \infty$, and H_λ cannot achieve the maximum point on $\partial\mathbb{R}^2$. Without loss of generality, we only prove that $(0, t_0)$ is not a maximum point of H_λ . For $s > 0$ small enough,

$$\begin{aligned} \frac{\partial H_\lambda}{\partial s}(s, t_0) &= as \|u^+\|^2 + \lambda s^3 \|u^+\|^4 + \lambda s t_0^2 \|u^-\|^2 \|u^+\|^2 \\ &\quad - s^p \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} - s^q \kappa \int_{\mathbb{R}^3} G(x) |u^+|^{q+1} > 0, \end{aligned}$$

this implies that $H_\lambda(s, t_0)$ is an increasing function with respect to s , where $s > 0$ is small enough, then $(0, t_0)$ is not a maximum point of H_λ . Thus, there exists $(s_u, t_u) \in \mathbb{R}^2$ such that

$$J_\lambda(s_u u^+ + t_u u^-) = \max_{s, t \geq 0} J_\lambda(su^+ + tu^-). \quad \square$$

Lemma 2.5 *Under the assumptions of Theorem 1.1. If $\langle J'_\lambda(u), u^\pm \rangle \leq 0$, there exists $(s_u, t_u) \in (0, 1] \times (0, 1]$ such that $s_u u^+ + t_u u^- \in \mathcal{M}_\lambda$ for $u \in H_r^1(\mathbb{R}^3)$ with $u^\pm \neq 0$.*

Proof Let $u \in H_r^1(\mathbb{R}^3)$ with $u^\pm \neq 0$, by Lemma 2.4, there exists a pair (s_u, t_u) such that

$$\begin{aligned} & s_u^2 a \|u^+\|^2 + s_u^4 \lambda \|u^+\|^4 + s_u^2 t_u^2 \lambda \|u^-\|^2 \|u^+\|^2 \\ & - s_u^{p+1} \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} - s_u^{q+1} \kappa \int_{\mathbb{R}^3} G(x) |u^+|^{q+1} = 0. \end{aligned} \quad (2.13)$$

Since $\langle J'_\lambda(u), u^\pm \rangle \leq 0$, we have that

$$a \|u^+\|^2 + \lambda \|u^+\|^4 + \lambda \|u^-\|^2 \|u^+\|^2 - \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} - \kappa \int_{\mathbb{R}^3} G(x) |u^+|^{q+1} \leq 0. \quad (2.14)$$

Now, assume that $0 < t_u \leq s_u$. If $s_u > 1$, by (2.13) and (2.14),

$$\begin{aligned} & \left(1 - \frac{1}{s_u^2}\right) a \|u^+\|^2 + \left(1 - \frac{t_u^2}{s_u^2}\right) \lambda \|u^-\|^2 \|u^+\|^2 \\ & \leq (1 - s_u^{p-3}) \int_{\mathbb{R}^3} Q(x) |u^+|^{p+1} + (1 - s_u^{q-3}) \kappa \int_{\mathbb{R}^3} G(x) |u^+|^{q+1}, \end{aligned}$$

which is contradictory for $\kappa < 0$. Then $s_u \leq 1$. From $0 < t_u \leq s_u$, we obtain that $0 < t_u \leq s_u \leq 1$. \square

Lemma 2.6 *Under the assumptions of Theorem 1.1, $m_\lambda > 0$ can be achieved.*

Proof For all $u \in \mathcal{M}_\lambda$, by the Sobolev embedding theorem, we have

$$a \|u\|^2 \leq a \|u\|^2 + \lambda \|u\|^4 = \int_{\mathbb{R}^3} Q(x) |u|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x) |u|^{q+1} \leq C_1 \|u\|^{p+1}.$$

Then there exists $C \geq C_1$ such that $\|u\| \geq (\frac{a}{C})^{\frac{1}{p-1}} > 0$. Since

$$\begin{aligned} J_\lambda(u) &= J_\lambda(u) - \frac{1}{4} \langle J'_\lambda(u), u \rangle \\ &= \frac{a}{2} \|u\|^2 + \frac{\lambda}{4} \|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x) |u|^{p+1} - \frac{1}{q+1} \kappa \int_{\mathbb{R}^3} G(x) |u|^{q+1} \\ &\quad - \frac{a}{4} \|u\|^2 - \frac{\lambda}{4} \|u\|^4 + \frac{1}{4} \int_{\mathbb{R}^3} Q(x) |u|^{p+1} + \frac{1}{4} \kappa \int_{\mathbb{R}^3} G(x) |u|^{q+1} \\ &= \frac{a}{4} \|u\|^2 + \left(\frac{1}{4} - \frac{1}{p+1}\right) \int_{\mathbb{R}^3} Q(x) |u|^{p+1} - \left(\frac{1}{q+1} - \frac{1}{4}\right) \kappa \int_{\mathbb{R}^3} G(x) |u|^{q+1} \\ &\geq \frac{a}{8} \|u\|^2 \end{aligned} \tag{2.15}$$

for $\kappa < 0$. Then

$$m_\lambda = \inf_{u \in \mathcal{M}_\lambda} J_\lambda(u) > 0.$$

Let $\{u_n\} \subset \mathcal{M}_\lambda$ and $J_\lambda(u_n) \rightarrow m_\lambda$. By Remark 2.2, we have

$$1 + m_\lambda \geq J_\lambda(u_n) - \frac{1}{p+1} \langle J'_\lambda(u_n), u_n \rangle \geq \frac{a}{8} \|u_n\|^2.$$

This shows that $\{u_n\}$ is bounded in $H_r^1(\mathbb{R}^3)$. Then there exists $u_\lambda \in H_r^1(\mathbb{R}^3)$ such that $u_n^\pm \rightharpoonup u_\lambda^\pm$ in $H_r^1(\mathbb{R}^3)$, $u_n^\pm \rightarrow u_\lambda^\pm$ in $L^q(\mathbb{R}^3)$ for $q \in (2, 6)$ and $u_n^\pm(x) \rightarrow u_\lambda^\pm(x)$ a.e. on \mathbb{R}^3 . Since $\{u_n\} \subset \mathcal{M}_\lambda$, we have

$$0 < C \leq a \|u_n^\pm\|^2 + \lambda \|u_n^\pm\|^4 + \lambda \|u_n^+\|^2 \|u_n^-\|^2 = \int_{\mathbb{R}^3} Q(x) |u_n^\pm|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x) |u_n^\pm|^{q+1}.$$

By Fatou's lemma and Lemma 2.3,

$$a \|u_\lambda^\pm\|^2 + \lambda \|u_\lambda^\pm\|^4 + \lambda \|u_\lambda^+\|^2 \|u_\lambda^-\|^2 \leq \int_{\mathbb{R}^3} Q(x) |u_\lambda^\pm|^{p+1} + \kappa \int_{\mathbb{R}^3} G(x) |u_\lambda^\pm|^{q+1},$$

this implies that

$$\langle J'_\lambda(u_\lambda), u_\lambda^\pm \rangle \leq 0.$$

By Lemmas 2.4 and 2.5, there exists $(s_{u_\lambda}, t_{u_\lambda}) \in (0, 1] \times (0, 1]$ such that $\tilde{u}_\lambda = s_{u_\lambda} u_\lambda^+ + t_{u_\lambda} u_\lambda^- \in \mathcal{M}_\lambda$. Then

$$\begin{aligned} m_\lambda &\leq J_\lambda(\tilde{u}_\lambda) - \frac{1}{p+1} \langle J'_\lambda(\tilde{u}_\lambda), \tilde{u}_\lambda \rangle \\ &= \left(\frac{1}{2} - \frac{1}{p+1} \right) a \|\tilde{u}_\lambda\|^2 + \left(\frac{1}{4} - \frac{1}{p+1} \right) \lambda \|\tilde{u}_\lambda\|^4 - \left(\frac{1}{q+1} - \frac{1}{p+1} \right) \kappa \int_{\mathbb{R}^3} G(x) |\tilde{u}_\lambda|^{q+1} \\ &\leq \frac{p-1}{2(p+1)} a \|u_\lambda\|^2 + \frac{p-3}{4(p+1)} \lambda \|u_\lambda\|^4 - \frac{p-q}{(q+1)(p+1)} \kappa \int_{\mathbb{R}^3} G(x) |u_\lambda|^{q+1} \\ &\leq \liminf_n \left\{ \frac{p-1}{2(p+1)} a \|u_n\|^2 + \frac{p-3}{4(p+1)} \lambda \|u_n\|^4 - \frac{p-q}{(q+1)(p+1)} \kappa \int_{\mathbb{R}^3} G(x) |u_n|^{q+1} \right\} \\ &= \liminf_n \left(J_\lambda(u_n) - \frac{1}{p+1} \langle J'_\lambda(u_n), u_n \rangle \right) \\ &= m_\lambda, \end{aligned}$$

this implies that $s_{u_\lambda} = t_{u_\lambda} = 1$. Thus, $\tilde{u}_\lambda = u_\lambda$ and $J_\lambda(u_\lambda) = m_\lambda$. \square

3 Sign-changing solutions

Lemma 3.1 *Under the assumptions of Theorem 1.1. If $u_\lambda \in \mathcal{M}_\lambda$ and $J_\lambda(u_\lambda) = m_\lambda$, then $J'_\lambda(u_\lambda) = 0$.*

Proof Suppose that $J'_\lambda(u_\lambda) \neq 0$, then there are $\sigma, \delta > 0$ such that

$$\|J'_\lambda(u)\| \geq \sigma, \quad \forall \|u - u_\lambda\| \leq 3\delta.$$

Let $D = (0.5, 1.5) \times (0.5, 1.5)$. By Lemma 2.4, we obtain that

$$\iota := \max_{(s,t) \in \partial D} J_\lambda(su_\lambda^+ + tu_\lambda^-) < m_\lambda. \quad (3.1)$$

For $\varepsilon := \min\{(m_\lambda - \iota)/2, \sigma\delta/8\}$ and $S := B(u_\lambda, \delta)$, Willem [18, Lemma 2.3] produce a deformation η such that

- (i) $\eta(1, u) = u$ if $u \notin J_\lambda^{-1}([m_\lambda - 2\varepsilon, m_\lambda + 2\varepsilon]) \cap S_{2\delta}$;
- (ii) $\eta(1, J_\lambda^{m_\lambda + \varepsilon} \cap S) \subset J_\lambda^{m_\lambda - \varepsilon}$;
- (iii) $J_\lambda(\eta(1, u)) \leq J_\lambda(u)$ for all $u \in H_r^1(\mathbb{R}^3)$.

At first, we show that

$$\max_{(s,t) \in \bar{D}} J_\lambda(\eta(1, su_\lambda^+ + tu_\lambda^-)) < m_\lambda.$$

For all $(s, t) \in \bar{D}$, by Lemma 2.4, we obtain $J_\lambda(su_\lambda^+ + tu_\lambda^-) \leq m_\lambda < m_\lambda + \varepsilon$, that is, $su_\lambda^+ + tu_\lambda^- \in J_\lambda^{m_\lambda + \varepsilon}$. Therefore, $J_\lambda(\eta(1, su_\lambda^+ + tu_\lambda^-)) \leq m_\lambda - \varepsilon$.

Next, we prove that

$$\eta(1, su_\lambda^+ + tu_\lambda^-) \cap \mathcal{M}_\lambda \neq \emptyset, \quad \forall (s, t) \in \bar{D}.$$

Define $h(s, t) = \eta(1, su_\lambda^+ + tu_\lambda^-)$ and $\psi : [0, 1] \times \bar{D} \rightarrow \mathbb{R}^2$, for any $\vartheta \in [0, 1]$, we have

$$\begin{aligned}\psi(\vartheta, (s, t)) &= \left(\langle J'_\lambda(\eta(\vartheta, su_\lambda^+ + tu_\lambda^-)), (\eta(\vartheta, su_\lambda^+ + tu_\lambda^-))^+ \rangle, \right. \\ &\quad \left. \langle J'_\lambda(\eta(\vartheta, su_\lambda^+ + tu_\lambda^-)), (\eta(\vartheta, su_\lambda^+ + tu_\lambda^-))^- \rangle \right).\end{aligned}$$

Let

$$\begin{aligned}\psi_0 &= \psi_0(1, \cdot) = \langle J'_\lambda(su_\lambda^+ + tu_\lambda^-)su_\lambda^+, J'_\lambda(su_\lambda^+ + tu_\lambda^-)tu_\lambda^- \rangle, \\ \psi_1 &= \psi_1(1, \cdot) = \langle J'_\lambda(h(s, t))h^+(s, t), J'_\lambda(h(s, t))h^-(s, t) \rangle.\end{aligned}$$

By a simple calculation, $\deg(\psi_0, D, 0) = 1$. According to (3.1), we obtain that $u_\lambda = h$ on ∂D and from homotopy invariance that

$$\deg(\psi_1, D, 0) = \deg(\psi_0, D, 0) = 1.$$

Then there exists a pair $(s_0, t_0) \in D$ such that $\psi_1(s_0, t_0) = 0$ and $\eta(1, s_0u_\lambda^+ + t_0u_\lambda^-) = h(s_0, t_0) \in \mathcal{M}_\lambda$, which contradicts (3.1). Therefore, u_λ is a critical point of J_λ , and so a sign-changing solution of (1.1). \square

Proof of Theorem 1.1 Firstly, by the preceding lemmas, there exists $u_\lambda \in \mathcal{M}_\lambda$ such that $J_\lambda(u_\lambda) = m_\lambda$ and $J'_\lambda(u_\lambda) = 0$. Thus, problem (1.1) has one least energy sign-changing solution u_λ .

Secondly, we prove that u_λ has only two nodal domains. Assume that $u_\lambda = u_1 + u_2 + u_3$ with

$$\begin{aligned}u_i &\not\equiv 0, \quad u_1 \geq 0, \quad u_2 \leq 0, \\ \text{supp}(u_i) \cap \text{supp}(u_j) &= \emptyset, \quad i \neq j, i, j = 1, 2, 3.\end{aligned}$$

Setting $w = u_1 + u_2$ with $w^+ = u_1$ and $w^- = u_2$, i.e., $w^\pm \neq 0$. Since $J'_\lambda(u_\lambda) = 0$, we get

$$\begin{aligned}\langle J'_\lambda(w), w^+ \rangle &= \langle J'_\lambda(u_1 + u_2), u_1 \rangle \leq \langle J'_\lambda(u_\lambda), u_1 \rangle = 0, \\ \langle J'_\lambda(w), w^- \rangle &= \langle J'_\lambda(u_1 + u_2), u_2 \rangle \leq \langle J'_\lambda(u_\lambda), u_2 \rangle = 0.\end{aligned}$$

By Lemma 2.5, there exists $(s_w, t_w) \in (0, 1] \times (0, 1]$ such that

$$s_w w^+ + t_w w^- = s_w u_1 + t_w u_2 \in \mathcal{M}_\lambda, \quad m_\lambda \leq J_\lambda(s_w u_1 + t_w u_2).$$

Note that $\langle J'_\lambda(u_\lambda), u_\lambda \rangle = 0$ and $\langle J'_\lambda(s_w u_1 + t_w u_2), s_w u_1 + t_w u_2 \rangle = 0$, we have

$$\begin{aligned}m_\lambda &= J_\lambda(u_\lambda) - \frac{1}{p+1} \langle J'_\lambda(u_\lambda), u_\lambda \rangle \\ &= \left(\frac{1}{2} - \frac{1}{p+1} \right) a \|u_\lambda\|^2 + \left(\frac{1}{4} - \frac{1}{p+1} \right) \lambda (\|u_\lambda\|^2)^2 \\ &\quad - \left(\frac{1}{q+1} - \frac{1}{p+1} \right) \kappa \int_{\mathbb{R}^3} G(x) |u_\lambda|^{q+1}\end{aligned}$$

$$\begin{aligned}
&> \left(\frac{1}{2} - \frac{1}{p+1}\right) a(\|u_1\|^2 + \|u_2\|^2) \\
&\quad + \left(\frac{1}{4} - \frac{1}{p+1}\right) \lambda(\|u_1\|^4 + 2\|u_1\|^2\|u_2\|^2 + \|u_2\|^4) \\
&\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right) \kappa \int_{\mathbb{R}^3} G(x)(|u_1|^{q+1} + |u_2|^{q+1}) \\
&\geq \left(\frac{1}{2} - \frac{1}{p+1}\right) a(\|s_w u_1\|^2 + \|t_w u_2\|^2) \\
&\quad + \left(\frac{1}{4} - \frac{1}{p+1}\right) \lambda(\|s_w u_1\|^4 + 2\|s_w u_1\|^2\|t_w u_2\|^2 + \|t_w u_2\|^4) \\
&\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right) \kappa \int_{\mathbb{R}^3} G(x)(|s_w u_1|^{q+1} + |t_w u_2|^{q+1}) \\
&= \left(\frac{1}{2} - \frac{1}{p+1}\right) a\|s_w u_1 + t_w u_2\|^2 + \left(\frac{1}{4} - \frac{1}{p+1}\right) \lambda(\|s_w u_1 + t_w u_2\|^2)^2 \\
&\quad - \left(\frac{1}{q+1} - \frac{1}{p+1}\right) \kappa \int_{\mathbb{R}^3} G(x)|s_w u_1 + t_w u_2|^{q+1} \\
&= J_\lambda(s_w u_1 + t_w u_2) - \frac{1}{p+1} \langle J'_\lambda(s_w u_1 + t_w u_2), s_w u_1 + t_w u_2 \rangle \\
&= J_\lambda(s_w u_1 + t_w u_2) \\
&\geq m_\lambda,
\end{aligned}$$

which is a contradiction. \square

4 Ground state solutions

Lemma 4.1 (Mountain pass theorem [18]) *Let X be a Banach space, $I \in C^1(X, \mathbb{R})$, $e \in X$, and $\rho > 0$ such that $\|e\| > \rho$ and*

$$\inf_{\|u\|=\rho} I(u) > I(0) \geq I(e).$$

If I satisfies the $(PS)_c$ condition with

$$\begin{aligned}
c &:= \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I(\gamma(t)), \\
\Gamma &:= \{\gamma \in C([0,1], X) : \gamma(0) = 0, \gamma(1) = e\},
\end{aligned}$$

then c is a critical value of I .

Lemma 4.2 *Under the assumptions of Theorem 1.2, there exist $e \in H_r^1(\mathbb{R}^3)$ and $\rho > 0$ such that $\|e\| > \rho$ and $\inf_{\|u\|=\rho} J_\lambda(u) > J_\lambda(0) > J_\lambda(e)$.*

Proof For all $u \in H_r^1(\mathbb{R}^3)$, by Remark 2.2,

$$\begin{aligned}
J_\lambda(u) &= \frac{a}{2} \|u\|^2 + \frac{\lambda}{4} \|u\|^4 - \frac{1}{p+1} \int_{\mathbb{R}^3} Q(x)|u|^{p+1} - \frac{\kappa}{q+1} \int_{\mathbb{R}^3} G(x)|u|^{q+1} \\
&\geq \frac{a}{2} \|u\|^2 + \frac{\lambda}{4} \|u\|^4 - \frac{C_1}{p+1} \|u\|^p,
\end{aligned}$$

then there exists $\rho > 0$ such that

$$b := \inf_{\|u\|=\rho} J_\lambda(u) > 0 = J_\lambda(0).$$

Let $t \geq 0$, we have

$$J_\lambda(tu) = \frac{t^2}{2} a \|u\|^2 + \frac{t^4}{4} \lambda \|u\|^4 - \frac{t^{p+1}}{p+1} \int_{\mathbb{R}^3} Q(x) |u|^{p+1} - \frac{t^{q+1}}{q+1} \kappa \int_{\mathbb{R}^3} G(x) |u|^{q+1},$$

then there exists $e := tu$ such that $\|e\| > \rho$ and $J_\lambda(e) < 0$. \square

Lemma 4.3 *Under the assumptions of Theorem 1.2, J_λ satisfies the $(PS)_c$ condition.*

Proof Let $\{u_n\} \subset H_r^1(\mathbb{R}^3)$ and $J_\lambda(u_n) \rightarrow c$, $J'_\lambda(u_n) \rightarrow 0$ as $n \rightarrow \infty$. By (2.15) in Lemma 2.6 above, it is easy to see that $\{u_n\}$ is bounded in $H_r^1(\mathbb{R}^3)$. Going if necessary to a subsequence, $u_n \rightharpoonup u$ in $H_r^1(\mathbb{R}^3)$, $u_n \rightarrow u$ in $L^s(\mathbb{R}^3)$ for $s \in (2, 6)$, and $u_n(x) \rightarrow u(x)$ a.e. on \mathbb{R}^3 , then by (G_1) we have

$$\begin{aligned} & \left| \int_{\mathbb{R}^3} G(x) |u_n|^q (u_n - u) \right| \\ & \leq \int_{\mathbb{R}^3} |G(x)| |u_n|^q |u_n - u| \\ & \leq \left(\int_{\mathbb{R}^3} |G(x)|^2 \right)^{\frac{1}{2}} \left(\int_{\mathbb{R}^3} |u_n|^{2q} |u_n - u|^2 \right)^{\frac{1}{2}} \\ & \leq |G(x)|_2 \left(\int_{\mathbb{R}^3} |u_n|^{2q+2} \right)^{\frac{q}{2q+2}} \left(\int_{\mathbb{R}^3} |u_n - u|^{2q+2} \right)^{\frac{1}{2q+2}} \\ & \leq C |G(x)|_2 \|u_n\|^q \|u_n - u\|_{2q+2} \rightarrow 0. \end{aligned}$$

Since

$$\begin{aligned} & \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle \rightarrow 0, \\ & \int_{\mathbb{R}^3} Q(x) (|u_n|^p - |u|^p) (u_n - u) \rightarrow 0 \end{aligned}$$

and

$$\begin{aligned} & (a + \lambda \|u_n\|^2) \|u_n - u\|^2 \\ & = \langle J'_\lambda(u_n) - J'_\lambda(u), u_n - u \rangle + \lambda (\|u\|^2 - \|u_n\|^2) \langle u, u_n - u \rangle \\ & \quad + \int_{\mathbb{R}^3} Q(x) (|u_n|^p - |u|^p) (u_n - u) + \int_{\mathbb{R}^3} G(x) (|u_n|^q - |u|^q) (u_n - u). \end{aligned}$$

Thus, $u_n \rightarrow u$ in $H_r^1(\mathbb{R}^3)$. \square

Set

$$c_1 = \inf_{u \in H_r^1(\mathbb{R}^3) \setminus \{0\}} \max_{t \geq 0} J_\lambda(tu).$$

Lemma 4.4 *Under the assumptions of Theorem 1.2, we have $c = c_\lambda = c_1$.*

Proof Similar to the proof of Lemma 2.4, for all $u \in H_r^1(\mathbb{R}^3) \setminus \{0\}$, there exists unique $t_u u \in \mathcal{N}$ such that $J_\lambda(t_u u) = \max_{t \geq 0} J_\lambda(tu)$, this implies that $c_\lambda \leq c_1$.

For each $\gamma \in \Gamma$, it follows from the property of \mathcal{N} that $\gamma(t)$ crosses \mathcal{N} as t varying over $[0, 1]$. Since $\gamma(0) = 0$, $J_\lambda(\gamma(1)) < 0$, then

$$\max_{t \in [0,1]} J_\lambda(\gamma(t)) \geq \inf_{u \in \mathcal{N}} J_\lambda(u) = c_\lambda.$$

Therefore $c \geq c_\lambda$. On the other hand, for $u \in H_r^1(\mathbb{R}^3) \setminus \{0\}$, we have that $J_\lambda(tu) < 0$ for t large enough, and then

$$\max_{t \geq 0} J_\lambda(tu) \geq \max_{t \in [0,1]} J_\lambda(tu) \geq \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} J_\lambda(\gamma(t)) = c.$$

Therefore $c_1 \geq c$. □

Proof of Theorem 1.2 According to Lemmas 4.1, 4.2, 4.3, and 4.4, we obtain that problem (1.1) has one least energy solution.

Now we prove $m_\lambda > 2c_\lambda$. By the proof of Theorem 1.1, there exists $u_\lambda \in \mathcal{M}_\lambda$ such that $J_\lambda(u_\lambda) = m_\lambda$. By Lemmas 2.4 and 4.4, we have

$$\begin{aligned} m_\lambda &= J_\lambda(u_\lambda) \\ &\geq J_\lambda(su_\lambda^+ + tu_\lambda^-) \\ &= J_\lambda(su_\lambda^+) + J_\lambda(tu_\lambda^-) + \frac{s^2 t^2}{2} \lambda \|u_\lambda^+\|^2 \|u_\lambda^-\|^2 \\ &> J_\lambda(su_\lambda^+) + J_\lambda(tu_\lambda^-) \\ &\geq 2c_\lambda. \end{aligned}$$
□

Acknowledgements

The authors would like to thank the referees for their useful suggestions which have significantly improved the paper.

Funding

This work was supported financially by the National Natural Science Foundation of China (11871302).

Availability of data and materials

Data sharing not applicable to this article as no datasets were generated or analysed during the current study.

Ethics approval and consent to participate

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Consent for publication

Not applicable.

Authors' contributions

All authors contributed equally and significantly in writing this article. All authors read and approved the final manuscript.

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Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Received: 4 January 2021 Accepted: 4 March 2021 Published online: 19 March 2021

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