

Research article

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Scanning the plasmonic properties of a nanohole array with a single nanocrystal near-field probe

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Abstract: The electromagnetic properties of ordered hole nanostructures in very thin metal films are characterized using CdSe/CdS nanocrystals (NCs) as nanoprobe. The characterization of the local density of optical states (LDOS) on the nanostructure is possible by the measurement of their photoluminescence decay rate. Statistical measurements are performed in the far field to show the average increase of optical modes. A determinist approach using an active single NC nanoprobe in the near field gives access to a more precise characterization of the LDOS. The optical properties of the structure come from the coupling between localized surface plasmons created by the holes and surface plasmon polaritons. A strong concentration of optical modes is observed around the holes thanks to the active near-field nanoprobe. With different NC orientations, the strong influence of the component perpendicular to the surface in the very near field of the LDOS is observed. Finite differential time domain simulations of the different components of the electric field in the very near field of the structure confirm that the localization of the electric field around the holes is only due to the normal component as observed with the nanoprobe.

1 Introduction

With the development of material science at the nanoscale, surface plasmons (SPs) offer very promising possibilities for transferring information through nanostructure surfaces [1]. At the core of optical properties of metals, SPs have opened up a wide range of applications in modern photonic techniques, such as biomedical sensing [2, 3], light-matter interaction [4–6], or quantum information processing [7, 8]. SPs become attractive upon irradiation with light on low-dimensional nanostructures (two-dimension, 2D or one-dimension, 1D). They generate propagating surface plasmon polaritons (SPPs) or localized surface plasmons (LSPs) associated with electromagnetic field enhancements at the interface between a noble metal and a dielectric medium.

Among the great variety of 2D plasmonic structures, much attention has been paid to 2D periodic nanohole arrays which exhibit SPPs and LSPs. At the beginning of the last century, intensive works have been devoted to extraordinary optical transmission (EOT) through sub-wavelength hole arrays [9, 10]. The physical origin of the EOT is still in debate, but in the first approximation, it results from the coupling between the incident light and the SPPs of the nanohole arrays localized at the film interfaces [11–15]. Another explanation invokes dynamical light diffraction [16–19]. This EOT is observed when nanometric holes are drilled in a thick metallic layer more than 100 nm.

When the thickness of metallic films gets close to the skin depth, an opposite effect was observed. Braun et al. reported that less light is transmitted through perforated structures in very thin gold films with a thickness of 20 nm [20]. The suppressed transmission in a periodically perforated thin film was well explained by Spevak et al. by means of analytical and numerical calculations [21]. An extraordinary absorption up to 50% can be achieved. Similar to transmission in very thick metallic film, SP

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excitation is considered as the origin of the resonance absorption energy. Even if less works have been devoted to ultrathin films compared to EOT, periodic nanohole arrays in very thin metal films has been investigated by several groups [22, 23].

In the case of ultrathin metallic films, the diffraction can be distinguished from the SP coupling effects. The study of a single hole represents a crucial first step. In 2007, Kall and co-workers performed successfully scanning near field optical microscope (SNOM) experiments to analyze in detail the LSP-SPP coupling in a single hole placed in a 20 nm gold film [24]. As a consequence, the experiments explain the presence of a dipolar LSP resonance mode in a single hole and the dominance of the SPP mode in the surrounding gold film. In the case of an array, the LSP of the holes couple together via the SPP of the gold film [25]. This coupling depends on the parameters of the array (size of the holes, period, and thickness of the layer) and can lead to optical modes localization.

A very efficient way to probe the electromagnetic mode distribution of a nanostructure is to study its coupling with nanoemitters. The spontaneous emission rate of the emitter is directly proportional to the local density of optical states (LDOS) at the emission frequency of the emitter [26]. In this article we propose to study the electromagnetic mode localization via the study of the photoluminescence (PL) decays of CdSe/CdS nanocrystals (NCs). First, large fluctuations of the LDOS will be evidenced with a confocal microscope before using a new kind of probe recently developed in the group and made of a single orientated NC at the end of a near-field probe. We will show that with this approach, crucial information about the localization and the nature of the optical modes can be obtained. Indeed, in this case it is possible to precisely locate the nanoprobe on the nanostructure and to image the LDOS at a nanometer scale. Moreover, in this experiment, the dipolar orientation of the nanoprobe is determined making possible to get insight into the LDOS

in different directions in space. Previous studies reported the deterministic coupling of Nitrogen Vacancy centers or quantum dots using scanning probes or control nanopositioning of the emitter in order to study the plasmonic properties of structures such as silver or gold nanowires, resonators, or pyramid antennas [27–32]. In all these studies the emission rate of the nanoemitter is measured at different positions as in our experiments. Even if the dipolar orientation is determined in one experiment with a nanodiamond probe, none of them deal with the partial LDOS. In this article, the measurements performed with this oriented NC nanoprobe will be compared to finite differential time domain (FDTD) simulations of the electric field on the array showing interesting results on the behavior of the different components of the field.

2 Experimental methods

2.1 The nanohole array

The nanohole array was fabricated by a focus ion beam (FIB) technology (Zeiss NVision 40, Zeiss, Jena, Germany). First, a flat gold film is prepared by radio frequency sputtering under high vacuum conditions (10^{-9} Torr) on a glass substrate. The flat gold film has a thickness (t) of 38 nm with a roughness rms of 1 nm. Then the nanoholes are etched on this flat gold film with the FIB at high current with a precision of 1 nm. The hole array is fabricated in order to have a periodicity (p) of 425 nm and a hole diameter (d) of 100 nm (Figure 1). The absorption of this array is measured using a confocal microscope (IX 71, Olympus, Tokyo, Japan) illuminated by a white laser (SuperK EXTREME-white EXW-12, Birkerød, Denmark) and coupled to a spectrophotometer (Princeton Instruments-SP2750). The absorption is deduced from the reflection and the transmission measurements performed

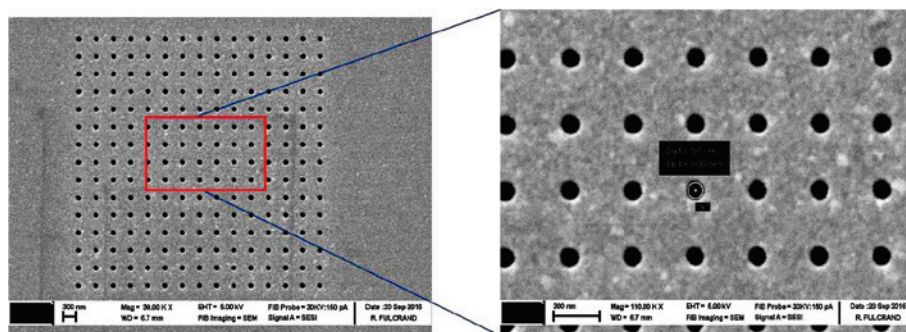


Figure 1: FIB-SEM topographic image of 15×15 ordered hole array with $d=100$ nm and $p=425$ nm in thin gold film $t=38$ nm.

on the array (Figure 2A). This measurement is compared to a numerical simulation (Lumerical software) using a FDTD method (Figure 2B) [33]. As we can see, the experimental result and the simulation are in good agreement. For comparison, the absorption of a flat gold film with the same thickness is shown. A strong enhancement of the absorption is observed. The absorption curves show two peaks at 620 and 750 nm with an absorption up to nearly 40%. This strong absorption is linked to plasmons and the array was tuned so that the first peak position matches the NCs emission wavelength. It has been demonstrated that plasmon resonances arise from the coupling between LSP mediated by SPPs of the film [25].

2.2 The nanoprobe

The CdSe/CdS core-shell NCs are synthesized by the decomposition of organometallic precursors with a small size dispersion (about 5%). The NCs have a diameter of 15 nm including a 3 nm CdSe core and a 6 nm CdS shell. Previous experiments have demonstrated that, due to this thick shell, the fluorescence of the NCs is not quenched on gold film [34]. Their emission wavelength is centered around 620 nm with a 30 nm full width at half maximum at room temperature and their fluorescence corresponds to the emission of two incoherent dipoles perpendicular to the crystalline *c*-axis [35]. The emission wavelength corresponds to the first absorption resonance peak of the hole array. This means that the fluorescence of the NC will couple to the plasmons of the film. The modification of the NC emission on the array will then depend on the electromagnetic modes associated with the plasmon resonance.

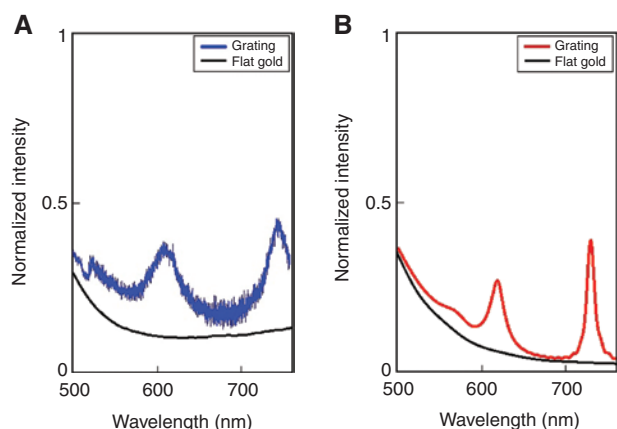


Figure 2: Absorption spectra of 15×15 ordered hole array with $p = 425$ nm and $d = 100$ nm in thin gold film $t = 38$ nm. (A) Experiment and (B) simulation.

2.3 Experimental set-up

The modification of the NC emission coupled to the nanohole array will be studied with two set-up. The first one is a confocal microscope used to characterize the field enhancements on the array compared to a flat gold film and the second one is a near-field set-up used for very local studies of the field on the array. The confocal microscope is an inverted microscope coupled to an avalanche photodiodes (MPD, time resolution of 50 ps). The optical excitation is provided by a fiber-coupled pulsed laser diode (Picoquant LDH D-C, pulse duration ~ 100 ps) emitting at 485 nm. In order to create only one e-h pair and to avoid as far as possible the contribution of multiexcitonic states, a low power excitation is used. NCs are directly deposited on the array by spin coating and the dilution is chosen in order to detect the emission of individuals NCs. Time-resolved experiments can be carried out on individual NCs.

The SNOM set-up is based on an inverted microscope coupled to a commercial SNOM head (NTMDT) (Figure 3). In this experiment, a single NC is attached at the end of the SNOM tip. Its fluorescence is collected through the SNOM fiber and sent to a TTI QL355 avalanche photodiode connected to two data acquisition cards (National Instruments PCI-6602 and PicoQuant TimeHarp200) that record the arrival time of the photons emitted by the NC. Its orientation at the end of the tip is determined by fluorescence lifetime measurements performed at different distance from a flat gold film. These measurements are compared to a modelization and allow to determine the orientation of the *c*-axis of the NC at the end of the tip.

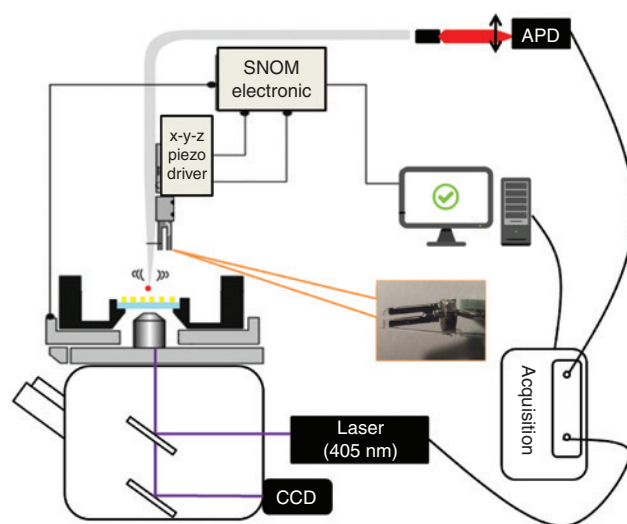


Figure 3: Near-field approach by SNOM with one NC acting as an active tip.

The method to catch the NC at the end of the SNOM tip and to measure its dipolar orientation has been described in a previous publication [36]. The tip is mounted on a tuning fork which is attached to a piezoelectric scanner in order to perform nanometric displacement in the three directions (x, y, z). In addition, the sample holder is connected to a second piezoelectric scanner with two axes of translation (x, y) which allow the displacement of the sample in the horizontal plane. The NC is excited by a pulsed diode (LDH-D-C-405, PicoQuant, Berlin, Germany, pulse duration ~ 100 ps) emitting at 405 nm with a repetition rate of 5 MHz. The NC can then be precisely placed at different positions (x, y, z) on the array.

3 Results and discussion

When a NC is placed over the array, its decay rate is modified following the expression:

$$\Gamma = \frac{\pi\omega}{\hbar\epsilon_0} |\vec{p}|^2 \rho_p(\vec{r}, \omega) \quad (1)$$

where \vec{p} is the transition dipole between two electronic states and $\rho_p(\vec{r}, \omega)$ is the partial LDOS projected along the dipole transition moment \vec{p} . The decay rate of the NC then depends on the number of electromagnetic modes at its position and on its orientation over the surface. In the experiments PL lifetimes which are directly related to the decay rate by the relation $\Gamma = 1/\tau$ are measured. To get an idea of the electromagnetic intensity on the surface, which is linked to the mode distribution, FDTD simulations of the near electric field were performed.

In these simulations, the illumination is made with a polarized plane wave at 620 nm. At this wavelength that matches the wavelength of the NC fluorescence, a high enhancement of the electric field around the nanoholes is observed, as we can see in Figure 4A that shows the intensity of the total electric field 10 nm above the surface. Even if these simulations cannot be strictly compared to the LDOS which would have needed a point-like illumination with the integration of the dipolar orientation over the whole space, these high fields suggest a high mode concentration around the holes. A strong acceleration of the NC PL decay can then be expected on the array and if we look deeper, the decay rate of the NCs located around the nanoholes should be higher than on the other parts of the array. In the experiments that will be presented in this article, we will directly present the Purcell factors which are the ratio between the decay rate Γ on the film and the decay rate Γ_0 on a glass cover-slip deduced from PL decay rate measurements.

3.1 Far-field approach

In these experiments, to account of the global effect of the array, a first statistical approach is performed with the confocal microscope described in section experimental set-up. To estimate the effect of the array, Purcell factors of a large number of individual NCs on the array are compared to the ones on a flat gold film with the same thickness. Typical fluorescence decays on glass, on flat gold, and on the array are presented in Figure 4B. These decays are always fitted by two exponentials, the longer component corresponding to the excitonic

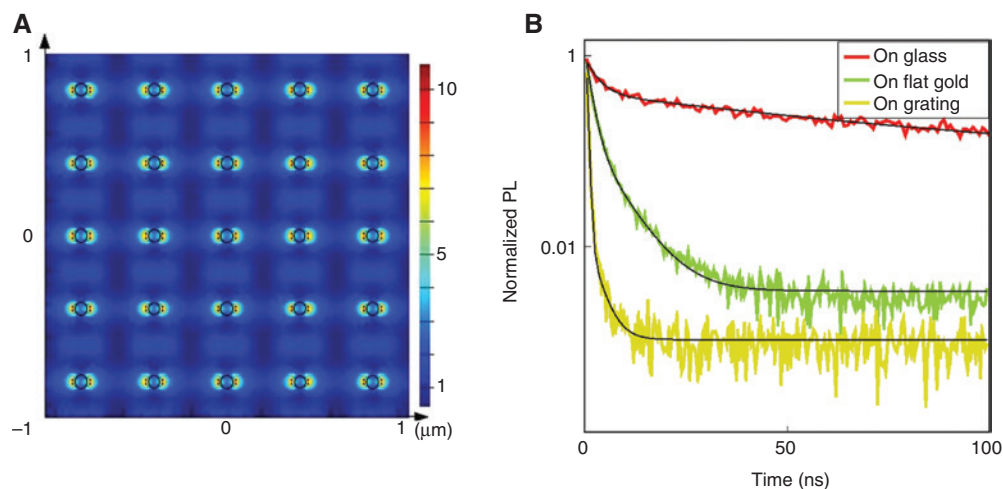


Figure 4: A high enhancement of the electric field around the nanoholes is observed.

(A) Distribution of electric field 10 nm above the surface on the ordered hole array simulated by finite differential time domain (FDTD) and (B) PL decays of NCs deposited on different environments: glass, flat gold, and ordered hole array.

recombination and the shorter one to the trion recombination. All the Purcell factors are calculated with the long lifetime components. On the glass, a mean value of 60 ns is found and is used as Γ_0 for Purcell factor calculations. A large number of individual NCs are analyzed on the array and on the flat gold film. These results are presented in Figure 5. A mean value of 16 for the Purcell factor is found on the array compared to 10 on the flat gold film. The number of electromagnetic modes available on the surface at the plasmon resonance frequency is then higher than on a flat gold film. The high Purcell factors measured on the array are in agreement with the strong electric fields observed on the FDTD image. Nevertheless, to observe the special shape of the mode localization deduced from the FDTD images, a more precise approach with a fine positioning of the NC is needed. For this reason, we developed a near-field nanoprobe with a single NC at its end as presented in the experimental set-up section. In the next section, the results obtained with this nanoprobe are reported.

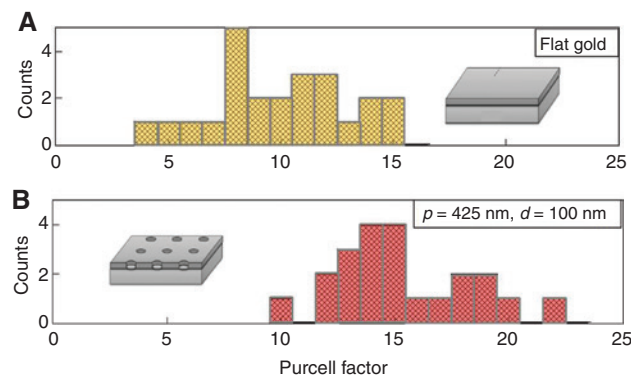


Figure 5: Histogram of Purcell factors for single CdSe/CdS NCs. (A) Flat gold film and (B) an ordered hole array ($p = 425$ nm, $d = 100$ nm).

3.2 Near-field approach

In this experiment, the decay rate of the single NC attached at the end of the SNOM tip is measured. As already mentioned, the NC corresponds to a 2D degenerate dipole perpendicular to the c-axis of the NC. Then, due to this dipolar orientation its emission will be sensitive to the LDOS projected along its dipole transition moments and then its decay rate will depend on its orientation at the end of the tip. To confirm this effect, experiments in the near field have been carried out with two active tips. Two different NCs have been attached at the end of two tips to perform near-field experiments. These two nanoprobe are presented in Figures 6 and 7. Their dipolar orientation is determined by Purcell factor measurements on the flat gold part of the sample as described in Ref. [35]. Briefly, the modification of the NC emission lifetime is first measured as a function of the NC gold mirror distance. The Purcell factor is then adjusted considering the NC orientation as a free parameter. For the first one (NC₁), the c-axis is found parallel to the surface. In this case, the 2D degenerate dipole can be described with one component perpendicular to the surface and the other one parallel to the surface. For the second one (NC₂), an angle of 23° is found between the c-axis and the vertical axis. Compared to the NC₁, the component of the 2D dipole along z axis is weaker. Once the measurements of the NC orientation have been performed on the flat gold part of the sample, the tip is brought onto the array. The array is first quickly scanned in order to get a topographic image and then to be able to position the tip. In agreement with the FDTD simulation presented in Figure 4A, three typical positions with different electric field values are chosen, a position 1 in between holes, a position 2 inside a hole and the last one, the position 3 close to the edge of a hole, where the electric field is much higher.

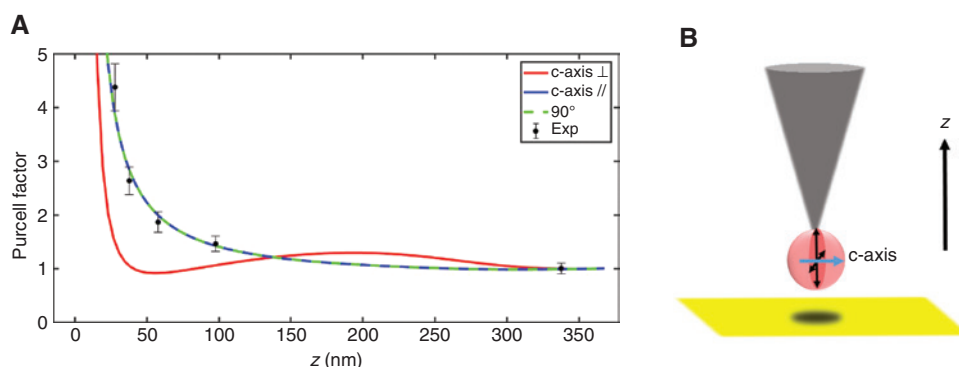


Figure 6: Modification of the Purcell factor as a function of z , (A) red and blue lines are extreme orientations of the c-axis and green dotted line corresponds to the one fitting the experimental results, black dots for experimental results, (B) scheme of the NC at the end of the tip corresponding to the measurements, c-axis parallel to the surface.

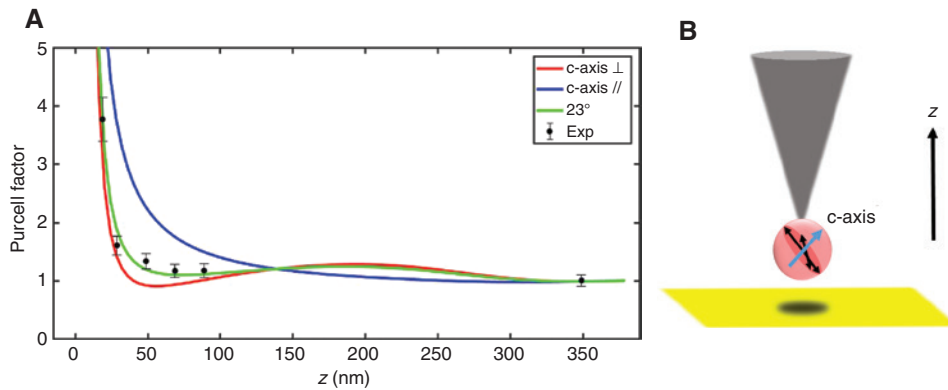


Figure 7: (A) Modification of Purcell factor as a function of z in the experiment (black dots) and theoretical calculation (green lines) of a 2D dipole with an angle of 40° for the c-axis, simulated for a flat gold film of 38 nm thickness. (B) Scheme of the corresponding NC at the end of the tip with an angle of 23° for the c-axis.

The Purcell factors measured at these three positions for different altitudes z and for both active probes are presented in Figure 8. In both cases we observe that the Purcell factor for small z is higher for the position 3, at the edge of the hole than for the positions 1 and 2. Nevertheless this difference is higher for the NC₁, the one with the higher z dipole component. Purcell factors of 8 (30 nm above the surface) and of 5.8 (40 nm above the surface) are measured with the NC₁ at the edge of the hole, which is twice higher than for the other positions. For the NC₂, the Purcell factor 30 nm above the surface at the edge of the hole (about twice lower than for NC₁) is 3.4 and the difference with the other positions is lower than for the NC₁. For the two other positions, 1 and 2, values of the Purcell factor are very close to each other. We also observe that 60 nm above the surface the Purcell factors at the three positions on the array have become identical. At this

distance the fluctuations of the electric field have nearly disappeared, the field is no more concentrated around the holes. The effect of the array vanishes when getting away from the surface. To analyze all these behaviors, the FDTD simulations of the evolution of the total electric field with the distance z to the surface are first presented in Figure 9. Distances close to the ones corresponding to the experiments are shown. It is clearly observed that the localization of the electric field around the holes is weaker and weaker as the distance to the array increases. For $z=70$ nm, this localization completely disappears and the fluctuations of the electric field that were ranging between 1 and 8.5 for $z=20$ nm, only range between 1 and 1.4 at $z=70$ nm. This confirms the behavior experimentally observed.

To understand the differences between the measurements performed with the 2 NCs, we analyzed the behavior of the different components of the electric field on the

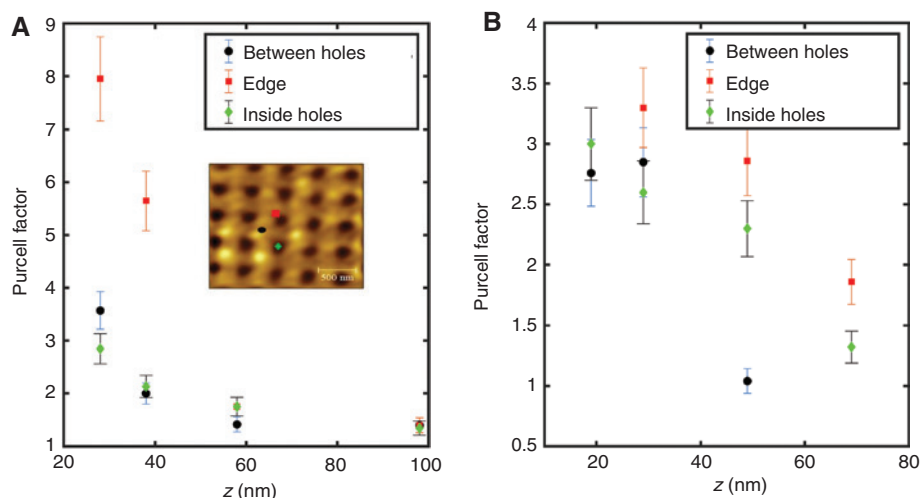


Figure 8: The Purcell factors measured at three positions for different altitudes z and for both active probes.

(A) Purcell factors as a function of z for NC₁ with a c-axis parallel to the surface for three positions on the array shown on the atomic force microscopic image and (B) for NC₂ with a 40° c-axis angle.

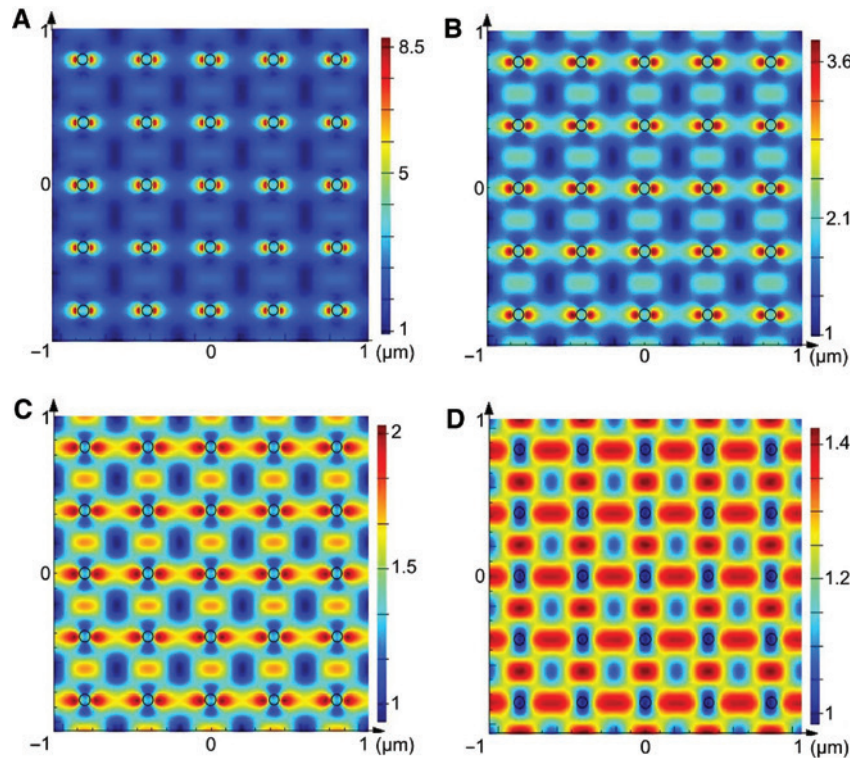


Figure 9: FDTD simulations of the square modulus of the electric field on the nanohole array for four distances to the surface (20, 30, 40, 70 nm). The incident wavelength is 620 nm. Values have been normalized to the minimum of each one. (A) $z = 20$ nm, (B) $z = 30$ nm, (C) $z = 40$ nm and (D) $z = 70$ nm.

array. In Figure 10, the FDTD simulations of the square modulus of the components E_x and E_z of the electric field 30 nm above the surface are shown. We have to note that in these figures, in order to point out the field fluctuations, the values have been normalized by the minimum values of the electric field on the image. We observe that the localization of the electric field around the holes only

comes from E_z . Large fluctuations of the z component are observed as the maximum value, around the hole, is 200 times higher than the minimum value.

For the x component, these fluctuations are weaker, the maximum value, which is in between holes in this case, being 2.25 times higher than the minimum one. But when we look at the absolute field values, we

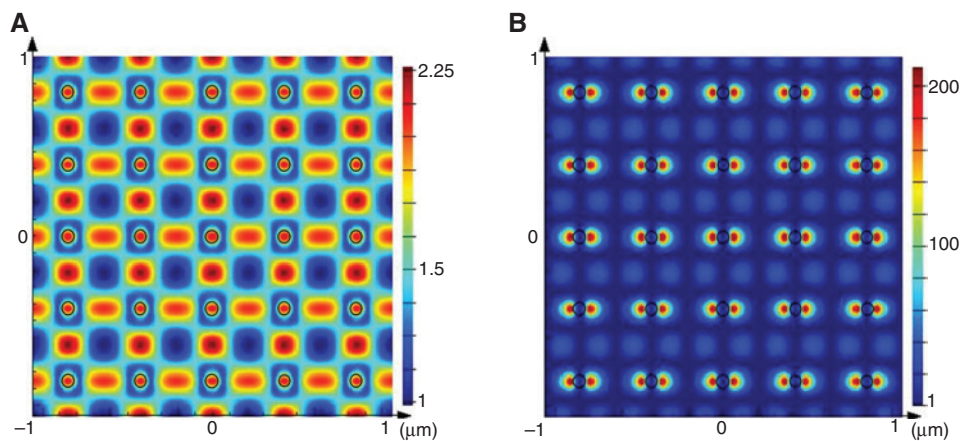


Figure 10: Normalized square modulus of the E_x , E_z components and of the total electric field at 30 nm above the surface, simulated by FDTD. (A) E_x component and (B) E_z component.

observe that the value of the E_z component is nearly 0 except around the holes. To get a better understanding of this behavior, we plotted in Figure 11, the ratio between the square modulus of the E_z component and the square modulus of the in plane component E_{\parallel} which corresponds to the sum of the intensity following the x direction and the intensity following the y direction, for different positions on the array. We observe that in the very near field, E_z dominates around the holes, being four times higher than E_{\parallel} 10 nm away from the surface, but quickly decreases when z increases. For all the other positions E_z is negligible.

These simulations highlight that the E_z component plays a major role in the near field and is responsible for the field localization but quickly decreases in the z direction. This behavior explains the differences observed for the 2 NCs. The NC₁ being more sensitive to the z component due to its dipolar orientation presents higher Purcell factors than the NC₂ close to the nanoholes in the very near field. This difference vanishes when z increases. Some 60 nm away from the surface all the Purcell factors have become identical which is in good agreement with the electric field behavior. Even if the near-field FDTD simulations are not strict calculations of the LDOS, they explain the experimental results. Moreover, these simulations show that the fluctuations of the electric field are very fast in the x , y , and z directions. Then, to get insight into the fine LDOS, the resolution of the set-up, which is around 10 nm, could be improved. Nevertheless the observations made with these NCs having different orientations allow to get information on the fine LDOS on the nanohole

array. They show specific behaviors which are in good agreement with the electric field simulations.

4 Conclusion

In summary, a deep understanding of a nanoplasmonic structure, a nanohole array, has been possible using a NC near-field nanoprobe. This probe based on a single NC attached at its end showed a good potential for probing the directional LDOS on the structure. This has been made possible thanks to the knowledge of the orientation of the NC which is determined at the very beginning of the experiment. To confirm the measurements, FDTD simulations have been performed. They point out the particular behavior of the near-field components of the electric field that vary like the Purcell factors measured with different nanoprobe at different positions on the array. This study shows that the influence of the z component of the electric field is huge in the very near field and that the field localization around the holes comes only from it. Nevertheless, as soon as we get few tens of nanometers away from the surface, the in plane components dominate. Purcell factors obtained with the nanoprobe confirm this behavior. As we are able to determine the orientation of the NC at the end of the SNOM tip, this nanoprobe is then able to probe the near-field electromagnetic properties in the three directions of space. This makes this nanoprobe very promising for probing the fine structure of the electromagnetic environment of any nanoplasmonic structure.

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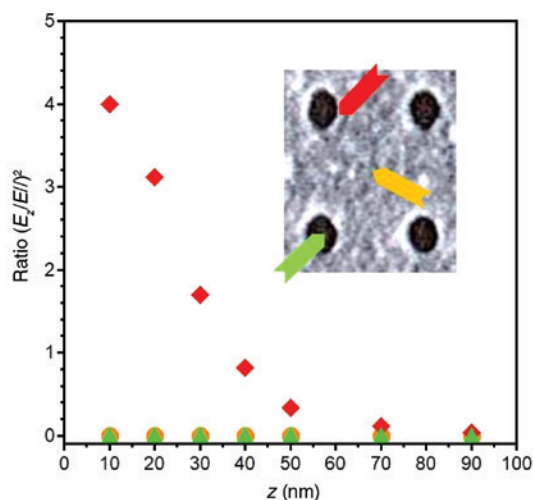


Figure 11: Ratio between the intensity in the z direction and the intensity in the in plane of the array for three positions on the array shown on the MEB image.

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