

Cellular reprogramming by the conjoint action of ER α , FOXA1, and GATA3 to a ligand-inducible growth state

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Despite the role of the estrogen receptor α (ER α) pathway as a key growth driver for breast cells, the phenotypic consequence of exogenous introduction of ER α into ER α -negative cells paradoxically has been growth inhibition. We mapped the binding profiles of ER α and its interacting transcription factors (TFs), FOXA1 and GATA3 in MCF-7 breast carcinoma cells, and observed that these three TFs form a functional enhanceosome that regulates the genes driving core ER α function and cooperatively modulate the transcriptional networks previously ascribed to ER α alone. We demonstrate that these enhanceosome occupied sites are associated with optimal enhancer characteristics with highest p300 co-activator recruitment, RNA Pol II occupancy, and chromatin opening. Most importantly, we show that the transfection of all three TFs was necessary to reprogramme the ER α -negative MDA-MB-231 and BT-549 cells to restore the estrogen-responsive growth resembling estrogen-treated ER α -positive MCF-7 cells. Cumulatively, these results suggest that all the enhanceosome components comprising ER α , FOXA1, and GATA3 are necessary for the full repertoire of cancer-associated effects of the ER α .

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Introduction

Estrogen receptor α (ER α) is a member of the nuclear receptor superfamily that has broad impact on systems such as reproduction, cancer, bone, and cardiovascular biology. The basic regulatory function of ER α is to bind to its DNA recognition sequence also known as estrogen-response elements (EREs). The direct DNA binding, though necessary, is not sufficient to explain ER α -directed gene regulation. ER α recruits a variety of co-activators, corepressors, and chromatin remodeling enzymes to the promoter and enhancer regions but the range of possible combinations at each individual site and their distances from the transcription start sites (TSSs) of regulated genes suggest multiple regulatory mechanisms (Farnham, 2009). ER α is also known to commonly induce long-distance chromatin interactions with ER α binding sites and with TSS through chromatin looping (Fullwood *et al.*, 2009), suggesting that higher dimensional structural order beyond the simple receptor-recognition motif interaction is essential in explaining ER α -directed transcriptional regulation. The finding that transcription factors (TFs) cluster at juxtaposed binding sites in the genome to form enhanceosomes further suggests that the totality of gene regulation by any TF will be dependent on a complex interaction between specific ER α binding, local configuration of co-occupying TFs,

protein cofactors, chromatin conditions, and three dimensional interactions.

ER α is known as a ligand-activated TF that mediates the proliferative effects of estrogen (E₂) in breast cancer cells. However, some physiologic and cellular contradictions have been previously noted in ER α biology. Garcia *et al.* (1992) showed that the transfection of the ER α alone into ER α -negative cell lines has commonly no growth effect or even repress growth. This is also true for an important ER α -associated TF, FOXA1, where introduction of this gene represses cell growth (Wolf *et al.*, 2007). We posited that these higher order regulatory mechanisms of ER α function such as the formation and composition of enhanceosomes may explain the establishment of transcriptional regulatory cassettes favoring either growth enhancement or growth repression.

In our previous (Lin *et al.*, 2007) and recent (Joseph *et al.*, 2010) studies, we have identified high confidence ER α binding sites in MCF-7 human mammary carcinoma cells. With known motif scanning and *de novo* motif finding methods, we identified that FOXA1 and GATA3 motifs were commonly enriched within ER α binding sites. FOXA1 has been extensively studied in the context of ER α biology and it is considered as a pioneering factor which prepares genomic sites for ER α binding (Cirillo *et al.*, 2002). GATA3 has been found to be an essential TF for luminal development in mouse mammary

models (Asselin-Labat *et al*, 2007). Moreover, numerous microarray studies have documented the co-expression of ER α , FOXA1, and GATA3 in primary breast tumors (Badve *et al*, 2007; Wilson and Giguere, 2008). Though this evidence suggests that the three TFs, ER α , FOXA1, and GATA3 may cluster on DNA binding sites and may be involved in the breast cancer phenotype, there is little understanding as to the nature of their coordinated interaction at the genome level or the biological consequences of their detailed interaction.

In the present study, we investigate the ER α -mediated transcriptional networks orchestrated with FOXA1 and GATA3 in breast cancer cells. We use chromatin immunoprecipitation-sequencing (ChIP-seq) to define the binding profiles of ER α , FOXA1, and GATA3 as to study the interplay among these TFs. We aim to dissect the roles of FOXA1 and GATA3 in regulating ER α action; to map the genomic effects of ER α , FOXA1, and GATA3 in altering the transcriptional activation in breast cancer cells; and to determine if FOXA1 and GATA3 are essential components of ER α -induced proliferation in breast cancer cells in response to estrogen stimulation.

Results

Mapping the binding profiles of ER α , FOXA1, and GATA3

We mapped the genome-wide *in vivo* binding sites of ER α , FOXA1, and GATA3 using the massively parallel ChIP-seq in MCF-7 cells before and after estradiol exposure. Using the peak calling algorithm MACS (Zhang *et al*, 2008), we found a total of 1990 high confidence ER α binding sites, 9337 FOXA1 binding sites, and 20707 GATA3 binding sites in the vehicle-treated cells (i.e., without ligand). Upon E₂ stimulation, we found a total of 19412 high confidence ER α binding sites (an increase of ~ 16.58 -fold after normalization of library size, see details in Supplementary information and Supplementary Table 1), 15852 FOXA1 binding sites (an increase of ~ 2.46 -fold after normalization), and 38530 GATA3 binding sites (an increase of ~ 1.32 -fold after normalization). Validation of randomly selected binding sites using ChIP-qPCR showed 100% concordance in calling bound sites (Supplementary Figure S1) and quantitatively, the ChIP-qPCR results for FOXA1 and GATA3 binding sites correlated well with the binding intensity measured by ChIP-seq (correlation coefficient $R=0.63$ – 0.81 , see Supplementary Figure S1).

To assess how these TFs individually interact, we overlapped their binding profiles and found 37% of ~ 19 k ER α binding sites showed FOXA1 colocalization, 45% of ER α binding sites overlapped with GATA3 binding sites, and as much as 30% of ER α binding sites were co-occupied by both FOXA1 and GATA3 (Figure 1A). Interestingly, the number of sites with occupancy of all three TFs increased from 342 (before estradiol exposure) to 5876 (after estradiol exposure). Figure 1B revealed that FOXA1 and GATA3 bindings are symmetrically distributed within 200 bp around the 5876 ER α , FOXA1, and GATA3 conjoint binding sites. The relative intensity of bindings as measured by TF occupancy at these conjoint sites highly correlated among the three TFs ($R=0.48$ – 0.63 , Supplementary Figure S2). These results suggest that ER α , FOXA1, and GATA3 bind in a coordinated

manner at $\sim 30\%$ of all ER α binding sites after stimulation by ligand.

Motif analyses of the TFs binding

In order to determine the *in vivo* sequences enriched in the ER α , FOXA1, and GATA3 occupied sites, we used an in-house program CentDist (Zhang *et al*, 2011) for known motif scanning. This program not only allows for the identification of specific binding motifs, but also displays the position-distribution around the binding sites to indicate binding specificity. The motif position weight matrixes (PWM) from TRANSFAC (Matys *et al*, 2003) version 11.3 were used and the cutoff of PWM score was set to $1E-3$. As expected, we found significant enrichment of individual ERE, FOXA1, and GATA3 motifs in the ER α , FOXA1, and GATA3 ChIP-seq libraries, respectively (Figure 1C–E). We also observed that the three ERE, FOXA1, and GATA3 binding motifs emerged together as the top enriched motifs in each set of the individual TF binding sites, suggesting a bias for recognition motifs for all three factors to be clustered together (Figure 1C–E). Besides FOXA1 and GATA3 motifs, AP1 and BACH motifs were also enriched in the ER α binding sites, which is in agreement with the previous finding reported by Bhat-Nakshatri *et al* (2008). Because of prior genetic data suggesting a role for FOXA1 and GATA3 in breast biology, we pursued the interaction of these three factors.

We specifically assessed the frequency of ERE, FOXA1, and GATA3 binding motifs around ER α binding sites. Figure 1F shows that 72% of the ER α sites have an ERE motif, 71% of ER α sites contain a FOXA1 motif, 43% of ER α sites contain a GATA3 motif, and 23% of the ER α sites contain all three motifs. Next, we asked whether these factors are physically colocalized. Using sequential ChIP followed by qPCR in randomly selected sites conjointly occupied by ER α +FOXA1 and ER α +GATA3, we found cooccupancy of these TFs at the overlap binding sites (Figure 2). These results suggest that the colocalization of the three factors at ER α occupied sites occur primarily through sequence recognition and not solely through a tethering mechanism involving only protein–protein interaction.

Progressive recruitment of ER α and FOXA1 to the *cis*-regulatory elements

It was previously described that FOXA1 is a pioneering factor characterized by this sequence of events: FOXA1 binds to the condensed chromatin in the absence of E₂ and opens the chromatin to facilitate the ER α binding upon E₂ stimulation (Carroll *et al*, 2005; Hurtado *et al*, 2011). In addition, it was reported that FOXA1 bound at ER α sites before ligand stimulation followed by diminished FOXA1 occupancy after E₂ exposure potentially through displacement by the activated ER α (Carroll *et al*, 2005). However, we observed both an increase in the number of FOXA1 binding sites (9337–15852) and in the average level of occupancy at each site after ligand stimulation (on average, there were 2.58 reads per peak per million reads in the FOXA1 ChIP-seq library after E₂ stimulation compared with 1.74 reads per peak per million reads before E₂ stimulation).

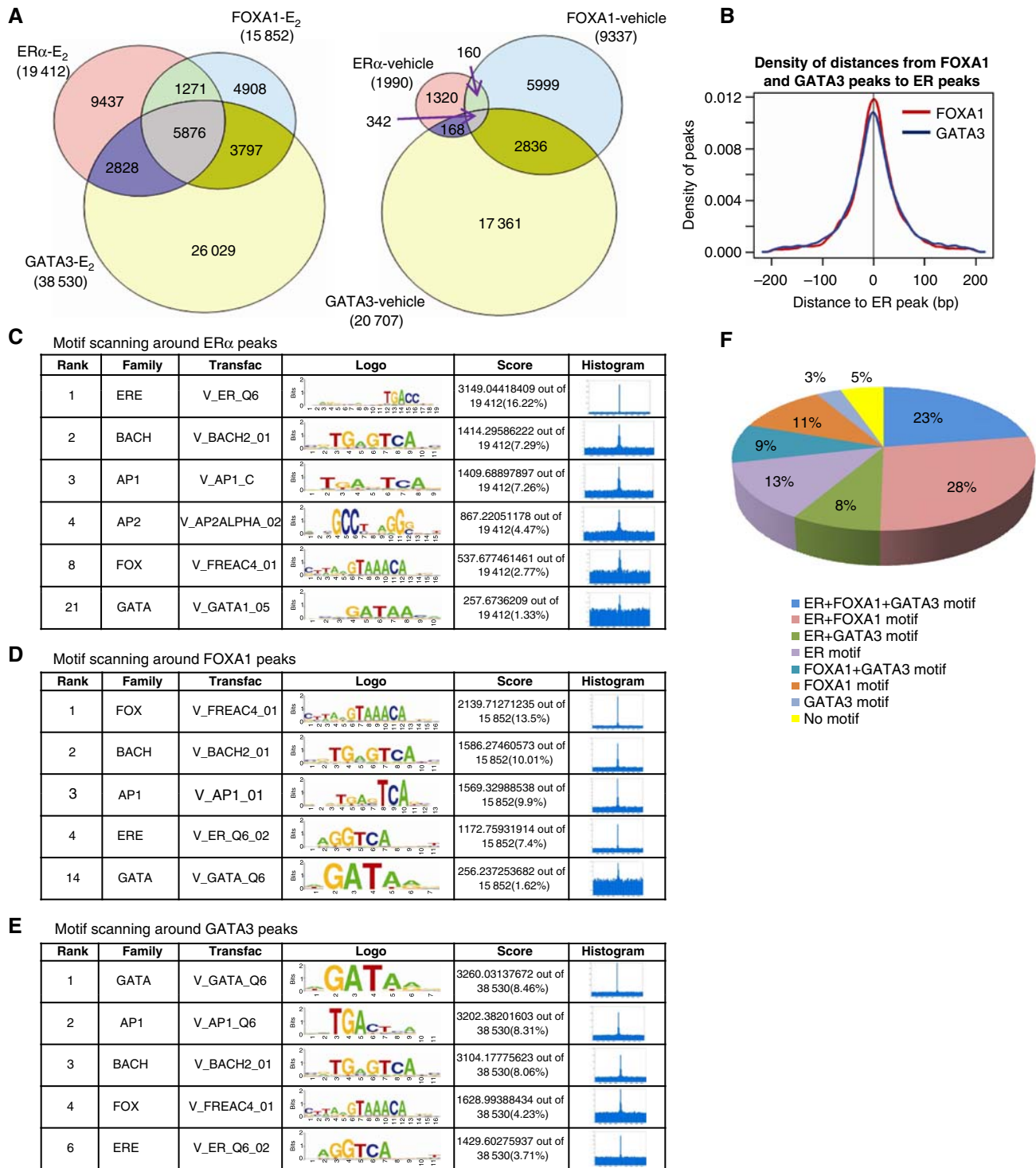


Figure 1 The repertoire of ER α , FOXA1, and GATA3 *in vivo* bindings by massively parallel ChIP-seq approach. **(A)** Venn diagrams of *in vivo* bindings for ER α , FOXA1, and GATA3 upon and before E₂ stimulation in MCF-7 cells. **(B)** The distance distribution of FOXA1 and GATA3 bindings around ER α sites, demonstrating that FOXA1 and GATA3 have similar distance distribution around ER α sites. **(C–E)** Motif scanning around ER α , FOXA1, and GATA3 peaks separately. **(F)** The distribution of ER α , FOXA1, and GATA3 motifs around ER α binding sites.

We found that 37% (7196/19412) of the ER α binding sites after E₂ stimulation were co-occupied by FOXA1 where 25% (508/1990) of the ER α binding sites in the absence of ligand were co-occupied by FOXA1 (Figure 3A). This is in accordance with

the earlier observations that FOXA1 is preferentially associated with E₂-bound ER α (Zhao *et al*, 2001).

If FOXA1 was a true pioneering factor, FOXA1 occupancy would be present in a significant percentage of ER α bound sites

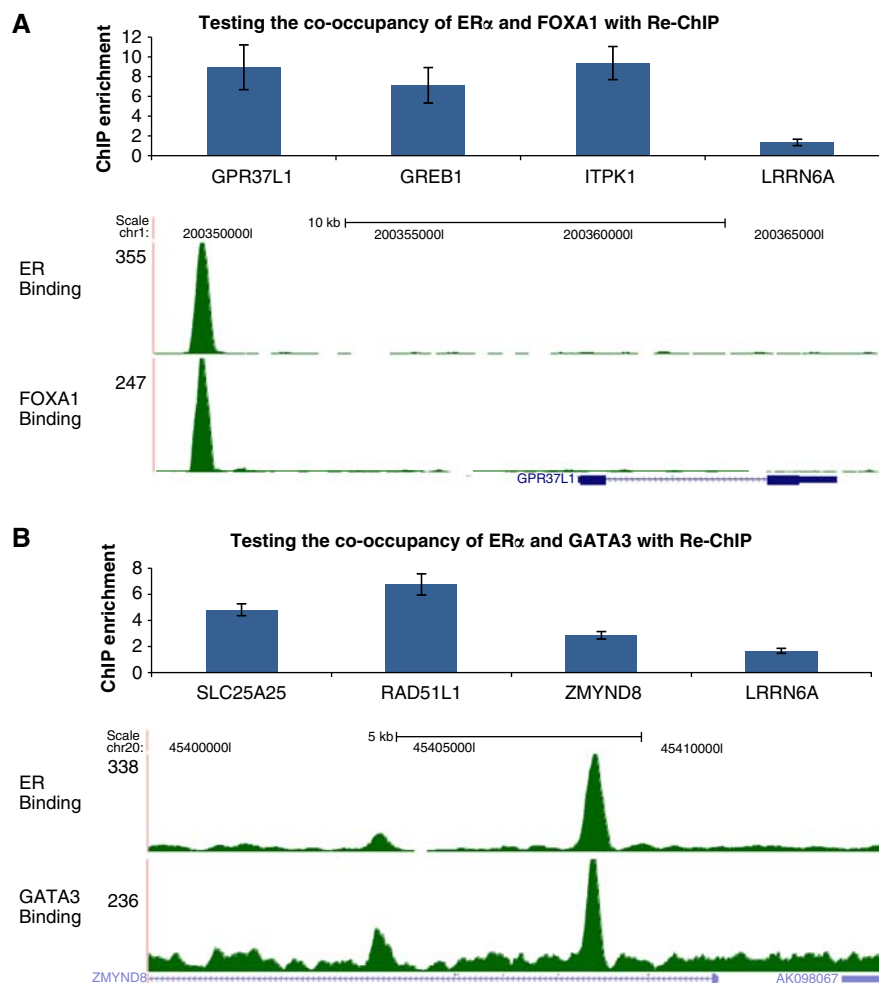


Figure 2 The interaction between ER α , FOXA1, and GATA3 in breast cancer genome. The co-occupancy of ER α + FOXA1 (**A**) and ER α + GATA3 (**B**) to the target *cis*-regulatory regions as validated by sequential Re-ChIP assay. Genes nearby are used to label the peaks, and the tag densities around gene *GPR37L1* with ER α + FOXA1 peaks and gene *ZMYND8* with ER α + GATA3 peaks are shown as examples. A site near *LRRN6A* gene with only unique ER α binding is recruited as a negative control for the sequential Re-ChIP assay. The ChIP enrichment was computed by comparing with the IgG pull-down control. Mean values of at least two independent experiments are compared and standard errors are shown.

before estradiol exposure. However, our data revealed that only 11% (2218/19412) of E₂-induced ER α sites are occupied by FOXA1 before ligand exposure (Figure 3A). When we eliminate the number of basal ER α -bound sites before E₂ stimulation, the percentage of FOXA1 sites that can recruit ER α is 19% ($=(130 + 1598)/9337$). This means that FOXA1 is a potential pioneering factor to recruit only a subset of ER α binding sites.

Interestingly, view from a different prospective, after excluding the number of basal FOXA1-bound sites before E₂ stimulation, the percentage of ER α sites that can recruit FOXA1 after E₂ exposure is 29% ($=(573 + 9)/1990$). Thus, ER α can also ‘pioneer’ a site for FOXA1 as efficiently as the converse even though, because of a much larger starting denominator (9337 sites versus 1990), it appears that FOXA1 is a better pioneering factor.

To confirm this ChIP-seq-based observation, we synchronized the cells with α -amanitin treatment followed by E₂ stimulation and performed ChIP-qPCR over time on the

nucleus lysates. In the sites where FOXA1 functions as the recruiting factor, we observed enrichment of FOXA1 occupancy as early as 5 min, followed by progressively increasing ER α occupancy at the later time points (e.g., after 10–15 min, example in Figure 3B). Conversely, in those sites where ER α functions as the recruiting factor, we show high ER α occupancy at early time points followed by increasing FOXA1 occupancy at the later time points (example in Figure 3C). Thus, we show that ER α and FOXA1 can equally function as recruiting factors for the other.

The formation of enhanceosome consisting of ER α , FOXA1, and GATA3 in breast cancer cells

We have observed the colocalization of ER α , FOXA1, and GATA3 at genomic sites after ligand stimulation. We then wished to assess the dynamics of this recruitment by the three TFs in response to E₂ stimulation. First, we grouped the

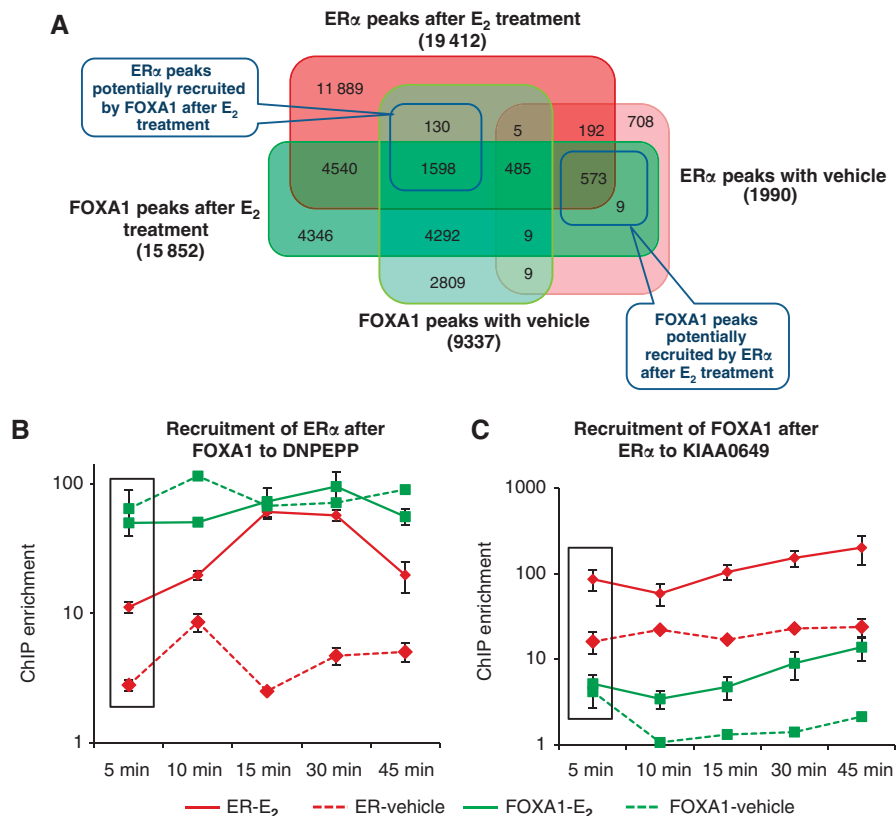


Figure 3 The progressive recruitment of ER α and FOXA1 to the *cis*-regulatory elements. **(A)** Venn diagram of ER α binding sites with vehicle, ER α binding sites after E₂ treatment, FOXA1 binding sites with vehicle, and FOXA1 binding sites after E₂ treatment. **(B)** The recruitment of ER α after FOXA1 binding in the synchronized MCF-7 cells upon E₂ stimulation as validated by ChIP-qPCR in various time points. Two independent experiments have consistent results. Mean values and standard errors are shown. **(C)** The recruitment of FOXA1 after ER α binding in the synchronized MCF-7 cells upon E₂ stimulation as validated by ChIP-qPCR in various time points. Two independent experiments have consistent results. Mean values and standard errors are shown.

different subsets of binding sites before E_2 treatment as ER α unique, FOXA1 unique, GATA3 unique, ER α + FOXA1 overlap, ER α + GATA3 overlap, FOXA1 + GATA3 overlap, and ER α + FOXA1 + GATA3 overlap sites as shown in the Venn diagram of Figure 1A. We investigated how these different subsets of TF bindings clustered after E_2 stimulation. We found (Figure 4A) a dramatic shift of single and double TF binding sites to sites occupied by the three TFs: >89% of vehicle-treated ER α + FOXA1 overlap sites, 86% of ER α + GATA3 overlap sites, 30% of ER α unique site, and 28% of the FOXA1 + GATA3 overlap sites were shifted to the ER α + FOXA1 + GATA3 overlap sites in response to E_2 induction. By contrast, the FOXA1 unique and GATA3 unique sites (before ligand) showed little to no shift to the conjoint three factors occupancy state after E_2 treatment. This suggests that estradiol activation induces the recruitment of FOXA1 and GATA3 with ER α at ER α binding sites.

It has been previously shown that the functional utility of an ER α binding site is higher when these sites are marked by specific and quantitative chromatin signatures: functionally active sites have higher ER α occupancy, more open chromatin, and more likely to show p300 and RNA Pol II occupancy (Figure 4; Supplementary Figure S3). Figure 4B–D shows that the normalized tag profiles of ER α , FOXA1, and GATA3 at the binding sites are strongly enriched after E₂ treatment for all the

above marks with the ER α + FOXA1 + GATA3 overlapping conjoint sites having the highest tag occupancy profile above all other colocalized categories. In addition, the triple TF overlap sites show the greatest occupancy of each individual TF. p300 co-activator possesses intrinsic histone acetyltransferase activity capable of modifying the chromatin organization and facilitating transcriptional initiation (Heintzman *et al*, 2007), and p300 enrichment is commonly found at the enhancer regions. We observed ER α + FOXA1 + GATA3 overlap sites have the highest p300 co-activator occupancy (Figure 4E). Previously, we have determined that RNA Pol II co-binding at ER α binding sites is related to distant interactions linking the enhancer sites with the TSSs (Fullwood *et al*, 2009). In Figure 4F, we show that ER α + FOXA1 + GATA3 overlap sites have the highest RNA Pol II occupancy with ER α + FOXA1 double overlap sites following closely. When the chromatin state was assessed by formaldehyde-assisted isolation of regulatory elements (FAIRE) (Joseph *et al*, 2010), we found that ER α + FOXA1 + GATA3 and ER α + FOXA1 overlap sites have the highest association with chromatin opening (Figure 4G). This suggests that these triple overlap sites (ER α + FOXA1 + GATA3) are potentially the most active enhancers affecting ER α transcriptional regulation and that there appears to be a hierarchy of associative effect: FOXA1 contributing the most to ER α enhancer function and GATA3

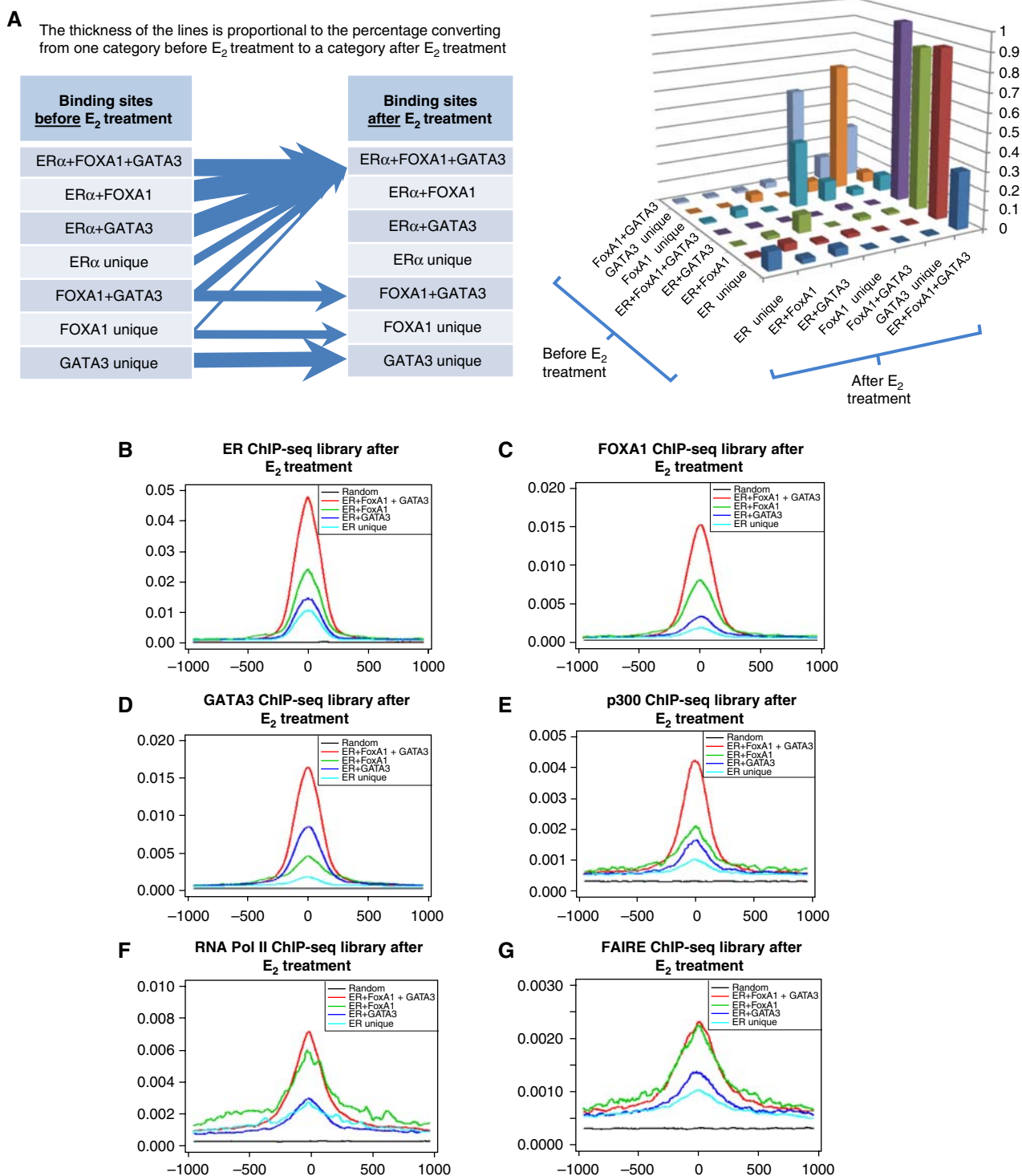


Figure 4 The formation of enhanceosome consists of ER α , FOXA1, and GATA3. **(A)** The dynamics of TFs binding before and after E_2 stimulation. The different categories of ER α , FOXA1, and GATA3 binding sites in the Venn diagram before E_2 stimulation will converge to ER α + FOXA1 + GATA3 overlapped binding sites after E_2 stimulation. **(B–D)** The enhanced ER α , FOXA1, and GATA3 occupancy at the ER α + FOXA1 + GATA3 sites. The tag profiles around the binding sites are enriched after E_2 stimulation (see Supplementary Figure S3 for tag profiles before E_2 treatment). The tag profile is normalized by the number of peaks in the category and the sequencing depth from the corresponding ChIP-seq library. **(E)** The enhanced p300 co-activator recruitment to enhanceosome sites after E_2 stimulation. **(F)** The highest association of RNA Pol II recruitment with enhanceosome sites after E_2 stimulation. **(G)** The enhanceosome is correlated with chromatin opening as measured by FAIRE after E_2 stimulation.

being less impactful (Figure 4F and G). This effect is independent of ER α occupancy intensity since the triple overlap/enhanceosome sites (ER α + FOXA1 + GATA3) bear

the marks for optimal enhancer with the highest p300 occupancy while the non-enhanceosome sites have less association with the enhancer marks though all these sites

were from the top quartile of ER α sites of highest binding intensity (Supplementary Figure S4).

It is known that TFs can interact through long-range chromatin interactions to regulate the transcriptional networks. Recently, a new method known as Chromatin Interaction Analysis with Paired-End-Tag sequencing (ChIA-PET) has been developed (Fullwood *et al*, 2009; Li *et al*, 2010) to characterize the long-range chromatin looping mediated by ER α in MCF-7 cell line. After re-analyzing the ER α ChIA-PET data, we observed that 81% of the 5067 interaction clusters have at least one anchor region (an ER α binding site associated with a distant chromatin interaction forming at least one loop) characterized by ER α + FOXA1 + GATA3 colocalization. Furthermore, the interaction clusters from ChIA-PET can form complex clusters that organize the local genomic region into multiple loops. These complex interaction clusters also demarcate the most significant ER α -regulated genes (Fullwood *et al*, 2009). Of the 5067 ER α -mediated long-range interaction clusters, 4500 clusters are involved in complex interaction clusters and 567 clusters are involved in duplex interaction clusters. In all, 88% of the complex interaction clusters are associated with ER α + FOXA1 + GATA3 overlapped binding sites, while 51% of the duplex interaction clusters have the support of ER α + FOXA1 + GATA3 overlapped binding sites (Supplementary Figure S5). Since complex interaction clusters mark genes most responsive to E₂ as compared with duplex clusters, these data support the notion that the presence of the ER α , FOXA1, and GATA3 putative enhanceosome is associated with genes that are most responsive to E₂. A specific example of the ER α -mediated long-range interactions involving conjoint ER α , FOXA1, and GATA3 binding sites around the highly E₂-responsive *GREB1* gene is shown in Supplementary Figure S5. These triple TF conjoint binding sites are highly represented at the sites involved in frequent long-range chromatin interactions spanning 50 kb.

The impact of TFs binding on gene expression

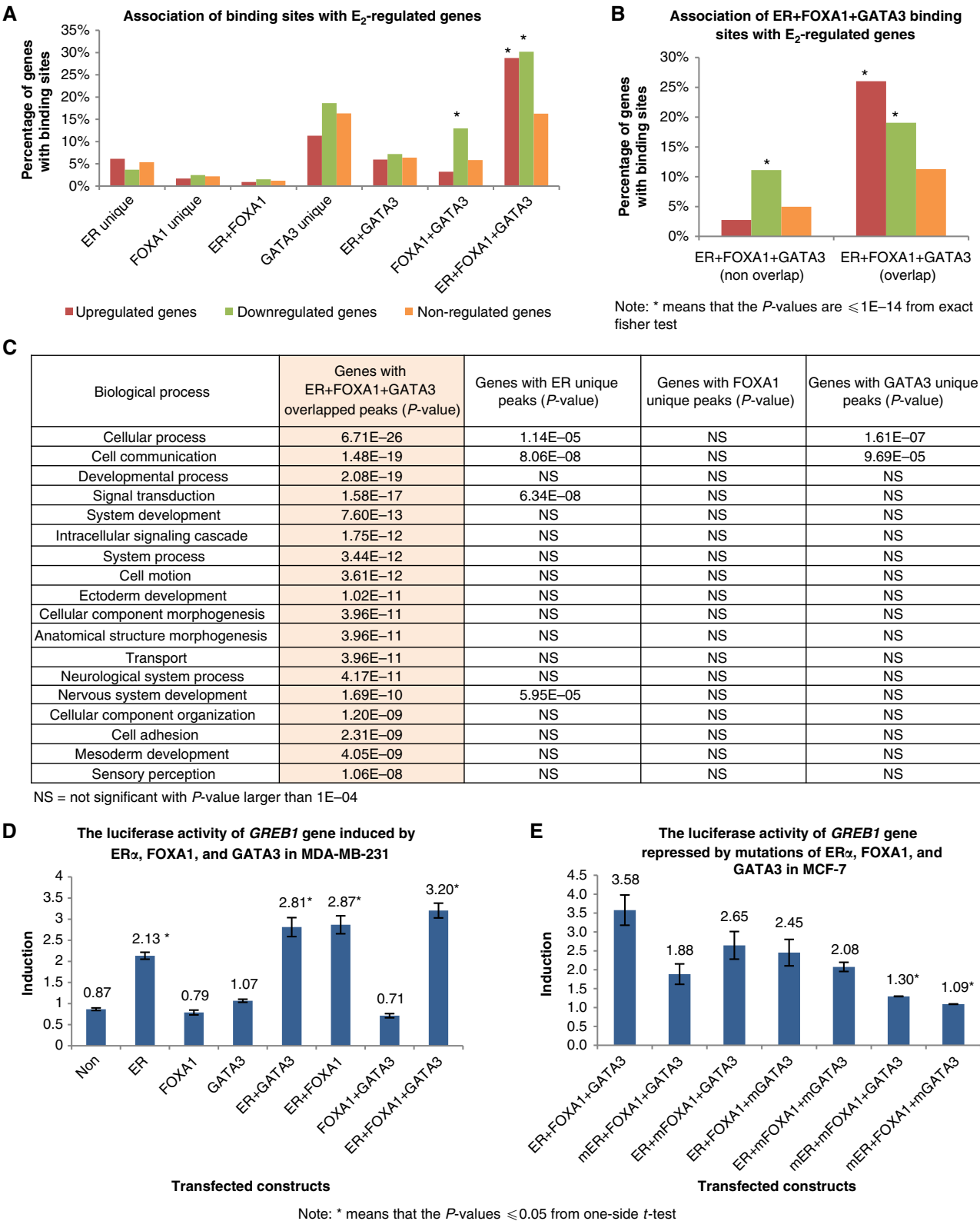
We have provided evidence that the clustering of ER α , FOXA1, and GATA3 at ER α binding sites is associated with chromatin characteristics of the most active ER α enhancers. To assess the effect of this enhanceosome presence on direct gene regulation, we performed a detailed microarray expression analysis to determine E₂-responsive genes in MCF-7 cells. We found a total of 653 upregulated and 1249 downregulated genes in response to E₂ stimulation (Supplementary Tables VIII and IX). We assigned a specific known gene to a binding site occupied by any combination of the three TFs if the peak of each TF category is the nearest and within 20 kb of TSS of that E₂-responsive gene (see Supplementary information). Figure 5A shows that, for the genes with ER α , FOXA1, and GATA3 peaks or any combination, the biggest proportion of either upregulated or downregulated genes is from genes with adjacent ER α + FOXA1 + GATA3 conjoint binding sites within 20 kb of their TSS (28 and 30%, respectively). Since the different binding sites are present in the genome at different frequencies, the ratio of regulated versus non-regulated genes for each binding site class can be used to normalize the differences. The proportion of upregulated genes with ER α , FOXA1, and GATA3 conjoint peaks is 2.3-fold of the

non-regulated genes with the same configuration. This is contrasted by genes adjacent to other combinations of ER α , FOXA1, and GATA3 binding which do not show significant changes as compared with non-regulated genes. The only exception is the proportion of genes close to FOXA1 + GATA3 co-bound sites, which are associated with greater down-regulated genes. Despite this association, the percentage of downregulated genes putatively controlled jointly by FOXA1 and GATA3 is relatively small. Finally, the presence of the three TFs relative to a regulated gene may have two configurations: one where the ER α binding site has conjoint and therefore overlapping occupancy by all three TFs, and the other where the binding of the individual TFs is in proximity with each other and within 20 kb of a gene, but the binding sites are not overlapping (Figure 5B; see Supplementary information). When we analyzed the association of E₂-regulated genes with these two categories (overlapping and non-overlapping), we found that the predominant association is between the conjoint binding sites and regulated genes (Figure 5B). Our results imply that ER α regulation of gene expression is closely linked to adjacency with sites that show conjoint binding with ER α , FOXA1, and GATA3 putatively forming an enhanceosome. Using Gene Ontology analysis (Thomas *et al*, 2003), we sought to further ascertain the importance of genes in proximity with enhanceosome binding as compared with binding of the individual TF components. We found that only genes associated with ER α + FOXA1 + GATA3 binding have significant association (with *P*-values up to 6.7E–26) with specific biology processes known to be involved in ER α signaling (e.g., signal transduction and cell proliferation), molecular function (e.g., kinase and protein binding), and signaling pathways (e.g., PDGF signaling pathway, inflammation mediated by chemokine and cytokine signaling pathway) (Figure 5C; Supplementary Tables II and III). Thus, the identification of the ER α enhanceosome-associated genes allows for the identification of a ‘core’ set of ER α -regulated genes that are strongly associated with the cognate cellular functions previously known for ER α .

To further validate that ER α + FOXA1 + GATA3 co-binding represents an optimal configuration for E₂-mediated transcriptional activation, we have performed luciferase reporter assays on *GREB1* locus that actively engages ER α enhanceosome sites in gene regulation. We cloned the promoter region of *GREB1* that includes an ER α + FOXA1 + GATA3 enhanceosome binding site into the pGL4-luciferase reporter construct and then transfected *GREB1*-luciferase promoter construct into ER-negative MDA-MB-231 cells, followed by transfection and overexpression of ER α , FOXA1, and/or GATA3. The individual presence of FOXA1 and GATA3 or combination of both only produced subtle changes to the *GREB1* luciferase activity, demonstrating that the presence of FOXA1 and GATA3 alone or combination of both do not activate the transcription of *GREB1* gene (Figure 5D). The presence of ER α induced the *GREB1* luciferase activity to ~246% (as compare with the control construct). The combination of ER α + FOXA1 and ER α + GATA3 has increased the luciferase activity to ~330% (an increment of 26–32%). Interestingly, the assemblage of ER α + FOXA1 + GATA3 provided the optimal ER responsiveness to 370% representing an additional 12–14% increment. This suggests that ER α provides the fundamental gene

regulatory module but that FOXA1 and GATA3 incrementally improve ER α -regulated transcriptional induction.
Such artificial transfection reporter systems accentuate TF responses because of unnatural stoichiometries of the TFs.

To further assess the interplay among ER α , FOXA1, and GATA3, we perturbed the binding of these TFs in MCF-7 cells through the site-directed mutagenesis assay and asked whether the loss of individual binding motifs would alter



gene regulation under physiologic concentrations of the three TFs. Different *GREB1*-luciferase constructs with mutated ERE, FOXA1, or GATA3 motif at the specific ER α , FOXA1, and GATA3 binding sites were generated. The results revealed that individually mutated FOXA1 or GATA3 motif only imposed 25–30% loss of *GREB1* luciferase activity (Figure 5E). Mutated ERE alone has repressed the luciferase measurement to ~50%. Interestingly, combinatorial ERE + FOXA1 and ERE + GATA3 mutation further reduced the luciferase activity by ~65–70%, suggesting that the effects of the individual TFs on this putative enhanceosome are additive. Here, we can build a hierarchy of TFs control, showing that ER α accounts for 50% of the transcriptional control while FOXA1 and GATA3 individually account for another 20% transcriptional control at the *GREB1* gene regulatory locus.

FOXA1 and GATA3 are essential co-regulators in mediating the ER α -growth response

It is known that ER α is a ligand-activated TF that mediates the proliferative effects of E₂ in breast cancer cells. Garcia *et al* (1992) showed inhibited growth in MDA-MB-231 cells with forced expression of ER α upon E₂ treatment. The rationale for these different outcomes has remained elusive. We hypothesize that the absence of critical co-regulators such as FOXA1 and GATA3 is responsible for the ER α -response cassette.

To test this hypothesis, we stably transfected the MDA-MB-231 cells with individual ER α , FOXA1, GATA3, or in combination. The induction of ER α , FOXA1, and GATA3 expressions following transfections was verified (Supplementary Figure S6). The cell proliferation in response to E₂ stimulation was measured using two assays: WST-1 and cell count using Hoechst stain. In parallel to the report by Garcia *et al* (1992), we observed marginally inhibited growth in cells with forced expression of ER α and a greater inhibitory effect with forced expression of FOXA1. There was unaltered growth in cells with expression of GATA3. Co-expression of ER α and FOXA1; ER α and GATA3 exhibited inhibition of cell proliferation as compared with control cells. However, the co-expression of ER α together with FOXA1 and GATA3 resulted in marked induction of cell proliferation under E₂ stimulation as assessed by either growth detection assays (Figure 6A; Supplementary Figures S7 and S8). We have recapitulated this cellular reprogramming in another ER α -negative breast cancer cell line, BT-549 and observed similar growth inhibition in BT-549 cells expressing ER α and FOXA1 individually (Supplementary Figures S9 and S10). We found minor induction of growth in

GATA3-expressing BT-549 cells; however, this growth was independent of E₂ stimulation. However, like MDA-MB-231 cells, we were able to induce E₂-dependent growth in ER α + FOXA1 + GATA3-expressing BT-549 cells (Figure 6B; Supplementary Figure S11). This suggests that only with the full activation of conjoint binding sites by the three TFs will the proliferative phenotype associated with ligand induced ER α be manifest. This further suggests that like induced pluripotent stem cells (iPS cells) only the combination of multiple factors (in this case, ER α , FOXA1, and GATA3) can transcriptionally reprogramme MDA-MB-231 and BT-549 cells to be estrogen responsive for growth. To assess the nature of this transcriptional reprogramming, we asked the question if the reprogrammed MDA-MB-231 cells display any similarity in the expression profile of the ER α -positive breast cancer cell line, MCF-7. We combined the E₂-regulated genes from these differently transfected MDA-MB-231 cells, and compared their expression in these MDA-MB-231-transfected cells and MCF-7 cells. Strikingly, taking all differentially expressed genes in MDA-MB-231 sublines, we found that the expression profile of E₂-induced ER α + FOXA1 + GATA3-expressing MDA-MB-231 cells display a good positive correlation ($R=0.42$) with the E₂-induced expression profile of MCF-7. By contrast, we observed a negative correlation between the expression profiles of MDA-MB-231 transfected with ER α only ($R=-0.21$) (Figure 6C and D; see Supplementary Table X for detailed analyses). In addition, when only cell-cycle, DNA replication, and proliferation genes were examined, again, there was positive correlation between MDA-MB-231 transfected with ER α + FOXA1 + GATA3 and MCF-7 but no correlation between ER α -only MDA-MB-231 cells and MCF-7 (Supplementary Figure S12). Specific genes previously known to be E₂ regulated in ER α -responsive cell lines (Frasor *et al*, 2003; Fullwood *et al*, 2009) such as *CCND1*, *STC2*, *ADCY9*, and *BTG1* were also regulated in the same direction by ligand in the triple factor transfected MDA-MB-231 cells (Supplementary Table XI). Using the Ingenuity Pathway Analysis, we observed that the estrogen-responsive genes regulated in MDA-MB-231 transfectant cells were significantly associated with cell-cycle, cellular proliferation, and DNA replication functionalities (P -value = $7.27E-12$ – $1.28E-04$, see Supplementary Figure S13A). Moreover, we found that there is upregulation of pro-proliferative cell-cycle genes in the ER α + FOXA1 + GATA3-expressing MDA-MB-231 cells compared with ER α -only cells (Supplementary Figure S13B). Taken together, these results suggest that the presence of ER α , FOXA1, and GATA3 has transcriptionally reprogrammed the ER α -negative

Figure 5 The impact of enhanceosome on gene regulation. **(A)** The association of ER α , FOXA1, and GATA3 bindings with E₂-regulated genes. The percentages of the upregulated and downregulated genes are significant from genes associated with ER α + FOXA1 + GATA3 overlapped binding sites. **(B)** The association of TF bindings with E₂-regulated genes in two different configurations where conjoint ER α + FOXA1 + GATA3 bindings with overlapping occupancy by all three TFs and those non-overlapping individual ER α , FOXA1, and GATA3 bindings in close proximity within 20 kb of a TSS. **(C)** Gene ontology analysis of genes associated with different categories of ER α , FOXA1, and GATA3 bindings. The genes associated with ER α + FOXA1 + GATA3 overlapped binding sites have significant functions, compared with genes only with individual unique ER α , FOXA1, and GATA3 bindings. **(D)** The presence of ER α , FOXA1, and GATA3 has induced the luciferase activity of *GREB1* gene in MDA-MB-231 cells. The basal luciferase activity of *GREB1* in MDA-MB-231 cells is used as the control reference. Mean values of three independent experiments are compared and standard errors are shown. **(E)** The loss of FOXA1 and/or GATA3 bindings has reduced the luciferase activity of *GREB1* gene in MCF-7 cells. 'mER', 'mFOXA1', and 'mGATA3' denote mutated ERE, FOXA1, and GATA3 motif sequences around their respective binding sites near the *GREB1* promoter. The basal luciferase activity of *GREB1* in MCF-7 wild-type cells is served as the control reference. Mean values of three independent experiments are compared and standard errors are shown.

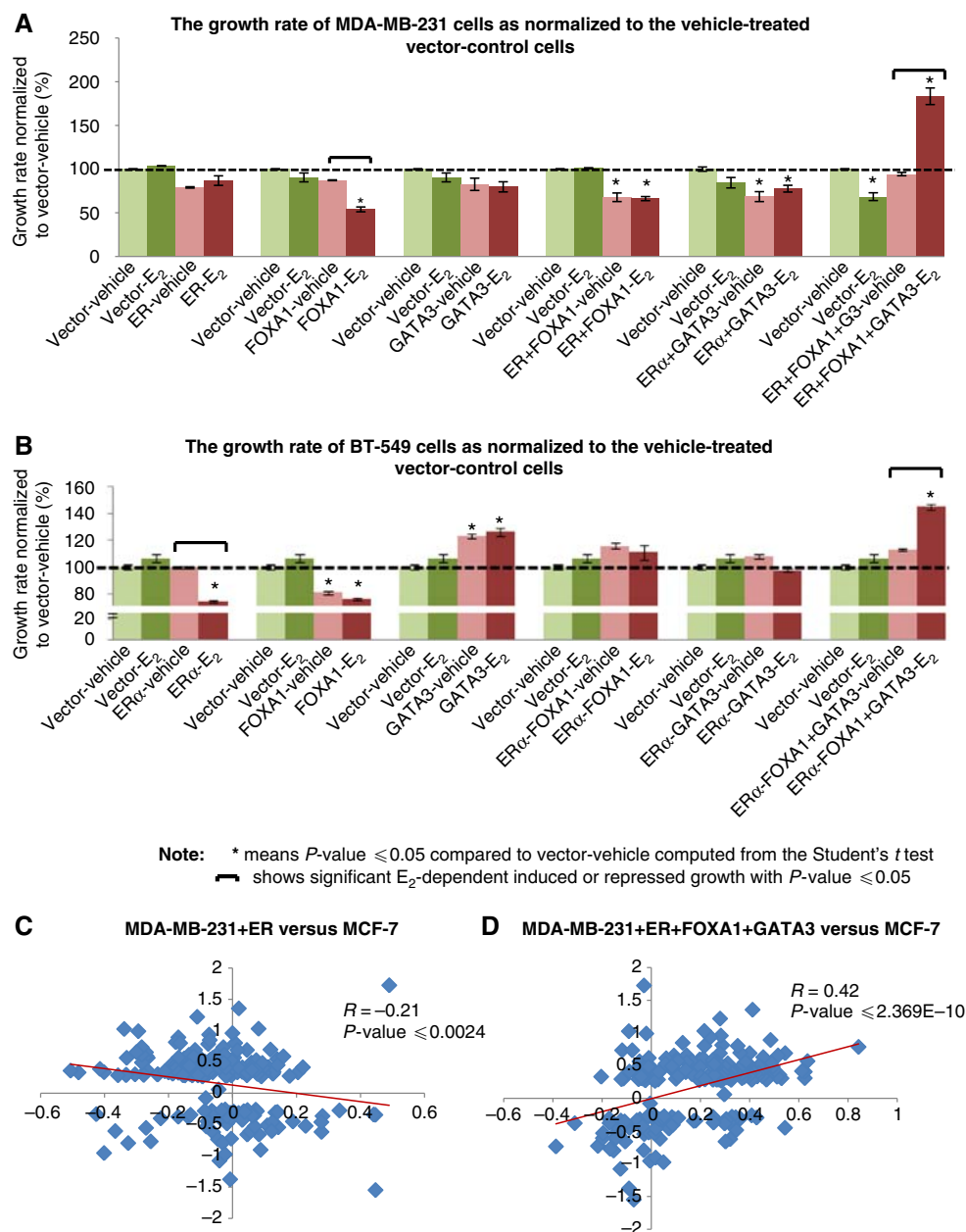


Figure 6 FOXA1 and GATA3 are essential components of E_2 -induced $ER\alpha$ -response cassette. **(A)** The growth of MDA-MB-231 cells transfected with different combinations of TFs relative to the vehicle-treated MDA-MB-231 vector control cells at the final day of WST-1 measurement. **(B)** The recapitulation of reprogramming work in another $ER\alpha$ -negative BT-549 cells. The growth of BT-549 cells transfected with different combinations of TFs relative to the vehicle-treated BT-549 vector control cells at the final day of WST-1 assay. **(C)** The comparison of gene profiles in the reprogrammed MDA-MB-231 and MCF-7 cells. The gene profiles of $ER\alpha$ -only MDA-MB-231 cells show weak correlation with the expression profiles of MCF-7 cells. **(D)** The $ER\alpha$ + FOXA1 + GATA3-expressing MDA-MB-231 cells display good correlation with the expression profile of $ER\alpha$ -positive MCF-7 cells. The P -value for the differences between the two correlation coefficient stated in (C) and (D) is $\leq 2.08E-11$.

MDA-MB-231 cells to resemble the $ER\alpha$ -positive MCF-7 cells by recapitulating the estrogen-responsive cassette and manifesting the proliferative phenotype.

Finally, we asked if the reprogrammed MDA-MB-231 cells have acquired luminal cell characteristics. We investigated the expression of luminal and basal markers genes defined by Kao *et al* (2009) in the transfected MDA-MB-231 cells. The analysis revealed a modest but discernible induction of luminal markers genes and suppression of basal marker genes in the

$ER\alpha$ + FOXA1 + GATA3-expressing MDA-MB-231 cells as compared with the $ER\alpha$ only or vector control cells (Supplementary Figure S14; Supplementary Table XII). Moreover, we found that 63% of the luminal genes are associated with conjoint binding of the three TFs $ER\alpha$ + FOXA1 + GATA3 within 20 kb of the TSS, and only 13% of these luminal genes showing no proximity binding of any of these three TFs. On the other hand, 24% of the basal genes are associated with proximate conjoint $ER\alpha$ + FOXA1 + GATA3 binding with 40% of these genes are

not associated with any ER α , FOXA1, or GATA3 binding (see Supplementary Figure S15 and Supplementary Table XIII). This suggests that ER α + FOXA1 + GATA3 binding exerts greater impact on regulating the transcription of luminal marker genes as compared with the basal marker genes. Taken together, we demonstrate that the co-expression of the ER α enhanceosome components, namely ER α , FOXA1, and GATA3, is required to approximate the appropriate luminal expression cassette.

Discussion

ER, as a prototype of a nuclear hormone receptor, mediates a broad range of cellular and physiologic functions with organ and context specificity. The most proximate form of regulatory control resides in the protein–DNA interaction of TF binding to their cognate recognition motifs and modified by cofactors. However, genome-wide studies of ER α binding show a dispersed occupancy pattern at binding sites bearing heterogeneous recognition motifs that are, at the sequence level, also not well conserved in evolution (Kunarsø *et al*, 2010). This binding site heterogeneity is normalized by chromatin looping to bring these distant and distributed enhancers in proximity to the regulated TSS (Fullwood *et al*, 2009). Herein, we show that FOXA1 and GATA3 are essential for optimal ER α binding to DNA, that FOXA1 and GATA3 are recruited as a complex to the most functional ER α binding sites after ligand activation, and that the binding of this tripartite enhanceosome complex of ER α , FOXA1, and GATA3 is necessary for optimal transcriptional activation in reporter gene assays. The enhanceosome assembly is recruited to sites bearing the three recognition motifs suggests that this complex formation is ‘hard-wired’ in the human genome and provides an evolutionary advantage. This notion is supported by the fact that the colocalization of the motifs for these TFs was found in 23 090 sites in the reference human genome, but in only 360 sites in a random nucleotide sequences for a 64-fold enrichment. This compares with an ~ 18 -fold enrichment for the ERE alone suggesting a strong evolutionary selection for the three TFs to be colocalized (see Supplementary Figure S16).

An enhanceosome has been defined as protein complex composed of a repertoire of TFs that binds to the ‘enhancer’ region of a gene and sequentially recruits components of the transcriptional machinery such as RNA polymerase to initiate the gene’s transcription. Synergistic interplay among the members within the enhanceosome complex results in providing some functional specificity, and a multiple gene ‘fail-safe’ mechanism for controlling gene expression (Robert and Tom, 1994). It is suggested that an enhanceosome may provide functional redundancy that minimizes the chances that a gene may be switched off due to mutation, or permit activation of a gene by orchestrating multiple different signaling cascades (Farnham, 2009). The importance of enhanceosome formation is evidenced by the virus-inducible transcriptional activation of the human interferon- β (IFN- β) gene by the assembly of transcriptional activator (p50/p65), IRF-1, ATF-2, c-Jun, and high mobility group protein HMG I to the basal transcription complex (Thanos and Maniatis, 1995). Chen *et al* (2008)

showed that TFs coordinately expressed in embryonic stem cell differentiation form specific enhanceosomes adjacent to cassettes of genes that demarcate different developmental functions. The present study provides evidence of how the ER α , FOXA1, and GATA3 enhanceosomes regulate this multifaceted transcriptional network operative in reproduction and cancer. Furthermore, we show that this ER α + FOXA1 + GATA3 enhanceosome recruits distinct components of active transcription regulatory machinery, namely RNA Pol II and p300, an acetyltransferase associated with enhancer activity as well as chromatin opening. Interestingly, the ER α , FOXA1, and GATA3 binding were also coincided with retinoic acid receptor binding though the overlap is less frequent, suggesting that FOXA1 and GATA3 could have a broader ‘universal’ co-regulator function for nuclear hormone binding (Hua *et al*, 2009).

It is known that FOXA1 and GATA3 are important regulatory proteins in their own right. FOXA1 has winged helix domains that can structurally mimic histone H1 and H5, and thus permits its interaction with histone H3 and H4. This unique feature of FOXA1 allows it to bind to the specific DNA sequences on the nucleosome core and displace the linker histones, leading to de-compaction of chromatin and to facilitate the binding of other TFs (Clark *et al*, 1993; Cirillo *et al*, 1998; Kaestner, 2000). It is suggested that ER α + FOXA1-regulated network establishes an ‘one-step forward’ (through cyclin D1 induction) and ‘one-step backward’ (through p27^{KIP1} induction) manner to control cell-cycle progression in breast cancer cells (Nakshatri and Badve, 2009). Recent work by Lupien *et al* (2008) revealed that there was significant overlap of FOXA1 occupied sites on ER α cistrome, hence suggesting that FOXA1 contributed in the control of E₂ signaling in breast cancer cells. In accordance with the report by Bernado *et al* (2010), we have found that siRNA-mediated knockdown of ER α reduces the levels of FOXA1 in MCF-7 cells, and similar attenuation of FOXA1 reduces the levels of ER α (data not shown).

GATA3 has essential roles in the mammary gland morphogenesis and lactogenesis. Inactivation of GATA3 resulted in diminished mammary epithelial structure, severely impaired lactogenesis and disrupted differentiation of luminal progenitor cells into ductal and alveolar cells (Asselin-Labat *et al*, 2007). Moreover, GATA3 is also involved in the positive crossregulatory loop with ER α in breast cancer cells in mediating the E₂ signaling (Eeckhoutte *et al*, 2007). Clinically, both FOXA1 and GATA3 are known to be co-expressed in ER-positive breast cancers. In addition, Mehra *et al* (2005) reported that low levels of GATA3 were strongly associated with larger tumor size, positive lymph node status, higher histology grade, ER α -negative status, Her2-neu overexpression as well as increased risk for recurrence and metastasis. Taken together, we posit that such a complex regulatory and functional interaction of three TFs each subserving important functions is another evolutionary strategy to ensure the balanced co-regulation of gene networks important in mammalian reproduction.

Here, we have shown that the effects of the ER α + FOXA1 + GATA3 enhanceosome expression are the regulation of the major important E₂-responsive genes associated with various signaling pathways, biology processes, and molecular

functions previously ascribed to ER α alone. Though the presence of an ER α is necessary for E₂-induced growth in responsive cells, its presence is not sufficient for cellular proliferation, and in fact, the introduction of ER α or FOXA1 into ER α -negative cell lines such as MDA-MB-231 leads to cell-cycle arrest. Importantly, we show that transfection of the three TFs into the ER α -negative cell line, MDA-MB-231, could reprogram the cell to be estrogen responsive for cell proliferation, counteracting the growth inhibitory action of unaided FOXA1 or ER α . This cellular reprogramming is correlated with reconstruction of the approximate transcriptional cassette of the modified MDA-MB-231 to partially resemble that of E₂-stimulated MCF-7 cells. Thus, it appears that the primary role of FOXA1 and ER α alone in breast cancer cells is as a growth or tumor inhibitor, but that the conditional expression of ER α , FOXA1, and GATA3 reverses this state to that of growth induction.

Intriguingly, enforced expression of the triple factors, ER α , FOXA1, and GATA3, also induced a modest basal to luminal expression cassette change by reducing the basal signature and increasing the luminal signature in MDA-MB-231 cells not seen in the ER α alone-transfected clone. Our results suggest that the conjoint effects by the three TFs could formulate a luminal cassette and then manifest the proliferative phenotype in response to estrogen stimulation.

Our work also sheds some light on the functional role of FOXA1 which is thought to be a pioneering factor for nuclear hormone receptors such as the ER and androgen receptor (Carroll *et al*, 2005). As a pioneering factor, FOXA1 may function to open chromatin structures so as to facilitate ER α binding to its cognate response elements. Indeed, our chromatin model predictive of ER α binding includes FOXA1 occupancy in the preligand (before E₂ exposure) state (Joseph *et al*, 2010). However, these studies did not examine the dynamic relationship of ER α and FOXA1 occupancy before and after ligand exposure. Our results suggest that ER α is as likely to be a pioneering factor to recruit FOXA1 as the converse.

Recently, Eeckhoutte *et al* (2009) reported that a significant fraction of FOXA1-bound sites have a relatively closed chromatin conformation that is unrelated to gene expression, suggesting that FOXA1 may require a repertoire of collaborating TFs to promote chromatin opening. Our findings suggest that ER α is one such collaborating TF with GATA3 playing a more minor role.

Taken together, we have uncovered the functional importance of an enhanceosome comprising ER α , FOXA1, and GATA3 in the estrogen responsiveness of ER α -positive breast cancer cells. This enhanceosome exerts significant combinatorial control of the transcriptional network regulating growth and proliferation of ER α -positive breast cancer cells.

Materials and methods

ChIP assay

The ChIP assays were carried out as described previously (Lin *et al*, 2007). Briefly, the serum-depleted MCF-7 cells were treated with 10 nM E₂ or vehicle control for 45 min. Cells were crosslinked with 1% formaldehyde for 10 min at room temperature, followed by 125 mM glycine treatment to inactivate the crosslinking. Chromatin extracts were fragmented to an average size of 500 bp with sonication,

followed by overnight immunoprecipitation at 4°C. The protein–DNA complex was de-crosslinked with overnight incubation at 65°C. DNA extraction was performed and qPCR validation was carried out using SYBR Green chemistry. The ChIP samples were then subjected to ChIP-seq on Solexa platform. Antibodies used in these ChIP experiments are listed in Supplementary Table IV.

Binding sites analysis

The short reads from ChIP-seq libraries were aligned to the human genome hg18 using Batman with at most two mismatches, and only the uniquely mapped reads were extracted for further analysis. The ChIP-seq for ER α , FOXA1, RNA pol II, and FAIRE libraries have been described in Joseph *et al* (2010). Here, we used the Model-based Analysis for ChIP-Seq (MACS) to call the peaks for all the three TFs (Zhang *et al*, 2008) with default parameters. The peaks were reported as the summit of the enriched regions. The number of binding sites for each ChIP-seq library is shown in Supplementary Table I. The binding sites were validated with qPCR using the specific primer sets. The primers are designed around the binding sites and qPCR was performed using SYBR Green Chemistry and 5 μ M of primers (listed in Supplementary Table VII) in ABI7500 Read-time PCR System (Applied Biosystems).

The overlap of peaks from two libraries is defined as the peaks within genomic distance 200 bp. The motif scanning was done with the program CentDist (Zhang *et al*, 2011) with motif PWM from TRANSFAC version 11.3 (Matys *et al*, 2003) with FDR < 1E–3.

Sequential ChIP

The ChIP assay was carried out as described previously. The elute from the first round of immunoprecipitation with ER α antibody was subjected to second round of immunoprecipitation using FOXA1 and GATA3, respectively, followed by qPCR to validate co-occupancy of ER α + FOXA1 and ER α + GATA3 to the target sites. The primers used for Re-ChIP are listed in Supplementary Table V.

Cells synchronization

The MCF-7 cells were grown in phenol red-free DMEM with 5% charcoal dextran-treated FBS (CDFBS) for 3 days before subjecting to 2.5 μ M α -amanitin treatment for 2 h. The cells were washed with PBS twice, followed by E₂ or vehicle control treatment for 45 min. Cells were harvested at the 5-min interval and subjected to ChIP-qPCR assays. The primers used to study the progressive recruitment of ER α and FOXA1 are listed in Supplementary Table VI.

Microarray gene expression study on MCF-7 cells

The MCF-7 cells were grown in phenol red-free DMEM with 5% CDFBS for 3 days before E₂ stimulation. Total RNA was harvested at 3, 6, 9, 12, 24, and 48 h after E₂ treatment using RNeasy Kit (Qiagen). The quality of RNA samples was verified with Bioanalyzer before proceeding to Affymetrix microarray experiments. The microarray data from E₂ stimulation were normalized against the data with vehicle treatment, log-transformed, and median normalized. The upregulated and downregulated genes were called with 1.2-fold change (which corresponds to 0.263 after log₂ transformation).

Microarray gene expression study on the transfected MDA-MB-231 cells

The transfected MDA-MB-231 cells were grown in phenol red-free RPMI with 5% CDFBS before subjecting to E₂ stimulation. Total RNA was harvested at days 2 and 10 using RNeasy Kit (Qiagen) and labeled using the TargetAmp™ Nano-g™ Biotin-aRNA Labeling Kit (Epicentre) before proceeding to Illumina microarray experiment. The microarray data from E₂ stimulation were normalized against the data with vehicle treatment, log-transformed, and median normalized. The upregulated and downregulated genes were called with 1.2-fold change.

Association of TF binding with gene expression

The binding sites were associated with the nearest TSSs within 20 kb (see Supplementary information for detailed description).

Site-mutagenesis experiments

The promoter region of *GREB1* gene was cloned into pGL4-luciferase construct using the In-fusion kit (Clontech). Several primers with the mutated ER α , FOXA1, and GATA3 binding motifs were designed and mutagenesis experiment was carried out with Stratagene Site-directed Mutagenesis Kit. The sequences of the *GREB1*-luc construct as well as the mutated-luc constructs were verified with sequencing.

Expression clones

The expression clones encoding ESR1, FOXA1, and GATA3 were purchased from Genecopoeia and verified by sequencing.

Luciferase reporter assays

The MDA-MB-231 cells were transfected with the reporter construct together with different combination of TFs. The MCF-7 cells were transfected with the reporter construct together with the mutated ER α , FOXA1, and GATA3 constructs. A Renilla luciferase plasmid was co-transfected as an internal control. Dual-luciferase reporter kit (Promega) was employed to measure the luciferase activity relative renilla activity using GloMax 96 microplate luminometer (Promega).

Transfection experiments

The MDA-MB-231 and BT-549 cells were stably transfected with ER α , FOXA1, and GATA3 using Lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions. An empty vector transfection was included as a negative control. The G418-selected clonal cells were verified for their ER α , FOXA1, and GATA3 expression with western blot using ER α (sc-543, Santa-Cruz), FOXA1 (ab-5089, Abcam), and GATA3 (sc-269, Santa-Cruz) antibodies at the dilution of 1:500.

Cell proliferation assays

The transfected MDA-MB-231 and BT-549 cells were seeded in 96-well plate and subjected to 10 nM E₂ or vehicle treatment. The culture media were changed every 3 days and the cell proliferation was assayed with WST-1 (Roche) using Sunrise microplate absorbance reader system (Tecan). Another cell growth assay assessed by cell number count was performed. The cells are fixed with 4% paraformaldehyde followed by permeabilization with 0.1% Triton-X at room temperature. The cells were then stained with 2.5 μ g/ml of Hoechst for 10 min before proceeding to cell counting scan on Cellomics ArrayScan VTi machine (Thermo Scientific).

Data release

The raw ChIP-seq sequences and processed data can be accessed from NCBI GEO (<http://www.ncbi.nlm.nih.gov/geo/>) with accession number GSE23701, GSE23893, GSE26831, and GSE29073. The gene expression data can be accessed from NCBI GEO (<http://www.ncbi.nlm.nih.gov/geo/>) with accession number GSE30574.

Supplementary information

Supplementary information is available at the *Molecular Systems Biology* website (www.nature.com/msb).

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Author contributions: SLK and ETL conceptualized and designed the experimental strategy. SLK coordinated the study and performed all the experiments. GLL performed all the computational and statistical analyses. SLL provided the technical assistance. WK provided advice for the computational analyses. SLK, GLL, and ETL interpreted the results and wrote the manuscript. ETL provided supervision for SLK graduate study.

Conflict of interest

The authors declare that they have no conflict of interest.

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