

## MINI REVIEW

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# Uterine receptivity, embryo attachment, and embryo invasion: Multistep processes in embryo implantation

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## Abstract

**Background:** Recurrent implantation failure is a critical issue in IVF-ET treatment. Successful embryo implantation needs appropriate molecular and cellular communications between embryo and uterus. Rodent models have been used intensively to understand these mechanisms.

**Methods:** The molecular and cellular mechanisms of embryo implantation were described by referring to the previous literature investigated by us and others. The studies using mouse models of embryo implantation were mainly cited.

**Results:** Progesterone ( $P_4$ ) produced by ovarian corpus luteum provides the uterus with receptivity to the embryo, and uterine epithelial growth arrest and stromal proliferation, what we call uterine proliferation-differentiation switching (PDS), take place in the peri-implantation period before embryo attachment. Uterine PDS is a hallmark of uterine receptivity, and several genes such as HAND2 and BMI1, control uterine PDS by modulating  $P_4$ -PR signaling. As the next implantation process, embryo attachment onto the luminal epithelium occurs. This process is regulated by FOXA2-LIF pathway and planar cell polarity signaling. Then, the luminal epithelium at the embryo attachment site detaches from the stroma, which enables trophoblast invasion. This process of embryo invasion is regulated by HIF2 $\alpha$  in the stroma.

**Conclusion:** These findings indicate that embryo implantation contains multistep processes regulated by specific molecular pathways.

## KEYWORDS

cell proliferation, embryo implantation, infertility, mouse models, uterine receptivity

## 1 | INTRODUCTION

Infertility is a global issue to influence ~10% of reproductive-age couples.<sup>1</sup> However in some developing countries, infertility rates are much higher, reaching 30%.<sup>1-4</sup> Although there are many causes of infertility, infertile patients eventually undergo IVF-ET. Some of the infertile patients suffer recurrent implantation failure, which is

a serious issue in infertility treatment. Embryo implantation is a series of molecular interactions between the embryo and the maternal uterus. It consists of the following three steps: embryo apposition, attachment, and invasion. An embryo attaches to the receptive uterine epithelium, and then, invades into the uterine stroma underneath the luminal epithelium. Successful implantation is the result of appropriate molecular communications between the embryo and

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uterus during these steps.<sup>5-8</sup> Since a previous study showed that implantation failure causes 75% of failed conceptions,<sup>9</sup> it is necessary to elucidate the mechanism of implantation failure for the purpose of increasing the rate of pregnancy and live birth.

In implantation studies, researchers have often used animals, especially mice.<sup>5,8</sup> Recent genetically engineered mouse models rendered valuable information about the detailed mechanisms in embryo implantation.<sup>10-12</sup> This article introduces the evidence of embryo implantation to help better understanding molecular mechanisms of embryo implantation.

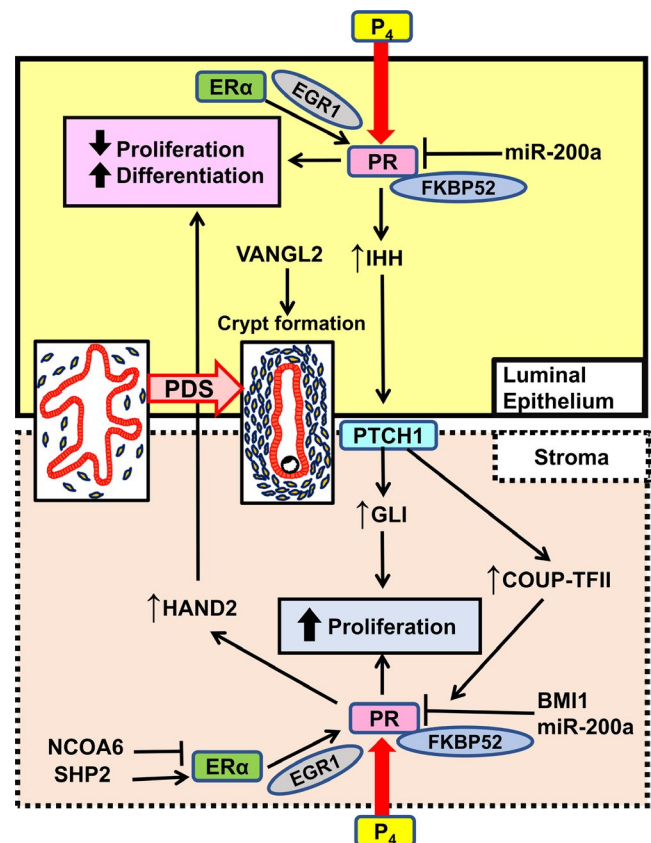
## 2 | HORMONAL CONTROL OF EMBRYO IMPLANTATION IN MICE

Progesterone ( $P_4$ ) plays a key role in each step of pregnancy.<sup>10-13</sup> After ovulation, ovarian corpus luteum secretes  $P_4$ . Luteolysis is inhibited by successful implantation, and corpus luteum keeps secreting  $P_4$ . In mice, vaginal plug is seen in the morning on the next day of mating and ovulation, and this day is defined as day 1 of pregnancy. The luminal epithelium proliferates prominently, and the uterus looks swollen under the influence of  $17\beta$ -estradiol ( $E_2$ ) surge. Serum  $P_4$  level is increased on day 3 of pregnancy because newly formed corpus luteum starts to produce  $P_4$  markedly after ovulation. By day 4 morning,  $P_4$  overcomes  $E_2$  as a dominant hormone and heightened  $P_4$  provides uterine receptivity to the embryo. The luminal epithelium declines to proliferate and concurrently differentiates; on the other hands, stroma starts to proliferate,<sup>14</sup> and this event is called as uterine proliferation-differentiation switching (PDS). A minor  $E_2$  surge with high circulating levels of  $P_4$  on late day 4 morning initiates embryo-uterine communications on day 4 evening. Dormant blastocysts are activated by  $E_2$ , and the uterus becomes receptive. Therefore, both receptive uterus and competent blastocysts are required for the molecular and cellular communications with each other under the influence of ovarian steroids.<sup>5,8</sup> Then, an intimate adherence of the trophectoderm to the luminal epithelium takes place on day 4 midnight. Stromal cells neighboring the blastocyst start differentiation, change their morphology into the epithelioid shape, and produce a new layer surrounding the blastocyst. This is the process of decidualization. The attachment reaction is accompanied by the increase in stromal vascular permeability at the site of the blastocyst, where can be visualized by Chicago blue dye solution which is injected intravenously. On day 5 evening, trophoblast cells enter the stromal layer of the endometrium. Thus, embryo implantation is completed.<sup>5,8,15</sup>

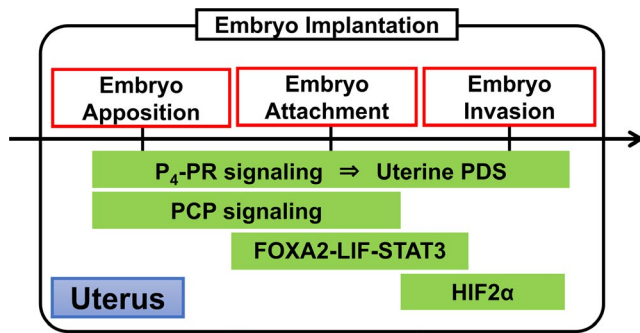
## 3 | UTERINE PDS AND RECEPTIVITY IN MICE

The following two components are essential for successful embryo implantation: a competent blastocyst and uterine receptivity. The latter is defined as a capacity to accept the competent blastocyst

in the uterus.<sup>5,8</sup> Low-quality embryo causes implantation failure.<sup>16</sup> The uterus with receptivity to the embryo shows a suitable uterine preparation with epithelial differentiation and stromal proliferation called as PDS, which is stimulated by ovarian steroids and a hallmark of uterine receptivity (Figure 1).<sup>14</sup> In this process,  $P_4$  changes the stromal morphology and this phenomenon is called "pre-decidualization".<sup>7</sup> Then, a spike of ovarian  $E_2$  with increased  $P_4$  converts the uterus into the receptive state. It is presumed that endometrium-derived factors endow dormant blastocysts with the competency for blastocyst attachment.<sup>5</sup> Once the blastocyst attaches to the endometrium, the receptive uterus enters the refractory state in which any competent blastocysts cannot adhere to the endometrium. This limited duration of uterine capacity for blastocyst attachment is called as "implantation window".<sup>7</sup> The luminal epithelium at the lateral side of the embryo attachment site detaches itself from the stroma and then trophoblast starts to invade the stroma, which is called "embryo invasion".<sup>7,15</sup> Thus, successful implantation



**FIGURE 1** Molecular pathways involved in uterine proliferation-differentiation switching (PDS). Progesterone,  $P_4$ ; progesterone receptor, PR; 52-kDa FK506 binding protein, FKBP52; microRNA-200a, miR-200a; Indian hedgehog, IHH; Van Gogh-like 2, VANG2; patched-1, PTCH1; COUP transcription factor 2, COUP-TFII; B lymphoma Mo-MLV insertion region 1 homolog, BMI1; nuclear receptor co-activator 6, NCOA6; SRC homology 2 domain-containing protein tyrosine phosphatase-2, SHP2; estrogen receptor  $\alpha$ , ER $\alpha$ ; early growth response protein 1, EGR1; heart and neural crest derivatives-expressed protein 2, HAND2



**FIGURE 2** Key signals and pathways in the multistep processes of embryo implantation. Progesterone, P<sub>4</sub>; progesterone receptor, PR; proliferation-differentiation switching, PDS; planar cell polarity, PCP; forkhead box protein A2, FOXA2; leukemia inhibitory factor, LIF; signal transducer and activator of transcription 3, STAT3; hypoxia-inducible factor 2 $\alpha$ , HIF2 $\alpha$

is controlled by uterine receptivity precisely, and uterine PDS is a major indicator of uterine receptivity.

#### 4 | P<sub>4</sub>-PR SIGNALING IN EMBRYO IMPLANTATION

In the clinical setting, progestin including P<sub>4</sub> improves implantation rate by supporting the function of corpus luteum; therefore, details of P<sub>4</sub> action should be clarified to develop new approaches to the infertility treatment.<sup>17</sup>

P<sub>4</sub> acts through P<sub>4</sub> receptor (PR), a nuclear receptor, transcriptionally controlling the P<sub>4</sub> responsive genes and the important pathways for pregnancy events, such as ovulation and implantation.<sup>5,18</sup> Studies using the mouse models targeting PR and its related molecules gradually revealed P<sub>4</sub> roles in pregnancy. PR null female mice are infertile due to ovulation failure,<sup>10</sup> indicating that P<sub>4</sub>-PR signaling is crucial for ovulation. Thus, PR knockout mouse is a useful model to analyze the molecular pathways in ovulation. However, this model cannot clarify the effects of P<sub>4</sub> on embryo implantation.

PR function is influenced by the stability of PR complex. Functionally, mature PR complex consists of a receptor monomer, a 90-kDa heat shock protein (Hsp90) dimer, a cochaperone p23, and one of four cochaperones which include tetratricopeptide repeat (TPR) that binds to Hsp90.<sup>19</sup> The immunophilin cochaperone 52-kDa FK506 binding protein (FKBP52) is one of such TPR-containing cochaperones, binding both Hsp90 and PR, stabilizing the structure of PR complex, and enhancing P<sub>4</sub>-PR signaling.<sup>12,19,20</sup> FKBP52 null mice are infertile specifically due to defected implantation resulting from the impairment of uterine receptivity. Deficiency of FKBP52 diminishes uterine P<sub>4</sub>-PR signaling. It does not break up the signal completely, because minimal binding of P<sub>4</sub> to PR is still alive.<sup>12,19,20</sup> Excessive P<sub>4</sub> administration can rescue uterine PR signaling in FKBP52 deficient mice on the CD1 background. This is not a remarkable aspect of PR knockout mice, but that of FKBP52 null mice.<sup>12</sup> Moreover, FKBP52 null mice show normal ovulation with normal

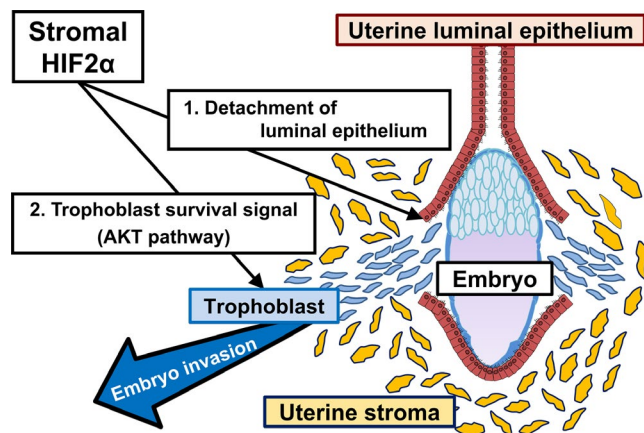
P<sub>4</sub> secretion.<sup>12</sup> Therefore, FKBP52 deficient mouse is a well-established unique model with uterine "P<sub>4</sub> resistance," which means that P<sub>4</sub> responsiveness is diminished, but is reversible with P<sub>4</sub> administration. Taken together, P<sub>4</sub>-PR signaling is a crucial pathway for embryo implantation.

#### 5 | P<sub>4</sub>-PR SIGNALING CONTROLS UTERINE PDS AND RECEPTIVITY

Uterine PDS in the receptive uterus is observed in humans as well as in mice.<sup>14</sup> Generally speaking, cell differentiation and poor cell proliferation can be observed simultaneously, and distinct switching between proliferation and differentiation occurs in many cell types.<sup>21-24</sup> Our previous study showed that FKBP52 null mice have continuous epithelial proliferation without enhanced stromal proliferation on day 4 morning, and these phenotypes are recovered by P<sub>4</sub> supplementation, indicating uterine P<sub>4</sub> resistance in FKBP52 knockout mice. PR antagonist RU486 injection in the peri-implantation period hampers uterine PDS and embryo implantation in wild-type (WT) mice.<sup>14</sup> According to the previous literature, implantation failure occurs in all types of mice with impaired uterine PDS.<sup>14,15,19,25-29</sup> PR has two isoforms, PR-A and PR-B. Previous studies demonstrated that PR-A is mainly associated with uterine function during pregnancy, contributing to uterine PDS.<sup>11,30</sup> In contrast, PR-B null mice have normal pregnancy outcome, which is presumed that PR-B does not play an important role for pregnancy process. These findings suggest that P<sub>4</sub>-PR-A signaling governs uterine receptivity by controlling uterine PDS (Figures 1 and 2).

#### 6 | APPROPRIATE BALANCE BETWEEN E<sub>2</sub> AND P<sub>4</sub> IS NECESSARY FOR UTERINE PDS AND RECEPTIVITY

The regulation of appropriate balance between E<sub>2</sub> and P<sub>4</sub> is a delicate mechanism to induce uterine PDS. In mice, a spike of E<sub>2</sub> secretion from ovary just before implantation strictly controls the "implantation window." Neither lack nor excess of E<sub>2</sub> level can open the implantation window.<sup>31</sup> In the condition of excess E<sub>2</sub>-estrogen receptor (ER) signaling in humans, implantation failure occurs at higher rates.<sup>32-35</sup> Abnormal balance between E<sub>2</sub>-ER signaling and P<sub>4</sub>-PR signaling leads to implantation failure in the mouse models other than FKBP52 deficient mice. In mice with uterine deficiency of nuclear receptor co-activator 2 (NCOA2), gene encoding steroid receptor co-activator 2 (SRC2), the disrupt of the optimization of PR function by NCOA2 causes implantation failure.<sup>36</sup> Although previous *in vitro* studies demonstrated that nuclear receptor co-activator 6 (NCOA6) interacts with ER $\alpha$  as a co-activator,<sup>37-40</sup> an *in vivo* study reported that NCOA6 does not work as co-activator but induces the ubiquitination and degradation of ER $\alpha$ , diminishing E<sub>2</sub>-ER signaling in the peri-implantation period<sup>27</sup> (Figure 1). By uterine deletion of NCOA6, ER $\alpha$  is accumulated and E<sub>2</sub> sensitivity is enhanced,



**FIGURE 3** Stromal HIF2 $\alpha$  regulates embryo invasion

resulting in aberrant  $E_2/P_4$  signaling balance and implantation failure.<sup>27</sup> Intriguingly, the treatment with ER antagonist ICI-182780 can rescue not only this hormonal signaling imbalance but also implantation failure.<sup>27</sup> Protein tyrosine phosphatase SHP2, classic cytoplasmic protein, is present mainly in the nucleus of endometrial cells during implantation, and nuclear SHP2 enhances SRC kinase-mediated ER $\alpha$  tyrosine phosphorylation, assists combining ER $\alpha$  with PR promoter, and proceeds the ER $\alpha$  transcription activity in the peri-implantation period<sup>28</sup> (Figure 1).

Uterine-specific deletion of signal transducer and activator of transcription 3 (STAT3), known as a downstream molecule of leukemia inhibitory factor (LIF) before implantation,<sup>41</sup> also induces implantation failure due to the effect of  $E_2$ -ER signaling rather than that of  $P_4$ -PR signaling in the peri-implantation period,<sup>42</sup> but the minute interaction between STAT3 and  $E_2/P_4$  signaling is not fully revealed.

A recent study of mouse models demonstrated that uterine ablation of the polycomb group gene BMI1, a component of the polycomb repressive complex-1 (PRC1), induces implantation failure due to uterine  $P_4$  responsiveness.<sup>29</sup> BMI1 interacts with PR and E3 ligase E6AP in a polycomb complex-independent manner and controls PR ubiquitination.<sup>29</sup> In women who had a spontaneous miscarriage, low BMI1 expression in endometrium is correlated with poor PR responsiveness.<sup>29</sup> Thus, BMI1 controls uterine PR function under the post-transcriptional modification and contributes to successful embryo implantation in mice and humans (Figure 1).

## 7 | $P_4$ -INDUCED UTERINE PDS IS MODIFIED BY HAND2, IHH, AND EGR1

Heart and neural crest derivatives-expressed protein 2 (HAND2), one of basic helix-loop-helix transcription factors, in the uterine stroma, influences  $P_4$ -PR signaling and hampers epithelial proliferation by blocking the stromal expression of fibroblast growth factor, whereas it does not have effect on stromal proliferation.<sup>26</sup> HAND2 has a role of a blocker of epithelial  $E_2$  signaling, permitting the preparation of the uterine epithelium for embryo implantation.

Uterine deletion of HAND2 leads to impairment of embryo attachment, suggesting that HAND2 in the stroma regulates embryo attachment through the process of epithelial differentiation induced by  $P_4$  (Figure 1).

Indian hedgehog (IHH) is a downstream factor of PR and is highly expressed in the uterine luminal epithelium of WT mice just before embryo attachment, and also in the endometrium of humans with the progestin treatment.<sup>43-45</sup> IHH works through its receptor patched-1 (PTCH1), localized in the uterine stroma, and prompts to stromal proliferation.<sup>43-45</sup> The downstream targets of IHH pathway are GLI, which is one of transcriptional factors, and a nuclear receptor chicken ovalbumin upstream promoter-transcription factor (COUP-TFII).<sup>43,46</sup> GLI may contribute to stromal proliferation,<sup>43</sup> and COUP-TFII may keep the appropriate balance between the ER and PR signaling<sup>46</sup> (Figure 1). These findings suggest the presence of complicated but regulated interaction between uterine epithelium and stroma under hormonal control.

Another recent study showed that early growth response 1 (EGR1) null female mice are completely infertile due to implantation failure.<sup>47</sup> EGR1 belongs to the EGR family of zinc finger transcription factors which participate in the regulation of cell proliferation, differentiation, and apoptosis.<sup>48,49</sup> EGR1 is induced in both epithelial cells and stromal cells by  $E_2$  through the ER $\alpha$ -ERK1/2 pathway in the uterus<sup>50</sup> (Figure 1) and also induced in the subluminal stromal cells surrounding the implanting blastocyst.<sup>50,51</sup> In EGR1 null mice, the expression of PR in epithelial cells is aberrantly reduced,  $E_2$  activity is enhanced, and  $P_4$  response is impaired.<sup>47</sup> Furthermore, the uterus of EGR1 null mice demonstrated continuous proliferation of luminal epithelial cells and poor proliferation of stromal cells,<sup>47</sup> indicating that impaired uterine PDS in EGR1 null mice. These findings suggest that  $E_2$  induces EGR1 to fine-tune its actions on uterine epithelium by controlling  $P_4$ -PR signaling in order to acquire uterine receptivity.<sup>47</sup>

## 8 | UTERINE MICRORNA REGULATES $P_4$ -PR SIGNALING AND PDS EPIGENETICALLY

We previously demonstrated that PDS takes place in a spatial manner, between the uterine corpus and cervix.<sup>14</sup> The place where blastocyst implantation occurs under the normal pregnancy is the endometrium in the uterine corpus, but not the uterine cervix. In the peri-implantation period, PDS is recognized in the mouse uterine corpus, but not in the uterine cervix. The human endometrium in the uterine corpus also exhibits dynamic PDS from the proliferative phase to the secretory phase, while the human uterine cervix does not show any significant changes of the proliferation status.<sup>14</sup> Based on these findings, we speculated the presence of distinct regulation system of  $P_4$ -PR signaling between the uterine corpus and cervix. Interestingly, we found that  $P_4$ -PR signaling is down-regulated in the uterine cervix by microRNA (miR)-200a in two separate pathways. First, decrease in miR-200a reduces the expression levels of PR protein by post-transcriptional regulation.<sup>14</sup> Second, miR-200a

up-regulates 20 $\alpha$ -hydroxysteroid dehydrogenase (20 $\alpha$ -HSD), a P<sub>4</sub>-metabolizing enzyme, through down-regulation of STAT5, consistent with previous reports,<sup>52</sup> indicating that miR-200a reduces local concentration of P<sub>4</sub> in the uterine cervix. Moreover, we demonstrated that miR-200a expression is down-regulated at the receptive endometrium in the uterine corpus rather than the pre-receptive one, suggesting that miR-200a contributes to successful implantation through the regulation of uterine P<sub>4</sub>-PR signaling (Figure 1).

## 9 | EMBRYO ATTACHMENT IS REGULATED BY UTERINE FOXA2-LIF PATHWAY AND PLANAR CELL POLARITY (PCP) SIGNALING

Forkhead Box A2 (FOXA2) controls embryo attachment,<sup>53</sup> and Vang-like protein 2 (VANGL2) induces crypt formation of implantation sites and appropriate embryo attachment.<sup>54</sup> As described above, E<sub>2</sub> is an initiator for embryo attachment. Leukemia inhibitory factor (LIF), an interleukin-6 (IL-6) family cytokine, is produced by endometrial glands in response to E<sub>2</sub> secreted by ovaries and has a very important role in embryo attachment. In a delayed implantation mouse model, which is ovariectomized on day 4 of pregnancy and received hormone supplementation later, LIF causes embryo attachment instead of E<sub>2</sub>.<sup>55</sup> A recent study revealed that FOXA2 is expressed in the uterine glandular epithelium and essential for uterine glands development in neonatal mice.<sup>53</sup> FOXA2 deletion in the entire uterus and in the epithelium causes complete loss of uterine gland, and embryo attachment failure due to LIF reduction, respectively.<sup>53</sup> Attachment failure in the latter mice is recovered by LIF supplementation.<sup>53</sup> Taken together, E<sub>2</sub>-FOXA2-LIF pathway has a critical role in embryo attachment (Figure 2).

In mice, embryo attachment occurs at the bottom of crypts, which originate as epithelial evaginations from the main lumen at orderly spaced intervals.<sup>54</sup> However, the mechanism of epithelial evaginations was not clarified. Planar cell polarity (PCP) is known as a controller which directs actin-dependent morphogenetic cell movement to polarize structures in a wide range of settings.<sup>56</sup> A recent study showed that VANGL2, which is a core PCP component and works to execute PCP signaling in collaboration with many other molecules, has a crucial role in uterine crypt formation and embryo attachment<sup>54</sup> (Figure 1). The litter size is significantly reduced in mice with uterine VANGL2 deletion. Uterine deletion of VANGL2 confers aberrant PCP signaling, misdirected epithelial evaginations, defective crypt formation, and embryo attachment, leading to severely compromised pregnancy outcomes.<sup>54</sup> These findings suggest that PCP signaling is crucial for embryo implantation (Figure 2).

## 10 | EMBRYO INVASION IS REGULATED BY HIF2 $\alpha$ IN THE STROMA

The mechanisms of embryo invasion have not been elucidated. Since the surface of the endometrium is far from uterine blood

vessels, it is possible that oxygen concentration in the luminal epithelium is relatively low compared with the inner endometrium.<sup>57</sup> Therefore, it is speculated that the surface of endometrium is in hypoxic state during embryo implantation. Hypoxia-inducible factor (HIF) is a common transcriptional factor induced by low oxygen tension.<sup>58</sup> In mice, uterine HIF2 $\alpha$  expression is intense during peri-implantation period.<sup>59</sup> We recently revealed that entire uterine deletion of HIF2 $\alpha$  results in implantation failure due to embryo invasion failure in mice<sup>15</sup> (Figure 2). Supplementation of both P<sub>4</sub> and LIF does not rescue embryo invasion but recovers decidual growth arrest and inappropriate location of implantation site in uterine HIF2 $\alpha$  knockout mice. Notably, embryo invasion failure in uterine HIF2 $\alpha$  null mice is caused by the intact alignment of luminal epithelium, which hampers direct attachment of embryo to uterine stroma, and inactivation of AKT pathway as an embryonic survival signal.<sup>15</sup> Uterine stromal HIF2 $\alpha$  knockout mice are infertile due to impaired embryo invasion, whereas uterine epithelial HIF2 $\alpha$  knockout mice demonstrate normal fertility, indicating the critical role of uterine stromal HIF2 $\alpha$  in embryo invasion. This study offers new insight that stromal HIF2 $\alpha$  controls trophoblast invasion into the endometrium through detachment of luminal epithelium and activation of an embryonic survival signal (Figure 3). Ultimately, we could discover HIF2 $\alpha$  as a novel factor controlling embryo invasion (Figure 2).

## 11 | CONCLUSION

The number of women who conceived by IVF-ET increased markedly for years. To improve fertility rate in IVF-ET treatment, there remain many issues to be solved, such as recurrent implantation failure despite transfer of good-quality embryos.<sup>6,60</sup> Implantation failure accounts for a major cause of unexplained infertility, and to date, no efficient treatments exist. Many molecules functioning within the very limited duration are associated with the formation of implantation window, and fundamental research is necessary for elucidating the mechanisms of implantation failure and for establishing its effective treatments. "P<sub>4</sub> resistance" is one of the possible mechanisms of implantation failure.<sup>12,19</sup> P<sub>4</sub> supplementation treatment for infertility patients is common in humans, and its effectiveness on patients with luteal insufficiency is established.<sup>17</sup> However, even P<sub>4</sub> supplementation cannot rescue the infertility caused by implantation failure. Accordingly, the present treatment cannot cure patients with severe P<sub>4</sub> resistance.

Recent mouse studies revealed that embryo implantation contains multistep processes: uterine receptivity, embryo attachment, and embryo invasion. We consider that implantation failure in humans may be often caused by uterine factors with little relation to P<sub>4</sub>-PR signaling involved in each process of embryo implantation such as embryo attachment and embryo invasion, and these patients are out of control of P<sub>4</sub> supplementation. We believe that this concept of multistep processes in embryo implantation must help us to develop novel approaches to infertility and contraception.



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## DISCLOSURES

**Conflict of interest:** The authors declare that they have no conflict of interest. **Human/animal rights:** This article does not contain any studies with human and animal subjects performed by the any of the authors.

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