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On the distance α -spectral radius of a connected graph

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Abstract

For a connected graph G and $\alpha \in [0, 1)$, the distance α -spectral radius of G is the spectral radius of the matrix $D_\alpha(G)$ defined as $D_\alpha(G) = \alpha T(G) + (1 - \alpha)D(G)$, where $T(G)$ is a diagonal matrix of vertex transmissions of G and $D(G)$ is the distance matrix of G . We give bounds for the distance α -spectral radius, especially for graphs that are not transmission regular, propose local graft transformations that decrease or increase the distance α -spectral radius, and determine the graphs that minimize and maximize the distance α -spectral radius among several families of graphs.

MSC: 05C50; 05C12

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1 Introduction

We consider simple and undirected graphs. Let G be a connected graph of order n with vertex set $V(G)$ and edge set $E(G)$. For $u, v \in V(G)$, the distance between u and v in G , denoted by $d_G(u, v)$ or simply d_{uv} if the graph G is clear from the context, is the length of a shortest path from u to v in G . The distance matrix of G is the $n \times n$ matrix $D(G) = (d_G(u, v))_{u, v \in V(G)}$. For $u \in V(G)$, the transmission of u in G , denoted by $T_G(u)$, is defined as the sum of distances from u to all other vertices of G , i.e., $T_G(u) = \sum_{v \in V(G)} d_G(u, v)$. The transmission matrix $T(G)$ of G is the diagonal matrix of transmissions of G . Then $Q(G) = T(G) + D(G)$ is the distance signless Laplacian matrix of G , proposed recently in [1]. Arisen from a data communication problem, the spectrum of the distance matrix was studied by Graham and Pollack [12] in 1971, early related work may be found also in [10, 11], and now it has been studied extensively, see the recent survey [2] and the very recent papers [4, 5, 17, 18, 26]. The distance signless Laplacian spectrum has also received much attention, see, e.g., [1, 3, 4, 7, 15, 16, 29].

Throughout this paper we assume that $\alpha \in [0, 1)$. Motivated by the work of Nikiforov [22], we consider the convex combinations $D_\alpha(G)$ of $T(G)$ and $D(G)$, defined as

$$D_\alpha(G) = \alpha T(G) + (1 - \alpha)D(G),$$

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see [6]. Evidently, $D_0(G) = D(G)$ and $2D_{1/2}(G) = Q(G)$. We call the eigenvalues of $D_\alpha(G)$ the distance α -eigenvalues of G . As $D_\alpha(G)$ is a symmetric matrix, the distance α -eigenvalues of G are all real, which are denoted by $\mu_\alpha^{(1)}(G), \dots, \mu_\alpha^{(n)}(G)$, arranged in nonincreasing order, where $n = |V(G)|$. The largest distance α -eigenvalue $\mu_\alpha^{(1)}(G)$ of G is called the distance α -spectral radius of G , written as $\mu_\alpha(G)$. Obviously, $\mu_0^{(1)}(G), \dots, \mu_0^{(n)}(G)$ are the distance eigenvalues of G , and $2\mu_{1/2}^{(1)}(G), \dots, 2\mu_{1/2}^{(n)}(G)$ are the distance signless Laplacian eigenvalues of G . Particularly, $\mu_0(G)$ is just the distance spectral radius [2] and $2\mu_{1/2}(G)$ is just the distance signless Laplacian spectral radius of G [1].

In this paper, we give sharp bounds for the distance α -spectral radius, and particularly an upper bound for the distance α -spectral radius of connected graphs that are not transmission regular, and propose some types of graft transformations that decrease or increase the distance α -spectral radius. We also determine the unique graphs with minimum distance α -spectral radius among trees and unicyclic graphs, respectively, as well as the unique graphs (trees) with maximum and second maximum distance α -spectral radii, and the unique graph with maximum distance α -spectral radius among connected graphs with given clique number, and among odd-cycle unicyclic graphs, respectively.

2 Preliminaries

Let G be a connected graph with $V(G) = \{v_1, \dots, v_n\}$. A column vector $x = (x_{v_1}, \dots, x_{v_n})^T \in \mathbb{R}^n$ can be considered as a function defined on $V(G)$ which maps vertex v_i to x_{v_i} , i.e., $x(v_i) = x_{v_i}$ for $i = 1, \dots, n$. Then

$$x^T D_\alpha(G)x = \alpha \sum_{u \in V(G)} T_G(u)x_u^2 + 2 \sum_{\{u,v\} \subseteq V(G)} (1 - \alpha)d_G(u, v)x_u x_v,$$

or equivalently,

$$x^T D_\alpha(G)x = \sum_{\{u,v\} \subseteq V(G)} d_G(u, v)(\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v).$$

Since $D_\alpha(G)$ is a nonnegative irreducible matrix, by the Perron–Frobenius theorem, $\mu_\alpha(G)$ is simple and there is a unique positive unit eigenvector corresponding to $\mu_\alpha(G)$, which is called the distance α -Perron vector of G . If x is the distance α -Perron vector of G , then for each $u \in V(G)$,

$$\mu_\alpha(G)x_u = \sum_{v \in V(G)} d_G(u, v)(\alpha x_u + (1 - \alpha)x_v),$$

which is called the α -equation of G at u . For a unit column vector $x \in \mathbb{R}^n$ with at least one nonnegative entry, by Rayleigh’s principle, we have $\mu_\alpha(G) \geq x^T D_\alpha(G)x$ with equality if and only if x is the distance α -Perron vector of G .

As in [27], we have the following result.

Lemma 2.1 *Suppose that G is a connected graph, η is an automorphism of G , and x is the distance α -Perron vector of G . Then for $u, v \in V(G)$, $\eta(u) = v$ implies that $x_u = x_v$.*

Proof Let $P = (p_{uv})_{u,v \in V(G)}$ be the permutation matrix such that $p_{vu} = 1$ if and only if $\eta(u) = v$ for $u, v \in V(G)$. We have $D_\alpha(G) = P^T D_\alpha(G)P$ and Px is a positive unit vector. Thus

$\mu_\alpha(G) = x^\top D_\alpha(G)x = (Px)^\top D_\alpha(G)(Px)$, implying Px is also the distance α -Perron vector of G . Thus $Px = x$, and the result follows. \square

Let G be a graph. For $v \in V(G)$, let $N_G(v)$ be the set of neighbors of v in G , and $\deg_G(v)$ be the degree of v in G . Let $G - v$ be the subgraph of G obtained by deleting v and all edges containing v . For $S \subseteq V(G)$, let $G[S]$ be the subgraph of G induced by S . For a subset E' of $E(G)$, $G - E'$ denotes the graph obtained from G by deleting all the edges in E' , and in particular, we write $G - xy$ instead of $G - \{xy\}$ if $E' = \{xy\}$. Let \overline{G} be the complement of G . For a subset E' of $E(\overline{G})$, denote $G + E'$ the graph obtained from G by adding all edges in E' , and in particular, we write $G + xy$ instead of $G + \{xy\}$ if $E' = \{xy\}$.

For a nonnegative square matrix A , the Perron–Frobenius theorem implies that A has an eigenvalue that is equal the maximum modulus of all its eigenvalues; this eigenvalue is called the spectral radius of A , denoted by $\rho(A)$. Note that $\mu_\alpha(G) = \rho(D_\alpha(G))$ for a connected graph G .

Restating Corollary 2.2 in [20, p. 38], we have

Lemma 2.2 ([20]) *Suppose that A and B are square nonnegative matrices, A is irreducible, and $A - B$ is nonnegative but nonzero. Then $\rho(A) > \rho(B)$.*

By Lemma 2.2, we have

Lemma 2.3 *Suppose that G is a connected graph with $u, v \in \overline{V(G)}$, and u and v are not adjacent. Then $\mu_\alpha(G + uv) < \mu_\alpha(G)$.*

The transmission of a connected graph G , denoted by $\sigma(G)$, is the sum of distances between all unordered pairs of vertices in G . Clearly, $\sigma(G) = \frac{1}{2} \sum_{v \in V(G)} T_G(v)$. A graph is said to be transmission regular if $T_G(v)$ is a constant for each $v \in V(G)$. By Rayleigh’s principle, we have

Lemma 2.4 *Suppose that G is a connected graph of order n . Then $\mu_\alpha(G) \geq \frac{2\sigma(G)}{n}$ with equality if and only if G is transmission regular.*

For an $n \times n$ nonnegative matrix $A = (a_{ij})$, let r_i be the i th row sum of A , i.e., $r_i = \sum_{j=1}^n a_{ij}$ for $i = 1, \dots, n$, and let r_{\min} and r_{\max} be the minimum and maximum row sums of A , respectively.

Lemma 2.5 ([3]) *Let $A = (a_{ij})$ be an $n \times n$ nonnegative matrix with row sums r_1, \dots, r_n . Let $S = \{1, \dots, n\}$, $r_{\min} = r_p$, $r_{\max} = r_q$ for some p and q with $1 \leq p, q \leq n$, $\ell = \max\{r_i - a_{ip} : i \in S \setminus \{p\}\}$, $m = \min\{r_i - a_{iq} : i \in S \setminus \{q\}\}$, $s = \max\{a_{ip} : i \in S \setminus \{p\}\}$ and $t = \min\{a_{iq} : i \in S \setminus \{q\}\}$. Then*

$$\begin{aligned} & \frac{a_{qq} + m + \sqrt{(m - a_{qq})^2 + 4t(r_{\max} - a_{qq})}}{2} \\ & \leq \rho(A) \\ & \leq \frac{a_{pp} + \ell + \sqrt{(\ell - a_{pp})^2 + 4s(r_{\min} - a_{pp})}}{2}. \end{aligned}$$

Moreover, the first equality holds if $r_i - a_{iq} = m$ and $a_{iq} = t$ for all $i \in S \setminus \{q\}$, and the second equality holds if $r_i - a_{ip} = \ell$ and $a_{ip} = s$ for all $i \in S \setminus \{p\}$.

Let $J_{s \times t}$ be the $s \times t$ matrix of all 1's, $0_{s \times t}$ the $s \times t$ matrix of all 0's, and I_s the identity matrix of order s .

Let $K_n, P_n,$ and S_n be the complete graph, the path, and the star of order n , respectively. Let C_n denote the cycle of order $n \geq 3$.

For a connected graph G , let $T_{\min}(G)$ and $T_{\max}(G)$ be the minimum and maximum transmissions of G , respectively.

3 Bounds for the distance α -spectral radius

Let G be a connected graph of order n . Note that $D_\alpha(K_n) = \alpha(n - 1)I_n + (1 - \alpha)(J_{n \times n} - I_n)$, and thus $\mu_\alpha(K_n) = n - 1$. By Lemma 2.3, we have $\mu_\alpha(G) \geq n - 1$ with equality if and only if $G \cong K_n$.

If (d_1, \dots, d_n) is the nonincreasing degree sequence of a graph G of order at least 2, then d_1 (resp. d_2) is the maximum (resp. second maximum) degree, d_n (resp. d_{n-1}) is the minimum (resp. second minimum) degree of G . The diameter of G is the maximum distance between all vertex pairs of G . Using techniques from [33] by considering the first two minima or maxima of the entries of the distance α -Perron vector, we may prove the following lower and upper bounds: If G is a connected graph of order $n \geq 2$ with maximum degree Δ and second maximum degree Δ' , then

$$\mu_\alpha(G) \geq \frac{1}{2}(\alpha(4n - 4 - \Delta - \Delta') + \sqrt{\alpha^2(4n - 4 - \Delta - \Delta')^2 - 4(2\alpha - 1)(2n - 2 - \Delta)(2n - 2 - \Delta')})$$

with equality if and only if G is regular with diameter at most 2. If G is a connected graph of order $n \geq 2$ with minimum degree δ and second minimum degree δ' , then

$$\mu_\alpha(G) \leq \frac{1}{2}(\alpha(2dn - 2 - (d - 1)(d + \delta + \delta')) + \sqrt{\alpha^2(2dn - 2 - (d - 1)(d + \delta + \delta'))^2 - 4(2\alpha - 1)SS'})$$

with equality if and only if G is regular with $d \leq 2$, where d is the diameter of G , $S = dn - \frac{d(d-1)}{2} - 1 - \delta(d - 1)$ and $S' = dn - \frac{d(d-1)}{2} - 1 - \delta'(d - 1)$. The proof of the above bounds may be found in the early version of this paper at [arXiv:1901.10180](https://arxiv.org/abs/1901.10180).

Similarly, bounds for the distance α -spectral radius for connected bipartite graphs may be obtained as in [33].

A connected graph G of order n is distinguished vertex deleted regular (DVDR) if there is a vertex v of degree $n - 1$ such that $G - v$ is regular. By the techniques in [3], we have the following bounds. For completeness, we include a proof here.

Theorem 3.1 *Let G be a connected graph and u and v be vertices such that $T_G(u) = T_{\min}(G)$ and $T_G(v) = T_{\max}(G)$. Let $m_1 = \max\{T_G(w) - (1 - \alpha)d(u, w) : w \in V(G) \setminus \{u\}\}$, $m_2 = \min\{T_G(w) - (1 - \alpha)d(v, w) : w \in V(G) \setminus \{v\}\}$, and $e(w) = \max\{d(w, z) : z \in V(G)\}$ for*

$w \in V(G)$. Then

$$\begin{aligned} & \frac{m_2 + \alpha T_{\max}(G) + \sqrt{(m_2 - \alpha T_{\max}(G))^2 + 4(1 - \alpha)^2 T_{\max}(G)}}{2} \\ & \leq \mu_\alpha(G) \\ & \leq \frac{m_1 + \alpha T_{\min}(G) + \sqrt{(m_1 - \alpha T_{\min}(G))^2 + 4(1 - \alpha)^2 e(u) T_{\min}(G)}}{2}. \end{aligned}$$

The first equality holds if and only if G is a complete graph and the second equality holds if and only if G is a DVDR graph.

Proof Let M be the submatrix of $D_\alpha(G)$ obtained by deleting the row and column corresponding to vertex v . Let M' be the matrix obtained from M by reducing some nondiagonal entries of each row with row sum greater than m_2 in M such that M' is nonnegative and each row sum in M' is m_2 .

Let $D^{(1)}$ be the matrix obtained from $D_\alpha(G)$ by replacing all (w, v) -entries by $1 - \alpha$ for $w \in V(G) \setminus \{v\}$, and replacing the submatrix M by M' . Obviously, $D_\alpha(G)$ and $D^{(1)}$ are nonnegative and irreducible, and $D_\alpha(G) \geq D^{(1)}$. By Lemma 2.2, we have $\mu_\alpha(G) \geq \rho(D^{(1)})$ with equality if and only if $D_\alpha(G) = D^{(1)}$. By applying Lemma 2.5 to $D^{(1)}$, we obtain the lower bound for $\mu_\alpha(G)$. Suppose that this lower bound is attained. Then $D_\alpha(G) = D^{(1)}$. As all (w, v) -entries are equal to $1 - \alpha$ for $w \in V(G) \setminus \{v\}$, implying $\deg_G(v) = n - 1$. As $T_G(v) = T_{\max}(G)$, G is a complete graph. Conversely, if G is a complete graph, then it is obvious that the lower bound for $\mu_\alpha(G)$ is attained.

Let C be the submatrix of $D_\alpha(G)$ obtained by deleting the row and column corresponding to vertex u . Let C' be the matrix obtained from C by adding positive numbers to nondiagonal entries of each row with row sum less than m_1 in C such that each row sum in C' is m_1 . Let $D^{(2)}$ be the matrix obtained from $D_\alpha(G)$ by replacing all (w, u) -entries by $(1 - \alpha)e(u)$ for $w \in V(G) \setminus \{u\}$, and replacing the submatrix C by C' . Note that $D_\alpha(G)$ and $D^{(2)}$ are nonnegative and irreducible, and $D^{(2)} \geq D_\alpha(G)$. By Lemma 2.2, $\mu_\alpha(G) \leq \rho(D^{(2)})$ with equality if and only if $D_\alpha(G) = D^{(2)}$. By applying Lemma 2.5 to $D^{(2)}$, we obtain the upper bound for $\mu_\alpha(G)$.

Suppose that this upper bound is attained. By Lemma 2.2, $D_\alpha(G) = D^{(2)}$. As all (w, u) -entries are equal to $(1 - \alpha)e(u)$ for $w \in V(G) \setminus \{u\}$, implying $e(u) = 1$, i.e., $\deg_G(u) = n - 1$. Note that $T_G(w) = m_1 + 1 - \alpha$ for all $w \in V(G) \setminus \{u\}$ and $T_{\min}(G) = T_G(u) = n - 1$. If $m_1 + 1 - \alpha = n - 1$, then G is a complete graph, which is a DVDR graph. Otherwise, $m_1 + 1 - \alpha > n - 1$.

Recall from [3] that an incomplete connected graph of order n is a DVDR graph if and only if except one vertex of degree $n - 1$ each other vertex has the same transmission. Thus, the upper bound for $\mu_\alpha(G)$ is attained if and only if G is a DVDR graph. \square

We mention that more bounds for $\mu_\alpha(G)$ may be derived even from some known bounds for nonnegative matrices, see, e.g., [9].

Let G be a connected graph of order n . Let $\Lambda = T_{\max}(G)$. As $\mu_\alpha(G) \leq \Lambda$ with equality if and only if G is transmission regular. For a connected non-transmission-regular graph G of order n , Liu et al. [19] showed that

$$\mu_0(G) < \Lambda - \frac{n\Lambda - 2\sigma(G)}{(n\Lambda - 2\sigma(G) + 1)n}$$

and

$$\mu_{1/2}(G) < \Lambda - \frac{n\Lambda - 2\sigma(G)}{(2(n\Lambda - 2\sigma(G)) + 1)n}.$$

Note that $4\sigma(G) < n^2\Lambda$. We show new bounds as follows:

$$\mu_0(G) < \Lambda - \frac{n\Lambda - 2\sigma(G)}{(n\Lambda - 2\sigma(G))\frac{4\sigma(G)}{n\Lambda} + n}$$

and

$$\mu_{1/2}(G) < \Lambda - \frac{n\Lambda - 2\sigma(G)}{(n\Lambda - 2\sigma(G))\frac{8\sigma(G)}{n\Lambda} + n}.$$

Instead of proving the two inequalities, we prove the following somewhat general result.

Theorem 3.2 *Let G be a connected non-transmission-regular graph of order n . Then*

$$\mu_\alpha(G) < \Lambda - \frac{(1 - \alpha)n\Lambda(n\Lambda - 2\sigma(G))}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)n^2\Lambda},$$

where $\Lambda = T_{\max}(G)$.

Proof Let x be the α -Perron vector of G . Denote by $x_u = \max\{x_w : w \in V(G)\}$ and $x_v = \min\{x_w : w \in V(G)\}$. Since G is not transmission regular, we have $x_u > x_v$, and thus

$$\begin{aligned} \mu_\alpha(G) &= x^\top D_\alpha(G)x \\ &= \alpha \sum_{w \in V(G)} T_G(w)x_w^2 + 2(1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}x_w x_z \\ &< 2\alpha\sigma(G)x_u^2 + 2(1 - \alpha)\sigma(G)x_u^2, \end{aligned}$$

implying that $x_u^2 > \frac{\mu_\alpha(G)}{2\sigma(G)}$. Note that

$$\begin{aligned} \Lambda - \mu_\alpha(G) &= \Lambda - \alpha \sum_{w \in V(G)} T_G(w)x_w^2 - 2(1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}x_w x_z \\ &= \sum_{w \in V(G)} (\Lambda - T_G(w))x_w^2 + (1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \\ &\geq \sum_{w \in V(G)} (\Lambda - T_G(w))x_v^2 + (1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \\ &= (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2. \end{aligned}$$

We need to estimate $\sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2$. Let $P = w_0w_1 \dots w_\ell$ be a shortest path connecting u and v , where $w_0 = u$, $w_\ell = v$, and $\ell \geq 1$. Obviously,

$$\sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \geq N_1 + N_2,$$

where $N_1 = \sum_{w \in V(G) \setminus V(P)} \sum_{z \in V(P)} d_{wz}(x_w - x_z)^2$ and $N_2 = \sum_{\{w,z\} \subseteq V(P)} d_{wz}(x_w - x_z)^2$. For $w \in V(G) \setminus V(P)$, by the Cauchy–Schwarz inequality, we have

$$d_{wu}(x_w - x_u)^2 + d_{wv}(x_w - x_v)^2 \geq (x_w - x_u)^2 + (x_w - x_v)^2 \geq \frac{1}{2}(x_u - x_v)^2,$$

and thus

$$\begin{aligned} N_1 &\geq \sum_{w \in V(G) \setminus V(P)} (d_{wu}(x_w - x_u)^2 + d_{wv}(x_w - x_v)^2) \\ &\geq \sum_{w \in V(G) \setminus V(P)} \frac{1}{2}(x_u - x_v)^2 \\ &= \frac{n - \ell - 1}{2}(x_u - x_v)^2. \end{aligned}$$

For $1 \leq i \leq \ell - 1$ and $\ell \geq 2$, by the Cauchy–Schwarz inequality, we have

$$\begin{aligned} &d_{w_0w_i}(x_{w_0} - x_{w_i})^2 + d_{w_iw_\ell}(x_{w_i} - x_{w_\ell})^2 \\ &\geq \min\{i, \ell - i\}((x_{w_0} - x_{w_i})^2 + (x_{w_i} - x_{w_\ell})^2) \\ &\geq \min\{i, \ell - i\} \cdot \frac{1}{2}(x_{w_0} - x_{w_\ell})^2 \\ &= \frac{1}{2} \min\{i, \ell - i\}(x_u - x_v)^2, \end{aligned}$$

and thus

$$\begin{aligned} N_2 &\geq d_{uv}(x_u - x_v)^2 + \sum_{i=1}^{\ell-1} (d_{w_0w_i}(x_{w_0} - x_{w_i})^2 + d_{w_iw_\ell}(x_{w_i} - x_{w_\ell})^2) \\ &\geq \ell(x_u - x_v)^2 + \sum_{i=1}^{\ell-1} \frac{1}{2} \min\{i, \ell - i\}(x_u - x_v)^2 \\ &= \left(\ell + \frac{1}{2} \sum_{i=1}^{\ell-1} \min\{i, \ell - i\} \right) (x_u - x_v)^2 \\ &= \begin{cases} \frac{\ell^2+8\ell}{8}(x_u - x_v)^2 & \text{if } \ell \text{ is even,} \\ \frac{\ell^2+8\ell-1}{8}(x_u - x_v)^2 & \text{if } \ell \text{ is odd.} \end{cases} \end{aligned}$$

Case 1. u and v are adjacent, i.e., $\ell = 1$.

In this case, we have

$$\begin{aligned} \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 &\geq N_1 + N_2 \\ &\geq \frac{n - 1 - 1}{2}(x_u - x_v)^2 + (x_u - x_v)^2 \\ &= \frac{n}{2}(x_u - x_v)^2. \end{aligned}$$

Thus

$$\begin{aligned} \Lambda - \mu_\alpha(G) &\geq (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \\ &\geq (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \frac{n}{2}(x_u - x_v)^2. \end{aligned}$$

Viewed as a function of x_v , $(n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \frac{n}{2}(x_u - x_v)^2$ achieves its minimum value $\frac{(1-\alpha)n(n\Lambda-2\sigma(G))}{2(n\Lambda-2\sigma(G))+(1-\alpha)n}x_u^2$. Recall that $x_u^2 > \frac{\mu_\alpha(G)}{2\sigma(G)}$. Then we have

$$\begin{aligned} \Lambda - \mu_\alpha(G) &> \frac{(1 - \alpha)n(n\Lambda - 2\sigma(G))}{2(n\Lambda - 2\sigma(G)) + (1 - \alpha)n} \cdot \frac{\mu_\alpha(G)}{2\sigma(G)} \\ &= \frac{(1 - \alpha)n(n\Lambda - 2\sigma(G))\Lambda}{2\sigma(G)(2(n\Lambda - 2\sigma(G)) + (1 - \alpha)n)} \\ &\quad - \frac{(1 - \alpha)n(n\Lambda - 2\sigma(G))(\Lambda - \mu_\alpha(G))}{2\sigma(G)(2(n\Lambda - 2\sigma(G)) + (1 - \alpha)n)}, \end{aligned}$$

which implies that

$$\Lambda - \mu_\alpha(G) > \frac{(1 - \alpha)n\Lambda(n\Lambda - 2\sigma(G))}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)n^2\Lambda}.$$

Case 2. u and v are not adjacent, i.e., $\ell \geq 2$.

Suppose first that ℓ is even. Then

$$\begin{aligned} \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 &\geq N_1 + N_2 \\ &\geq \frac{n - \ell - 1}{2}(x_u - x_v)^2 + \frac{\ell^2 + 8\ell}{8}(x_u - x_v)^2 \\ &= \frac{\ell^2 + 4\ell + 4n - 4}{8}(x_u - x_v)^2. \end{aligned}$$

Thus

$$\begin{aligned} \Lambda - \mu_\alpha(G) &\geq (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \\ &\geq (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \frac{\ell^2 + 4\ell + 4n - 4}{8}(x_u - x_v)^2. \end{aligned}$$

Viewed as a function of x_v , $(n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha) \frac{\ell^2 + 4\ell + 4n - 4}{8}(x_u - x_v)^2$ achieves its minimum value $\frac{(1-\alpha)(n\Lambda-2\sigma(G))(\ell^2+4\ell+4n-4)}{8(n\Lambda-2\sigma(G))+(1-\alpha)(\ell^2+4\ell+4n-4)}x_u^2$. As $x_u^2 > \frac{\mu_\alpha(G)}{2\sigma(G)}$, we have

$$\Lambda - \mu_\alpha(G) > \frac{(1 - \alpha)(n\Lambda - 2\sigma(G))(\ell^2 + 4\ell + 4n - 4)}{(1 - \alpha)(\ell^2 + 4\ell + 4n - 4) + 8(n\Lambda - 2\sigma(G))} \cdot \frac{\mu_\alpha(G)}{2\sigma(G)},$$

i.e.,

$$\Lambda - \mu_\alpha(G) > \frac{(1 - \alpha)(n\Lambda - 2\sigma(G))(\ell^2 + 4\ell + 4n - 4)\Lambda}{16\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)(\ell^2 + 4\ell + 4n - 4)n\Lambda}.$$

As a function of ℓ , the expression on the right-hand side in the above inequality is strictly increasing for $\ell \geq 2$. Thus we have

$$\begin{aligned} \Lambda - \mu_\alpha(G) &> \frac{(1 - \alpha)(n\Lambda - 2\sigma(G))(n + 2)\Lambda}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)(n + 2)n\Lambda} \\ &> \frac{(1 - \alpha)n\Lambda(n\Lambda - 2\sigma(G))}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)n^2\Lambda}. \end{aligned}$$

Now suppose that ℓ is odd. Then

$$\begin{aligned} &\sum_{\{w,z\} \subseteq V(G)} d_{wz}(x_w - x_z)^2 \\ &\geq N_1 + N_2 \\ &\geq \frac{n - \ell - 1}{2}(x_u - x_v)^2 + \frac{\ell^2 + 8\ell - 1}{8}(x_u - x_v)^2 \\ &= \frac{\ell^2 + 4\ell + 4n - 5}{8}(x_u - x_v)^2. \end{aligned}$$

Thus, as early, we have

$$\begin{aligned} \Lambda - \mu_\alpha(G) &\geq (n\Lambda - 2\sigma(G))x_v^2 + (1 - \alpha)\frac{\ell^2 + 4\ell + 4n - 5}{8}(x_u - x_v)^2 \\ &\geq \frac{(1 - \alpha)(\ell^2 + 4\ell + 4n - 5)(n\Lambda - 2\sigma(G))}{8(n\Lambda - 2\sigma(G)) + (1 - \alpha)(\ell^2 + 4\ell + 4n - 5)}x_u^2 \\ &> \frac{(1 - \alpha)(\ell^2 + 4\ell + 4n - 5)(n\Lambda - 2\sigma(G))}{8(n\Lambda - 2\sigma(G)) + (1 - \alpha)(\ell^2 + 4\ell + 4n - 5)} \cdot \frac{\mu_\alpha(G)}{2\sigma(G)}, \end{aligned}$$

implying

$$\Lambda - \mu_\alpha(G) > \frac{(1 - \alpha)(n\Lambda - 2\sigma(G))(\ell^2 + 4\ell + 4n - 5)\Lambda}{16\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)(\ell^2 + 4\ell + 4n - 5)n\Lambda}.$$

As a function of ℓ , the expression on the right-hand side in the above inequality is strictly increasing for $\ell \geq 3$. Thus we have

$$\begin{aligned} \Lambda - \mu_\alpha(G) &> \frac{(1 - \alpha)(n\Lambda - 2\sigma(G))(4 + n)\Lambda}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)(4 + n)n\Lambda} \\ &> \frac{(1 - \alpha)n\Lambda(n\Lambda - 2\sigma(G))}{4\sigma(G)(n\Lambda - 2\sigma(G)) + (1 - \alpha)n^2\Lambda}. \end{aligned}$$

The result follows by combining Cases 1 and 2. □

4 Effect of graft transformations on distance α -spectral radius

In this section, we study the effect of some local graft transformations on distance α -spectral radius.

A path $u_0 \cdots u_r$ (with $r \geq 1$) in a graph G is called a pendant path (of length r) at u_0 if $\deg_G(u_0) \geq 3$, the degrees of u_1, \dots, u_{r-1} (if any exists) are all equal to 2 in G , and $\deg_G(u_r) = 1$. A pendant path of length 1 at u_0 is called a pendant edge at u_0 .

A vertex of a graph is a pendant vertex if its degree is 1. A cut edge of a connected graph is an edge whose removal yields a disconnected graph.

If P is a pendant path of G at u with length $r \geq 1$, then we say G is obtained from H by attaching a pendant path P of length r at u with $H = G[V(G) \setminus (V(P) \setminus \{u\})]$. If the pendant path of length 1 is attached to a vertex u of H , then we also say that a pendant vertex is attached to u .

Theorem 4.1 *Suppose that G is a connected graph, uv is a cut edge with $\deg_G(u) \geq 2$, and v is adjacent to a pendant vertex v' . Let*

$$G_{uv} = G - \{uw : w \in N_G(u) \setminus \{v\}\} + \{vw : w \in N_G(u) \setminus \{v\}\}.$$

Then $\mu_\alpha(G) > \mu_\alpha(G_{uv})$.

Proof Let G_1 and G_2 be the components of $G - uv$ containing u and v , respectively. Let x be the distance α -Perron vector of G_{uv} . By Lemma 2.1, $x_u = x_{v'}$. As we pass from G to G_{uv} , the distance between a vertex in $V(G_1) \setminus \{u\}$ and a vertex in $V(G_2)$ is decreased by 1, the distance between a vertex $V(G_1) \setminus \{u\}$ and u is increased by 1, and the distances between all other vertex pairs remain unchanged. Thus

$$\begin{aligned} & \mu_\alpha(G) - \mu_\alpha(G_{uv}) \\ & \geq x^\top (D_\alpha(G) - D_\alpha(G_{uv}))x \\ & = \sum_{w \in V(G_1) \setminus \{u\}} \sum_{z \in V(G_2)} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ & \quad - \sum_{w \in V(G_1) \setminus \{u\}} (\alpha(x_w^2 + x_u^2) + 2(1 - \alpha)x_w x_u) \\ & \geq \sum_{w \in V(G_1) \setminus \{u\}} (\alpha(x_w^2 + x_v^2) + 2(1 - \alpha)x_w x_v) \\ & \quad + \sum_{w \in V(G_1) \setminus \{u\}} (\alpha(x_w^2 + x_{v'}^2) + 2(1 - \alpha)x_w x_{v'}) \\ & \quad - \sum_{w \in V(G_1) \setminus \{u\}} (\alpha(x_w^2 + x_u^2) + 2(1 - \alpha)x_w x_u) \\ & = \sum_{w \in V(G_1) \setminus \{u\}} (\alpha(x_w^2 + x_v^2) + 2(1 - \alpha)x_w x_v) \\ & > 0, \end{aligned}$$

implying $\mu_\alpha(G) - \mu_\alpha(G_{uv}) > 0$, i.e., $\mu_\alpha(G) > \mu_\alpha(G_{uv})$. □

The previous theorem has been established for $\alpha = 0, \frac{1}{2}$ in [16, 25].

Theorem 4.2 *Suppose that G is a connected graph with k edge-disjoint nontrivial induced subgraphs G_1, \dots, G_k such that $V(G_i) \cap V(G_j) = \{u\}$ for $1 \leq i < j \leq k$ and $\bigcup_{i=1}^k V(G_i) = V(G)$,*

where $k \geq 3$. Let $\emptyset \neq K \subseteq \{3, \dots, k\}$ and let $N_K = \bigcup_{i \in K} N_{G_i}(u)$. For $v' \in V(G_1) \setminus \{u\}$ and $v'' \in V(G_2) \setminus \{u\}$, let

$$G' = G - \{uw : w \in N_K\} + \{v'w : w \in N_K\}$$

and

$$G'' = G - \{uw : w \in N_K\} + \{v''w : w \in N_K\}.$$

Then $\mu_\alpha(G) < \max\{\mu_\alpha(G'), \mu_\alpha(G'')\}$.

Proof Let x be the distance α -Perron vector of G . Let $V_K = (\bigcup_{i \in K} V(G_i)) \setminus \{u\}$. Let

$$\begin{aligned} \Gamma &= \sum_{w \in V(G_2) \setminus \{u\}} \sum_{z \in V_K} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ &\quad - \sum_{w \in V(G_1) \setminus \{u\}} \sum_{z \in V_K} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z). \end{aligned}$$

As we pass from G to G' , the distance between a vertex in $V(G_2)$ and a vertex in V_K is increased by $d_G(u, v')$, the distance between a vertex w in $V(G_1) \setminus \{u\}$ and a vertex in V_K is decreased by $d_G(w, u) - d_G(w, v')$, which is at most $d_G(u, v')$, and the distances between all other vertex pairs are increased or remain unchanged. Thus

$$\begin{aligned} &\mu_\alpha(G') - \mu_\alpha(G) \\ &\geq x^\top (D_\alpha(G') - D_\alpha(G))x \\ &\geq \sum_{w \in V(G_2) \setminus \{u\}} \sum_{z \in V_K} (d_G(u, v')(\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z)) \\ &\quad - \sum_{w \in V(G_1) \setminus \{u\}} \sum_{z \in V_K} (d_G(u, v')(\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z)) \\ &= d_G(u, v') \left(\Gamma + \sum_{z \in V_K} (\alpha(x_u^2 + x_z^2) + 2(1 - \alpha)x_u x_z) \right) \\ &> d_G(u, v') \Gamma. \end{aligned}$$

If $\Gamma \geq 0$, then $\mu_\alpha(G') - \mu_\alpha(G) > d_G(u, v') \Gamma \geq 0$, implying $\mu_\alpha(G) < \mu_\alpha(G')$. Suppose that $\Gamma < 0$. As we pass from G to G'' , the distance between a vertex in $V(G_1)$ and a vertex in V_K is increased by $d_G(u, v'')$, the distance between a vertex w in $V(G_2) \setminus \{u\}$ and a vertex in V_K is decreased by $d_G(w, u) - d_G(w, v'')$, which is at most $d_G(u, v'')$, and the distances between all other vertex pairs are increased or remain unchanged. Thus

$$\begin{aligned} &\mu_\alpha(G'') - \mu_\alpha(G) \\ &\geq x^\top (D_\alpha(G'') - D_\alpha(G))x \\ &\geq \sum_{w \in V(G_1) \setminus \{u\}} \sum_{z \in V_K} (d_G(u, v'')(\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z)) \end{aligned}$$

$$\begin{aligned}
 & - \sum_{w \in V(G_2) \setminus \{u\}} \sum_{z \in V_K} (d_G(u, v'')(\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z)) \\
 & = d_G(u, v'') \left(-\Gamma + \sum_{z \in V_K} (\alpha(x_u^2 + x_z^2) + 2(1 - \alpha)x_u x_z) \right) \\
 & > d_G(u, v'')(-\Gamma) \\
 & > 0,
 \end{aligned}$$

implying $\mu_\alpha(G'') - \mu_\alpha(G) > 0$, i.e., $\mu_\alpha(G) < \mu_\alpha(G'')$. □

Weak versions of previous theorem for $\alpha = 0$ have been given in [28, 30] and a weak version for $\alpha = \frac{1}{2}$ may be found in [16].

For positive integer p and a graph G with $u \in V(G)$, let $G(u; p)$ be the graph obtained from G by attaching a pendant path of length p at u . Let $G(u; 0) = G$, and in this case a pendant path of length 0 is understood the trivial path consisting of a single vertex u .

For nonnegative integers p, q and a graph G , let $G_u(p, q)$ be the graph $H(u; q)$ with $H = G(u; p)$. The following corollary has been known for $\alpha = 0$ in [24, 28] and $\alpha = \frac{1}{2}$ in [15, 16].

Corollary 4.1 *Let H be a nontrivial connected graph with $u \in V(H)$. If $p \geq q \geq 1$, then $\mu_\alpha(H_u(p, q)) < \mu_\alpha(H_u(p + 1, q - 1))$.*

Proof Let $G = H_u(p, q)$. Let $P = uu_1 \cdots u_p$ and $Q = uv_1 \cdots v_q$ be two pendant paths of lengths p and q , respectively, in G . Using the notations in Theorem 4.2 with $k = 3$, $G_1 = P$, $G_2 = Q$, $G_3 = H$, $v' = u_{p-q+1}$ and $v'' = v_1$, we have $G' \cong G'' \cong H_u(p + 1, q - 1)$, and thus by Theorem 4.2, we have $\mu_\alpha(H_u(p, q)) < \mu_\alpha(H_u(p + 1, q - 1))$. □

Theorem 4.3 *Suppose that G is a connected graph with three edge-disjoint induced subgraphs G_1, G_2 and G_3 such that $V(G_1) \cap V(G_3) = \{u\}$, $V(G_2) \cap V(G_3) = \{v\}$, $\bigcup_{i=1}^3 V(G_i) = V(G)$, and $G_1 - u, G_2 - v$, and $G_3 - u - v$ are all nontrivial. Suppose that $uv \in E(G_3)$. For $u' \in N_{G_1}(u)$ and $v' \in N_{G_2}(v)$, let*

$$G' = H + \{u'w : w \in N_{G_3-uv}(u)\} + \{uw : w \in N_{G_3-uv}(v)\}$$

and

$$G'' = H + \{vw : w \in N_{G_3-uv}(u)\} + \{v'w : w \in N_{G_3-uv}(v)\},$$

where $H = G - \{uw : w \in N_{G_3-uv}(u)\} - \{vw : w \in N_{G_3-uv}(v)\}$. Then $\mu_\alpha(G) < \mu_\alpha(G')$ or $\mu_\alpha(G) < \mu_\alpha(G'')$.

Proof Let x be the distance α -Perron vector of G . Let

$$\begin{aligned}
 \Gamma & = \sum_{w \in V(G_2)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\
 & - \sum_{w \in V(G_1)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z).
 \end{aligned}$$

As we pass from G to G' , the distance between a vertex in $V(G_2)$ and a vertex in $V(G_3) \setminus \{u, v\}$ is increased by 1, the distance between a vertex in $V(G_1)$ and a vertex in $V(G_3) \setminus \{u, v\}$ may be increased, unchanged, or decreased by 1, and the distances between any other vertex pairs remain unchanged. Thus

$$\begin{aligned} \mu_\alpha(G') - \mu_\alpha(G) &\geq x^\top (D_\alpha(G') - D_\alpha(G))x \\ &\geq \sum_{w \in V(G_2)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ &\quad - \sum_{w \in V(G_1)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ &= \Gamma. \end{aligned}$$

If $\Gamma \geq 0$, then $\mu_\alpha(G') - \mu_\alpha(G) \geq 0$, i.e., $\mu_\alpha(G) \leq \mu_\alpha(G')$. If $\mu_\alpha(G) = \mu_\alpha(G')$, then $\mu_\alpha(G') = x^\top D_\alpha(G')x$, implying x is the distance α -Perron vector of G' . By the α -equations of G and G' at v , we have

$$\begin{aligned} 0 &= \mu_\alpha(G')x_v - \mu_\alpha(G)x_v \\ &= \sum_{w \in V(G_3) \setminus \{u, v\}} (d_{G'}(v, w) - d_G(v, w))(\alpha x_v + (1 - \alpha)x_w) \\ &= \sum_{w \in V(G_3) \setminus \{u, v\}} (\alpha x_v + (1 - \alpha)x_w) \\ &> 0, \end{aligned}$$

a contradiction. Thus, if $\Gamma \geq 0$, then $\mu_\alpha(G) < \mu_\alpha(G')$.

Suppose that $\Gamma < 0$. As earlier, we have

$$\begin{aligned} \mu_\alpha(G'') - \mu_\alpha(G) &\geq x^\top (D_\alpha(G'') - D_\alpha(G))x \\ &\geq \sum_{w \in V(G_1)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ &\quad - \sum_{w \in V(G_2)} \sum_{z \in V(G_3) \setminus \{u, v\}} (\alpha(x_w^2 + x_z^2) + 2(1 - \alpha)x_w x_z) \\ &= -\Gamma \\ &> 0, \end{aligned}$$

and thus $\mu_\alpha(G) < \mu_\alpha(G'')$. □

A weak version of previous theorem for $\alpha = \frac{1}{2}$ has been established in [16].

For nonnegative integers p, q and a graph G with $u, v \in V(G)$, let $G_{u,v}(p, q)$ be the graph $H(v; q)$ with $H = G(u; p)$. The following corollary has been known for $\alpha = 0, \frac{1}{2}$ in [15, 32].

Corollary 4.2 *Let H be a connected graph of order at least 3 with $uv \in E(H)$. Suppose that $\eta(u) = v$ for some automorphism η of G . For $p \geq q \geq 1$, we have $\mu_\alpha(H_{u,v}(p, q)) < \mu_\alpha(H_{u,v}(p + 1, q - 1))$.*

Proof Let $G = H_{u,v}(p, q)$. Let $P = uu_1 \cdots u_p$ and $Q = vv_1 \cdots v_q$ be two pendant paths of lengths p and q in G at u and v , respectively. Using the notations of Theorem 4.3 with $G_1 = P$, $G_2 = Q$, $G_3 = H$, $u' = u_1$ and $v' = v_1$, we have $G' \cong H_{u,v}(p - 1, q + 1)$ and $G'' \cong H_{u,v}(p + 1, q - 1)$, and thus by Theorem 4.3, we have $\mu_\alpha(H_{u,v}(p, q)) < \max\{\mu_\alpha(H_{u,v}(p - 1, q + 1)), \mu_\alpha(H_{u,v}(p + 1, q - 1))\}$. If $p = q$ ($p = q + 1$, respectively), then $H_{u,v}(p - 1, q + 1) \cong H_{u,v}(p + 1, q - 1)$ ($H_{u,v}(p, q) \cong H_{u,v}(p - 1, q + 1)$, respectively) as $\eta(u) = v$ for some automorphism η of G , and thus from the above inequality, we have $\mu_\alpha(G) < \mu_\alpha(H_{u,v}(p + 1, q - 1))$. Suppose that $p \geq q + 2$ and $\mu_\alpha(G) < \mu_\alpha(H_{u,v}(p - 1, q + 1))$. If $p \not\equiv q \pmod{2}$, then we have

$$\begin{aligned} \mu_\alpha(G) &\leq \mu_\alpha\left(H_{u,v}\left(\frac{p+q+3}{2}, \frac{p+q-3}{2}\right)\right) \\ &< \mu_\alpha\left(H_{u,v}\left(\frac{p+q+1}{2}, \frac{p+q-1}{2}\right)\right) \\ &< \mu_\alpha\left(H_{u,v}\left(\frac{p+q+3}{2}, \frac{p+q-3}{2}\right)\right), \end{aligned}$$

which is impossible. If $p \equiv q \pmod{2}$, then we have

$$\begin{aligned} \mu_\alpha(G) &\leq \mu_\alpha\left(H_{u,v}\left(\frac{p+q}{2} + 1, \frac{p+q}{2} - 1\right)\right) \\ &< \mu_\alpha\left(H_{u,v}\left(\frac{p+q}{2}, \frac{p+q}{2}\right)\right) \\ &< \mu_\alpha\left(H_{u,v}\left(\frac{p+q}{2} - 1, \frac{p+q}{2} + 1\right)\right), \end{aligned}$$

which is also impossible. Therefore $\mu_\alpha(H_{u,v}(p, q)) < \mu_\alpha(H_{u,v}(p + 1, q - 1))$. □

5 Graphs with small or large distance α -spectral radius

First we determine the graphs with minimum distance α -spectral radius among trees and unicyclic graphs.

Theorem 5.1 *Let G be a tree of order n . Then $\mu_\alpha(G) \geq \mu_\alpha(S_n)$ with equality if and only if $G \cong S_n$.*

Proof The result is trivial if $n = 1, 2, 3$. Suppose that $n \geq 4$. Let G be a tree of order n such that $\mu_\alpha(G)$ is as small as possible. Let d be the diameter of G . Evidently, $d \geq 2$. Suppose that $d \geq 3$. Let $v_0v_1 \cdots v_d$ be a diametral path of G . By Theorem 4.1, $\mu_\alpha(G_{v_1v_2}) < \mu_\alpha(G)$, a contradiction. Thus $d = 2$, i.e., $G \cong S_n$. □

In Theorem 5.1, the case $\alpha = 0$ has been known in [24] and the case $\alpha = \frac{1}{2}$ has been known in [16, 29].

For $n - 1 \geq 3$ and $1 \leq a \leq \lfloor \frac{n-2}{2} \rfloor$, let $D_{n,a}$ be the tree obtained from vertex-disjoint S_{a+1} with center u and S_{n-a-1} with center v by adding an edge uv . Let T be a tree of order n with minimum distance α -spectral radius, where $T \not\cong S_n$. Let d be the diameter of T . Then $d \geq 3$. Suppose that $d \geq 4$. Let $v_0v_1 \cdots v_d$ be a diametral path of T . Note that $T_{v_1v_2} \not\cong S_n$. By Theorem 4.1, $\mu_\alpha(T_{v_1v_2}) < \mu_\alpha(T)$, a contradiction. Thus $d = 3$, implying $T \cong D_{n,a}$ for some a with $1 \leq a \leq \lfloor \frac{n-2}{2} \rfloor$.

Let S_n^+ is the graph obtained from S_n by adding an edge between two vertices of degree one.

Lemma 5.1 ([29]) *Let G be a unicyclic graph of order $n \geq 6$. If $G \not\cong S_n^+$, then*

$$\sigma(G) \geq n^2 - n - 4 > \sigma(S_n^+) = n^2 - 2n.$$

Note that for $n = 5$, we have $\sigma(C_n) = \sigma(S_n^+)$. So, in the above lemma, the condition $n \geq 6$ is necessary.

Theorem 5.2 *Let G be a unicyclic graph of order $n \geq 8$. Then $\mu_\alpha(G) \geq \mu_\alpha(S_n^+)$ with equality if and only if $G \cong S_n^+$.*

Proof Suppose that $G \not\cong S_n^+$. We only need to show that $\mu_\alpha(G) > \mu_\alpha(S_n^+)$.

By Lemmas 2.4 and 5.1, we have

$$\mu_\alpha(G) \geq \frac{2\sigma(G)}{n} \geq \frac{2(n^2 - n - 4)}{n}.$$

By [20, p. 24, Theorem 1.1] or by Theorem 3.2, we have

$$\mu_\alpha(S_n^+) < T_{\max}(S_n^+) = 2n - 3.$$

Since $n \geq 8$, we have

$$\mu_\alpha(G) \geq \frac{2(n^2 - n - 4)}{n} \geq 2n - 3 > \mu_\alpha(S_n^+),$$

as desired. □

The result in Theorem 5.2 for $\alpha = 0, \frac{1}{2}$ has been known in [29, 31].

In the following, we determine the graphs with maximum distance α -spectral radius among some classes of graphs.

For $2 \leq \Delta \leq n - 1$, let $B_{n,\Delta}$ be a tree obtained by attaching $\Delta - 1$ pendant vertices to a terminal vertex of the path $P_{n-\Delta+1}$. In particular, $B_{n,2} = P_n$ and $B_{n,n-1} = S_n$. The following theorem for $\alpha = 0, \frac{1}{2}$ was given in [16, 24] for trees.

Theorem 5.3 *Let G be a connected graph of order n with maximum degree Δ , where $2 \leq \Delta \leq n - 1$. Then $\mu_\alpha(G) \leq \mu_\alpha(B_{n,\Delta})$ with equality if and only if $G \cong B_{n,\Delta}$.*

Proof Let G be a graph among connected graphs of order n with maximum degree Δ such that $\mu_\alpha(G)$ is as large as possible. Then G has a spanning tree T with maximum degree Δ . By Lemma 2.3, $\mu_\alpha(G) \leq \mu_\alpha(T)$ with equality if and only if $G \cong T$. Thus G is a tree.

The result is trivial if $n = 3, 4$ and if $\Delta = 2, n - 1$. Suppose that $3 \leq \Delta \leq n - 2$. We only need to show that $G \cong B_{n,\Delta}$.

Let $u \in V(G)$ with $\deg_G(u) = \Delta$. Suppose that there exists a vertex different from u with degree at least 3. Then we may choose such a vertex w of degree at least 3 such that $d_G(u, w)$ is as large as possible. Obviously, there are two pendant paths, say P and Q , at w of lengths at least 1. Let p and q be the lengths of P and Q , respectively. Assume that $p \geq q$. Let

$H = G[V(G) \setminus ((V(P) \cup V(Q)) \setminus \{w\})]$. Then $G \cong H_w(p, q)$. Note that $G' = H_w(p + 1, q - 1)$ is a tree of order n with maximum degree Δ . By Corollary 4.1, $\mu_\alpha(G) < \mu_\alpha(G')$, a contradiction. Then u is the unique vertex of G with degree at least 3, and thus G consists of Δ pendant paths, say Q_1, \dots, Q_Δ at u . If two of them, say Q_i and Q_j with $i \neq j$ are of lengths at least 2, then $G \cong H'_u(r, s)$, where $H' = G[V(G) \setminus ((V(Q_i) \cup V(Q_j)) \setminus \{u\})]$, and r and s are the lengths of Q_i and Q_j , respectively. Assume that $r \geq s$. Obviously, $G'' = H'_u(r + 1, s - 1)$ is a tree of order n with maximum degree Δ . By Corollary 4.1, $\mu_\alpha(G) < \mu_\alpha(G'')$, also a contradiction. Thus there is exactly one pendant path at u of length at least 2, implying $G \cong B_{n,\Delta}$. \square

If G is a connected graph of order 1 or 2, then $G \cong P_n$. If G is a connected graph of order 3, then $G \cong P_3, K_3$, and by Lemma 2.3, $\mu_\alpha(K_3) < \mu_\alpha(P_3)$.

Ruzieh and Powers [23] showed that P_n is the unique connected graph of order n with maximum distance 0-spectral radius, and it was proved in [25] that $B_{n,3}$ is the unique tree of order n different from P_n with maximum distance 0-spectral radius. For $\alpha = \frac{1}{2}$, the following theorem was given in [16].

Theorem 5.4 *Let G be a connected graph of order $n \geq 4$, where $G \not\cong P_n$. Then $\mu_\alpha(G) \leq \mu_\alpha(B_{n,3}) < \mu_\alpha(P_n)$ with equality if and only if $G \cong B_{n,3}$.*

Proof First suppose that G is a tree. If $n = 4$, then the result follows from Theorem 4.1. Suppose that $n \geq 5$. Let Δ be the maximum degree of G . Since $G \not\cong P_n$, we have $\Delta \geq 3$. By Theorem 5.3, $\mu_\alpha(G) \leq \mu_\alpha(B_{n,\Delta})$ with equality if and only if $G \cong B_{n,\Delta}$. By Corollary 4.1, $\mu_\alpha(G) \leq \mu_\alpha(B_{n,\Delta}) \leq \mu_\alpha(B_{n,3}) < \mu_\alpha(P_n)$ with equalities if and only if $\Delta = 3$ and $G \cong B_{n,\Delta}$, i.e., $G \cong B_{n,3}$.

Now suppose that G is not a tree. Then G contains at least one cycle. If there is a spanning tree T with $T \not\cong P_n$, then by Lemma 2.3 and the above argument, we have $\mu_\alpha(G) < \mu_\alpha(T) \leq \mu_\alpha(B_{n,3})$. If any spanning tree of G is a path, then G is a cycle C_n . Now we only need to show that $\mu_\alpha(C_n) < \mu_\alpha(B_{n,3})$.

Let $C_n = u_1u_2 \cdots u_nu_1$ and $T' = C_n - \{u_1u_2, u_2u_3\} + u_2u_n$. Then $T' \cong B_{n,3}$. Let x be the distance α -Perron vector of C_n . By Lemma 2.3, we have $x_{u_1} = \cdots = x_{u_n}$. As we pass from C_n to T' , the distance between u_2 and u_1 is increased by 1, the distance between u_2 and u_i with $3 \leq i \leq \lceil \frac{n+1}{2} \rceil$ is increased by $n - 2i + 3$, the distance between u_2 and u_i with $\lfloor \frac{n+1}{2} \rfloor + 2 \leq i \leq n$ is decreased by 1, and the distances between all other vertex pairs are increased or remain unchanged. Thus

$$\begin{aligned} & \mu_\alpha(T') - \mu_\alpha(C_n) \\ &= x^\top (D_\alpha(T') - D_\alpha(G))x \\ &\geq \alpha(x_{u_2}^2 + x_{u_1}^2) + 2(1 - \alpha)x_{u_2}x_{u_1} \\ &\quad - \sum_{i=\lfloor \frac{n+1}{2} \rfloor + 2}^n (\alpha(x_{u_2}^2 + x_{u_i}^2) + 2(1 - \alpha)x_{u_2}x_{u_i}) \\ &\quad + \sum_{i=3}^{\lceil \frac{n+1}{2} \rceil} (n - 2i + 3)(\alpha(x_{u_2}^2 + x_{u_i}^2) + 2(1 - \alpha)x_{u_2}x_{u_i}) \\ &= 2x_{u_1}^2 \left(1 - \left(n - \left\lfloor \frac{n+1}{2} \right\rfloor - 1 \right) + \sum_{i=3}^{\lceil \frac{n+1}{2} \rceil} (n - 2i + 3) \right) \end{aligned}$$

$$\begin{aligned}
 &= 2x_{u_1}^2 \left(1 + \left(n - 1 - \left\lceil \frac{n+1}{2} \right\rceil \right) \left(\left\lceil \frac{n+1}{2} \right\rceil - 2 \right) \right) \\
 &\geq 2x_{u_1}^2 \\
 &> 0,
 \end{aligned}$$

and therefore $\mu_\alpha(C_n) < \mu_\alpha(B_{n,3})$, as desired. □

A clique of G is a subset of vertices whose induced subgraph is a complete graph, and the clique number of G is the maximum number of vertices in a clique of G . For $2 \leq \omega \leq n$. Let $Ki_{n,\omega}$ be the graph obtained from a complete graph K_ω and a path $P_{n-\omega}$ by adding an edge between a vertex of K_ω and a terminal vertex of $P_{n-\omega}$ if $\omega < n$ and let $Ki_{n,\omega} = K_n$ if $\omega = n$. In particular, $Ki_{n,2} \cong P_n$ for $n \geq 2$. The following result for $\alpha = 0, \frac{1}{2}$ was given in [15, 21].

Theorem 5.5 *Let G be a connected graph of order $n \geq 2$ with clique number $\omega \geq 2$. Then $\mu_\alpha(G) \leq \mu_\alpha(Ki_{n,\omega})$ with equality if and only if $G \cong Ki_{n,\omega}$.*

Proof It is trivial if $\omega = n$ and it follows from Theorem 5.4 if $\omega = 2$.

Suppose that $3 \leq \omega \leq n - 1$. Let G be a graph among connected graphs of order n with clique number ω such that $\mu_\alpha(G)$ is as large as possible. We only need to show that $G \cong Ki_{n,\omega}$.

Let $S = \{v_1, \dots, v_\omega\}$ be a clique of G . By Lemma 2.3, $G - E(G[S])$ is a forest. Let T_i be the component of $G - E(G[S])$ containing v_i , where $1 \leq i \leq \omega$. For $1 \leq i \leq \omega$, by Corollary 4.1, if T_i is nontrivial, then T_i is a pendant path at v_i . Note that any two distinct vertices in $G[S]$ are adjacent. By Corollary 4.2, there is only one nontrivial T_i , and thus $G \cong Ki_{n,\omega}$. □

Recall that $Ki_{n,3}$ is the unique unicyclic graph of order $n \geq 3$ with maximum distance 0-spectral radius [31], and the unique odd-cycle unicyclic graph of order $n \geq 3$ with maximum distance $\frac{1}{2}$ -spectral radius [15].

Theorem 5.6 *Let G be a unicyclic odd-cycle graph of order $n \geq 3$. Then $\mu_\alpha(G) \leq \mu(Ki_{n,3})$ with equality if and only if $G \cong Ki_{n,3}$.*

Proof If $n = 3, 4$, the result is trivial. Suppose that $n \geq 5$. Let G be a graph with maximum distance α -spectral radius among unicyclic odd-cycle graphs of order n . We only need to show that $G \cong Ki_{n,3}$.

Let $C = v_1 \cdots v_{2k+1}v_1$ be the unique cycle of G , where $k \geq 1$. Let T_i be the component of $G - E(C)$ containing v_i for $1 \leq i \leq 2k + 1$. Let $U_1 = V(T_{2k}) \cup V(T_{2k+1})$, $U_2 = \bigcup_{k+1 \leq i \leq 2k-1} V(T_i)$ and $U_3 = \bigcup_{1 \leq i \leq k-1} V(T_i)$. Let x be the distance α -Perron vector of G . Let

$$\begin{aligned}
 \Gamma &= \sum_{u \in U_1} \sum_{v \in U_3} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\
 &\quad - \sum_{u \in U_1} \sum_{v \in U_2} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v).
 \end{aligned}$$

Suppose that $k \geq 2$. Let $G' = G - v_1 v_{2k+1} + v_{2k+1} v_{2k-1}$. Note that the length of C is odd. As we pass from G to G' , the distance between a vertex in S_1 and a vertex in S_3 is increased

by at least 1, the distance between S_2 and $V(T_{2k+1})$ is decreased by 1, and the distance between all other vertex pairs are increased or remain unchanged. Thus

$$\begin{aligned} \mu_\alpha(G') - \mu_\alpha(G) &\geq x^\top (D_\alpha(G') - D_\alpha(G))x \\ &\geq \sum_{u \in U_1} \sum_{v \in U_3} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &\quad - \sum_{u \in V(T_{2k+1})} \sum_{v \in U_2} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &> \sum_{u \in U_1} \sum_{v \in U_3} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &\quad - \sum_{u \in U_1} \sum_{v \in U_2} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v). \end{aligned}$$

If $\Gamma \geq 0$, then $\mu_\alpha(G') > \mu_\alpha(G)$, a contradiction. Thus $\Gamma < 0$. Let $G'' = G - v_{2k}v_{2k-1} + v_{2k}v_1$. As we pass from G to G'' , the distance between a vertex in S_1 and a vertex in U_2 is increased by at least 1, the distance between U_3 and $V(T_{2k})$ is decreased by 1, and the distance between all other vertex pairs are increased or remain unchanged. As above, we have

$$\begin{aligned} \mu_\alpha(G'') - \mu_\alpha(G) &\geq x^\top (D_\alpha(G'') - D_\alpha(G))x \\ &\geq \sum_{u \in U_1} \sum_{v \in U_2} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &\quad - \sum_{u \in V(T_{2k})} \sum_{v \in U_3} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &> \sum_{u \in U_1} \sum_{v \in U_2} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &\quad - \sum_{u \in U_1} \sum_{v \in U_3} (\alpha(x_u^2 + x_v^2) + 2(1 - \alpha)x_u x_v) \\ &> 0. \end{aligned}$$

Thus $\mu_\alpha(G'') > \mu_\alpha(G)$, also a contradiction. It follows that $k = 1$, i.e., the unique cycle of G is of length 3.

Obviously, T_i is a tree for $1 \leq i \leq 3$. For $1 \leq i \leq 3$, by Corollary 4.1, if T_i is nontrivial, then it is a path with a terminal vertex v_i . Then by Corollary 4.2, only one T_i is nontrivial. Thus $G \cong Ki_{n,3}$. □

Let G be a unicyclic graph of order $n \geq 4$ with maximum distance α -spectral radius. By Corollary 4.1, the maximum degree of G is 3 and all vertices of degree 3 lie on the unique cycle. Let u be a vertex of degree 3 and P be the pendant path at u . Let v and w be the two neighbors of u on the cycle, and z the neighbor of u on P . Let $G_1 = G - uw + vw$ and $G_2 = G - uw + wz$. Then $\mu_\alpha(G) < \max\{\mu_\alpha(G_1), \mu_\alpha(G_2)\}$ if the length of the cycle of G is odd, see [4, Lemma 6.11]. Note that the argument does not work when the length of the cycle of G is even. So we need other ways to determine the unicyclic graph(s) with maximum distance α -spectral radius even for $\alpha = \frac{1}{2}$.

6 Remarks

In this paper, we study the distance α -spectral radius of a connected graph. We consider bounds for the distance α -spectral radius, local transformations to change the distance α -spectral radius, and the characterizations for graphs with minimum and/or maximum distance α -spectral radius in some classes of connected graphs.

Besides the distance α -spectral radius, we may concern other eigenvalues of $D_\alpha(G)$ for a connected graph G . We give examples.

For an $n \times n$ Hermitian matrix C , let $\lambda_1(C), \dots, \lambda_n(C)$ be the eigenvalues of C , arranged in a nonincreasing order. Let A, B be $n \times n$ Hermitian matrices. Weyl's inequalities [13, p. 181] state that

$$\lambda_j(A + B) \leq \lambda_i(A) + \lambda_{j-i+1}(B) \quad \text{for } 1 \leq i \leq j \leq n,$$

and

$$\lambda_j(A + B) \geq \lambda_i(A) + \lambda_{j-i+n}(B) \quad \text{for } 1 \leq j \leq i \leq n.$$

Using these inequalities, and as in the recent work of Atik and Panigrahi [3], we have

Theorem 6.1 *Let G be a connected graph and λ be any eigenvalue of $D_\alpha(G)$ other than the distance α -spectral radius. Then*

$$2\alpha T_{\min}(G) - T_{\max}(G) + (1 - \alpha)(n - 2) \leq \lambda \leq T_{\max}(G) - (1 - \alpha)n.$$

Proof Let $D_\alpha(G) = A + B$, where $A = (\alpha T_{\min}(G) - (1 - \alpha))I_n + (1 - \alpha)J_{n \times n}$. Then B is a non-negative symmetric matrix with maximum row sum $T_{\max}(G) - \alpha T_{\min}(G) - (1 - \alpha)(n - 1)$. Thus $|\lambda_n(B)| \leq \lambda_1(B) \leq T_{\max}(G) - \alpha T_{\min}(G) - (1 - \alpha)(n - 1)$.

For matrix A , we have $\lambda_1(A) = \alpha T_{\min}(G) + (1 - \alpha)(n - 1)$ and $\lambda_j(A) = \alpha T_{\min}(G) - 1 + \alpha$ for $j = 2, \dots, n$. Thus, for $j = 2, \dots, n$, we have by the above Weyl's inequalities that

$$\begin{aligned} \lambda_j(D_\alpha(G)) &\leq \lambda_1(B) + \lambda_j(A) \\ &\leq T_{\max}(G) - \alpha T_{\min}(G) - (1 - \alpha)(n - 1) + \alpha T_{\min}(G) - 1 + \alpha \\ &= T_{\max}(G) - (1 - \alpha)n \end{aligned}$$

and

$$\begin{aligned} \lambda_j(D_\alpha(G)) &\geq \lambda_n(B) + \lambda_j(A) \\ &\geq -T_{\max}(G) + \alpha T_{\min}(G) + (1 - \alpha)(n - 1) + \alpha T_{\min}(G) - 1 + \alpha \\ &= 2\alpha T_{\min}(G) - T_{\max}(G) + (1 - \alpha)(n - 2). \end{aligned}$$

This completes the proof. □

Let G be a connected graph and λ be any eigenvalue of $D_\alpha(G)$ other than the distance α -spectral radius. By previous theorem, we have

$$|\lambda| \leq T_{\max}(G) - (1 - \alpha)(n - 2).$$

The distance α -energy of a connected graph G of order n is defined as

$$\mathcal{E}_\alpha(G) = \sum_{i=1}^n \left| \mu_\alpha^{(i)}(G) - \frac{2\alpha\sigma(G)}{n} \right|.$$

Then $\mathcal{E}_0(G)$ is the distance energy of G [14, 33], while

$$\mathcal{E}_{1/2}(G) = \frac{1}{2} \sum_{i=1}^n \left| 2\mu_{1/2}^{(i)}(G) - \frac{2\sigma(G)}{n} \right|$$

is half of the distance signless Laplacian energy of G [8]. Thus, it is possible to study the distance energy and the distance signless Laplacian energy in a unified way.

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