

A novel, Value-Focused-Thinking Based, Approach for Modelling Agro-Industrial Decisions Under Scarce Information

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Abstract. Agro-industrial decision-making is hampered by several, variously-natured, uncertainties. As uncertainty reduction is expensive, the decision modelling process for these industries must strive to use all available information. However, said inclusive effort should be accompanied by an effort to keep modelling assumptions transparent. This work shows the development, from a Value-Focused Thinking perspective, of a model to assess alternatives for improving the operation of a cattle fodder producer. Modelling starts by analyzing and structuring the owner's objectives and proceeds by systematically characterizing, via value judgments or probability distributions, the connections between structured objectives. Constructing the model over a blueprint of connected objectives allows a faithful representation of the understanding of the system behavior while the methodical, one-connection-at-a-time, modelling procedure renders the assumptions used to operationalize each connection visible, facilitating their replacement if more information becomes available. The modelling approach put forward here can support industrial decision making with limited information.

Keywords: Decision Analysis, Industrial Management, Value Focused Thinking.

1. Introduction

The decision making in businesses and manufactures is hindered by several uncertainties. While large companies may be able to reduce their uncertainty about some elements (for example, by running in-house laboratories) this is not the case of small and medium-sized plants. Thus, managers of these base their decisions on rough-and-ready cost-benefit analyses that include only factors that are known either precisely or quantitatively, disregarding uncertain and qualitative ones. Said approach wastes available information which, duly codified, can be useful for making a decision.

Decision Analysis (DA), pioneered by Howard (1966), aims to help complex decision making, while one DA paradigm, Keeney's Value-Focused Thinking (VFT) (1992), states that a sound decision modeling stems from the decision maker's objectives. This work shows the analysis and modelling, carried out with a VFT worldview, of the operational issues of a small cattle food processing plant. As commanded by the VFT, the analysis begins by identifying and structuring the owner's objectives. The model is then constructed using said structures as a blueprint, allowing a faithful representation of the owner's knowledge of the system behavior. Subjective probability distributions are used to capture owners' and operators' knowledge and scales are constructed for qualitative factors, gaining insight into their importance and meaning.

Regarding related research, several multicriteria decision models (MCDM) applications for agro-industrial problems are available. Zerger et al. (2011), Topping et al. (2019) and Mwambo et al. (2020) used MCDM models and simulation to link regional conservation policies to farm administration; Nikoloski et al. (2017) applied MCMD and DEXI software to assess the feasibility of steering a livestock breeding farm into crop growing; Kocjančič et al. (2018) used goal programming for sustainable farm management; Punantapong (2016) combined DEXI with the Analytic Hierarchical Process to

evaluate farm investment alternatives; Yin et al. (2018) applied MCDM for selecting shore areas for mussel aquaculture and Rocchi et al. (2019) to select poultry breeding schemes; Hosseinzade et al. (2017) applied TOPSIS in choosing irrigation flow controllers and Ahmed et al. (2001) used MCDM to include people's nutritional improvements into the feasibility assessment of innovations in self-consumption farms. In addition, Barton et al. (2016) used Bayesian Networks for selecting tree species considering costs and ecological impacts and Prato and Herath (2007) applied MCDM to manage the harvest of rainwater for crop irrigation. The coupling of MCDM and geographic information systems is shown by Agrell et al. (2004) for agro-ecologically managing a Kenyan community, Romano et al. (2015) for identifying suitable farm restoration areas and Jha et al. (2014) and Toosi et al. (2020) for rainwater harvesting planning.

DA has been used for setting swine vaccination and disease prevention policies (Parsons et al., 1986; Silva et al. 2018) and choosing methods for cattle pregnancy detection (Oltenacu et al., 1990) and tuberculosis prevention (Dorshorst et al., 2006). Mathematical programming has been used for biodiesel crops management (Shastri et al., 2011), fertilizer application planning (Monjardino et al., 2015), planting and harvesting scheduling under the risk of frosts (Pöldaru and Roots, 2014) or product breakdown (Widodo et al., 2006), holistic farm planning (Lien, 2003) and pig farm operation design (Plà et al., 2004).

No found report takes a VFT approach to agro-industrial decision modelling, with those showing MCDM's assuming that the objectives are somehow clear beforehand, using owners' input only for deriving weights. A VFT approach requires identifying objectives, separating essential from means and rendering the objective structures, steps missing in those researches. The VFT worldview is pushed here even further, by using the objectives structures as a modelling blueprint. Finally, previous works overlook the fact that small agro industries have limited data to base their decisions on. This

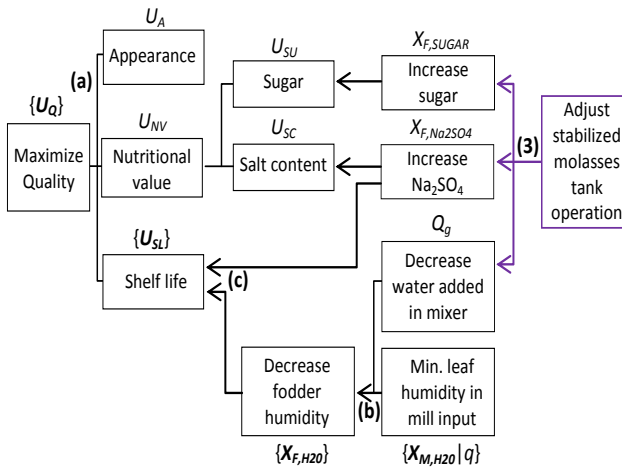


Figure 3. MEON for “Maximize Quality”

U_A is derived from color (U_C) and homogeneity (U_H) utilities (Equation 2) with levels shown in Table 1.

$$U_Q = k_{1,Q} \times U_A + k_{2,Q} \times U_{NV} + k_{3,Q} \times U_{SL} \quad (1)$$

$$U_A = k_{1,A} \times U_C + k_{2,A} \times U_H \quad (2)$$

Table 1. Fodder color and homogeneity utilities

Level	Description	U_C	Level	Description	U_H
Light	Yellow or straw	1	Low	More than 70% of the total volume in clumps	0
Medium	From dark straw to light brown	0.6	Medium	Between 30% and 70% of the total volume in clumps	0.6
Dark	Between dark brown and black	0	High	Less than 30% of the total volume in clumps	1

Equations (1) and (2) are instances of the additive utility function (Keeney, 1992). Their weights (k_i 's) and those of Equation (3) are elicited from the decision maker through a valid method (i.e. weight swinging), as are the U values in Tables 1 and 2 (i.e. using the probability equivalence method) (Howard and Abbas, 2016). These values are unavoidably subjective, for they reflect the decision maker's preferences. Several tests, based on probing indifference conditions, can be used to verify their correspondence to the stakeholder's value system (Clemen, 1996).

Nutritional value utility (U_{NV}) depends on the fodder sugar and Na_2SO_4 content (Equation 3). $X_{F,SUGAR}$ and X_{F,Na_2SO_4} were converted linearly into utilities, respectively U_{SU} and U_{SC} , ranging from 0 at no substance to 1 at a maximum content ($X_{F,SUGAR}^+$, $X_{F,Na_2SO_4}^+$) beyond which more substance doesn't enhance preference.

$$U_{NV} = k_{1,NV} \times U_{SU} + k_{2,NV} \times U_{SC} \quad (3)$$

In the context of this problem, shelf life is defined as "time (months), for stored fodder to show a color as in frame (d) of Figure 4". Table 2 shows the defined shelf life degrees and utilities (U_{SL}).

Table 2. Shelf life degrees definition

Shelf life degree (S_L)	Description	U_{SL}
Low	Less than 3 months	0
Medium	Between 3 and 6 months	0.6
High	More than 6 months	1

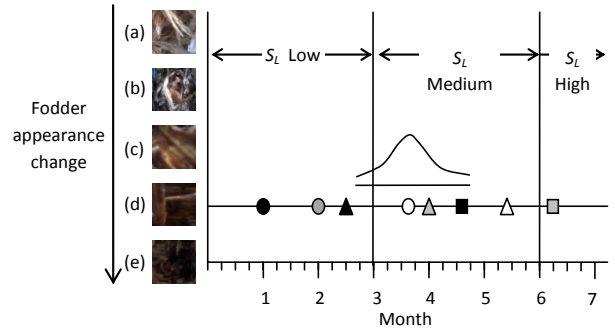


Figure 4. Graphical aid for eliciting shelf life probability distributions

The metrics of “Increase Sugar” and “Increase Na_2SO_4 ”, respectively $X_{F,SUGAR}$ and X_{F,Na_2SO_4} , are calculated by substance balances from Q_m , C_S , Q_g and the mixing batch size (W) (connections 3, Figure 3). Q_g measures the “Decrease water added in mixer” objective, while a water balance around the mixer and the probability distribution of the humidity to the mill $\{X_{M,H2O}|q\}$ provides the fodder humidity probability distribution $\{X_{F,H2O}\}$ (connection b, Figure 3).

The shelf life depends on X_{F,Na_2SO_4} and $X_{F,H2O}$ (connection c, Figure 3) but no records exist to derive an histogram. The available knowledge is owner's expertise, with was encoded by probabilities elicited as follows:

- Discrete variables S_{CF} (Sulfate Concentration), with levels of "Low", "Medium" or "High" depending on X_{F,Na_2SO_4} , and H_F (Fodder Humidity), taking said levels depending on $X_{F,H2O}$, were defined. Threshold values of X_{F,Na_2SO_4} and $X_{F,H2O}$ for each denomination of, respectively, S_{CF} and H_F , were provided by the owner.
- Each combination of S_{CF} and H_F levels was assigned a marker, which the owner was required to place on a timeline at the time in which he thinks stored fodder would look like frame (d) of Figure 4. The shelf life is assumed to be normally distributed with mean at the marker position and a two weeks standard deviation (sketched in Figure 4 for $S_{CF} = H_F = \text{"Low"}$). Once all markers are positioned, the timeline is split into shelf life degrees (defined in Table 2) and the area of the distribution falling in each zone provides the shelf life degrees (S_L) probabilities for the relevant Na_2SO_4 content and humidity (Table 3). With the U_{SL} values of the shelf life degrees (Table 2), a shelf life utility probability distribution $\{U_{SL}\}$ conditional on S_{CF} and H_F is derived. In summary, the “Maximize Quality” model in Figure 3 converts decisions Q_m , C_S and Q_g and the distribution of the leaf water content to the mill $\{X_{M,H2O}|q\}$ into a quality utility distribution $\{U_Q\}$.

Table 3. Shelf Life degrees (S_L) probabilities conditioned on fodder Na_2SO_4 and water content

SCF	L			M			H		
H_F	L	M	H	L	M	H	L	M	H
Marker	○	●	●	△	△	▲	□	■	■
S_L	L	0.15	0.95	1	0	0.1	0.75	0	0
	M	0.85	0.05	0	0.8	0.9	0.25	0	0.4
	H	0	0	0	0.2	0	0	1	0.6

L=Low; M=Medium; H=High

Connection (4): The relation between monthly sales (V) and U_Q is assumed quadratic, regressed from the current quality utility (U_Q^0) and sales (V^0) operation point; point (1, αV^0) where αV^0 are the monthly sales were U_Q raised to 1, and point (0, βV^0), where βV^0 are the sales if U_Q equals 0. The plant sales staff provided estimates of α and β .

Connection (5): The connection from leaf humidity ($X_{M,H2O}$) to the daily hours lost to mill jams (N_{PM}), relies on operators' experience. First a "Leaf Humidity to the Mill" variable (H_M) is defined, being "Low", "Medium" or "High" depending on $X_{M,H2O}$. Then, the probability distribution of the number of hourly mill stoppages (n_{MS}) conditional on H_M was elicited (Table 4).

The mill downtime, t_C (h), varies uniformly between low (t_C^-) and high (t_C^+) values, contingent on H_M (Table 5). In absence of data, the uniform, triangular and beta distributions are often used to model inputs (Banks et al., 2010). While the uniform distribution is regarded as a poor choice, as process time distributions tend to be somewhat centralized, it can be used as an initial approach to the phenomena (Harrell et al., 2012). Additionally, a uniform distribution can sometimes represent what is really known of a variable, and imposing further restrictions on the form of its distribution amounts to assuming less uncertainty than that actually present (Hubbard, 2014).

Table 4. Probabilities of n_{MS} conditioned on H_M

n_{MS}	H_M		
	Low	Medium	High
0	0.8	0.2	0
1	0.2	0.6	0.4
2	0	0.2	0.6

Table 5. Maximum and minimum values of t_C for different H_M levels (hours)

H_M	t_C		
	Low	Medium	High
t_C^-	5/60	10/60	12/60
t_C^+	7/60	15/60	17/60

When analysts need to resort to probabilities or probability distribution parameters elicited directly from experts, as those in Tables 4 and 5, care should be taken that the expert is properly calibrated and the information is obtained through a valid procedure, like the probability wheel or the probability equivalent methods (Morgan and Henrion, 1990). Additionally, tests of consistency and coherence of the set of elicited probabilities should be performed (Lindley, 2006).

To fulfil a processing requirement of W_0 kg of leaves in an 8 h day, the mill should process a mass (w) of $W_0/8$ per hour. If the milling hourly rate is ω , the grinding time (T_w) for w kg is (w/ω) plus mill unjamming time, which depends on the leaf humidity distribution $\{X_{M,H2O}\}$ through Tables 4 and 5. The sum of the T_w 's for all eight sized w amounts, produces the needed daily milling time (T_{MILL}), being $N_{PM} = \text{Max}\{T_{MILL}-8, 0\}$ h.

Connection (6): "Minimize tube cleaning time" is measured by the daily wasted hours due to Na_2SO_4 blockages (N_{PT}). Sulfate obstruction is given by its solubility $C^*(T_{ST})$ (depending on the stabilized molasses tank temperature, T_{ST}), the volume (Q_S) of sulfate solution of concentration (C_S) added to the tank, and the amount (m) that suffices to block the outlet piping. The number of blockages occurring daily (n_{TB}) is estimated as $Q_S \times (C_S - C^*(T_{ST})) / m$ if $C_S > C^*(T_{ST})$ and zero otherwise. If clearing the solid Na_2SO_4 from the tubes takes d_C hours, the hours lost per day are $N_{PT} = d_C \times n_{TB}$. The tank temperature distribution $\{T_{ST}\}$ is taken as triangular with minimum, maximum and most likely values of, respectively T_{MIN} , T_{MAX} and T_{ML} , while $\{m\}$ is uniformly distributed between m_{MIN} and m_{MAX} . From Q_S and C_S and said distributions, $\{N_{PT}\}$ can be derived.

Connections (7,8): "Minimize Process Costs" is measured by the annual savings for reducing mill jams (ΔD_0) and the yearly cost of Na_2SO_4 tube blockages ($Cost_{TU}$). For 260 working days/year, a staff wage of a_S (\$/h) and a current number of daily hours lost at the mill of N_{PM}^0 , $\Delta D_0 = 260 \times a_S \times (N_{PM}^0 - E[N_{PM}])$ and $Cost_{TU} = 260 \times a_S \times E[N_{PT}]$ ($E[N_{PM}]$ and $E[N_{PT}]$ are, respectively, the expected values of N_{PM} and N_{PT}). The annualized dryer cost $Cost_{DR}(q)$ depends on its heat load (q), while the substance costs ($Cost_{SUBS}$) on the amount of Na_2SO_4 and molasses spent. If $E[V]$ is the expected value of the monthly sales, the overall objective function is

$$Z = 12 \times E[V] + \Delta D_0 - Cost_{TU} - Cost_{DR}(q) - Cost_{SUBS} \quad (4)$$

Finally, it is necessary to comment on how the model was validated, that is, how it was checked that it was a fair representation of reality. Strictly speaking, validating a model means contrasting its predictions with observations. However, in the present context, such a validation could only be done to the connections relying on material and energy balances (connections 1 and 3). For most other model connections, which rely on subjective probabilities, no data are available for a validation exercise (that's why these connections were modeled using expert's experience, in the first place). This doesn't mean, however, that no quality assessment could be done of these connections: the elicited subjective probability distributions were checked for coherency and consistency (i.e. that they comply with probability rules) and the connection results "face value" was confirmed by the experts, meaning that they were deemed reasonable. Similarly, for the connections modelling preferences (i.e. equation 3) there are not experimental values to contrast their output with, however, their adequacy was tested by presenting the stakeholder with several choices, and checking that the preferred choice matched the predicted ones.

5. Results and Discussion

Table 6 shows the numerical values used in generating the results. As the fodder appearance is unaffected by the alternatives, $k_{1,Q}$ was set to zero and so the parameters of Equation (2) were not required.

The mass of fresh leaves arriving daily (W_0) is uniformly distributed between 600 and 1'000 (kg leaf-dry base/day), with an humidity $X_{0,H2O}$ distributed normally with mean 174,1 and standard deviation of 20 (g water/kg dry leaf). Three possible dryers are considered, with heat loads respectively of 3'000 kcal/h, 5'000 and 7'000 kcal/h and annualized total cost, respectively, of \$8'600, \$30'000 and \$70'000.

For solving the model, it is necessary to find values of the decision variables: dryer heat load, C_s , Q_m and Q_g maximizing equation (4). The first is a discrete variable, with possibilities of zero, 3'000 kcal/h, 5'000 and 7'000 kcal/h, while the other are continuous. For each dryer heat load, the variables C_s , Q_m and Q_g were changed through a random-walk algorithm (Rao, 1996). First, said three variables were grouped in a vector \mathbf{X} , and, starting at an initial value \mathbf{X}^0 , several random directions $\Delta\mathbf{X}$ are explored, and the one producing the a greatest value of equation (4) at $\mathbf{X}^0 + \Delta\mathbf{X}$ is selected. Then \mathbf{X} moves from \mathbf{X}^0 in the direction $\Delta\mathbf{X}$, until the objective function no longer increases. At the arrived point, a new movement direction is sought. This is repeated until no improvement direction can be found.

From the search results included in Table 7, the best alternative is a 5'000 kcal/h dryer and to operate the stabilized molasses tank with $C_s = 254$ g/l, $Q_m = 11$ liters and $Q_g = 13$ liters.

Table 6. Parameter values

Symbol	Description	Units	Value
W	Weight of a batch to the mixer	kg leaf (dry base)	100
n_B	Batches fed daily to the mixer		10
C_m	Molasses sugar concentration	g sugar / l	500
$k_{1,Q}$	Appearance weight in quality		0
$k_{2,Q}$	Nutritional value weight in quality		0.3
$k_{3,Q}$	Shelf life weight in quality		0.7
$X_{F,Na_2SO_4}^+$	Preferred maximum value of X_{F,Na_2SO_4} for its nutritional value	g Na ₂ SO ₄ / kg dry leaf	80
$X_{F,SUGAR}^+$	Preferred maximum value of $X_{F,SUGAR}$ for its nutritional value	g sugar / kg dry leaf	100
$k_{1,NV}$	Importance of sugar in the forage nutritional value		0.7
$k_{2,NV}$	Importance of Na ₂ SO ₄ in the forage nutritional value		0.3
$b_{Na_2SO_4}$	Maximum value of X_{F,Na_2SO_4} for $S_{CF} = \text{Low}$	g Na ₂ SO ₄ / kg dry leaf	30
$a_{Na_2SO_4}$	Minimum value of X_{F,Na_2SO_4} for $S_{CF} = \text{High}$	g Na ₂ SO ₄ / kg dry leaf	80
b_{F,H_2O}	Maximum value of X_{F,H_2O} for $H_F = \text{Low}$	g water / kg dry leaf	150
a_{F,H_2O}	Minimum value of X_{F,H_2O} for $H_F = \text{High}$	g water / kg dry leaf	300
V^0	Current monthly sales	\$ / month	100 000
U_{SU}^0	Current U_{SU} value		0.5
U_{SC}^0	Current U_{SC} value		0.5
U_{NV}^0	Current U_{NV} value		0.5
U_{SL}^0	Current U_{SL} value		0.15
U_Q^0	Current U_Q value		0.255
α	Sales increase factor if $U_Q = 1$	> 1	1.2
β	Sales decrease factor if $U_Q = 0$	< 1	0.2
b_{M,H_2O}	Maximum value of X_{M,H_2O} for $H_M = \text{Low}$	g water / kg dry leaf	40
a_{M,H_2O}	Minimum value of X_{M,H_2O} for $H_M = \text{High}$	g water / kg dry leaf	100
ω	Mill processing rate	kg leaf (dry base) / h	120

Symbol	Description	Units	Value
N_{PM}^0	Current number of hours lost to mill jams	h/day	3
T_{MAX}	Maximum temperature of the stabilized molasses solution	°C	18
T_{MIN}	Minimum temperature of the stabilized molasses solution	°C	10
T_{ML}	Most likely temperature of the stabilized molasses solution	°C	15
$C^*(T_{MAX})$	Na ₂ SO ₄ solubility at T_{MAX}	g/l	174
$C^*(T_{MIN})$	Na ₂ SO ₄ solubility at T_{MIN}	g/l	90
$C^*(T_{ML})$	Na ₂ SO ₄ solubility at T_{ML}	g/l	143
m_{MAX}	Maximum value of the distribution of m	g	5 000
m_{MIN}	Minimum value of the distribution of m	g	4 000
n_{TB}^0	Current number of Na ₂ SO ₄ tube blockages per day		3
d_C	Time required to clear a Na ₂ SO ₄ tube blockage	hours	0.25
a_S	Hourly staff wage	\$/h	5
	Cost of Na ₂ SO ₄	\$/kg	5
	Cost of molasses	\$/l	5

The expected annual profits may also be increased by almost \$180'000 by adjusting the operation of the stabilized molasses tank (Table 7, "Optimized" row). Said change causes the blockages costs to rise from their original values (from \$977 to \$1'176), but this is offset by enhanced sales and substance cost reduction.

Table 7a. Optimization Results

	C_s (g/l)	Q_m (l)	Q_g (l)	Sales (\$/ year)	Dryer Cost (\$/ year)
Original	200	100	30	\$ 1 200 000	-
Optimized	278	16	13	\$ 1 259 476	-
3000 kcal/hr	282	47	15	\$ 1 418 469	\$8 600
5000 kcal/hr	254	11	13	\$ 1 419 186	\$30 000
7000 kcal/hr	285	3	11	\$ 1 446 777	\$70 000

Table 7b. Optimization Results (cont.)

	Savings by mill jam reduction (\$/ year)	Tube Na ₂ SO ₄ blockage cost (\$/year)	Substance Cost (\$/ year)	Objective function (\$/ year)
Original	-	\$977	\$182 000	\$ 1 017 022
Optimized	-	\$1 176	\$61 125	\$ 1 197 174
3000 kcal/hr	\$3 120	\$1 174	\$100 376	\$ 1 308 630
5000 kcal/hr	\$6 208	\$1 056	\$53 574	\$ 1 335 176
7000 kcal/hr	\$12 010	\$1 173	\$43 543	\$ 1 333 262

6. Conclusions

The management of industries and manufactures is affected by uncertainty, whose reduction may be unaffordable for small or medium-sized companies. Thus, the decision modelling for such companies should strive to make the most of the information at hand. However, this emphasis carries the responsibility of keeping modeling assumptions transparent, so they can be critically assessed. This work aims to show, by

detailing the analysis of the issues of a fodder plant, how a Value Focused Thinking approach leads to a modelling process fulfilling said requirements. Model construction proceeds over a backbone of connected objectives, and is carried out by systematically operationalizing the connections.

No claim is made that the specific manner in which the connections between objectives were operationalized in the presented worked example is unique or optimal. However, the methodical, connection-based modelling construction procedure facilitates identifying those assumptions more open to debate, making it easy to substitute them in the relevant connections if additional information becomes available. It is expected that the modelling approach shown here can be useful in situations where decisions must be taken with scarce or limited information.

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