

FC03-95NV62026

762794

Running head: Control and Adjustment of the Rate of Photosynthesis Above Present CO<sub>2</sub> Levels.

Corresponding Author:

J. Timothy Ball  
Biological Sciences Center  
Desert Research Institute  
PO Box 60220  
Reno, NV 89506-0220

Phone: 702-673-7447  
Fax: -7485

E-mail: [tball@maxey.dri.edu](mailto:tball@maxey.dri.edu)

A Characterization of the Regulation of Light-Saturated Photosynthesis As It Departs Rubisco  
Limitation at Above-ambient  $p(\text{CO}_2)$ : What is Likely To Determine Photosynthetic Rates in the  
Future Atmosphere?

J. Timothy Ball<sup>1</sup>, Hillar Y. Eichlemann<sup>1,2</sup>, Peter D. Ross<sup>1</sup>, Olavi Kiiirats<sup>1</sup>

<sup>1</sup> Biological Sciences Center, Desert Research Institute, PO Box 60220  
Reno, NV 89506-0220

<sup>2</sup> Institute of Molecular and Cell Biology, Tartu University, 181 Riia Street,  
Tartu Estonia EE 2400

## **DISCLAIMER**

**This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, make any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.**

## **DISCLAIMER**

**Portions of this document may be illegible in electronic image products. Images are produced from the best available original document.**

**Footnotes:**

This work was supported by the Electric Power Research Institute (RP3041-02) and the United States Department of Energy Terrestrial Carbon Processes Program (DE-FG03-95ER62013/A000) and the Global Climate Change Program of the United States Department of Interior, Bureau of Reclamation, Cooperative Agreement No. 2-FC-30-00200, through the Lower Colorado River Region.

**Corresponding Author:**

J. Timothy Ball  
Biological Sciences Center  
Desert Research Institute  
PO Box 60220  
Reno, NV 89506-0220

Fax: 702-673-7485

E-mail: [tball@maxey.dri.edu](mailto:tball@maxey.dri.edu)

## Abstract

The adjustment of photosynthesis to different environmental conditions and especially to elevated CO<sub>2</sub> is often characterized in terms of changes in the processes that establish (limit) the net CO<sub>2</sub> assimilation rate. At slightly above present ambient pCO<sub>2</sub> light-saturated photosynthetic responses to CO<sub>2</sub> depart limitation by the catalytic capacity of tissue rubisco content. An hypothesis attributing this departure to limited thylakoid reaction/electron transport capacity is widely accepted, although we find no experimental evidence in the literature supporting this proposition.. The results of several tests point to the conclusion that the capacity of the thylakoid reactions cannot be generally responsible for the deviation from rubisco limitation. This conclusion leaves a significant gap in the interpretation of gas exchange responses to CO<sub>2</sub>. Since the inputs to the photosynthetic carbon reduction cycle (CO<sub>2</sub> and photon-capture/electron-transport products) do not limit photosynthesis on the shoulder of the  $A=f(c_i)$  curve, the control of photosynthesis can be characterized as: due to feedback. Several characteristics of gas exchange and fluorescence that occur when steady-states in this region are perturbed by changes in CO<sub>2</sub> or O<sub>2</sub> suggest significant regulation by conditions other than directly by substrate RuBP levels. A strong candidate to explain these responses is the triose-phosphate flux / inorganic phosphate regulatory sequence, although not all of the gas exchange characteristics expected with "TPU-limitation" are present (e.g. oxygen-insensitive photosynthesis). Interest in nitrogen allocation between rubisco and light capture / electron transport as the basis for photosynthetic adjustment to elevated CO<sub>2</sub> may need to be reconsidered as a result of these findings. Contributors to the feedback regulation of photosynthesis (which may include sucrose phosphate synthase and fructose biphosphatase activities, phloem loading, and "sink-strength") may play a large role in the adjustment of photosynthesis to elevated CO<sub>2</sub>. The continuing rise in atmospheric CO<sub>2</sub> elevates the need to understand the regulation of photosynthesis that is not related to rubisco capacity.

## Introduction

Studies of photosynthetic gas exchange often use derivatives of the Farquhar model (e.g. Farquhar, Caemmerer, and Berry, 1980; Farquhar, and von Caemmerer, 1982; Harley, and Sharkey, 1991, Harley *et al.* 1992) to quantify the three capacities that, in sequential ranges of CO<sub>2</sub>, are considered to limit photosynthesis. Proceeding from limiting to rate-saturating levels of CO<sub>2</sub>, these capacities are: (1) the quantity of the carboxylating enzyme, rubisco; (2) the maximum capacity for thylakoid reactions/electron transport; (3) the capacity for sucrose and starch synthesis as reflected by the release of inorganic phosphate, from triose-phosphates. Current high interest in plant responses to rising concentration of atmospheric CO<sub>2</sub> is one reason that studies now focus on limitations of photosynthesis other than rubisco. This report concerns the second of the capacities expected to be limiting — electron transport. The electron transport capacity is thought to limit photosynthesis when atmospheric CO<sub>2</sub> is in the range of roughly 40 to 60 Pa.

Specifically, the current common interpretation of measured net CO<sub>2</sub> assimilation as a function of leaf intercellular CO<sub>2</sub> pressure,  $A=f(c_i)$  [abbreviations in Table 1], is as follows. When  $c_i$  is in the range of roughly 4-35 Pa, photosynthesis conforms to a rectangular hyperbolic relationship between CO<sub>2</sub> and demonstrable tissue rubisco content. In this region photosynthesis is considered rubisco-capacity limited (Sage, *et al.* 1990; Seemann, *et al.*, 1981; von Caemmerer and Farquhar, 1981). As  $c_i$  is increased above about 35 Pa, the  $A=f(c_i)$  response diverges sharply, falling below the rubisco-dependent hyperbola. This divergence from rubisco-limitation is considered to result from less than saturating re-supply of rubisco's RuBP substrate at both limiting Photosynthetic Photon Flux Densities (PPFD) and saturating

PPFD (Farquhar, *et al.* 1980). At low PPFD, the capture of photons can obviously be limiting. At saturating PPFD the absorption of photons is not expected to be limiting and this expectation is confirmed by the fact that high energy (or non-photochemical) quenching of chlorophyll ( $q_e$ ) generally remains high. At saturating PPFD the expected limitation in supply of RuBP, is ascribed to the photosynthetic rate having reached the maximum capacity for thylakoid-based reactions,  $J_{max}$ , (Farquhar *et al.* 1980; Farquhar and von Caemmerer, 1982; von Caemmerer and Farquhar, 1981). The photosynthetic rate,  $J_{max}$ , and electron transport are related through the stoichiometric requirement for RuBP regeneration. Empirically, photosynthesis continues to rise as a function of  $CO_2$  in the region where  $J_{max}$  is considered limiting. In the context of the Farquhar model's structure of a sequence of single limitations this was explained (Farquhar and Caemmerer, 1982) as competitive diversion of the  $J_{max}$  dictated (fixed) quantity of RuBP toward rubisco's carboxylation (from oxygenation) reaction. As  $c_i$  is raised further, to perhaps 80 Pa, steady-state photosynthesis approaches  $CO_2$  saturation and, at the same time, is often found to be unaffected by reductions in the rate of photorespiration (photorespiration being reduced as a result of low  $p(O_2)$ ). This cannot be explained in the context of rubisco's carboxylation and oxygenation reactions competing for limiting RuBP (Sharkey 1985a,b). Sharkey therefore proposed the existence of the third limiting capacity, under which the rates of RuBP production and photosynthesis are controlled by the rate of inorganic phosphate release (in sucrose and starch synthesis) acting via a limited supply of  $P_i$  for ATP synthesis. While it is a central point of the Farquhar model framework that  $A=f(c_i)$  points not on the initial, rubisco-capacity-defined hyperbola result from non-saturating levels of RuBP. Nonetheless, it is now accepted that in the steady-state at low PPFD the RuBP pool does not decline to an extent that explains the observed photosynthetic rate. Rather, the activation state of rubisco is modulated, holding the RuBP pool high, and, parenthetically, causes rubisco to retain more of the proximal control over photosynthesis than had been

expected (Perchorowicz *et al.*, 1981; Mott *et al.*, 1984; Woodrow and Berry, 1988). Some evidence exists of similar regulation occurring as  $c_i$  is varied but the degree to which rubisco is held near RuBP saturation remains uncertain (Sage 1990; Sage *et al.*, 1988, 1990; Sharkey *et al.*, 1986; Woodrow and Berry, 1988). One of the several consequences of rubisco activation and the capacity for RuBP regeneration declining at the same time would be that the contributions of different potential sources of photosynthetic rate control could not be separated on the basis of the RuBP pool size nor the shape of the steady-state  $A=f(c_i)$  alone.

Is there justification for raising the question of whether or not electron transport capacity is a significant source of limitation to photosynthesis? Physical correlations have been demonstrated between extractable rubisco activity *vis-à-vis* the initial slope of  $A=f(c_i)$  curves (Seemann *et al.*, 1981; Woodrow and Berry, 1988). Limiting sucrose synthesis, restrictive levels of free  $P_i$  and  $O_2$ -insensitive photosynthesis have also been correlated (Sharkey, 1986b; Woodrow and Berry, 1988). The evidence offered by von Caemmerer and Farquhar (1981) in support of the existence of an electron transport limitation is a correlation between gas exchange measured *in vivo* and *in vitro* measurement of electron transport activity extracted from the same leaves. The dangers of losing activity from *in vitro* measurements and potentially under-estimating capacity are well known. In the time since that report of limited electron transport capacity *in vivo* studies of the redox state of photosynthetic transport intermediates have provided more reliable information on electron transport limitations and rates. From such measurements Weis and co-workers (1986) found that, at saturating light, energy-dependent fluorescence quenching remains high and electron transport activity remains under the control of electron donation to the photosynthetic electron transport chain over the entire  $A=f(c_i)$  curve. Following this, Weis and Berry (1987) showed that fluorescence quenching via electron transport is closely controlled in proportion to the demand for electron

transport products in the PCR cycle. Those findings suggest, but do not prove, the lack of a limitation due to electron transport capacity. This information notwithstanding, the view that, at saturating PPFD, the capacity for electron transport ( $J_{max}$ ) causes the  $A=f(c_i)$  relationship to deviate from the initial rubisco – capacity – related hyperbola has remained an important part of the general understanding of photosynthetic gas exchange. In this communication we report observations that caused us to question the involvement of limited electron transport capacity in photosynthetic CO<sub>2</sub> response curves. We then report the results of two tests of the hypothesis that this capacity causes photosynthesis to deviate from rubisco limitation.

## Methods and Materials

### *Plant Material*

Plants were grown in the following situations: (1) *Pinus ponderosa* Laws. in open-top chambers in Placerville, CA, at ambient  $p(\text{CO}_2)$  in the high soil nitrogen treatment of an experiment described in (Ball *et al.*, 1992; Johnson and Ball, 1996); (2) *Populus Fremontii* Wats. seedlings in well watered and fertilized 44 liter pots in natural – light growth chambers in 33/15°C day/night temperature regime, (3) *Populus tremuloides* Michx. seedlings in 20 liter pots in these same growth chambers set to temperatures of 25/15 °C; (4) *Glycine max* L., *Nicotiana tabacum* L., and *Prosopis juliflora* (Sw.) DC. var *Torreyana* L. Benson. seedlings in well watered and fertilized 10 liter pots in a greenhouse in Reno, NV with temperature maxima and minima set to 28 and 12°C, respectively.

### Measurements

Gas exchange measurements on *P. ponderosa*, *P. Fremontii*, *P. tremuloides*, *P. juliflora*, and *G. max* were made using open-flow gas exchange systems as described by Ball (1987). Other measurements on *G. max*, *P. Fremontii*, and *N. tabacum* employed a multi-channel rapid response gas exchange system described by Oja (1983). Photosystem II fluorescence was measured with a pulse – modulated – system configured as described in Daley *et al.*, (1989). Calibrations of fluorescence measurement for electron transport on *G. max*, and *P. Fremontii*, followed Weis and Berry (1986) and on *N. tabacum* followed Gentry *et al.*, (1989).

### Parameters and Equations

Physiological parameters and relationships used here (or equations from which they are derived) can be found in Farquhar and Caemmerer (1982) or Woodrow and Berry (1988).

The rate of photosynthetic carbon fixation ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is

$$P = v_C (1 - 0.5 v_O) \quad (1)$$

in which  $v_C$  and  $v_O$  are, respectively, the carboxylation and oxygenation rate of RuBP at rubisco. Gas exchange measures net  $\text{CO}_2$  assimilation ( $A$ ) which differs from  $P$  by the amount of normal respiration occurring in the light:

$$P = A - R_d \quad (2)$$

Estimates of  $R_d$  are from gas exchange measurements around the photo-compensation point,  $\Gamma^*$ , and/or by measurement of gas exchange on darkened leaves. The parameter  $\Gamma^*$  is the intercellular  $\text{CO}_2$  pressure ( $c_i$ ) at which  $v_O / v_C = 2$  and is taken as

$$\Gamma^* = 0.5 o_i / S \quad (3),$$

where ( $o_i$ ) is intercellular oxygen pressure and  $S$  is the rubisco specificity factor: 2360 Pa [CO<sub>2</sub>] Pa<sup>-1</sup> [O<sub>2</sub>] (14). From gas exchange measurements, the rate of RuBP carboxylation is

$$v_c = P / (1 - (0.5 o_i / S c_i)) \quad (4),$$

and the rate of RuBP oxygenation is,

$$v_o = (v_c o_i / S c_i) \quad (5).$$

Calculation of electron transport requirements to regenerate RuBP following carboxylation or oxygenation depend upon assumptions of how requirements for ATP and NADPH are balanced. While Farquhar and von Caemmerer (1982) prefer one set of values, they point out that the balance is not known and not fixed. Therefore, we use the values most biased against our arguments by taking the electron transport rate needed to support photosynthesis as,

$$ETR = 4 v_c + 4 v_o \quad (6).$$

Using other values would not materially influence this analysis. From this, the steady-state rate of RuBP production and consumption is ETR/4.

Farquhar *et al.* (1980) suggested that the catalytic capacity of rubisco in tissue,  $V_C = [E] \cdot k_{cat}$ , could be conveniently determined from  $dA/dc_i$  around  $\Gamma^*$  (their Eq. 42). The slope is used to eliminate the need to know  $R_d$ , and the vicinity of  $\Gamma^*$  was suggested to assure saturating RuBP. This approach has been widely used. However, a value for the quantity of active and RuBP-saturated rubisco required to support observed  $P$  can actually be estimated at any  $c_i$ :

$$V_C^R = v_c (c_i + K_C (1 + o_i / K_O) / c_i) = P (c_i + K_C (1 + o_i / K_O) / (c_i - (0.5 o_i / S))) \quad (7).$$

This parameter is less than  $V_C$  in approximate proportion to the product of the rubisco activation state and the degree of RuBP saturation — when activation and saturation are

expressed as decimal fractions (see Woodrow and Berry, 1988 Eq. 4). We find the highest values for  $V_c^R$  at or just prior to  $P=f(c_i)$  departing the "rubisco-dependent" portion of the curve and use these highest values to define the rubisco – capacity – dependent hyperbola.

## Results

When  $c_i$  is experimentally varied under saturating PPFD (Figure 1), the relationships between steady-state photosynthesis, photosystem II fluorescence, and electron transport can appear at odds with the hypothesis that the capacity for electron transport causes photosynthesis to diverge from the rubisco-related initial hyperbolic curve. In the responses shown the peak electron transport rates occur at values of  $c_i$  at or just beyond the point where photosynthesis departs the rubisco-dependent curve (Figure 1 Panels A&B, C&D, E&F). Then, as  $c_i$  is increased further, there is a clear tendency for electron transport (and RuBP consumption/regeneration) to decline even as photosynthesis continues to rise. Thus, if there is limited capacity for electron transport, that capacity is not always needed to support photosynthesis observed in this region. Interestingly, this ETR decrease contrasts with what seems to occur when photosynthesis departs from the initial curve at low PPFD (Figure 2). There, electron transport often continues to increase as electrons become limiting with increasing  $c_i$ .

In an attempt to determine if electron transport generally rises, stays constant, or declines after the breakpoint of  $A=f(c_i)$  curves we have analyzed (using fluorescence and/or stoichiometry) more than 150 different samples of *Pinus ponderosa*, *Populus tremuloides*, *Populus Fremontii*, *Gossypium hirsutum*, *Helianthus annuus*, *Glycine max* and *Prosopis juliflora* as well as curves

published in von Caemmerer and Edmondson, 1986; Sharkey *et al.*, 1988; Sage *et al.*, 1988; Sharkey 1985a; Laisk and Sumberg, 1994. From a total of 160 electron transport curves examined, 124 showed declines soon after the  $A=f(c_i)$  curve departed rubisco dependency. A group of 36 curves, mostly from *P. ponderosa*, showed either a constant or slightly increasing electron transport rate over an interval of 25 Pa after departing the initial hyperbolic curve. Those plants in which electron transport declined after  $A=f(c_i)$  departed the initial curve could not have been limited by the capacity for electron transport since they did not utilize all the capacity they had demonstrated at lower  $c_i$ . For plants where electron transport was constant or increased in this region of the  $\text{CO}_2$  response curve, electron transport cannot be eliminated as a contributor to the limitation of photosynthesis, however other aspects of regulation might also be involved.

A stronger test of the hypothesis is needed and can be made relative to the following prediction: a manipulation that diverts the potentially limiting supply of electrons (actually RuBP) away from the carboxylation to the rubisco oxygenation reaction should cause photosynthesis to depart the initial curve at lower  $c_i$  but at the same ETR. This prediction can be examined by varying  $p(\text{O}_2)$ . We obtained  $P=f(c_i)$  values for leaves of *Glycine max* centered around the breakpoint of the response curve at 20.9 kPa  $\text{O}_2$  (Figure 3). We then set different oxygen pressures and measured photosynthesis over similar ranges of  $c_i$ . Raising  $p(\text{O}_2)$  from 20.9 to 40 kPa caused several changes in the operation of photosynthesis. The ETR was higher and increased between each point in this range of  $c_i$  (Figure 3 Panel B). At the same time, no  $P=f(c_i)$  points departed from the initial hyperbolic response (dotted lines defined from  $V_C = 106 \mu\text{mol m}^{-2} \text{s}^{-1}$  in Figure 3 Panel A). In comparison, lowering the  $\text{O}_2$  pressure to 10.2 or 5 kPa raised the photosynthetic rate, lowered ETR, made the break in the  $P=f(c_i)$  curve sharper, and caused a more pronounced decline in ETR at  $c_i$  above the break. In this experiment, curves

with lower electron demand but higher photosynthetic rates departed rubisco dependency at lower values of  $c_i$ . Conditions that raised the requirement for electron transport but lowered the rate of photosynthesis kept  $P=f(c_i)$  on the initial hyperbolic curve. These responses are the opposite of the prediction above.

Another important approach to probing the capacity for electron transport is to examine transient responses: where regulation masks system capacities to a smaller extent. Figure 4 Panel A shows a steady-state  $P=f(c_i)$  curve for *Nicotiana tabacum*. During the measurement of that curve a change in cuvette  $p(\text{CO}_2)$  from 20 Pa ( $c_i = 13.3$ ) to 70 Pa was imposed while gas exchange and fluorescence transients containing four distinct features (numbered arrows of Figure 4 Panel B) were recorded between the steady states. The dual stream gas exchange system (Oja, 1983) used for these measurements has a relaxation time of approximately 1s. Thus data from the first 3s after the imposed change are not included in the figure. The sharp peak and trough in  $\text{CO}_2$  uptake (#1) lasting some 10s likely resulted from re-organizing the PCR/PCO pools. Photosynthesis then rose to 1.34 times the eventual new steady-state level (#2). To support this additional carbon fixation the calculated electron transport rate also rose — reaching 1.37 times the new steady-state value before declining. The decline (#3) to approximately the new steady-state had a relaxation time of approximately 225s. Following these larger changes are several smaller and longer-term adjustments (#4) toward steady-state. Photosystem II fluorescence measured simultaneously confirmed that electron flow to photochemical processes increased and relaxed with kinetics very similar to photosynthesis. To avoid disturbing the system during the transient, we chose not to measure pulse saturated fluorescence during the relaxation. Thus we cannot actually calculate ETR from fluorescence during that period. At the steady states before and after the transient, energy-dependent quenching coefficients were 0.788 and 0.675 for  $c_i = 13.3$  and 39.8, respectively. The RuBP-

saturated rubisco capacity required ( $V_c^R$ ) to yield the observed initial steady-state and peak (#2) values of photosynthesis were 26.8 and 27  $\mu\text{mol m}^{-2}\text{s}^{-1}$ , while relaxation to the new steady-state brought  $V_c^R$  down to 21.2. The electron transport system met demands (as required by  $V_c^R$  and  $c_i$ ) more than 30% above the steady-state requirement in this region where  $J_{max}$  has been thought to limit photosynthesis.

## Discussion

From the above results the hypothesis: that, at saturating PPFD, limited capacity for thylakoid reactions causes the departure of the  $P=f(c_i)$  relationships from the initial rubisco-capacity-dependent hyperbola, appears to be incorrect. The data show that in air of normal oxygen concentration, at levels of  $c_i$  above the  $\text{CO}_2$  response curve breakpoint all electron transport capacity is not employed. Increasing PCO cycle activity by raising the surrounding  $\text{O}_2$  pressures induced more electron transport in the steady-state showing that limited thylakoid reactions need not be invoked to explain the departure of  $A=f(c_i)$  curves from their initial hyperbolic projection (Figure 3). In contrast, lower than normal  $\text{O}_2$  conditions (which reduces PCO cycle flux) increased PCR cycle flux, reducing the demand for electron transport but resulting in photosynthesis that appears less dependent on rubisco capacity. The contrast between photosynthesis at different levels of  $p(\text{O}_2)$  shown in Figure 3 is a well known pattern that can be found in many published reports (e.g. Laisk and Sumberg, 1994; Sharkey, 1985b; von Caemmerer and Farquhar, 1981). From the oxygen experiment it seems that departure from the initial hyperbola was more closely related to the rate of carboxylation ( $v_c$ ) than any other photosynthetic parameter.

The gas exchange transient shown in Figure 4 constitutes evidence that regulation — which brings the PCR/PCO cycles and electron transport below their maximum capacities — is important in determining photosynthesis in the region of the  $A=f(c_i)$  curve beyond the initial hyperbolic dependence on  $c_i$ . Transients with a shape inverse that in Figure 4 occur when one changes from high  $c_i$  to a level of  $c_i$  within the initial hyperbolic region of  $\text{CO}_2$  response curves (data not shown). These kinetics are slow enough that virtually any open flow gas exchange system can document them. We have often encountered similar transient responses during gas exchange measurements but previously ignored them. The time constant for the transient between points 2 and 3 in Figure 4 is similar to time constants reported for changes in rubisco activation state (Mott *et al.*, 1984; Woodrow and Berry, 1988). Sage *et al.* (1988) show similar transient responses upon raising the ambient  $\text{CO}_2$  to very high (160 Pa) levels. Those workers also demonstrated that the regulation that occurred involved deactivation of rubisco and maintenance of a relatively large RuBP pool. The oscillatory behavior of photosynthesis that can be induced above ambient  $p(\text{CO}_2)$  levels (Leegood *et al.*, 1985; Sage *et al.*, 1988) also demonstrates that greater capacities for electron transport and photosynthesis exist than are used in this region of steady-state  $A=f(c_i)$  curves.

## Conclusion

Our results lead to the conclusion that the capacity for electron transport cannot be considered uniformly responsible for PPFD-saturated photosynthesis breaking away from the initial hyperbolic response curve with increasing  $\text{CO}_2$  pressure. There may be plants in which this capacity does enter into the definition of  $A=f(c_i)$  curves, but we did not find clear evidence of this. This conclusion leaves a gap — between the initial  $A=f(c_i)$  curve (related to rubisco-capacity) and “oxygen insensitive” photosynthesis (related to limited sucrose synthetic activity)

— in which we are unable to point to one particular limiting factor as explanatory the  $A=f(c_i)$  response.

We noted in our introduction that data of von Caemmerer and Edmondson (1986), Sharkey *et al.* (1986), and Sage *et al.* (1988,1990) show that reduced rubisco activation/maintenance of relatively high RuBP pools occurs as  $\text{CO}_2$  is varied in the  $A=f(c_i)$  region beyond the initial hyperbola. We also noted that when regulated variables such as the RuBP pool size or the rubisco activation state receive input from more than one source the contributions to overall control of the individual sources are difficult to apportion on the basis of steady-state fluxes alone or pool sizes alone. It is even more difficult to apportion regulatory responsibility when the variables are tied together as are the RuBP pool and the rubisco activation state.

Woodrow and Berry (1988) describe how a regulatory system operating through levels of PGA, DHAP, and  $P_i$  might coordinate the activities of multiple components of photosynthetic metabolism including rubisco activation state and the RuBP level. These authors also consider several reasons why carbon metabolism might be regulated in this way. The extent to which their hypothesized regulatory system explains  $A=f(c_i)$  responses is largely untested, and the contributions of the several potential regulatory inputs need to be quantified. Woodrow and Mott (1993) developed a robust means of assessing the state of the photosynthetic system that combined gas exchange, metabolite pool size measurements, and modeling. From a limited set of measurements their model output suggested that the activity of the stromal-FBPase, cytosolic-FBPase and SBPase should be of increasing importance in controlling the rate of photosynthesis as the  $A=f(c_i)$  curve departs the initial hyperbola. The fact that all carbon entering the PCO cycle may not return to RuBP (Harley and Sharky, 1991) could also emerge as an important contributor to rate control in this region of the  $A=f(c_i)$  curve. Further investigation is needed.

We emphasize that none of the points that we have made invalidate predictions of photosynthetic rates *per se* from empirical fits of the Farquhar model. This includes rates in the thylakoid-/  $J_{max}$ -limited region of  $A=f(c_i)$  curves. The Farquhar model is a semi-empirical model and as such it can make accurate predictions even though the underlying mechanisms are not fully included or understood. For some purposes steady-state electron transport rates within the so called  $J_{max}$ -limited region are reasonably well approximated as constant. This study suggests that there may generally be reasons other than the capacity for electron transport underlying observations of near constant ETR.

While fully recognizing that "merely predicting" the rate of photosynthesis without regard to the underlying mechanism can be useful, we note considerable interest in predicting how both photosynthetic capacity and resource use in photosynthesis (particularly nitrogen and water) will adjust to growth in a wide ranges of environmental conditions. The current interest in plant responses to elevated atmospheric CO<sub>2</sub> pressure is only one example of this. If we have a clearer understanding of the mechanisms actually regulating the rate of photosynthesis at elevated CO<sub>2</sub>, we will be better prepared to understanding and predict the adjustments in photosynthetic systems. When we examine changes in photosynthetic resource use under different environmental conditions, we are most likely to find consistent relationships between rates and resource levels if we can focus on the portion of the system that is actually determining the rate. We do not seem to have a solid understanding of photosynthetic rate limitation at CO<sub>2</sub> pressures much above present ambient levels. In this same vein, the fact that plants tend to operate at values of  $c_i$  close to the breakpoint of the  $A=f(c_i)$  curve is a matter of significant ecological interest. That observation has often been cited as evidence that plants balance investment between CO<sub>2</sub> capture and photon capture (von Caemmerer and Farquhar,

1981; Wong *et al.*, 1985; Cowan, 1986). It seems quite likely that stomatal regulation keeping  $c_i$  near the CO<sub>2</sub> curve breakpoint does represent a balance in resource use. Yet investment in PCR cycle and sucrose synthetic pathway enzymes, the demand for the products of photosynthesis, and the availability of water may all be as much a part of the balance achieved as is investment in capacity for photon capture/electron transport and rubisco.

**Acknowledgments:** Peter Curtis and Rowan Sage asked JTB questions that stimulated this paper and provided important discussion. As he often does, Joe Berry gave the perfect hint on how to frame the problem. In this case the advice was, "Go back and read our rubisco review." We thank Yiqi Luo, Andrew Peterson, Linda Piehl, and Kevin Griffin for their comments as well.

Manuscript Received:

Manuscript Accepted:

#### Literature Cited

1. **Ball, JT** (1987) Calculations related to gas exchange. *In* E Zeiger, GD Farquhar, I Cowan, eds, Stomatal Function. Stanford University Press, Stanford, pp 445-476.
2. **Ball, JT, Johnson DW, Strain BR, Thomas R, Walker RF** (1992) Effects of CO<sub>2</sub> on Forests. Second Annual Report to the Electric Power Research Institute, Desert Research Institute, Reno NV.
3. **Cowan, IR** (1986) The economics of carbon fixation in higher plants. *In* TJ Givnish, ed, On the Economy of Plant Form and Function. Cambridge University Press, Cambridge, pp 133-170.

4. Daley PF, Raschke K, Ball JT, Berry JA (1989) Topography of photosynthetic activity of leaves obtained from video images of chlorophyll fluorescence. *Plant Physiol* **90**: 1233-1238.
5. Evans JR (1989) Photosynthesis and nitrogen relationships in leaves of C<sub>3</sub> plants. *Oecologia* **78**: 9-19.
6. Evans JR, Seemann JR (1989) The allocation of protein nitrogen in the photosynthetic apparatus: Costs, consequences and control. *In* WR Briggs, ed, *Photosynthesis*. Alan R. Liss Inc., New York, pp 183-205.
7. Farquhar GD (1979) Models describing the kinetics of ribulose bisphosphate carboxylase/oxygenase. *Arch Biochem Biophys* **193**: 456-468.
8. Farquhar GD, von Caemmerer S, Berry JA (1980) A biochemical model of photosynthetic CO<sub>2</sub> assimilation in leaves of C<sub>3</sub> species. *Planta* **149**: 78-90.
9. Farquhar GD, von Caemmerer S (1982) Modelling of photosynthetic response to environmental conditions. *In* OL Lange, PS Nobel, CB Osmond, H Ziegler, eds, *Physiological Plant Ecology*. Encyclopedia of Plant Physiology, New Series, Vol 12B. Springer-Verlag, Berlin, pp 549-588.
10. Field CB, Ball JT, Berry JA (1989) Photosynthesis: principles and field techniques. *In* RW Pearcy, J Ehleringer, HA Mooney, PW Rundel, eds, *Plant Physiology Ecology*. Chapman and Hall, New York, pp 210-251.
11. Genty B, Briantais JM, Baker NR (1989) The relationship between quantum yield of photosynthetic electron transport and quenching of chlorophyll fluorescence. *Biochem Biophys Acta* **990**: 87-92.
12. Harley PC, Sharkey TD (1991) An improved model of C<sub>3</sub> photosynthesis at high CO<sub>2</sub>; Reversed O<sub>2</sub> sensitivity explained by lack of glycerate reentry into the chloroplast. *Photosynth Res* **27**: 169-178.
13. Harley PC, Thomas RB, Reynolds JF, Strain BR (1992) Modeling photosynthesis of cotton grown in elevated CO<sub>2</sub>. *Plant Cell Environ* **15**: 271-282.
14. Jordan DB, Ogren WL (1984) The CO<sub>2</sub>/O<sub>2</sub> specificity of ribulose 1,5-bisphosphate carboxylase/oxygenase. *Plant* **161**: 308-313.

15. **Johnson DW, Henderson PH, Ball JT, Walker RF (1996)** Effects of CO<sub>2</sub> and N on growth and N dynamics in ponderosa pine: Results from the first two growing seasons. Ch 2. *In* GW Koch, HA Mooney, eds, Carbon Dioxide and Terrestrial Ecosystems. Academic Press, San Diego, pp 23-40.
16. **Laisk A, Sumberg A (1994)** Partitioning of the leaf CO<sub>2</sub> exchange into components using CO<sub>2</sub> exchange and fluorescence measurements. *Plant Physiol* **106**: 689-695.
17. **Leegood RC, Foyer CH, Walker DA (1985)** Regulation of the Bensen-Calvin Cycle. *In* J Barber, N Baker, eds, Photosynthetic mechanisms and the environment. Elsevier, New York, pp 191-258.
18. **Mott KA, Jensen RG, O'Leary JW, Berry JA (1984)** Photosynthesis and ribulose 1,5-bisphosphate concentrations in intact leaves of *Xanthium strumarium* L. *Plant Physiol* **76**: 968-71.
19. **Oja VM (1983)** A rapid-response gas exchange measuring device for studying the kinetics of leaf photosynthesis. *Fiziol Rast (Sov Plant Physiol)* **30**: 1045-1052.
20. **Perchorowicz JT, Raynes DA, Jensen RG (1981)** Light limitation of photosynthesis and activation of ribulose bisphosphate carboxylase in wheat seedlings. *Proc Natl Acad Sci USA* **78**: 2985-2989.
21. **Quick WP, Schurr U, Scheibe R, Schulze ED, Rodermel SR, Bogorad L, Stitt M (1991)** Decreased ribulose-1,5-bisphosphate carboxylase-oxygenase in tobacco transformed with 'antisense' rbcS. Impact on photosynthesis in ambient growth conditions. *Planta* **1823**: 542-544.
22. **Sage RF (1990)** A model describing the regulation of ribulose-1,5-bisphosphate carboxylase, electron transport, and triose phosphate use in response to light intensity and CO<sub>2</sub> in C<sub>3</sub> plants. *Plant Physiol* **94**: 1728-1734.
23. **Sage RF, Sharkey TD, Seemann JR (1988)** The in-vivo response of the ribulose-1,5-bisphosphate carboxylase activation state and the pool sizes of photosynthetic metabolites to elevated CO<sub>2</sub> in *Phaseolus vulgaris* L. *Planta* **174**: 407-416.
24. **Sage RF, Sharkey TD, Seemann JR (1990a)** Regulation of ribulose-1,5-bisphosphate carboxylase activity in response to light intensity and CO<sub>2</sub> in the C<sub>3</sub> annuals *Chenopodium album* L. and *Phaseolus vulgaris* L. *Plant Physiol* **94**: 1735-1742.

25. **Seemann JR, Tepperman JM, Berry JA (1981)** The relationship between photosynthetic performance and the levels and kinetic properties of RuP<sub>2</sub>-carboxylase/oxygenase from desert annuals. *Carnegie Inst Wash Yearb* **80**: 67-72.
26. **Sharkey TD (1985a)** Photosynthesis in intact leaves of C<sub>3</sub> plants; physics, physiology and rate limitation. *Bot Rev* **51**: 53-105.
27. **Sharkey, TD (1985b)** O<sub>2</sub>-insensitive photosynthesis in C<sub>3</sub> plants. Its occurrence and a possible explanation. *Plant Physiol* **78**: 71-75.
28. **Sharkey TD, Stitt M, Heineke D, Gerhardt R, Raschke K, Heldt HW (1986)** Limitation of photosynthesis by carbon metabolism. O<sub>2</sub>-insensitive CO<sub>2</sub> uptake results from limitation of triose phosphate utilization. *Plant Physiol* **81**: 1123-1129.
29. **Sharkey TD, Seemann JR, Berry JA (1986)** Regulation of ribulose-1,5-bisphosphate carboxylase in response to changing pressure of O<sub>2</sub> and light in *Phaseolus vulgaris*. *Plant Physiol* **81**: 788-791.
30. **Sharkey TD, Berry JA, Sage RF (1988)** Regulation of photosynthetic electron-transport in *Phaseolus vulgaris* L., as determined by room-temperature chlorophyll *a* fluorescence. *Planta* **176**: 415-424.
31. **Stitt M (1989)** Control analysis of photosynthetic sucrose synthesis: assignment of elasticity coefficients and flux control coefficients to the cytosolic fructose 1,6-bisphosphatase and sucrose phosphate synthase. *Philos Trans R Soc London, Ser B* **323**, 327-338.
32. **Stitt M (1991)** Rising CO<sub>2</sub> levels and their potential significance for carbon flow in photosynthetic cells: Commissioned review. *Plant, Cell and Environment* **14**: 741-762.
33. **von Caemmerer S, Farquhar GD (1981)** Some relationships between the biochemistry of photosynthesis and gas exchange of leaves. *Planta* **153**: 376-387.
34. **von Caemmerer S, Edmondson DL (1986)** Relationship between steady-state gas exchange, in vivo ribulose bisphosphate carboxylase activity and some carbon reduction cycle intermediates in *Raphanus sativus*. *Aust J Plant Physiol* **13**: 669-688.
35. **Weis E, Ball JT, Berry JA (1986)** Photosynthetic control of electron transport in leaves of *Phaseolus vulgaris* : Evidence for the regulation of photosystem II by the proton gradient. *In J*

Biggins, ed, Progress in photosynthesis research. Martinus Nijhoff, Dordrecht, The Netherlands, pp 553-556.

36. **Weis E, Berry JA (1987)** Quantum efficiency of photosystem II in relation to 'energy' dependent quenching of chlorophyll fluorescence. *Biochem, Biophys Acta* **894**: 198-208.
37. **Wong SC, Cowan IR, Farquhar GD (1985)** Leaf conductance in relation to CO<sub>2</sub> assimilation. I. Influence of nitrogen nutrition, phosphorus nutrition, photon flux density, and ambient partial pressure of CO<sub>2</sub> during ontogeny. *Plant Physiol* **78**: 821-825.
38. **Woodrow IE, Berry JA (1988)** Enzymatic regulation of photosynthetic CO<sub>2</sub> fixation in C<sub>3</sub> plants. *Annu Rev Plant Physiol, Plant Mol Biol* **39**: 533-594.
39. **Woodrow IE, Mott KA (1993)** Modelling C<sub>3</sub> photosynthesis: A sensitivity analysis of the photosynthetic carbon-reduction cycle. *Planta* **191**: 421-432.

## List of Figures

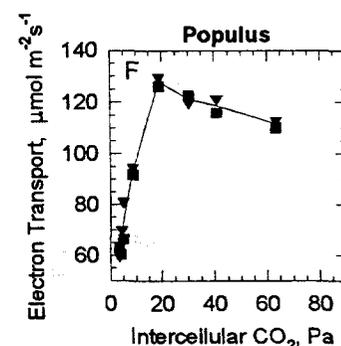
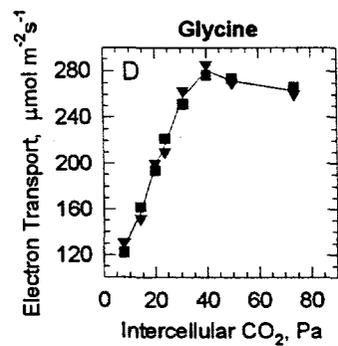
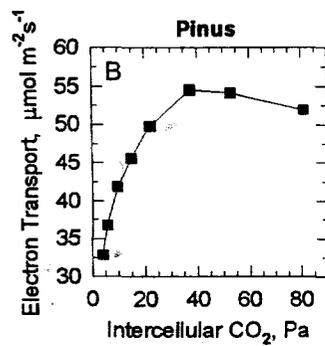
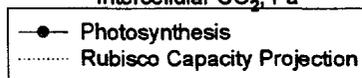
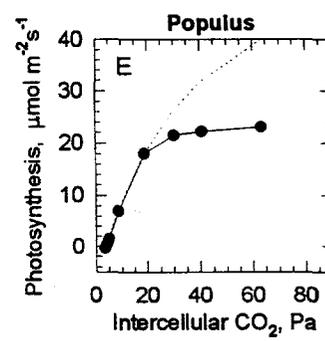
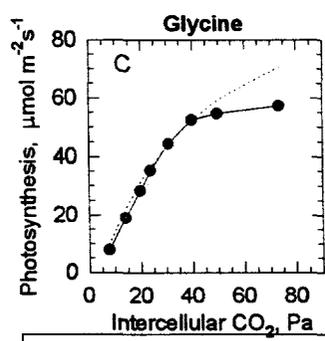
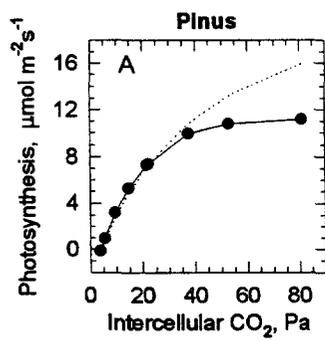
Figure 1. Panel A, B, C The response of steady-state photosynthesis to variation in intercellular  $p(\text{CO}_2)$  in, respectively, *Pinus ponderosa*, *Glycine max*, and *Populus tremuloides*. Panel D,E, F The electron transport rate for photosynthesis calculated from stoichiometry and confirmed by Photosystem II fluorescence through the  $\text{CO}_2$  response curves shown in the respective panels above.

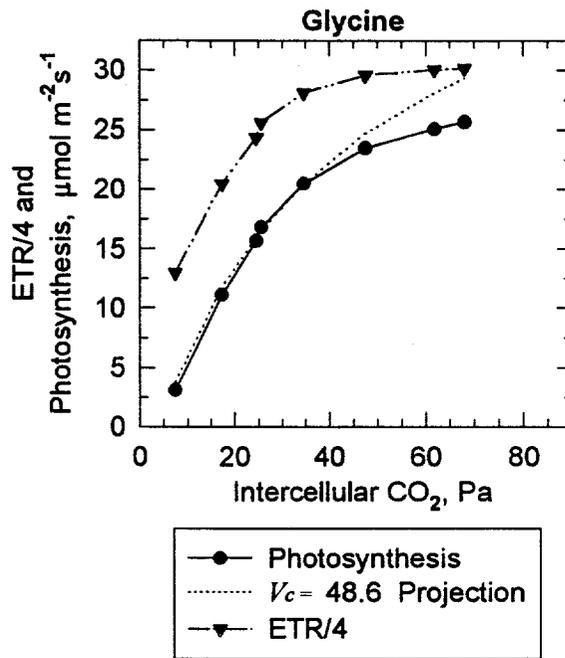
Figure 2. Photosynthesis and Electron Transport (the latter scaled by a factor of 4) as a function of leaf intercellular  $\text{CO}_2$  pressure measure at PPFD of  $500 \mu\text{mol m}^{-2} \text{s}^{-1}$  on a leaf of *Glycine max*. The graph shows that electron transport increases considerably, relative to Figure 1, after photosynthesis departs initial hyperbolic response to  $\text{CO}_2$ .

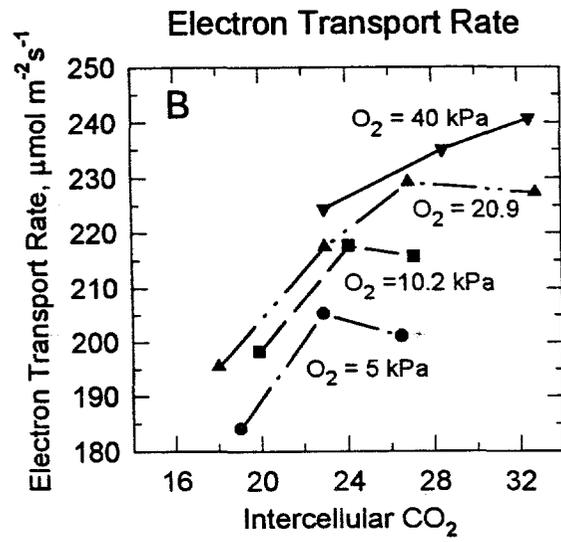
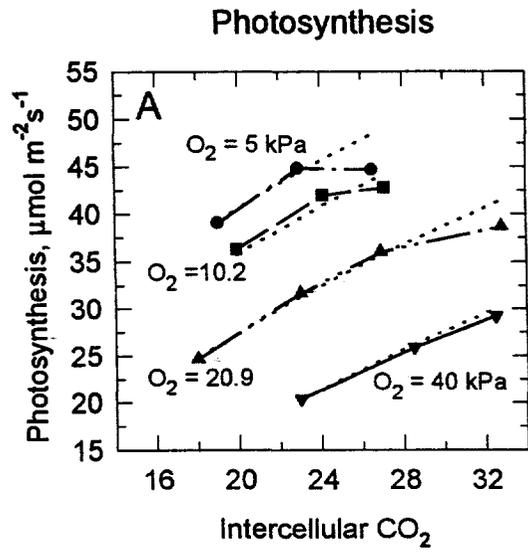
Figure 3. Panel A Photosynthesis as a function of intercellular  $p(\text{CO}_2)$  in *Glycine max* measured over the range of  $\text{CO}_2$  covering the curve breakpoint at 5, 10.2, 20.9 kPa  $\text{O}_2$  and over the same range of  $c_i$  at 40 kPa  $\text{O}_2$ . Panel B The calculated electron transport rates corresponding to the data in Panel A.

Figure 4. Panel A. The steady state  $P=f(c_i)$  curve for a leaf of *Nicotiana tabaccum* showing the transient movement of photosynthesis and electron transport when cuvette  $\text{CO}_2$  was moved from 20 to 70 Pa. Panel B. The transient in photosynthesis and Photosystem II fluorescence produced by equilibrating a leaf of *Nicotiana tabaccum* at cuvette  $\text{CO}_2$  of 20 Pa ( $c_i = 13.3$  Pa) then switching ambient  $\text{CO}_2$  to 70 Pa.

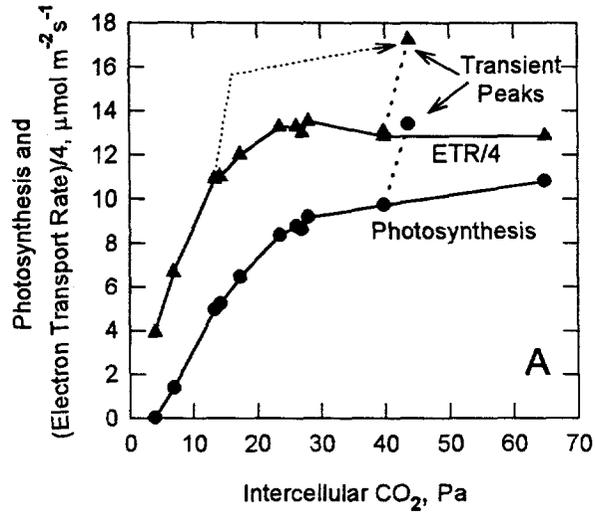
Table 1. List of Abbreviations and symbols used.







*Nicotiana* Steady-State Photosynthesis and Electron Transport with Peak Transient Values



*Nicotiana* transient from 20 to 70 Pa CO<sub>2</sub> at 20.9 kPa O<sub>2</sub>

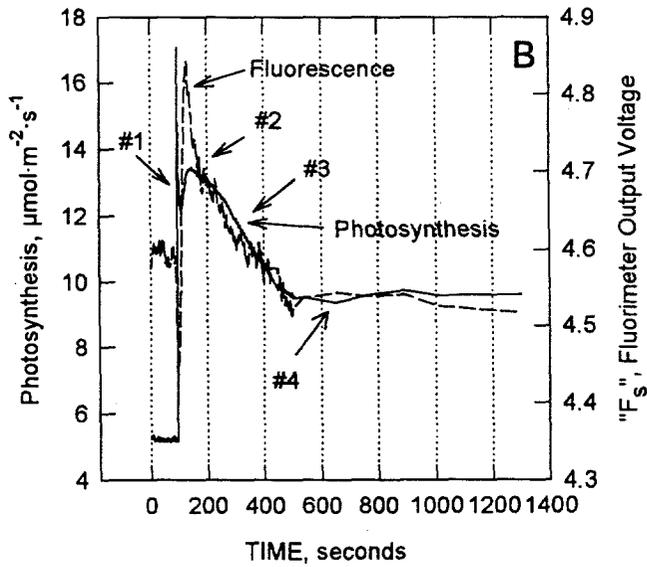


Table I. Abbreviations

$A$	Net CO <sub>2</sub> assimilation rate	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$\Gamma^*$	Photo-compensation point	Pa
$c_i$	Leaf intercellular CO <sub>2</sub> pressure	Pa
DHAP	Dihydroxyacetone phosphate	
$E$	Holoenzyme (rubisco) concentration	
ETR	electron transport rate	$\mu\text{mol m}^2\text{s}$
$o_i$	Leaf intercellular O <sub>2</sub> pressure	Pa
$P$	Photosynthetic rate	$\mu\text{mol m}^{-2} \text{s}^{-1}$
PGA	Phosphoglycerate	
$R_d$	normal Respiration rate	$\mu\text{mol m}^{-2} \text{s}^{-1}$
RuBP	Ribulose 1,5-bisphosphate	
$S$	rubisco specificity factor	Pa Pa <sup>-1</sup>
$v_c$	velocity of RuBP carboxylation at rubisco	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$v_o$	velocity of RuBP oxygenation at rubisco	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$V_c$	Enzyme (rubisco) catalytic capacity	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$V_c^R$	RuBP-saturated rubisco catalytic capacity required to yield observed $P/c_i$ value	$\mu\text{mol m}^{-2} \text{s}^{-1}$