

1 **A predictive model for Lake Chad total surface water area using remotely sensed and**
2 **modeled hydrological and meteorological parameters and multivariate regression analysis**

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39 **Abstract**

40 Lake Chad is an endorheic lake in west-central Africa at the southern edge of the Sahara
41 Desert. The lake, which is well known for its dramatic decrease in surface area during the 1970s
42 and 1980s, experiences an annual flood resulting in a maximum total surface water area
43 generally during February or March, though sometimes earlier or later. People along the shores
44 of Lake Chad make their living fishing, farming, and raising livestock and have a vested interest
45 in knowing when and how extensive the annual flooding will be, particularly those practicing
46 recession farming in which the fertile ground of previously flooded area is used for planting
47 crops. In this study, the authors investigate the relationship between lake and basin parameters,
48 including rainfall, basin evapotranspiration, lake evapotranspiration, lake elevation, total surface
49 k U h Y f ' U f Y U ž ' U b X ' h \ Y ' d f Y j] c i g ' mY U f Đ g ' h c h U ` ' g i f
50 season month (except November) linking total surface water area to the other parameters. The
51 resulting equations allow the user to estimate the December average monthly total surface water
52 area of the lake in late November, and to make the estimates for January to May in early
53 December. Based on the results of a Leave One Out Cross Validation analysis, the equations for
54 lake area are estimated to have an average absolute error ranging from 5.3 percent (for February
55 estimates) to 7.6 percent (for May estimates).

56

57 ¹Keywords: surface water area, Lake Chad, precipitation, evapotranspiration, lake height

58 **1. Introduction**

59 Lake Chad is a shallow, endorheic lake in the Sahel region of west-central Africa shared by
60 Chad, Nigeria, Niger, and Cameroon. There have been numerous hydrological models
61 developed for Lake Chad. Each of these has limitations relative to the statistical model for lake
62 area we present here.

63 Bader et al. (2011) developed a hydrological model that simulates the water level in the
64 northern pool, southern pool, and archipelago using riverine and direct rainfall inputs to the lake.
65 It also estimates total water area for each of the pools. According to (Lemoalle et al., 2012), the
66 model results correspond well with satellite measurements of northern pool surface water area
67 and with satellite measurements of the total surface water area from 1980 onward, though these

Abbreviations: Inter-Tropical Convergence Zone (ITCZ), above sea level (ASL), Climate Hazards
Group InfraRed Precipitation with Station data (CHIRPS), Famine Early Warning Systems Network
(FEWS NET) Land Data Assimilation System (FLDAS), Land Surface Temperature (LST),
evapotranspiration (ET), Leave One Out Cross Validation (LOOCV), precipitation (P). For reading
regression results: Pws is total south basin wet season precipitation, ETws is total south basin wet season
evapotranspiration, LakeETws is wet season lake ET percent variation from the 1988 to 2016 wet season
mean, H is November lake height variation from the 1993-2002 mean, A is the average surface water area
for the given month, A- is h \ Y ' d f Y j average surface water area for the given month.

68 results are not quantified. One disadvantage of this model is that it requires input of the Chari
69 River and Komodougu-Yobe River discharges, data that is not publicly available.

70 Coe and Foley (2001) report the results of a hydrological model of Lake Chad. They describe
71 U' a c X Y ` ' k] h \ ' í [c c X ' U [f Y Y a Y b h ' k] h \ ' h \ Y 1954 b Z Y f f Y
72 h c ' %- * + " ' ' H \ Y ' í] b Z Y f f Y X ' ` U _ Y ' a, but rather is derived b c h ' U ' X
73 from a relationship between lake area and lake level. It is important to note that the hydrology of
74 @U _ Y ' 7 \ U X ' W \ U b [Y X ' g] [b] Z] W U b h ` m '] b ' 7 \ U X %- h \$ Ð g
75 í G a U ` ` ' @U _ Y ' 7 \ U b X í based on a coarse (10 km resolution) digital elevation model,
76 may not be adequate to define the lake after this transition.

77 Gao et al. (2011) developed a hydrological model of Lake Chad. They compared images of the
78 lake extent from the model with images derived from remote sensing. Three image pairs were
79 shown, for October 31, 1963; December 25, 1972; and January 31, 1987. Each pair of images
80 Z f c a ' h \ Y ' h k c ' Y U f `] Y f ' X U h Y g ' f l V Y Z é G Y U h \ Y ' h f U b g]
81 @U _ Y ' 7 \ U X í similar, though no numerical value was provided. The model-
82 observation pair for the post transition period did not look very similar. This raises the question
83 of the utility of the model for producing lake area in the current, post transition period.

84 Lemoalle (2004) developed a crude expression for Lake Chad surface area based on a
85 g] a d `] Z] Y X ' k U h Y f ' V U ` U b W Y ' a c X Y ` ' U b X ' X a s s u m e s W f] V Y X '
86 no seepage from the lake and requires knowledge of the streamflow to the lake, which as
87 previously noted is not publicly available. Delclaux et al. (2008) developed a hydrological

88 model of the Lake Chad Basin, however the results they presented included streamflow and
89 elevation, but not surface area.

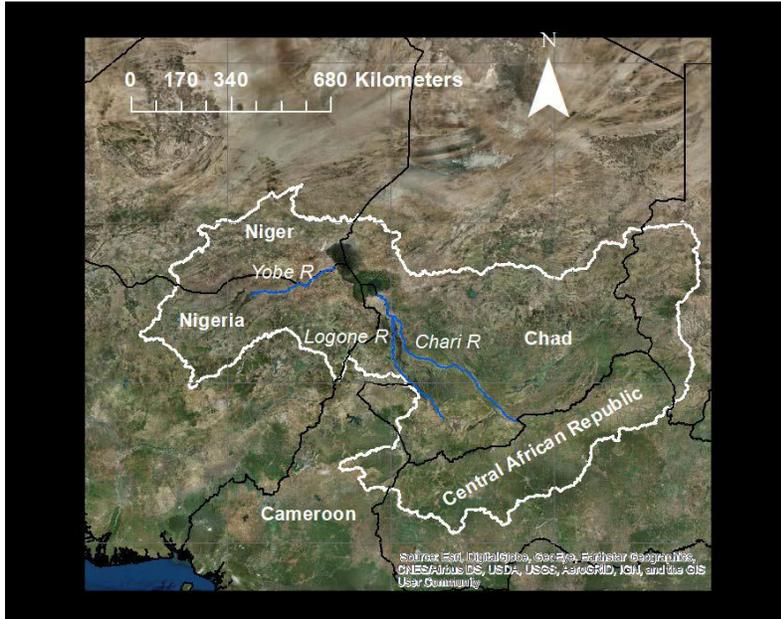
90 Coe and Birkett (2004) used upstream measurement of river height along with in-situ stream
91 flow and gauge height to estimate river discharge 500 km downstream and wet season height of
92 Lake Chad, greater than 600 km downstream. Their method, though, clearly relies on hard-to-
93 obtain in-situ measurements and does not include lake area.

94 The first objective of this paper is to assemble and examine a set of satellite- and model-based
95 data for the southern Lake Chad Basin relevant to developing a statistical model for the area of
96 the lake during its flooding season. This data set includes time series of satellite- and gauge-
97 based precipitation and modeled ET for the southern part of the basin, modeled lake ET data,
98 satellite-based lake elevation data, and satellite-based estimated lake total surface water area.
99 Given the limitations of the existing models described above, the second objective is to develop a
100 predictive statistical model for total lake surface water area using regression methods on the data
101 set. The regression method includes backward elimination variable selection and a Leave One
102 Out Cross Validation (LOOCV) analysis to optimize the resulting statistical model.

103 **2 Study Area**

104 The Lake Chad basin is approximately 2.5 million square kilometers, about eight percent of
105 the African continent, and the largest endorheic basin in the world (Gao et al., 2011). Lake Chad
106 is the terminal lake of this basin. The northern part of the basin lies within the Sahara and does
107 not generate runoff that reaches Lake Chad (Delclaux et al., 2008).

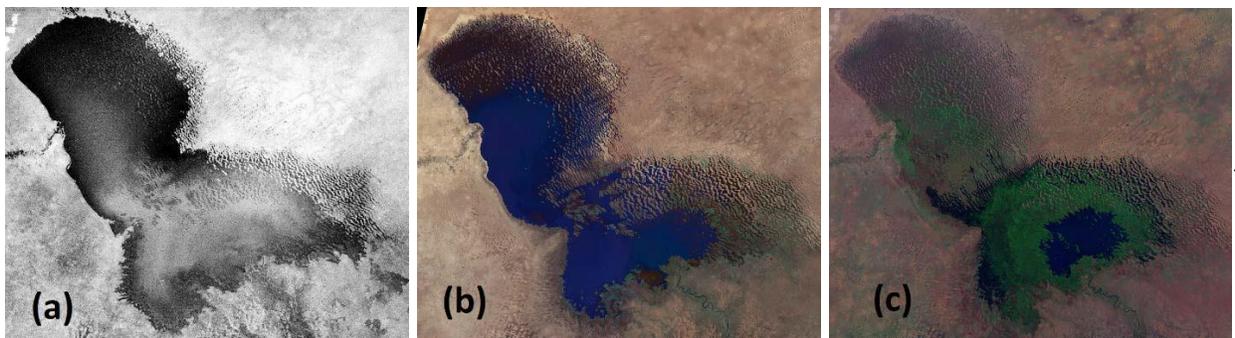
108 For this reason, we work with the southern part of the basin (figure 1).

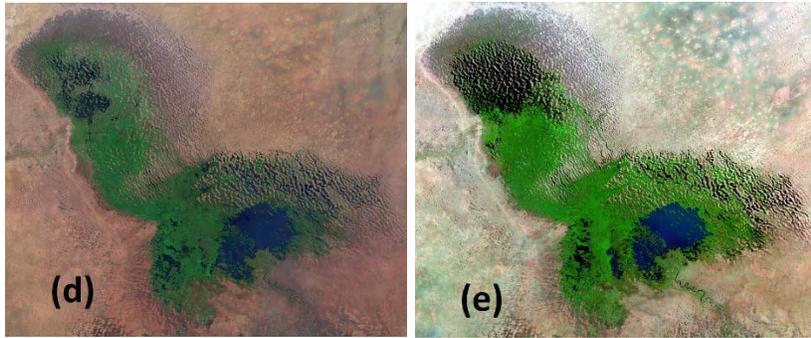


109 Fig. 1 Southern Lake Chad Basin (white), lake, and major rivers (blue)

110 H \ Y ' ` U _ Y Ð g ' U j Y f U [Y ' X Y d Any change in lake volume in Lake Chad is a result of the
111 g i V g h U b h] U ` ' W \ U b [Y '] b ' ` U _ Y ' g \ c f Y `] b Y ' U b X ' U f Y U
112 Fund, no date, <https://www.worldwildlife.org/ecoregions/at0904>).

113 In h \ Y ' %- * \$ Ð g ' h \ Y ' ` U _ Y Ð g ' U f Y U ' k U g 1980s its area was ' c f X Y f
114 reported to be about one tenth of that size (Grove, 1996), though it is not clear if that includes
115 Z ` c c X Y X ' j Y [Y h U h] c b " ' ' = Z ' c b Y '] b W ` i X Y g ' Z s ` c c X Y X ' .
116 estimated at close to 14,700 sq. km (Policelli et al., 2018). Figure 2 shows the evolution of Lake
117 Chad from the time of the earliest space-based images of the lake.





118 Fig. 2 Evolution of Lake Chad. Optical imagery from (a) 1963, Argon satellite (b) 1973,
 119 Landsat 1 (c) 1987, Landsat 5 (d) 2003, Landsat 7 (e) 2013, Landsat 8. Images provided by U.S.
 120 Geological Survey, Department of the Interior/USGS

121
 122 Below about 280 m ASL, the lake separates into a southern pool and a northern pool divided by
 123 a sandy island (Lemoalle, 2004).
 124

125 The population of the lake shore is around 2 million (Magrin, 2016) and the people make a
 126 living through a combination of fishing, farming, and raising livestock (Sarch and Birkett, 2000).
 127
 128
 129 hydrology, it is difficult to provide farmers with information on the timing of the floods and a
 130 sense of how large the flood is going to be in any given year. This can be a serious problem for
 131 farmers who grow crops near the lake shore and periodically lose crops to flooding (Okpara et

132 al., 2016).

133 The southern shore of Lake Chad begins in mid-January to late February. It seems reasonable to conclude that
134 predictions of lake surface area made in late November or early December could be used for
135 agricultural decision making for locations with similar start dates.
136

137 There are a number of dams and irrigation schemes in the river basins that drain into Lake
138 Chad, such as those of the Chari-Logone and Komadougou-Yobe river systems. However, at the
139 large scale, they do not amount to a substantial revision of the natural seasonal hydrological
140 patterns, which are largely determined by the West African Monsoon and the position of the
141 ITCZ (Birkett, 2000).

142 The surface of Lake Chad is not flat and level; the barriers to flow from the southern pool to
143 the northern pool and archipelago result in the water in these areas frequently being at different
144 elevations. Additionally, the local winds and flow of water from the Chari River into the lake
145 (addressed further in the Discussion section) combined with the complex shape of the lake lead
146 to an evolving lake surface (Carmouze et al., 1983). Lake surface elevation time series data used
147 in this study refer to satellite-based measurements made in the relatively small open water
148 portion of the lake in the southern pool.

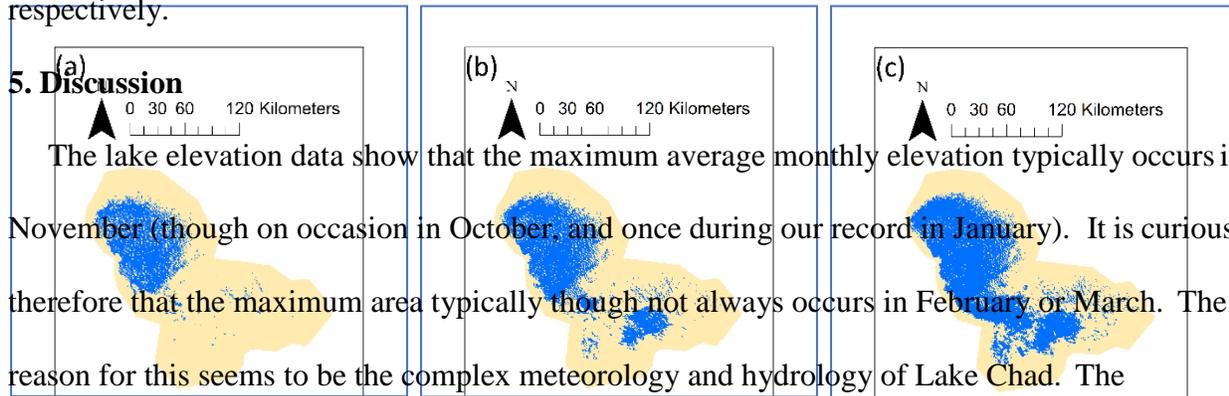
149 Figure 3 presents areas of the lake at or below selected elevations. The topographic data used
150 to create figure 3 is from a 1 arc-second (~30m) resolution Digital Elevation Model (DEM) from
151 the NASA Shuttle Radar Topography Mission (SRTM). The blue areas would correspond to lake
152 levels (limited by the accuracy of the DEM) if the lake surface were flat and the lake filled

383 716), while however, using this average measure, the area should vary based on the elevation using

384 which for Lake Chad RAKW, HDU, and D Using the average May lake area of

385 13,363 sq. km, these percentages are equivalent to 1017 sq. km, 1751 sq. km, and 2085 sq. km

387 respectively.



393 movement of the water in Lake Chad is influenced by both the winds and the Chari-Logone

156 Fig. 3 Blue areas have elevations at or below (a) 279m ASL, (b) 280m ASL, (c) 281m ASL

394 water supply. Monsoon winds drive the displacement of the southern waters toward the north,

157

395 and movement begins around the northeastern end of the Great Barrier in June, at the end of the

158 According to (Leblanc et al., 2011) most of the flooded area of Lake Chad is covered by

396 low water. The Chari-Logone flood waters begin in August and provide half of their water in

159 aquatic vegetation including rooted and floating plants. This area is not readily measured with

397 October and November when the northeasterly wind known as the Harmattan drives the water

160 optical remote sensing, but must be accounted for to get an accurate estimate of total surface

398 back toward the southern pool. This is also when the satellite radar altimeters (which collect data

161 water area for the lake (Policelli et al., 2018).

399 over the southern pool) typically record the highest levels. During the peak of the riverine

162 Lake Chad receives 90-96% of its water from the Chari-Logone River system (Zhu et al.,

400 flooding, water again reaches the northern pool and also spreads into the archipelago from the

163 2017a), with the remaining coming from smaller tributaries and direct rainfall. Most of this

401 south basin. Following the end of the movement of water to the north pool in January, there is a

164 water arrives from such a distance that the peak lake level and lake area occur months after the

402 general spreading of water in the southern pool to the periphery until April. (Carmouze et al.,

165 rainfalls that produce them. The delay is due to the slow runoff and routing of flood water to

403 1983). These movements result in a complex and changing lake surface topography, and are

166 Lake Chad from the southern portion of the basin where precipitation rates are highest (Leblanc

404 likely the reason we find poor correlation between lake elevation and lake area.

167 et al., 2011). It is the reason that the Lake Chad peak level and area take place in the dry season.

425 The main limitation of the model we present here is that it is not a physically-based model and
426 may not perform well for conditions outside of those in the database we have developed.
427 For example, we are not able to do regressions and provide predictions for wet season months
428 because we do not have surface water area data for those conditions (Policelli et al., 2018).
429 Also, the performance may degrade if it is used outside of the range of areas for which the
430 regression models were established (8,700 sq. km – 16,800 sq. km) or when the hydrology of the
431 lake changes substantially. There is no fixed date at which the model becomes unusable. However,
432 at about 18,000 sq. km. There is no fixed date at which the model becomes unusable. However,
433 if it is used for operational forecasting, it would be wise to regularly update the model with new
434 data as it becomes available.

435 **6. Conclusions**

436 We have built a record of remote sensing data and model products (precipitation,
437 evapotranspiration, lake height, and lake area) for the Lake Chad Basin and used this record to
438 run a correlation analysis and a regression analysis. From the correlation analysis (see
439 Appendix), we have found (1) the highest correlation between basin evaporation and total lake
440 surface water area is 0.43 and occurs with a seven month lag, (2) the highest correlation between
441 lake height anomaly and total lake surface water area is 0.57 and occurs with a four month lag,
442 (3) the highest correlation between precipitation and total lake surface water area is 0.39 and
443 occurs with a seven month lag, (4) the highest correlation between percent of 1988-2016 average
444 lake ET and the lake total surface water area is 0.65 at zero lag time, and (5) there is a correlation

465 The predictions using all of the equations derived from regression in this study can be made
466 with only remotely sensed data and model outputs; no in-situ data is required. Any
467 improvements in the measurement of the parameters we use in this analysis would likely improve
468 the desired end result – the prediction of the Lake Chad surface area in time to be used for
469 agricultural decisions.

470

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477 this study from various satellite sensors and models, particularly lake imagery from USGS, lake
478 height data from USDA, CHIRPS precipitation data from U.C. Santa Barbara, evapotranspiration
479 data from the FEWS NET Land Data Assimilation System (FLDAS), the southern Lake Chad
480 Basin shapefile from WWF, meteorological data from NOAA NCEP-DOE, and Lake Chad
481 surface water area from (Leblanc et al., 2011).

482

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608
609 Appendix

610 We examined the correlation between P \pm ET for the southern Lake Chad Basin and the lake
611 elevation variation relative to 1993-2002 for 1992 to 2017, and found the maximum correlation
612 of 0.69 at four months lag time between these variables. Next, we examined the correlation
613 between the P \pm ET for the southern part of the basin and the lake surface area and found the
614 maximum correlation of 0.37 at eight months lag time. We also examined the correlation

615 between the lake elevation variation and the lake surface water area and found the maximum
616 correlation of 0.57 at four months lag time. We found a maximum correlation of 0.43 for ET vs

617 WKH ODNH¶V VXUIDFH ZDWHU DUHD DW PRQWarea DQG D FF
618 YHUVXV WKH SUHYLRXV \HDU¶V VXUIDFH ZDWHU DUHD IRU V
619 RI WKH SUHYLRXVsystem \HDU¶V DUHD LQ WKH

620 To determine the value added by the ET data to our analysis, we examined the correlation
621 between precipitation (without subtracting ET) for the southern Lake Chad Basin and the lake
622 elevation and found an increase in the maximum correlation to 0.80 at four months lag time. We
623 found the correlation between the percentage of the 1988-2016 average lake ET and the lake total
624 surface water area to be 0.65 at zero lag time. We also examined the correlation between
625 precipitation and total lake surface area and found an increase in the maximum correlation to
626 0.39 at seven months lag time. This is the same lag time as for the maximum correlation
627 between ET and total lake surface area and represents the time it takes for much of the net
628 precipitation to make its way from run off in the southernmost part of the Lake Chad basin, to
629 flowing through the Chari-Logone River system, to reaching the lake and causing an increase in
630 the lake area. A surprising result of the correlation analysis is that the use of FLDAS ET data in
631 the analysis to produce (P-ET) causes a small decrease in the correlation numbers relative to
632 what is achieved with precipitation alone. Note however that ET is somewhat more closely
633 correlated with total lake surface water area than is precipitation (.43 vs. .39, both at 7 months lag
634 time). Precipitation and ET have a maximum correlation coefficient of 0.93 with a one month
635 delay between the two.

