

# Energy transfer to enhance the characteristics emission from lanthanide-doped nanocrystals

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**Abstract.** We report the improved characteristic emission of  $\text{Er}^{3+}$  ions by co-doping wide-band-gap  $\text{SnO}_2$  nanocrystals (NCs), which as sensitizers of  $\text{Er}^{3+}$  ions. It is found that the  $\text{Er}^{3+}$ -related near infrared emission is enhanced by three orders of magnitude, which can be attributed to the effective energy transfer process occurs between  $\text{SnO}_2$  nanocrystals and  $\text{Er}^{3+}$  ions. Meanwhile, we also prepare the  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  co-doped  $\text{NaYF}_4$  NCs with  $\text{Gd}^{3+}$  dopant ions to obtain high-efficiency up-conversion emission by energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$ . We demonstrate experimentally that  $\text{Gd}^{3+}$  dopant ions play key roles in the formation of  $\beta$ - $\text{NaYF}_4$  NCs which can improve the energy transfer efficiency from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$  obviously. Consequently, the up-conversion emission is enhanced by more than five times.

## 1. Introduction

Recently, the studies on down- and up-conversion process in Lanthanide-doped nanomaterials have attracted much attention since it can be used to improve the performance of many kinds of optoelectronic devices [1,2]. However, due to small absorption cross sections and small radiative decay rates of trivalent lanthanide ions, the emission efficiency in lanthanide-doped nanomaterials is still low, which prevents their practical applications. To increase the absorption of Lanthanide-doped doped nanocrystals (NCs), the host materials are often additionally doped with strongly absorbing ions ( $\text{Yb}^{3+}$ ) or wider band gap materials ( $\text{ZnO}$  NCs,  $\text{In}_2\text{O}_3$  NCs) called sensitizers, which should also ensure efficient energy transfer to the activator.

In our previous work, the near-infrared (1.54  $\mu\text{m}$ ) emission intensity of  $\text{Er}^{3+}$  ions under 325nm excitation was enhanced by 100 times by co-doping 3 nm  $\text{In}_2\text{O}_3$  NCs as sensitizers[3]. Here, we report the improved down-shift emission of  $\text{Er}^{3+}$  ions by co-doping wide-band-gap  $\text{SnO}_2$  NCs, which as sensitizers of  $\text{Er}^{3+}$  ions[4]. Meanwhile, we also prepare the  $\text{Er}^{3+}$ - $\text{Yb}^{3+}$  co-doped  $\text{NaYF}_4$  NCs with  $\text{Gd}^{3+}$  dopant ions to obtain high-efficiency up-conversion emission by energy transfer from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$ . We demonstrate experimentally that  $\text{Gd}^{3+}$  dopant ions play key roles in the formation of  $\beta$ - $\text{NaYF}_4$  NCs which can improve the energy transfer efficiency from  $\text{Yb}^{3+}$  to  $\text{Er}^{3+}$  obviously.

## 2. Experimental

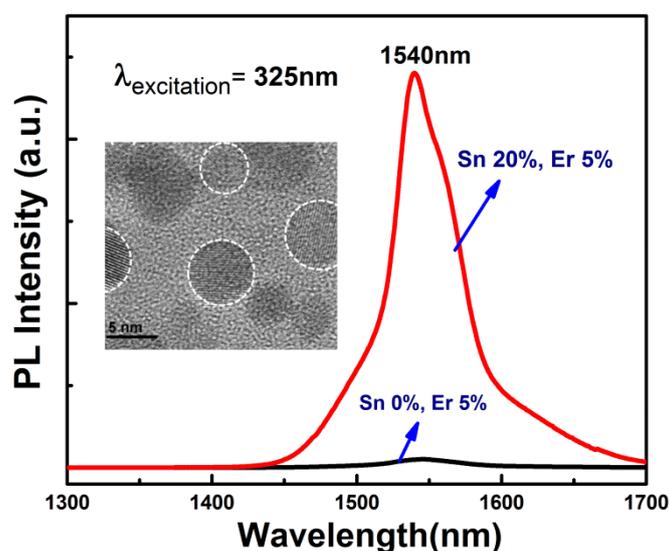
A sol-gel process was used to fabricate the  $\text{SiO}_2$  thin films co-doped with  $\text{Er}^{3+}$  ions and  $\text{SnO}_2$  NCs, as we previously reported[4]. The  $\text{NaYF}_4$ : $\text{Yb}$ , $\text{Er}$  NCs were synthesized by a hydrothermal method. Briefly, 1.5 g NaOH was dis-solved in 7.5 mL deionized water, then mixed with 25 mL of ethanol and 25 mL of oleic acid under stirring. 10 mL 0.2 M  $\text{RE}(\text{NO}_3)_3$  and 5 mL 2 M  $\text{NH}_4\text{F}$  were added to the resulting mixture. The solution was transferred into a 100 mL of Teflon-lined autoclave and heated at 180°C for 2 h. The NCs were washed with water and ethanol several times and collected by centrifugation.



Finally, the NCs were dry at 60°C for 12 h and re-dispersed into ethanol to form an aqueous dispersion and were ready for use.

### 3. Results and discussion

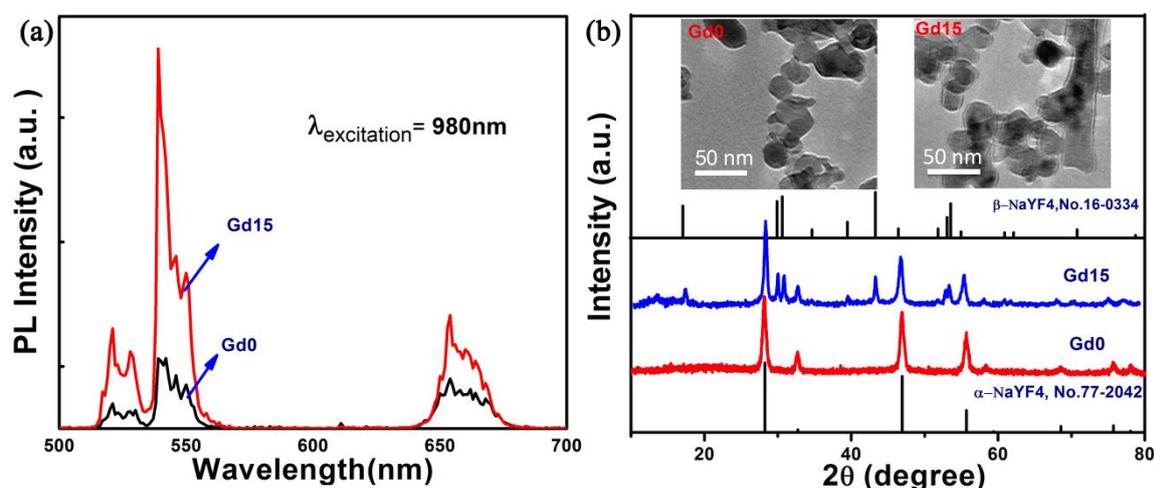
The amount of  $\text{Er}^{3+}$  ions added into  $\text{SiO}_2$  thin films was fixed at 5% (molar ratio). The  $\text{SnO}_2$  NCs are about 4-6 nm as shown in inset of figure 1. Figure 1 shows the photoluminescence (PL) spectra of the  $\text{SiO}_2$  thin films doped with  $\text{SnO}_2$  NCs and  $\text{Er}^{3+}$  ions. Sn-free and 20% Sn films show the same peak at 1540 nm under laser excitation at 325 nm. After co-doping of  $\text{SnO}_2$  NCs, the down-shift emission of 20% Sn sample is more than three orders of magnitude to the Sn-free sample due to the energy transfer process occurs between  $\text{SnO}_2$  NCs and  $\text{Er}^{3+}$  ions. The wider band gap  $\text{SnO}_2$  NCs have large absorption cross-sections to incident UV-light and can effectively improve the density of sensitizing  $\text{Er}^{3+}$  ions, then improve the characteristic emission of  $\text{Er}^{3+}$  ions.



**Figure 1.** PL intensities at 1540 nm for 5 mol%  $\text{Er}^{3+}$  ions and 20 mol% Sn co-doped silica films excited at 325 nm (black line, multiplied by a factor of 1000 to allow the comparison); inset is high-resolution TEM image of  $\text{SnO}_2$  NCs doped in  $\text{SiO}_2$  thin films.

Figure 2(a) shows up-conversion PL intensities of  $\text{NaYF}_4:\text{Yb,Er}$  NCs for Gd0 (0 mol%  $\text{Gd}^{3+}$  doped ions) and Gd15 (15 mol%  $\text{Gd}^{3+}$  doped ions) samples excited at 980 nm with a laser power of 656 mW. The electron of  $\text{Yb}^{3+}$  is excited at 980 nm in  $\text{NaYF}_4:\text{Yb,Er}$  NCs and then it can transfer the energy to the  $\text{Er}^{3+}$ . As shown in Figure 2(a), there are three major emission bands at 521 nm (green light), 539 nm (green light), and 654 nm (red light), which are assigned to the  $^2\text{H}_{11/2}$  to  $^4\text{I}_{15/2}$ ,  $^4\text{S}_{3/2}$  to  $^4\text{I}_{15/2}$ , and  $^4\text{F}_{9/2}$  to  $^4\text{I}_{15/2}$  transitions of  $\text{Er}^{3+}$  ions, respectively. Figure 2(a) shows the up-conversion luminescence intensities of Gd15 sample clearly stronger than Gd0 sample due to a small residual amount of  $\beta$ - $\text{NaYF}_4$  phase appearing, as a well consistent with the presence of two phases observed by XRD in Figure 2(b). As shown in Figure 2(b), the diffraction peaks of the Gd0 sample agree well with those calculated for the  $\alpha$ - $\text{NaYF}_4$  phase (JCPDS card, No.77-2042). However, there was a small residual amount of  $\beta$ - $\text{NaYF}_4$  phase (JCPDS card, No.16-0334) of Gd15 sample. In Figure 2(b) inset TEM images, we can see a few larger  $\text{NaYF}_4$  rods of Gd15 sample, which confirm  $\beta$ - $\text{NaYF}_4$  phase is formed. And we see no rods in Gd0 sample. We conclude that  $\text{Gd}^{3+}$  dopant ions play key roles in the formation of  $\beta$ - $\text{NaYF}_4$  NCs. It can be partly attributed to the crystal structure of  $\beta$ - $\text{NaYF}_4$  phase consists of an ordered array of  $\text{F}^{2-}$  ions with two types of relatively low-symmetry cation sites selectively occupied by  $\text{Na}^+$  and  $\text{RE}^{3+}$  ions, resulting in significant electron cloud distortion of the cations to accommodate the structural change. Importantly, light lanthanides with large ionic radii ( $\text{Gd}^{3+}$ ) exhibit a high tendency towards electron cloud distortion owing to increased dipole polarizability, and thus favour

the hexagonal structures[5]. The  $Gd^{3+}$  ions induce phase changed which may improve the energy transfer efficiency from  $Yb^{3+}$  to  $Er^{3+}$ , then improve the up-conversion emission.



**Figure 2.** (a) up-conversion PL intensities of  $NaYF_4:Yb,Er$  NCs for Gd0 and Gd15 samples excited at 980 nm with a laser power of 656 mW; (b) XRD patterns of  $NaYF_4:Yb,Er$  NCs for Gd0 and Gd15 samples, insets are TEM images of Gd0 and Gd15 samples.

#### 4. Conclusions

We have fabricated  $SiO_2$  thin films co-doped with  $Er^{3+}$  ions and  $SnO_2$  NCs. We find that the  $Er^{3+}$ -related down-shift emission is enhanced by three orders of magnitude due to the effective energy transfer process occurs between  $SnO_2$  NCs and  $Er^{3+}$  ions. Furthermore, we also have prepared the  $Er^{3+}$ - $Yb^{3+}$  co-doped  $NaYF_4$  NCs with  $Gd^{3+}$  dopant ions to obtain high-efficiency up-conversion emission by energy transfer from  $Yb^{3+}$  to  $Er^{3+}$ . We demonstrate experimentally the up-conversion emission of  $NaYF_4:Yb,Er$  NCs with  $Gd^{3+}$  dopant ions is enhanced by more than five times. We conclude that  $Gd^{3+}$  ions play key roles in the formation of  $\beta$ - $NaYF_4$  NCs which can improve the energy transfer efficiency from  $Yb^{3+}$  to  $Er^{3+}$  obviously. The present work provides a novel approach to enhance the characteristics emission from lanthanide-doped nanomaterials, which indicates the possibility to improve the down- and up-conversion efficiency for device applications.

#### 5. Acknowledgments

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