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To cite this article: Juan Xu 2019 *IOP Conf. Ser.: Mater. Sci. Eng.* **490** 062045

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Construction of the Evaluation Index System of "Five Development Concepts" Based on R-type Clustering and Variation Coefficient in Hunan Province

Juan Xu*

Hunan Modern Logistics Vocational and Technical College, Changsha, china

*Corresponding author e-mail: xujuan0822@163.com

Abstract: On the basis of deeply understanding and grasping the connotation of the five major concepts of development, we should closely follow the important spirit of implementing General Secretary Xi Jinping's series of speeches. Drawing on domestic and foreign representative research results on the development of evaluation indicators systems and innovative, coordinated, green, open, and shared evaluation indicators, we conducted preliminary screening of evaluation indicators for sea elections based on the principle of observability. Then, the data of six central provinces were selected by combining R-cluster analysis and variational coefficient analysis to select 39 evaluation indicators, and the effectiveness evaluation index system of the "five major development concepts" suitable for the six central provinces was constructed. Then, according to the regional characteristics and characteristics of Hunan province, two indexes were added from the perspective of rational analysis, so as to construct a quantitative evaluation index system of the "five major development concepts" in Hunan province with 41 indexes.

1. Methods for the selection of evaluation indicators

1.1 Evaluation indicators for sea elections and initial screening

Combing domestic and foreign representative on the development of evaluation indicators research results, audition evaluation indicators. Then the method of observable screening index is used for the initial screening.

Because qualitative indicators are difficult to obtain in data collection, no matter how comprehensive and perfect the evaluation indicator system is designed, if the corresponding data are not collected, statistical evaluation will be difficult to carry out, and the establishment of a system of evaluation indicators is meaningless. Therefore, in order to ensure the availability of indicator data, the selection of evaluation indicators by sea is initially screened on the basis of the principle of observability, and those indicators that are not available except for data or that can not be obtained indirectly by calculation are deleted. In order to ensure that the selected evaluation indicators can be observed, obtained, and statistical analysis can be conducted. Through the observable screening, the indicators belong to the quantifiable quantitative evaluation indicator system, and can be further screened by statistical methods to achieve the simplicity and comprehensiveness of the evaluation indicator system.

1.2 Standardization of indicator data

When using multiple indicators for comprehensive evaluation, because of the different dimensions



and orders of magnitude of the evaluation indicators, if the original data indicators are directly used for analysis, the numerical indicators will have a great impact on the evaluation results. The effect of small numerical indicators on the evaluation results will be reduced. Therefore, in order to eliminate the impact of the dimension on evaluation analysis, the original data need to be standardized to harmonize the standards of the evaluation indicators.

Common data standardization methods include the maximum-minimum standardization method and the means-variance standardization method. This paper adopts the means-standardization method. The specific approach is as follows:

$$z_{ij} = \frac{x_{ij} - \bar{x}_j}{s_j} \dots\dots\dots (1)$$

$$\bar{x}_j = \frac{1}{n} \sum_{i=1}^n x_{ij} \dots\dots\dots (2)$$

$$s_j = \frac{1}{n} \sum_{i=1}^n (x_{ij} - \bar{x}_j)^2 \dots\dots\dots (3)$$

1.3 Method of screening and evaluating index for R-type cluster analysis

Cluster analysis is a multivariate statistical method to study the classification of multiple samples or multiple indicators. The classification of samples is called Q-type cluster analysis, and the classification of indicators is R-type cluster analysis. [1]

The basic principle of cluster analysis is the process of classifying different classes or clusters according to the degree of similarity. The classification of samples or indicators is actually a study of the relationship between samples or indicators. Commonly used research methods include similarity coefficients and distance methods. This study classifies indicators and chooses to use similar coefficient methods to classify indicators. The so-called similarity coefficient method is the indicator with more similar properties. The greater the similarity coefficient, the closer the absolute value of the similarity coefficient is to 1. Such indicators are grouped into one category; For indicators that are not similar in nature, the closer their similarity coefficient is to 0, they are classified into different categories according to the size of the similarity coefficient.

1.3.1. Steps for R-type cluster analysis

1) The original data is standardized using the means-standardization method. The specific treatment method can be found in the standardization of indicator data.

2) Calculate the matrix R of the correlation coefficient of the standardized data.

3) Classify the indicators according to the correlation coefficient, classify the indicators with a larger correlation coefficient(ie, a greater degree of similarity) into one category, and classify other indicators with a smaller degree of similarity into one category until all the indicators are aggregated. Classify all indicators into different categories.

4) Determination of Rationality of Cluster Number

How to determine the number of categories? In other words, how many kinds of cores are there for P indicators? In this paper we use the square sum of spread in the system clustering method to cluster the index.

we cluster the index by the method of square sum of spread in the system clustering method.

5) Test on the Rationality of Cluster Number

In order to determine the rationality of the cluster number L, the non-parametric Kendall-W test is performed on each category of indicators after clustering In order to avoid the subjective arbitrariness of the clustering analysis classification number L to timing.

The H0 of the non-parameter Kendall-W test is: There is no significant difference between different indicators of the same category. If significant level of Sig> 0.05, then accept the original assumption; That is, there is no significant difference between the same type of indicators, and the number of clusters is reasonable; If significant level of Sig< 0.05, then the original assumption H0 is rejected and regrouped.

2. Construction of the Evaluation Index System of the "Five Great Principles of Development" in Hunan Province

2.1 Audience evaluation indicators and initial screening

2.1.1. Evaluation indicators for sea elections. Combing domestic and foreign representative research results on development evaluation indicators, the foreign representative is the United Nations Development Programme (UNDP) founded by & Quot; Life expectancy, educational standards and quality of life Quot; The Human Development Index (HDI) of the three basic variables interprets the imbalance between economic growth and social development [2] : The UN Committee on Sustainable Development established a sustainable development indicator system with social, environmental, economic, and institutional dimensions, and established its core indicator system in 2001 [3]: United Nations Statistics Office (UNSTAT) proposed the framework for the System of Indicators for Environmentally-economically Sustainable Development (FISD) (FISD), the UN proposed in September 2000 with eight goals "Millennium Development Goals" [4] And related 18 targets and 48 indicators; Highly integrated sustainable development evaluation indicator system proposed by SCOPE and UNEP [5] Commission U.S. government And the British government [6] The establishment of sustainable development evaluation indicators system.

More representative of the country is that the Research Group on Sustainable Development of the Chinese Academy of Sciences proposed the China Sustainable Development Index System in the 1999 "China Sustainable Development Strategy Report". In 2011, the National Bureau of Statistics announced the Comprehensive Development Index (CDI) [8], which includes five dimensions of economic development, improvement of people's livelihood, social development, ecological construction, and scientific and technological innovation. ater, it was revised and improved into the Regional Development and People's Livelihood Index (DLI); In 2013, the National Bureau of Statistics issued the "System of Statistical Monitoring Indicators for the All-round Construction of a well-off Society" In addition, provinces and cities have also established relevant development indicator systems that are suitable for their own development characteristics, such as the Shenzhen "Benefit Shenzhen" index. Yang Xinhong Take Shenzhen City as an example to build a statistical evaluation index system of the "five major development concepts" and monitor and evaluate the effectiveness of the implementation of the new development concepts. Wangxiaoliang The evaluation index system for the level of regional open economic development has been established in terms of open foundation, open scale, open structure and open efficiency.

2.1.2. Preliminary screening of evaluation indicators. Looking at relevant documents and combing through them, on the basis of deeply understanding and grasping the connotation and significance of the five major development concepts, from the perspective of Hunan Province, Drawing on domestic and foreign representative research results on development evaluation indicators system and innovation, coordination, green, open, and shared evaluation indicators From multiple directions and multiple perspectives, 57 indicators for the evaluation of the effectiveness of the "five major development concepts" were selected.

According to the principle of observability, the evaluation indicators of the sea selection were initially screened, and the indicators that could not be obtained were deleted to ensure the quantitative nature of the post-R-type clustering and variability coefficient analysis. The evaluation indicator system after the initial screening is shown in the table below.

Table 1 The preliminary screening evaluation index system for the effectiveness of the "Five Development Concepts"

Primary indicator	Secondary indicators	Three-level indicator		Indicator type	Observability	
Innovation and development	Innovation investment	R&D expenditure intensity (%) = R&D expenditure as a percentage of GDP (%)	X11	Positive		
		Average expenditure on R&D expenditure of industrial enterprises above designated size (10,000 yuan/piece)	X12	Positive		
		Full-time equivalent of R&D personnel of industrial enterprises above designated size (person year)	X13	Positive		
		The proportion of science and technology expenditure to public budget expenditure (%)	X14	Positive		
	Innovation output	Number of domestic patents granted (pieces)	X15	Positive		
		Number of new product development projects of industrial enterprises above designated size (items)	X16	Positive		
		Number of R&D projects of industrial enterprises above designated size (items)	X17	Positive		
	Innovation	Full labor productivity (yuan/person)	X18	Positive		
		High-tech industry development funds accounted for the proportion of sales revenue (%)	X19	Negative		
		The proportion of the total output value of high-tech industries to the total industrial output value (%)	X110	Positive	Not available	
		Technical market turnover (ten thousand yuan)	X111	Positive		
	Coordinated development	Urban and rural regional coordination	Ratio of per capita disposable income of urban and rural residents	X21	Negative	
Ratio of students in urban and rural junior high schools			X22	Negative		
Ratio of health technicians per 1,000 people in urban and rural areas			X23	Negative		
The ratio of the number of beds per 1,000 medical and health institutions in urban and rural areas			X24	Negative		
Basic pension insurance coverage rate for urban and rural residents (%)			X25	Positive		
Material civilization and spiritual civilization coordination		The proportion of rural cable audio users (%)	X26	Positive		
		Cultural sports and media spending (100 million yuan)	X27	Positive		
		Education expenditure (100 million yuan)	X28	Positive		
		Per capita possession of public library books (book)	X29	Positive		
Coordinated development of economy and society		The proportion of defense expenditure to public finance expenditure (%)	X210	Positive		
		Social security and employment expenditure as a percentage of fiscal expenditure (%)	X211	Positive		
		Public security expenditure as a percentage of fiscal expenditure (%)	X212	Positive		
ECO development		Resources and Environment	10,000 yuan of gross domestic product electricity consumption (kWh / yuan)	X31	Negative	
			The number of days in the provincial capital city reached and better than level 2 (days)	X32	Positive	
			Green coverage rate in built-up areas (%)	X33	Positive	
	Number of public transportation vehicles per 10,000 people (standard platform)		X34	Positive		
	Per capita water resources (cubic meters per person)		X35	Positive		
	Per capita cultivated area (hectare / person)		X36	Positive		
	Low carbon cycle development	Harmless treatment rate of domestic garbage (%)	X37	Positive		
		Sewage treatment rate (%)	X38	Positive		
		Sulfur dioxide and nitrogen oxide emissions per unit of GDP (ton/100 million yuan)	X39	Negative		
	Ecological Protection	Ecological construction and protection investment (ten thousand yuan)	X310	Positive		
		Industrial pollution control investment (ten thousand yuan)	X311	Positive		
		Total afforestation area (hectare)	X312	Positive		
		Agriculture, forestry and forestry expenditure (100 million yuan)	X313	Positive		

Table 1, cont.

Open development	Open level	Total import and export volume of goods as a percentage of GDP (%)	X41	Positive	
		Total foreign trade volume of foreign-invested enterprises as a percentage of GDP (%)	X42	Positive	
		International tourism (foreign exchange) income (million US dollars)	X43	Positive	
		Actual use of foreign direct investment in GDP	X44	Positive	
		E-commerce sales (100 million yuan)	X45	Positive	
	Open structure	The proportion of import and export trade of high-tech products (%)	X46	Positive	
		Software business exports (ten thousand US dollars)	X47	Positive	
		Foreign contracted project completed turnover (100 million US dollars)	X48	Positive	
		Export sales revenue of new products of industrial enterprises above designated size (10,000 yuan)	X49	Positive	
		Shared development	Sharing by all	Per capita education expenditure (yuan/person)	X51
Per capita medical and family planning expenditure (yuan/person)	X52			Positive	
Ratio of disposable income between high-income households and low-income households (%)	X53			Positive	Not available
Proportion of urban basic medical insurance participation (%)	X54			Positive	
Beds for medical institutions per 1,000 population (Zhang)	X55			Positive	
Number of students enrolled in higher education per 100,000 population (person)	X56			Positive	
Citizenship	Social integrity		X57	Positive	Not available
	Residents' satisfaction with the party and the government (the Central Discipline Inspection Commission notified the masses of unhealthy practices and corruption)		X58	Positive	Not available
	Gender ratio (female = 100)		X59	Negative	
	Rough divorce rate (‰)		X510	Negative	
	Urban personnel registered unemployment rate (%)		X511	Negative	
	Per capita road area (m ²)		X512	Positive	

2.2 Selection and standardization of sample data

This study is based on the 2016 China Statistical Yearbook, 2016 China Urban Statistics Yearbook, 2016 China Science and Technology Statistics Yearbook, 2016 China Foreign Trade Statistical Yearbook, According to the index system after the initial screening, 53 third-level evaluation indicators were selected in 6 provinces (Shanxi, Anhui, Jiangxi, Henan, Hubei, and Hunan) (see table 2). In order to simplify the evaluation index system, R-type cluster analysis and variable coefficient analysis are further selected.

Table 2 After the initial results of the “Five Development Concepts”, the original data of the evaluation index system of the six provinces in the central region

province	R&D expenditure intensity (%) = R&D expenditure as a percentage of GDP (%)	...	Technical market turnover (ten thousand yuan)	Ratio of per capita disposable income of urban and rural residents	...	Public security expenditure as a percentage of fiscal expenditure (%)	...	Per capita road area (m ²)
	X11	...	X110	X21	...	X212	...	X59
Shanxi	1.04	...	512007.1358	2.732	...	5.077	...	14.81
Anhui	1.96	...	1904669.26	2.489	...	3.742	...	19.86
Jiangxi	1.04	...	148.372	2.379	...	4.429	...	17.61
Henan	1.18	...	161.115	2.357	...	4.429	...	14.01
Hubei	1.9	...	248.14	2.284	...	4.814	...	16.33
Hunan	1.43	...	251.962	2.623	...	4.740	...	12.77

Source: 2016 China Statistical Yearbook, 2016 China City Statistical Yearbook, 2016 China Science and Technology Statistical Yearbook, 2016 China Foreign Trade Statistics Yearbook

2.3 Standardization of sample data

In order to eliminate the impact of dimensions on evaluation and analysis, the original indicators are standardized. Since the larger the value of positive indicators, the better the development effectiveness, and the smaller the negative indicators, the better the development effectiveness. Therefore, According to the type of indicator(positive and negative), negative signs are taken on the basis of standardization. The specific standardized data are shown in the table below.

Table 3 Standardization data of the “Five Development Concepts” effectiveness evaluation index system

province	R&D expenditure intensity (%) = R&D expenditure as a percentage of GDP (%)	Technical market turnover (ten thousand yuan)	Ratio of per capita disposable income of urban and rural residents	Public security expenditure as a percentage of fiscal expenditure (%)	Per capita road area (m2)
	X11	X110	X21	X212	X59
Shanxi	-0.9238	-0.53952	-1.48003	1.16723	-0.42142
Anhui	1.28378	-0.05929	-0.06917	-1.72558	1.53402
Jiangxi	-0.9238	-0.49246	0.57179	-0.23704	0.66278
Henan	-0.5879	-0.56075	0.70226	-0.23818	-0.73119
Hubei	1.13981	2.00581	1.12418	0.59708	0.16715
Hunan	0.012	-0.3538	-0.84902	0.43649	-1.21134

2.4 Screening and Evaluation Indices Based on R-type Cluster and Variable Coefficient Analysis

2.4.1. Clustering analysis is conducted on the five levels of innovation, coordination, green, open, and shared indicators, and the K-W non-parameter test is performed on the cluster structure. For classes that can not pass the test, further classification is carried out. The results of cluster analysis of evaluation indicators at various levels are as follows.

2.4.2. Calculate coefficient of variation. The variation coefficient of each index is calculated, and the indexes in the same category are screened and preserved according to the variation coefficient. The specific deletion principle is to retain the index with the largest variation coefficient for the same type of indicators, and delete other indicators to ensure that the selected indicators have a large impact on the evaluation results and avoid duplication of information on the same type of indicators.

Table 4 "Five Development Concepts" effectiveness evaluation index system based on R-type clustering and coefficient of variation analysis

	Three-level indicator	Clustering category	KW test sig value	Adjusted category	Coefficient of variation	Whether to keep
Innovation investment	R&D expenditure intensity (%)	1	0.102		0.2924	delete
	Average expenditure on R&D expenditure of industrial enterprises above designated size (10,000 yuan/piece)	3			0.2539	Reserved
	Full-time equivalent of R&D personnel of industrial enterprises above designated size (person year)	2			0.5183	Reserved
	The proportion of science and technology expenditure to public budget expenditure (%)	1			0.4311	Reserved

Table 4, cont.

Innovation output	Number of domestic patents granted (pieces)	1	0.846		0.4852	delete
	Number of new product development projects of industrial enterprises above designated size (items)	1			0.6436	delete
	Number of R&D projects of industrial enterprises above designated size (items)	1			0.5610	Reserved
	The added value of high-tech industries accounts for the proportion of industrial increase (%)					increase
Innovation	Full labor productivity (yuan/person)	2	0.14	3	0.5610	Reserved
	High-tech industry new product development funds accounted for the proportion of sales revenue (%)	1		1	0.4852	delete
	Technical market turnover (ten thousand yuan)	1		2	0.6436	Reserved
Urban and rural regional coordination	Ratio of per capita disposable income of urban and rural residents	1	0.069		0.0694	delete
	Ratio of health technicians per 1,000 people in urban and rural areas	1			0.1397	Reserved
	The ratio of the number of beds per 1,000 medical and health institutions in urban and rural areas	1			0.1267	delete
	Basic pension insurance coverage rate for urban and rural residents (%)	2			0.0677	Reserved
	Ratio of students in urban and rural junior high schools	3			0.2780	Reserved
Material civilization and spiritual civilization coordination	The proportion of rural cable audio users (%)	1	0.014	1	0.2975	Reserved
	Per capita possession of public library books (book)	1		2	0.2418	Reserved
	Cultural sports and media spending (100 million yuan)	2	0.014	3	0.1934	Reserved
	Education expenditure (100 million yuan)	2		4	0.2450	Reserved
Economic and social coordination	The proportion of defense expenditure to public finance expenditure (%)	1			0.3878	Reserved
	Social security and employment expenditure as a percentage of fiscal expenditure (%)	2			0.0955	Reserved
	Public security expenditure as a percentage of fiscal expenditure (%)	3			0.1017	Reserved
Resources and Environment	The number of days in the provincial capital city reached and better than level 2 (days)	1	0.846		0.2631	delete
	Green coverage rate in built-up areas (%)	1			0.0611	delete
	Per capita water resources (cubic meters per person)	1			0.8607	Reserved
	Number of public transportation vehicles per 10,000 people (standard platform)	2	0.414		0.1844	Reserved
	10,000 yuan of gross domestic product electricity consumption (kWh / yuan)	3			0.4039	Reserved
	Per capita cultivated area (thousand hectares per 10,000 people)	3			0.0328	delete
Low carbon cycle development	Harmless treatment rate of domestic garbage (%)	1	1		0.0328	delete
	Sewage treatment rate (%)	1			0.0503	Reserved
	Sulfur dioxide and nitrogen oxide emissions per unit of GDP (ton/100 million yuan)	2			0.6701	Reserved

Table 4, cont.

Ecological Protection	Ecological construction and protection investment (ten thousand yuan)	1	0.414		0.3710	Reserved
	Industrial pollution control investment (ten thousand yuan)	1			0.3300	delete
	Total afforestation area (hectare)	2			0.4246	Reserved
	Agriculture, forestry and forestry expenditure (100 million yuan)	3			0.2194	Reserved
Development level	Total import and export volume of goods as a percentage of GDP (%)	1	0.4		0.3459	delete
	Actual use of foreign direct investment in GDP (%)	1			0.3502	Reserved
	Total foreign trade volume of foreign-invested enterprises as a percentage of GDP (%)	2			0.6170	Reserved
	International tourism (foreign exchange) income (million US dollars)	3			0.7247	Reserved
	E-commerce sales (100 million yuan)	4			0.4274	Reserved
Open structure	The proportion of import and export trade of high-tech products (%)	1	0.14		0.5558	delete
	Export sales revenue of new products of industrial enterprises above designated size (10,000 yuan)	1			1.4357	Reserved
	Software business exports (ten thousand US dollars)	2			0.5605	Reserved
	Foreign contracted project completed turnover (100 million US dollars)	3			0.4810	Reserved
Sharing by all	Per capita medical and family planning expenditure (yuan/person)	1	0.41		0.0766	delete
	Number of students enrolled in higher education per 100,000 people	1			0.1231	Reserved
	Per capita education expenditure (yuan/person)	2			0.1435	Reserved
	Proportion of urban basic medical insurance participation (%)	3			0.1476	Reserved
	Beds for medical institutions per thousand population (Z/1000)	4			0.1334	Reserved
Citizenship	Gender ratio (female = 100)	1	0.014	1	0.2975	Reserved
	Per capita road area (m ²)	1		2	0.2418	Reserved
	Rough divorce rate (‰)	2		3	0.1934	Reserved
	Urban registered unemployment rate (%)	3		4	0.2450	Reserved
	Residents' satisfaction with the party and the government (the Central Discipline Inspection Commission notified the masses of unhealthy practices and corruption)					increase

After R-type cluster analysis and variation coefficient analysis, a system of "five major development concepts" effectiveness evaluation indicators with 39 indicators was established. Specifically, 5 indicators for innovative development, 10 indicators for coordinated development, 8 indicators for green development, 7 indicators for open development and 8 indicators for shared development are shown in the table above.

2.5 Determination of Reasonable Evaluation System after Screening

Calculate the trace of the 39 covariance matrices after screening and the trace of the 57 covariance matrices before screening, and bring it into $I_n = trS_s / trS_p$. That is $I_n = 89.31\%$, the

evaluation index system after screening with R-type cluster analysis and variable coefficient analysis reflects 89.31 % of the original information. It can be considered that the evaluation index system constructed in this study is relatively reasonable.

2.6 Rational analysis of the addition of indicators and construction of the "five major development concepts" evaluation index system in Hunan Province

Based on the evaluation index system finally established through R-type clustering and variation coefficient analysis, combined with Hunan's own regional characteristics and Hunan's characteristics, from the perspective of rational analysis, the proportion of the total output value of high-tech industries to the total industrial output value(%) is increased. This indicator, In addition, the number of indicators of residents 'satisfaction with the Party and the government that were deleted because they could not be measured(the issue of malfeasance and corruption reported by the Central Commission for Discipline Inspection) was increased, and the index values were obtained by checking the data published by the CPC Central Commission for Discipline Inspection. In this way, the evaluation index system of the "five major development concepts" in Hunan Province with 41 indicators is established as shown in table 4. The two additional indicators appear in bold form.

3. Conclusion

Based on the analysis of R-type clustering and variable coefficients, the evaluation index system of "five major development concepts" in Hunan Province was constructed. Drawing on domestic and foreign representative research results on the development of evaluation indicators systems and innovative, coordinated, green, open, and shared evaluation indicators, we conducted preliminary screening of evaluation indicators for sea elections based on the principle of observability. Then, the data of six central provinces were selected by combining R-cluster analysis and variational coefficient analysis to select 39 evaluation indicators, and the effectiveness evaluation index system of the "five major development concepts" suitable for the six central provinces was constructed. Then, according to the regional characteristics and characteristics of Hunan province, two indexes were added from the perspective of rational analysis, so as to construct a quantitative evaluation index system of the "five major development concepts" in Hunan province with 41 indexes.

Acknowledgments

This work was financially supported by the Hunan Philosophy and Social Science Fund Project (Project number: 17YBA319)

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