

Original Article

Circulating miRNAs is a potential marker for gefitinib sensitivity and correlation with EGFR mutational status in human lung cancers

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Abstract: miRNA expression is deregulated in non-small cell lung cancer (NSCLC), and some miRNAs are associated with gefitinib sensitivity. Here, we investigated if circulating miRNAs could be a useful biomarker for the prediction of EGFR mutation and the patient's prognosis. The differential miRNAs related to gefitinib sensitivity were screened and identified by microRNA array. Using Taqman-based real-time RT-PCR, we analyzed the expression of selected miRNAs in tumor tissues and plasma of 150 NSCLC patients. Kaplan-Meier survival analysis and Cox proportional hazards regression were used to determine the association between miRNAs expression and survival. Receiver operating characteristic curve analysis was also performed. Compared with PC9 cell line, 41 microRNAs detected by microarray were significantly differentially expressed in A549 and H1299 cells. The 5 selected hsa-miRNAs were all found differently expressed between wild and mutant EGFR carriers (all $P < 0.01$). Down-regulation of 5 selected miRNAs were independently associated with lymphatic invasion (all $P < 0.01$) and clinical stage (all $P < 0.01$), respectively. Both down-regulation of has-miR-195 ($P = 0.012$) and has-miR-21 ($P = 0.004$) were associated with poor differentiation. All up-regulation of 5 has-miRNAs were associated with smoking (All $P < 0.05$). 5 hsa-miRNAs were up-regulated both in plasma and tissue samples. A model including 4 hsa-miRNAs may predict EGFR mutational status and gefitinib-sensitivity (both AUC: 0.869). Plasma levels of has-miR-125b expression were associated with disease-free survival ($P = 0.033$) and overall survival in the patients ($P = 0.028$). In a word, Circulating 5 selected miRNAs may especially be useful in predicting EGFR mutation, and circulating hsa-miR-125b may have prognostic values in NSCLC patients.

Keywords: Non-small cell lung cancer (NSCLC), miRNAs, EGFR mutation, gefitinib, acquired resistance

Introduction

Lung cancer is the main cause of cancer-related death worldwide and non-small cell lung cancer (NSCLC) accounts for 80~85% [1], and the prognosis is very poor because lacking of the technology of early diagnosis and effective treatment [2]. Targeted molecular medicine has the advantages of high specificity, obvious curative effect and small side reaction, which is widely used in the advanced NSCLC [3]. EGFR (ErbB1/HER1) is a member of the ErbB family of transmembrane receptor tyrosine kinases involved in signal transduction pathways that regulate apoptosis and proliferation [4]. EGFR mutations were initially reported in 2004 [5] and its abnormal activation was found in

40~80% NSCLC, which indicates a poor prognosis. Tyrosine kinase inhibitors (TKIs) against the epidermal growth factor receptor (EGFR) are a relatively new class of targeted therapeutics used to treat a number of diseases and disorders, primarily tumors. Gefitinib is a kind of epidermal growth factor receptor tyrosine kinase inhibitor (EGFR-TKI), widely used in clinical treatment of non-small cell lung cancer (NSCLC), and achieved good efficacy, but the frequent appearance of drug resistance has limited its further development [6, 7].

How to determine the optimal candidate of gefitinib treatment is a research hotspot and difficulty. Li T et al [7] reported that the successful

hsa-miRNAs predict gefitinib sensitivity

Table 1A. The clinicopathological characteristics, EGFR Mutations and expression of the 3 selected miRNAs in NSCLC patients

Variable	n	hsa-miR-25				hsa-miR-122				hsa-miR-195			
		Tissue (%)	P-value	Plasma (%)	P-value	Tissue (%)	P-value	Plasma (%)	P-value	Tissue (%)	P-value	Plasma (%)	P-value
Sex													
Male	103	23 (22.3)	.493	32 (31.1)	.242	27 (26.2)	.140	32 (31.1)	.242	19 (18.4)	.872	28 (27.2)	.197
Female	46	8 (17.4)		10 (21.7)		7 (15.2)		10 (21.7)		9 (19.6)		8 (17.4)	
Age (years)													
<60	54	11 (20.4)	.921	14 (25.9)	.644	12 (22.2)	.896	15 (27.8)	.933	6 (11.1)	.070	12 (22.2)	.677
≥60	95	20 (21.1)		28 (29.5)		22 (23.2)		27 (28.4)		22 (23.2)		24 (25.3)	
Histology													
Adeno	60	19 (31.7)	.007	27 (45.0)	.000	20 (33.3)	.012	25 (41.7)	.003	17 (28.3)	.014	22 (36.7)	.003
SCC	89	12 (13.5)		15 (16.9)		14 (15.7)		17 (19.1)		11 (12.4)		14 (15.7)	
Differentiation													
Mod/well	68	18 (26.5)	.119	25 (36.8)	.033	19 (27.9)	.172	25 (36.8)	.033	18 (26.5)	.028	23 (33.8)	.012
Poor	81	13 (16.0)		17 (21.0)		15 (18.5)		17 (21.0)		10 (12.3)		13 (16.0)	
T stage													
T1-2	131	100 (76.3)	0.02	89 (67.9)	0.005	98 (74.8)	0.063	90 (68.7)	0.023	103 (78.6)	0.03	97 (74.0)	0.168
T3-4	18	18 (100.0)		18 (100.0)		17 (94.4)		17 (94.4)		18 (100.0)		16 (88.9)	
Lymph node													
Negative	54	25 (46.3)	.000	28 (51.9)	.000	28 (51.9)	.000	31 (57.4)	.000	25 (46.3)	.000	28 (51.9)	.000
Positive	95	6 (6.3)		14 (14.7)		6 (6.3)		11 (11.6)		3 (3.2)		8 (8.4)	
Metastasis													
M0	147	31 (21.1)	.466	42 (28.6)	.372	34 (23.1)	.439	42 (28.6)	.372	28 (19.0)	.493	36 (24.5)	.422
M1	2	0 (0.0)		0 (0.0)		0 (0.0)		0 (0.0)		0 (0.0)		0 (0.0)	
Clinical stage													
I-II	92	61 (66.3)	.000	50 (54.3)	.000	58 (63.0)	.000	50 (54.3)	.000	64 (69.6)	.000	56 (60.9)	.000
III-IV	57	57 (100.0)		57 (100.0)		57 (100.0)		57 (100)		57 (100.0)		57 (100.0)	
EGFR mutation (Tissue)													
-	86	31 (36.0)	.000	42 (48.8)	.000	34 (39.5)	.000	40 (46.5)	.000	27 (31.4)	.000	36 (41.9)	.000
+	63	0 (0.0)		0 (0.0)		0 (0.0)		2 (3.2)		1 (1.6)		0 (0.0)	
EGFR mutation (Plasma)													
-	90	31 (34.4)	.000	42 (46.7)	.000	34 (37.8)	.000	42 (46.7)	.000	27 (30.0)	.000	36 (40.0)	.000
+	59	0 (0.0)		0 (0.0)		0 (0.0)		0 (0.0)		1 (1.7)		0 (0.0)	
Smoking status													
No	64	8 (12.5)	.030	11 (17.2)	.010	8 (12.5)	.009	11 (17.2)	.010	9 (14.1)	.200	8 (12.5)	.004
Yes	85	23 (27.1)		31 (36.5)		26 (30.6)		31 (36.5)		19 (22.4)		28 (32.9)	

Adeno: Adenocarcinoma; SCC: squamous cell carcinoma.

hsa-miRNAs predict gefitinib sensitivity

Table 1B. The clinicopathological characteristics, EGFR Mutations and expression of the 2 selected miRNAs in NSCLC patients

Variable	n	hsa-miR-21				hsa-miR-125b			
		Tissue (%)	P-value	Plasma (%)	P-value	Tissue (%)	P-value	Plasma (%)	P-value
Sex									
Male	103	34 (33.0)	.050	42 (40.8)	.085	31 (30.0)	.054	47 (45.6)	.081
Female	46	8 (17.4)		12 (26.1)		7 (15.2)		14 (30.4)	
Age (years)									
<60	54	13 (24.1)	.400	16 (29.6)	.206	13 (24.1)	.763	22 (40.7)	.970
≥60	95	29 (30.5)		38 (40.0)		25 (26.3)		39 (41.1)	
Histology									
Adeno	60	26 (43.3)	.001	34 (56.7)	.000	26 (43.3)	.000	34 (56.7)	.001
SCC	89	16 (18.0)		20 (22.5)		12 (13.5)		27 (30.3)	
Differentiation									
Mod/well	68	25 (36.8)	.033	33 (48.5)	.004	22 (32.4)	.079	32 (47.1)	.164
Poor	81	17 (21.0)		21 (25.9)		16 (19.8)		29 (35.8)	
T stage									
T1-2	131	91 (69.5)	0.086	80 (61.1)	0.065	95 (72.5)	0.135	77 (58.8)	0.85
T3-4	18	16 (88.9)		15 (83.3)		16 (88.9)		11 (61.1)	
Lymph node									
Negative	54	33 (61.1)	.000	33 (61.1)	.000	26 (48.1)	.000	39 (72.2)	.000
Positive	95	9 (9.5)		21 (22.1)		12 (12.6)		22 (23.2)	
Metastasis									
M0	147	42 (28.6)	.372	54 (36.7)	.283	38 (25.9)	.405	61 (41.5)	.236
M1	2	0 (0.0)		0 (0.0)		0 (0.0)		0 (0.0)	
Clinical stage									
I-II	92	52 (56.5)	.000	47 (51.1)	.000	58 (63.0)	.000	42 (45.7)	.000
III-IV	57	55 (96.5)		48 (84.2)		53 (93.0)		46 (80.7)	
EGFR mutation (Tissue)									
-	86	39 (45.3)	.000	52 (60.5)	.000	37 (43.0)	.000	56 (65.1)	.000
+	63	3 (4.8)		2 (3.2)		1 (1.6)		5 (7.9)	
EGFR mutation (Plasma)									
-	90	40 (44.4)	.000	54 (60.0)	.000	38 (42.2)	.000	58 (64.4)	.000
+	59	2 (3.4)		0 (0.0)		0 (0.0)		3 (5.1)	
Smoking status									
No	64	10 (15.6)	.003	12 (18.8)	.000	8 (12.5)	.002	17 (26.6)	.002
Yes	85	32 (37.6)		42 (49.4)		30 (35.3)		44 (51.8)	

Adeno: Adenocarcinoma; SCC: squamous cell carcinoma.

analysis of EGFR mutations in advanced NSCLC had provided many patients with EGFR mutation positive tumors with the opportunity to receive optimal, targeted treatments. Garassino MC et al [8] found that the use of EGFR TKIs in tumors without an EGFR sensitizing mutation led to minimal clinical benefits in most advanced NSCLC cases. Then, EGFR mutation may be regarded as a biomarker for evaluating gefitinib sensitivity. However, mutation analysis of EGFR in clinical samples exist certain technical difficulty. EGFR mutation detected by PCR technology is simple and convenient, but there are some non-specific reactions, and high false

positive rate. Sequencing of EGFR is the gold standard, but the positive rate is low.

MicroRNAs (miRNAs) are small non-coding RNA molecules with a length of 20 to 22 nucleotides that regulate gene expression by either translational inhibition or mRNA degradation. miRNAs function as either oncogenes or tumor suppressors by inhibiting the expression of target genes, some of which are either directly or indirectly involved with the drug resistance of tumor cells [9]. It has been reported that the interaction between growth factor activation of the EGFR signal transduction pathway and the

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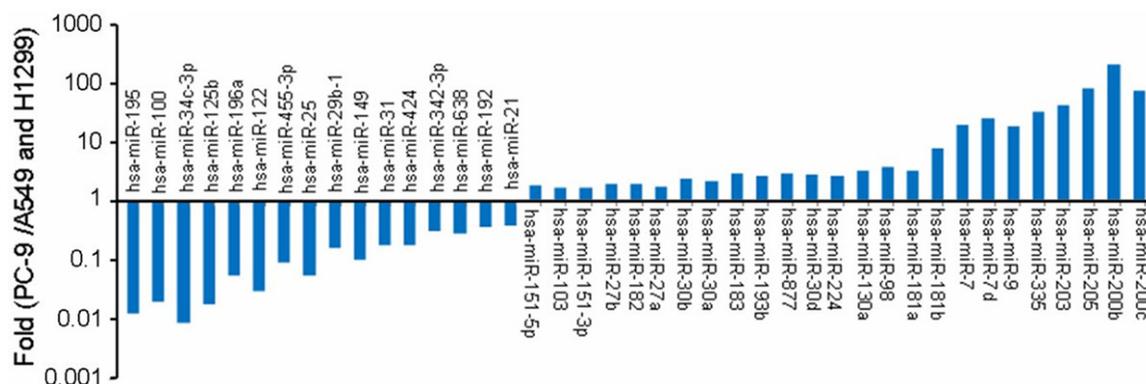


Figure 1. 41 differential hsa-miRNAs related to gefitinib sensitivity between PC9 and A549/H1299 cells were screened and identified by microRNA array.

transcriptional activation of specific miRNAs [10]. Hayashi et al observed in a fetal murine submandibular salivary gland (SMG) model and discovered that different miRNA profiles were expressed specifically at different EGF concentrations in vitro [10]. Avraham et al [11] showed that EGF stimulation initiated a coordinated transcriptional program of microRNAs and transcription factors, that permitted rapid induction of oncogenic transcription factors, such as c-FOS, encoded by immediate early genes. These findings identify specific microRNAs as attenuators of growth factor signaling and oncogenesis. Teixeira AL, et al found that miR-221/222, as transcriptional targets of EGFR, was associated with the expression levels of matrix metalloproteinases (MMPs) and repression of cell cycle inhibitors, which are key molecules in oncogenesis [12]. Despite the interaction link between EGFR signal transduction pathway and miRNA expression profiles has been known, little is known about whether specific microRNAs could be used to screen optimum population of gefitinib treatment, and improve the management of advanced NSCLC.

Material and methods

Patients

The Institutional Review Board on Medical Ethics, Zhejiang Province Cancer Hospital, approved the method of tissue collection including informed consent. All of the patients had given informed consent before collection of the samples according to institutional guidelines. Snap-frozen tissue samples were obtained from surgically resected NSCLC speci-

mens from January 2008 to May 2011 at Zhejiang Province Cancer Hospital. One hundred and fifty tumor, paired non-neoplastic and plasma samples were collected. These patients underwent surgery for primary NSCLC resection and had not received any preoperative radiotherapy and chemotherapy or other co-existing diseases. Pathological diagnosis of surgically resected specimens was performed by two independently pathologists according to the criteria established by the National Comprehensive Cancer Network (NCCN). Plasma samples from twenties healthy volunteers were also collected for controls. Volunteers provided written informed consent. The details of patients were shown in **Table 1**.

Cell lines and cell cultures

Gefitinib-resistant human NSCLC cell lines A549 and H1299, Gefitinib-sensitive human lung adenocarcinoma cell line PC9, were maintained in Dulbecco's Modified Eagle Medium and RPMI-1640 (Gibco), respectively, and supplemented with 10% FBS (Invitrogen-GIBCO), 2 mM L-glutamine, 100 mg/mL streptomycin, and 100 IU/mL penicillin. Cells were incubated at 37°C in 5% CO₂. They had been passed for less than 6 months in culture when the experiments were carried out. Cell lines were characterized using DNA analysis by short tandem repeat fingerprinting.

microRNA extraction

Total RNA was extracted from tissues using miRNeasy Mini Kit (Qiagen, Hilden, Germany) following the manufacturer's instructions. EDTA

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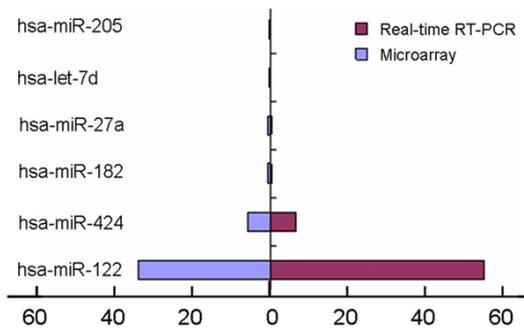


Figure 2. 6 hsa-miRNAs were randomly selected for the validation of expression level by TaqMan-based real-time RT-PCR.

blood was centrifuged at 1600×g for 10 minutes at 4°C, and plasma was transferred into new tubes followed by further centrifugation at 16000×g for 10 minutes at 4°C. Total RNA containing small RNA was extracted from 500 µl of plasma using Trizol LS reagent (Invitrogen) and miRNeasy Mini Kit (Qiagen) according to the manufacturer's protocol with the small modifications as previously described [13]. DNase treatment (Qiagen) was carried out to remove any contaminating DNA. RNA integrity was assessed by Agilent 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA) using the Agilent RNA 6000 Nano Kit according to the manufacturer's protocol.

miRNA expression microarray

Agilent Human miRNA (V19.0) containing a total of 1888 mature miRNA sequences were used in our microarray design. Raw data were normalized by Quantile algorithm, Gene Spring Software 11.0 (Agilent technologies, Santa Clara, CA, US). 6 miRNAs were randomly selected as targets, and their expression levels were validated by Taqman-based real-time RT-PCR.

miRNA expression by Taqman-based real-time RT-PCR

The measurement of the expression levels of individual microRNAs was performed using miRNA sequence specific primers (Applied Biosystems, Foster City, CA, USA) by the Taqman-based real-time RT-PCR detection methodology. Briefly, 10 ng of total RNA was reverse transcribed using High-Capacity cDNA Archive kit (Applied Biosystems) followed by amplification on an ABI 7500 Real-Time PCR System (Applied Biosystems). U6 small nucleo-

lar RNA was used as endogenous control. miRNA expression levels were calculated by relative quantitation using the ABI 7500 Real-Time PCR SDS 1.2 software (Applied Biosystems), and the fold expression changes were determined by $2^{-\Delta\Delta Ct}$ method [14]. The data were presented as the fold change of miRNA expression in lung tumors relatively to paired normal lung tissues after normalization to an endogenous control (U6 snRNA).

Genotyping of EGFR mutational status in NSCLC tumor tissues and plasma specimens

Genomic DNA and cell-free DNA were extracted from formalin-fixed, paraffin-embedded lung tumor tissues and plasma samples, respectively, by using a QIAamp DNA FFPE Tissue Kit or a QIAamp Circulating Nucleic Acid Kit (Qiagen, Hilden, Germany) as appropriate. The assays of EGFR mutational status were performed on an ABI7500 real-time PCR instrument (Applied Biosystems, Foster City, CA) using the AmoyDx Human EGFR Gene 29 Mutations Detection kit with fluorescence PCR (Amoy Diagnostics, Xiamen, China). This EGFR kit detects 29 mutations in exons 18 to 21, including T790M, L858R, L861Q, S768I, G719S, G719A, and G719C; three insertions in exon 20; and 19 deletions in exon 19. Primers were labeled with 6-carboxyfluorescein and HEX/VIC. DNAs were amplified by PCR according to the previously reported procedures [15].

Statistical analyses

The statistical analyses were performed with Graphpad Prism 5.0 statistical software. Survival analysis was performed with the Kaplan-Meier method. The Cox hazard regression model was used to analyze the miRNAs as risk factors for lung cancer. A probability (*P*)-value <0.05 was considered statistically significant.

Results

The mutational status of EGFR in NSCLC cell lines

To search for differences of EGFR mutations between gefitinib-sensitive human lung adenocarcinoma cells (PC-9) and gefitinib-resistant human lung adenocarcinoma cells (A549 and H1299), the EGFR mutational status was detected in NSCLC cell lines by using the ampli-

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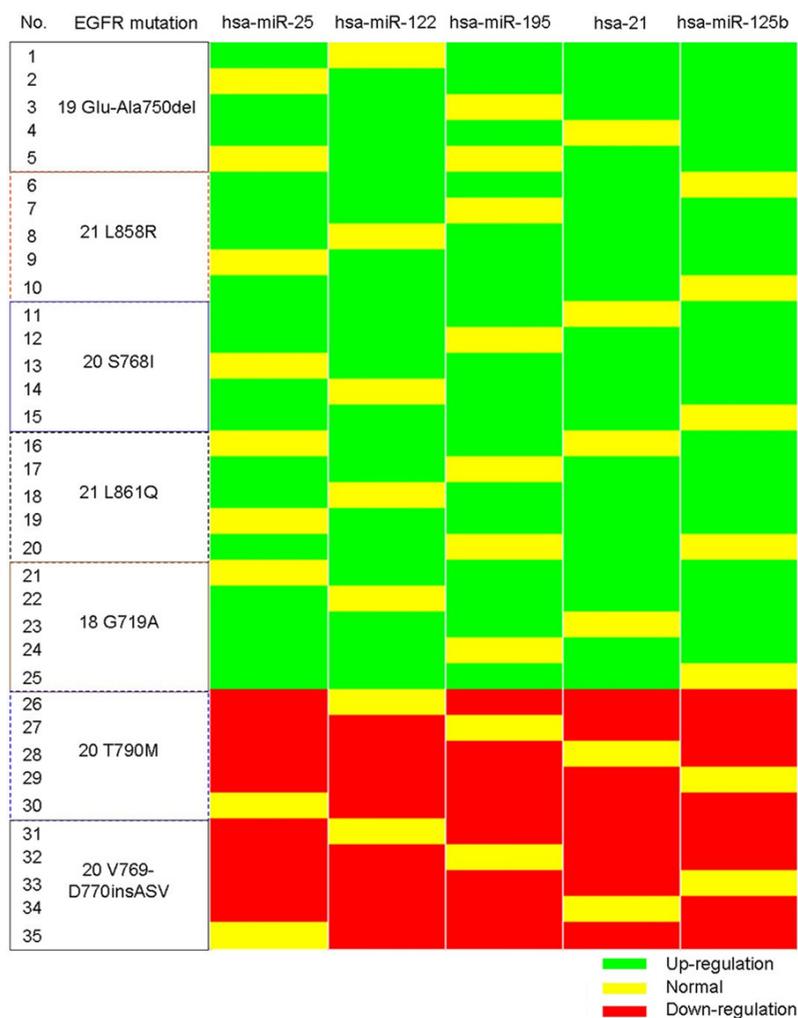


Figure 3. Identification of hsa-miRNAs whose expressions are associated with different EGFR mutations.

fication refractory mutation system (ARMS) PCR method. Results showed that EGFR mutations in exon 19 was found in PC-9 cells, however, no EGFR mutation was found in A549 and H1299 cells.

Identification of hsa-miRNAs whose expressions are associated with gefitinib-sensitivity

MicroRNA arrays were performed in the gefitinib-sensitive human lung adenocarcinoma cells (PC-9) and the gefitinib-resistant human lung adenocarcinoma cells (A549 and H1299), that contained 1888 human miRNA probes (Agilent Human miRNA (Version 19.0)). A total of 41 has-miRNAs were differentially expressed between the two kinds of cell lines (**Figure 1**). Among those, 6 hsa-miRNAs (hsa-miR-122,

hsa-miR-424, hsa-miR-182, hsa-miR-27a, hsa-miR-7d, and has-miR-205) were randomly selected for the validation of expression level by TaqMan-based real-time RT-PCR. The results were very similar to that by microarray (**Figure 2**).

We selected 25 tissue samples with EGFR mutation for gefitinib-sensitivity and 10 samples with EGFR mutation for gefitinib-resistant, including 5 samples with EGFR 19Glu-Ala750del mutation, 5 samples with EGFR 21L858R mutation, 5 samples with EGFR 20S768I mutation, 5 samples with EGFR 21L861Q mutation, 5 samples with EGFR 18G719A mutation, 5 samples with EGFR 20T790M mutation and 5 samples with EGFR 20V769-D770insASV mutation. Using TaqMan real-time RT-PCR analysis, we detected the level of these 41 hsa-miRNAs expression in 35 tissue samples with EGFR mutation. The confirmed dysregulated hsa-miRNAs were hsa-miR-25, hsa-miR-122, hsa-miR-195, hsa-miR-21, hsa-miR-125b, which were associated with gefitinib-sensitivity (**Figure 3**).

EGFR status in tumor tissues or plasma

There is a failure case in both EGFR mutation and miRNA experiment. EGFR mutations were detected in 63 of 149 (42.3%) primary tumor tissues by ARMS-PCR assays, in which 2 cases with EGFR 18G719A mutation, 30 cases with EGFR 19Glu-Ala750del mutation, 22 cases with EGFR 19Glu-Thr751del ins Ile mutation, 2 cases with EGFR 20S768I mutation, and 7 samples with EGFR 21L858R mutation. EGFR mutations were detected in 59 of 149 (39.6%) paired plasma by ARMS-PCR assays. EGFR mutation of plasma was not detected in 4 cases with positive EGFR mutation in their tumor tissues. The EGFR mutational status was

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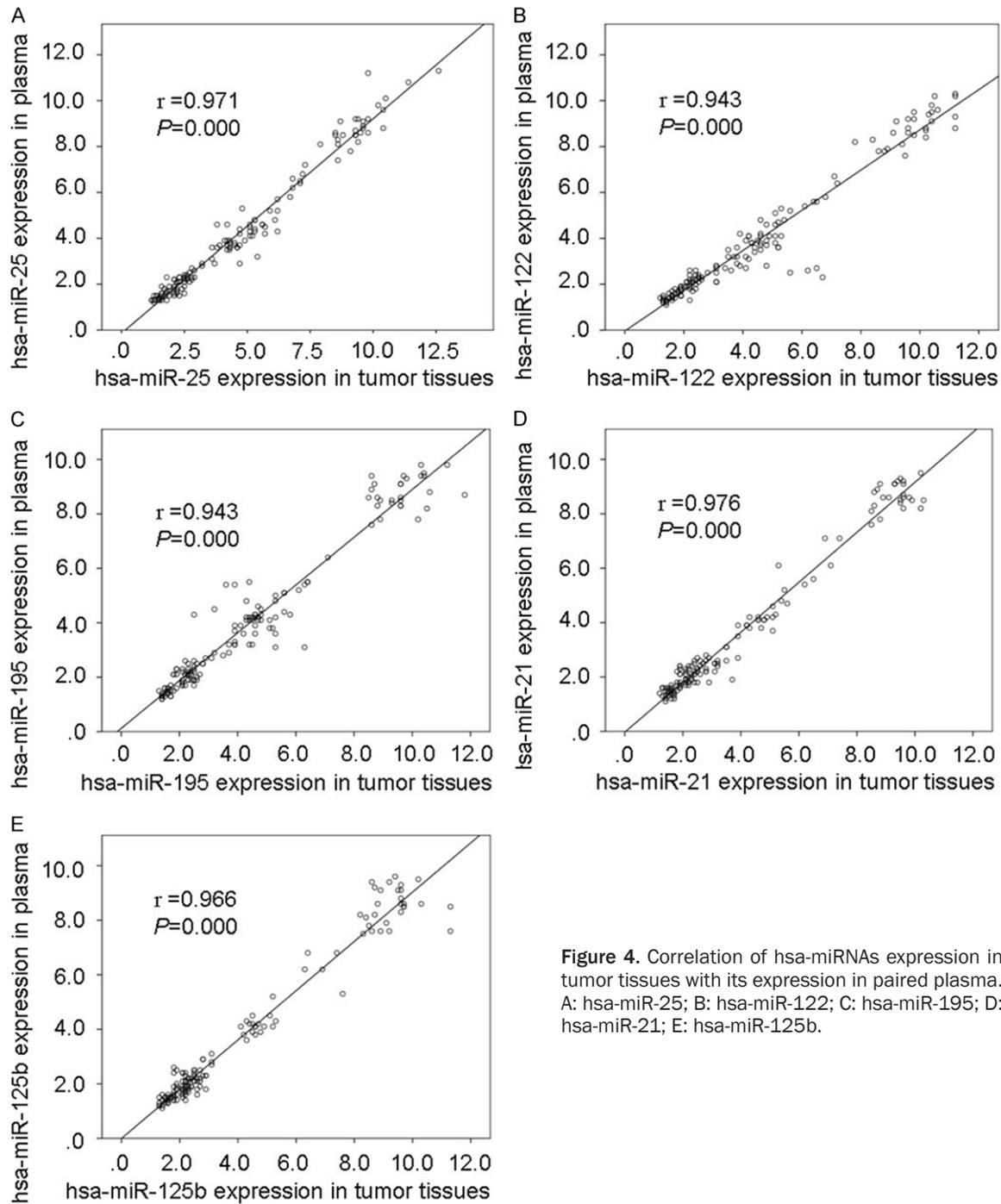


Figure 4. Correlation of hsa-miRNAs expression in tumor tissues with its expression in paired plasma. A: hsa-miR-25; B: hsa-miR-122; C: hsa-miR-195; D: hsa-miR-21; E: hsa-miR-125b.

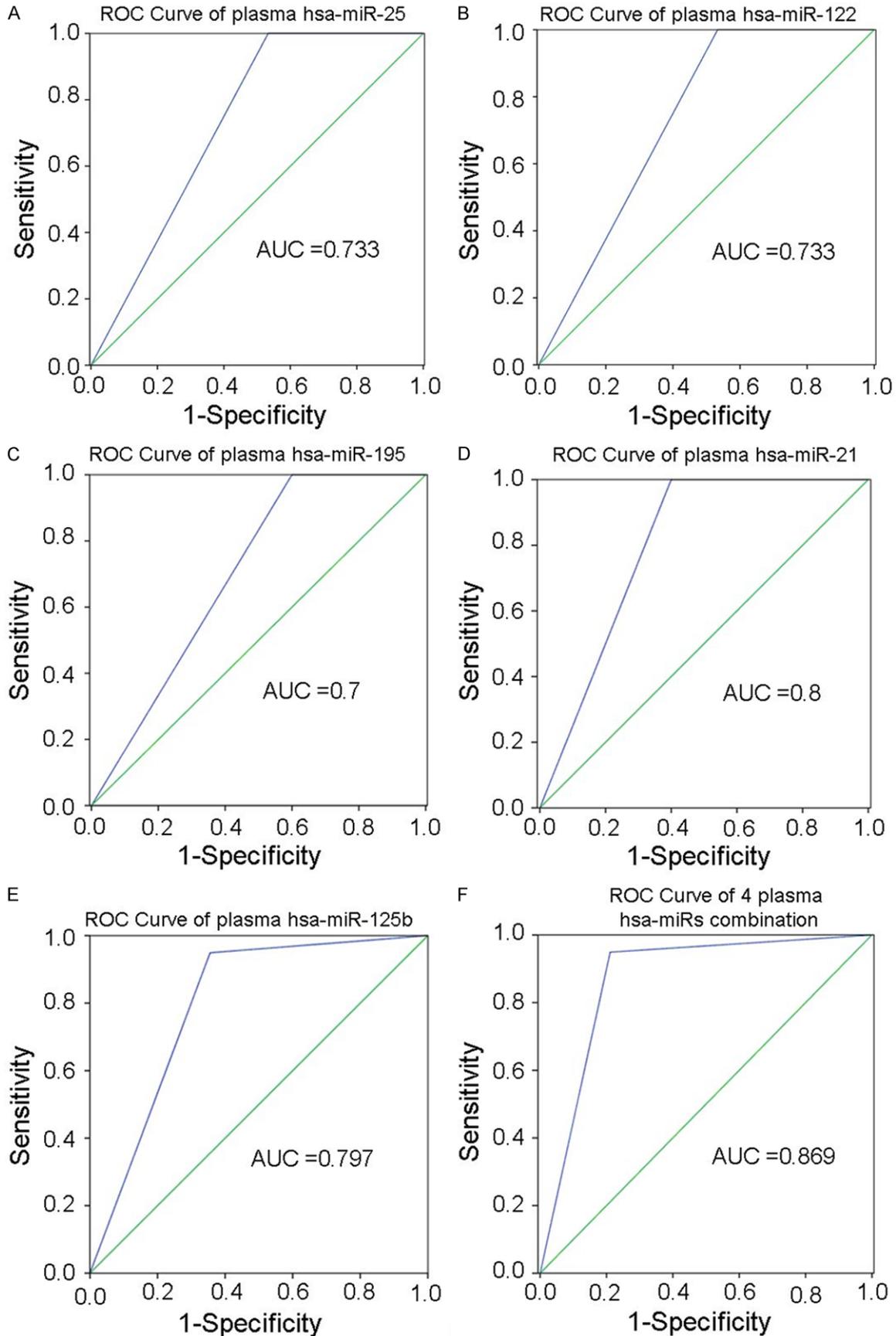
identical when the comparison of positive EGFR mutation between tumor tissues and paired plasma was performed.

Relationships between the expression levels of the 5 selected hsa-miRNAs and the clinical characteristics of NSCLC patients

The expression levels of the 5 selected target hsa-miRNAs (hsa-miR-25, hsa-miR-122, hsa-

miR-195, hsa-miR-21, and hsa-miR-125b) were validated by TaqMan real-time RT-PCR using tumor tissues, their corresponding adjacent normal lung tissues collected a minimum distance of 5 cm from the tumor and paired plasma samples in 149 patients with primary NSCLC. The 5 selected hsa-miRNAs in tumor tissues were significantly up-regulated than that in their paired adjacent normal lung tissues (all $P < 0.01$), in which the fold-changes

hsa-miRNAs predict gefitinib sensitivity



hsa-miRNAs predict gefitinib sensitivity

Figure 5. ROC analysis of 5 selected plasma hsa-miRNAs for EGFR mutation in NSCLC. A: hsa-miR-25; B: hsa-miR-122; C: hsa-miR-195; D: hsa-miR-21; E: hsa-miR-125b; F: The combination of 4 plasma hsa-miRNAs (miR-195, miR-122, miR-125, miR-21 and miR-25).

was 4.52, 4.51, 4.44, 3.9 and 3.94 times, respectively. The 5 selected hsa-miRNAs in paired plasma were also significantly up-regulated than the mean value in plasma from 20 healthy people, in which the fold-changes was 4.09, 3.91, 4.02, 3.55 and 3.55, respectively. The expression level of the 5 selected hsa-miRNAs in plasma compared with the results detected in their tumor tissues have the better consistency, and the correlation coefficient is 0.971, 0.943, 0.943, 0.976 and 0.966, respectively (all $P=0.000$, **Figure 4**).

The correlation between the 5 selected hsa-miRNAs results with clinicopathological factors was further analyzed. It was found that the up-regulation of the 5 selected hsa-miRNAs in tumor tissues and plasma were not correlated with sex and age at diagnosis, respectively (all $P>0.05$, **Table 1A** and **1B**). The data showed that both up-regulation of the 5 selected hsa-miRNAs in tumor tissues and plasma significantly correlated with lymphatic involvement (all $P=0.000$), distant metastasis (all $P=0.000$), and clinical stage (all $P=0.000$), respectively (**Table 1A** and **1B**).

Comparative studies between adenocarcinoma and squamous cell carcinoma have revealed significantly histological differences in the level of the 5 selected hsa-miRNAs expression, which was significantly up-regulated in lung adenocarcinoma than that in lung squamous cell carcinoma (all $P<0.05$). Both the expression of hsa-miRNA-25 and hsa-miR-122 in plasma were positively related with differentiation (both $P=0.033$), but there was no statistical significance in tissues. The expression level of hsa-miRNA-195 and hsa-miRNA-21 in NSCLC with moderate/well differentiation were significantly higher than that in patients with poor differentiation, whether it is from tissue or plasma specimens (all $P<0.05$). Both the hsa-miRNA-125b expression in tumor tissues or plasma were not related with differentiation (both $P>0.05$). Both the up-regulation of hsa-miR-25 in the tumor tissues and plasma were positively related with T-stage ($P=0.02$, 0.005 , respectively). Plasma hsa-miR-122 level was positively related with T-stage ($P=0.023$), but the correlation of hsa-miR-122 level in tumor tissues with T-stage was close to significant difference

($P=0.063$). The up-regulation of hsa-miR-195 in tumor tissues was positively related with T-stage ($P=0.03$), but T-stage has not significant effect on plasma hsa-miR-195 level ($P=0.168$). The expression level of the hsa-miR-21 and hsa-miR-125b in both tumor tissues and plasma were not related with T-stage (all $P>0.05$). It is interesting that all the expression level of the 5 selected hsa-miRNAs in NSCLC with smoking were higher than that in those without smoking (all $P<0.05$), whether in tumor tissue or plasma specimens (**Table 1A** and **1B**).

Collectively, these data based on **Table 1A** and **1B** indicated that both the up-regulation of the 5 selected hsa-miRNAs in tumor tissues and plasma were significantly associated with tumor progression, but were not related with metastasis.

Discriminatory power of hsa-miRNAs associated gefitinib-sensitivity for primary NSCLC

Next, we investigated the correlation between the up-regulation of the 5 selected hsa-miRNAs in both tumor tissues and plasma with EGFR mutation in NSCLC patients. Results showed that up-regulation of the 5 selected hsa-miRNAs were related significantly with EGFR mutation in both tumor tissues and plasma (all $P=0.000$), which may be novel biomarker for EGFR mutation in NSCLC patients. The ROC analysis were established to evaluate the discriminatory power of detecting EGFR mutation using plasma miRNAs; the AUCs (area under the curve) were 0.733 ($P=0.000$, 95% confidence interval [CI]: 0.655-0.812), 0.733 ($P=0.000$, 95% CI: 0.655-0.812), 0.7 ($P=0.000$, 95% CI: 0.618-0.782), 0.8 ($P=0.000$, 95% CI: 0.730-0.870) and 0.797 ($P=0.000$, 95% CI: 0.725-0.869), respectively. The AUC of an optimum combination of 4 plasma hsa-miRNAs (miR-195, miR-122, miR-125, miR-21 and miR-25) is 0.869 ($P=0.000$, 95% CI: 0.808-0.930) (**Figure 5**).

Circulating hsa-miR-125b predicts a poor prognosis for NSCLC patients

Kaplan-Meier analysis was used to analyze the association between the 5 selected miRNAs expression in the tumor tissues or plasma and

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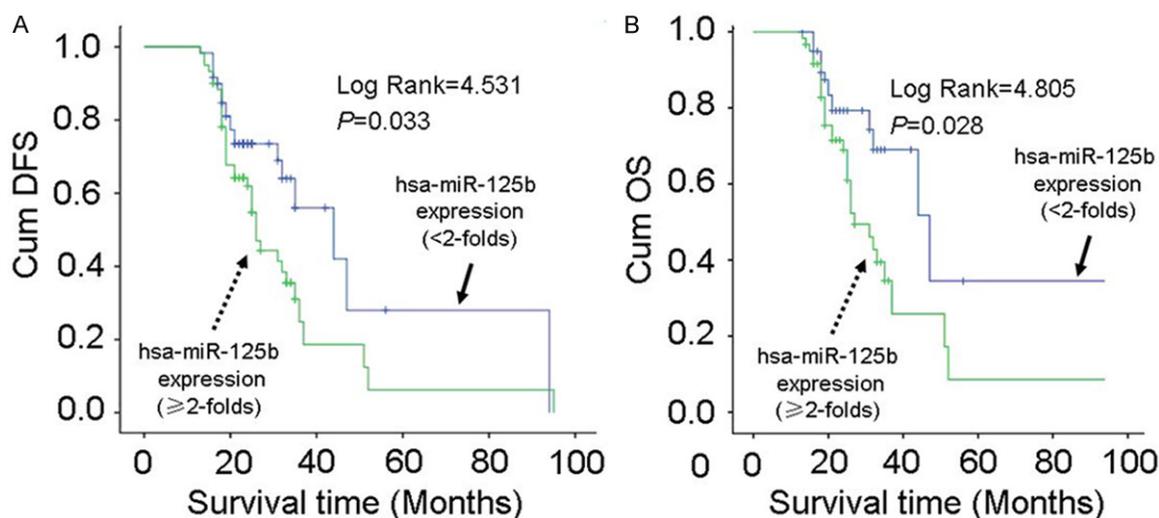


Figure 6. Correlation of plasma hsa-miR125b level with survival of NSCLC patients. A: Kaplan-Meier curves of DFS in NSCLC patients after surgery according to plasma hsa-miR125b level. B: Kaplan-Meier curves of OS in NSCLC patients after surgery according to plasma hsa-miR125b level.

patients survival. As shown in **Figure 6**, only hsa-miR-125b expression in plasma was an unfavorable predictor for the survival of NSCLC patients after surgery. Patients with hsa-miR-125b overexpression (≥ 2 -folds) had significantly lower disease-free survival (DFS) as compared with those with lower hsa-miR-125b expression (< 2 -folds) ($P=0.033$; **Figure 6A**). There was significant difference in the overall survival (OS) between patients with hsa-miR-125b overexpression (≥ 2 -folds) and those patients with lower hsa-miR-125b expression (< 2 -folds) ($P=0.028$). Cox regression models indicated that hsa-miR-125b overexpression in plasma is not a promising independent predictor of survival in NSCLC patients ($P=0.166$).

Discussion

Global cancer statistics indicate that lung carcinoma is the leading cause of cancer deaths worldwide [16]. Recent clinical trials have demonstrated the efficacy of EGFR-TKI in the treatment of patients with advanced NSCLC. Successful analysis of EGFR mutations in advanced NSCLC has provided many patients with EGFR mutation-positive tumors with the opportunity to receive optimal, targeted treatments [7]. However, it is widely accepted that there are challenges in EGFR mutation testing practice. In the last few years, this has been particularly evident in the Asia-Pacific region, where a lack of access and/or adoption of

EGFR mutation testing were a barrier to large-scale testing [17]. In a 2011 consensus meeting, tumor tissue acquisition and pre-test sample evaluation was considered as important steps to increase specificity and sensitivity of EGFR mutation testing, and to thus help standardize mutation test methodology in East Asia [17], but this sample type is not available for many patients. Then, a molecular-based treatment decision in these patients may be problematic, not only at diagnosis but also at progression, to detect sensitivity mutations (e.g., Glu-Ala750del, L858R, S768I, L861Q, G719A) in those who prepare to start first-line treatment with EGFR-TKI, like gefitinib.

Douillard JY, et al reported that patients with EGFR mutation-positive ctDNA, had a similar objective response rate (ORR) to patients with EGFR mutation-positive tumors (76.9% and 69.8%, respectively). Furthermore, the concordance, specificity, and sensitivity were all high. This result suggested that plasma was a suitable substitute for EGFR mutation analysis regardless of mutation subtype [18]. However, there are still challenges/gaps in current knowledge: Firstly, the mutation detection kits now are often validated solely on tumor-derived DNA, kits for use on surrogate sample types such as plasma are extremely rare; Secondly, the detectable mutations in the ctDNA of some patients is apparent lack, just as noted in the study above, the detection rate of EGFR muta-

tion was 13.7% in tumor DNA and 10.5% in matched ctDNA [18]. It is therefore important to identify new markers in plasma that can be used to assess EGFR mutation status more accurately and conveniently.

Recently, the area of miRNAs has increased in intensity because of its important role in tumorigenesis and they have been considered promising candidates to be diagnostic markers and therapeutic targets [19-21]. MicroRNA (miRNA) expression is deregulated in lung cancer, and some miRNAs are associated with poor prognosis and survival [22-24]. Dacic S et al reported that despite the similarity in miRNA expression among lung adenocarcinomas with different somatic mutations, some miRNAs showed unique expression patterns, which were in strong correlation with the mutation type, suggesting different carcinogenic pathway for these tumors. These miRNAs can be further explored for their diagnostic and prognostic use [22]. It has been proved that miRNAs are present in human plasma in a remarkably stable form that is protected from endogenous RNase activity. Circulating cell-free microRNAs might play as stable noninvasive blood-based diagnostic, prognostic, and predictive biomarkers for common cancer [25-29]. It has been demonstrated that miRNAs in lung tissues and plasma might act as molecular predictors of lung carcinoma development and management outcome [30].

We analyzed the expression of 5 hsa-miRNAs (hsa-miR-195, hsa-miR-122, hsa-miR-125, hsa-miR-21 and hsa-miR-25) in NSCLC tissue and plasma samples for the analysis of association with EGFR mutation and clinicopathological data after miRNA array and validation experiments were performed. Results showed that plasma levels of the 5 hsa-miRNAs were associated with EGFR status. Guo H et al [31] reported that hsa-miR-195 was significantly decreased in both NSCLC tissues and cell lines, and miR-195 could significantly suppressed proliferation, invasion and migration of NSCLC cells by targeting HDGF [31] and IGF1R genes [32], which suggested a potential therapeutic target of miR-195 for NSCLC. We found that down-regulation of plasma hsa-miR-195 was associated with EGFR mutation, poor differentiation and lymphatic metastasis, especially in non-smoking advanced NSCLC patients. miR-122 is a liver-specific miRNAs representing two

thirds of hepatic miRNAs, and the down-regulation of miR-122 was associated with HCC progression [33]. We found that down-regulation of plasma hsa-miR-122 was associated with EGFR mutation and lymphatic metastasis, especially in non-smoking advanced NSCLC patients. Zhang H, et al [34] also reported that miR-122 may have prognostic values in predicting the overall survival (OS) and the EGFR mutation in non-smoking female NSCLC patients, and plasma miR-122 may be useful for EGFR mutant NSCLC patients. miR-125 has been reported to be implicated in a variety of carcinomas. Wang GF, et al [35] demonstrated that miR-125a-5p inhibited migration and invasion of lung cancer cells and regulated the expression of several downstream genes of EGFR signaling, which provide compelling evidence that miR-125a-5p may function as a metastatic suppressor in lung cancer. Our results demonstrated that down-regulation of plasma hsa-miR-125p was associated with EGFR mutation and lymphatic metastasis, especially in non-smoking NSCLC patients, and plasma hsa-miR-125p level was correlated with DFS and OS of NSCLC patients. miR-21 is an oncogenic miRNA, which related to tumor growth and metastasis [36]. Shen H, et al [37] discovered that up-regulation of miR-21 decreased gefitinib sensitivity by down-regulating PTEN expression and activating Akt and ERK pathways in PC-9 cells, which provided a new basis for using miR-21/PTEN-based therapeutic strategy to reverse gefitinib resistance in NSCLC. We found that up-regulation of plasma hsa-miR-21 was associated with EGFR mutation, poor differentiation and lymphatic metastasis, which supports an important role of altered hsa-miR-21 expression during tumor development and indicates that hsa-miR-21 modulates gefitinib sensitivity in NSCLC patients. miR-25 may have diverse roles in different types of tumors [38]. Xu FX, et al. [39] reported that up-regulation of miR-25 in tissues was significantly associated with clinical stage, lymph node metastasis, and poor prognosis in female non-smoking NSCLC patients. But, no correlation of the miR-25 expression between tumor tissue and plasma levels in these patients was found. We found that up-regulation of hsa-miR-25 was associated with EGFR mutation and lymphatic metastasis, which indicates that miR-25 modulates gefitinib sensitivity in NSCLC patients, and there

was a close correlation of the miR-25 expression between tumor tissue and plasma levels in NSCLC patients.

Circulating miRNAs could be useful for predicting EGFR mutation in NSCLC. Zhang H, et al [34] analyzed the plasma level of 20 miRNAs in 105 female NSCLC patients, and found plasma levels of miR-195 and miR-122 were associated with OS of patients, especially in those advanced patients with EGFR mutation. Weiss GJ, et al [35] initially showed that miR-128b directly regulated EGFR and was significantly correlated with clinical response and survival following gefitinib. We found that there was a high concordance of the 5 selected miRNAs level between in tumor tissues and plasma in NSCLC patients, suggesting the feasibility of plasma miRNAs as a biomarker. The ROC analysis showed that the AUCs of hsa-miR-25, hsa-miR-122, hsa-miR-195, hsa-miR-21 and hsa-miR-125b was 0.733, 0.733, 0.7, 0.8 and 0.797, respectively. We used the method of miRNAs combination to searching the most sensitive model in predict EGFR mutation and gefitinib-sensitivity. The AUC of an optimum combination using 4 plasma hsa-miRNAs (miR-195, miR-122, miR-125, miR-21 and miR-25) is 0.869. In conclusion, our study showed that plasma level of the 5 selected miRNAs were associated with EGFR mutation in NSCLC patients, respectively. A model including miR-195, miR-125, miR-21 and miR-25 may predict EGFR mutation and gefitinib-sensitivity better. Circulating miRNAs are potential non-invasive biomarker for prediction of EGFR mutation.

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Disclosure of conflict of interest

None.

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