

Review

Human DNA Polymerase η and Its Regulatory MechanismsChikahide Masutani¹

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Defects in DNA polymerase (Pol) η result in a cancer-prone and UV-sensitive inherited syndrome, a variant form of xeroderma pigmentosum, suggesting that Pol η plays a vital role in preventing UV-induced skin cancers. In fact, Pol η can catalyze translesion synthesis (TLS) past prominent UV-induced lesions efficiently and accurately. However, Pol η is intrinsically an error-prone DNA polymerase, like other TLS polymerases. Biochemical, structural and physiological studies revealed that Pol η and other TLS polymerases participate in multiple mutagenic mechanisms, including somatic hypermutation of immunoglobulin genes. Protein-protein interactions between Pol η and PCNA, TLS polymerases, RAD18 and DNA repair proteins, as well as their posttranslational modifications, have been shown to be important for regulating Pol η .

Key words: translesion synthesis, protein-protein interaction, posttranslational modification

Identification of *POLH*, The Gene Responsible for XP-V

Xeroderma pigmentosum (XP) is an autosomal recessive genetic disorder characterized by sunlight sensitivity, cutaneous and ocular deterioration, premature malignant skin neoplasms, and an increased incidence of skin cancer after sunlight exposure. XP has been classified into eight complementation groups, XP-A to XP-G, and XP-V. Unlike XP-A to XP-G, cells from XP-V patients are proficient in nucleotide excision repair (NER) but display abnormal DNA replication, including a reduced ability to elongate nascent UV-irradiated DNA strands (1). It has also been demonstrated that extracts from XP-V cells lack lesion bypass replication activity in an *in vitro* DNA replication system when a plasmid containing a cyclobutane pyrimidine dimer (CPD) was used (2–5). Using an improved *in vitro* DNA replication system, we purified an XP-V correcting protein from HeLa cell extracts and found that the purified protein displayed DNA polymerase activity capable of replicating past CPDs (6). cDNA cloning revealed that the responsible DNA polymerase is the product of one of two human homologues of the *S. cerevisiae* gene, *RAD30* (7). Johnson *et al.* independently identified the same gene as the human counterpart of yeast *RAD30*

(8). The gene, which we then termed *POLH*, is mutated in XP-V patients, though no mutations were found in the *POLI* gene, another human homologue of *RAD30* (9).

Properties of DNA Polymerase η

The *POLH* gene encodes DNA polymerase (Pol) η , which can catalyze translesion synthesis (TLS) past CPDs as efficiently as undamaged DNA templates. Fourteen DNA template-dependent DNA polymerases have been identified in human cells and classified into four families (Table 1). Pol η belongs to the Y-family of polymerases with Pol ι , Pol κ , and REV1. The Y-family

Table 1. Human DNA template-dependent DNA polymerases

Polymerase	Gene	Family	Functions
Pol α	<i>POLA</i>	B	DNA replication priming
Pol β	<i>POLB</i>	X	BER, meiotic recombination
Poly	<i>POLG</i>	A	Mitochondrial DNA replication and repair
Pol δ	<i>POLD</i>	B	DNA replication and repair
Pol ϵ	<i>POLE</i>	B	DNA replication and repair
Pol ζ	<i>REV3</i>	B	TLS
Pol η	<i>POLH</i>	Y	TLS, SHM, HR
Pol ι	<i>POLI</i>	Y	TLS, BER
Pol κ	<i>POLK</i>	Y	TLS, NER
Pol θ	<i>POLQ</i>	A	TLS, SHM
Pol λ	<i>POLL</i>	X	V(D)J recombination
Pol μ	<i>POLM</i>	X	V(D)J recombination
Pol ν	<i>POLN</i>	A	Interstrand crosslink repair
REV1	<i>REV1</i>	Y	TLS, SHM

BER: base excision repair, TLS: translesion DNA synthesis, SHM: somatic hypermutation, HR: homologous recombination.

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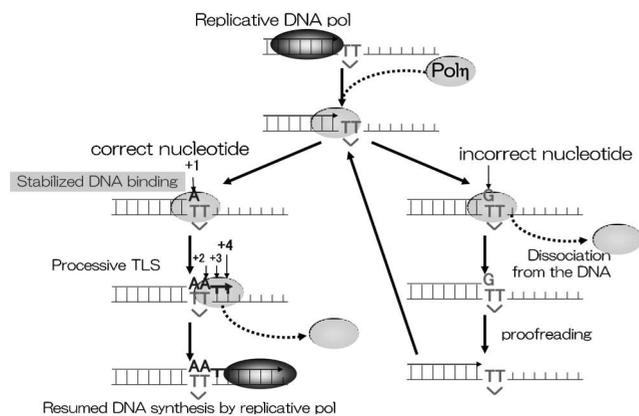


Fig. 1. A model for TLS past TT-CPDs by Pol η with biased fidelity (modified from ref. 17). Pol η can be loaded in place of the arrested replicative DNA polymerase at TT-CPDs. Pol η is a low processive polymerase and easily dissociates from the template-primer DNA. (left) However, if correct nucleotides are incorporated at positions +1, +2, or +3 opposite of the TT-CPD template, Pol η stably binds to the template-primer DNA and processively performs TLS until the +4 position. This is the minimum reaction needed to resume replicative DNA polymerase-catalyzed DNA synthesis. (right) Alternatively, if incorrect nucleotides are incorporated, Pol η easily dissociates from the template-primer DNA, and the resulting products are preferentially proofread rather than elongated. As a result, bypassed products contain the correct sequences.

polymerases are conserved from bacteria to humans (10) and are thought to catalyze TLS across DNA lesions (11), like other polymerases such as Pol θ and Pol ζ .

Structural analyses of Y-family DNA polymerases revealed that they have a spacious active site that facilitates lesion bypass (12,13). Biochemical analyses showed that Y-family DNA polymerases lack exonucleolytic proofreading activity, have low processivity, and can catalyze TLS, making them intrinsically error-prone. In fact, human Pol η can generate base substitutions with error rates of 10^{-2} to 10^{-3} when used by itself to replicate undamaged DNA (14). Pol η has an ability to preferentially incorporate adenines opposite the damaged thymines of *cis-syn* TT-CPDs, but misincorporations may also take place (15,16). It should be noted that replicative DNA polymerases such as Pol δ and Pol α are unable to incorporate nucleotides opposite of the TT-CPDs and, more importantly, they also cannot extend primers until two more nucleotides have been incorporated beyond the CPD (17). This means that, for lesion bypass, nucleotides must be incorporated by TLS polymerases beyond the lesion in addition to the initial incorporation of a nucleotide opposite the lesion. Pol η has a unique DNA binding property that allows it to synthesize DNA (Fig. 1). Pol η preferentially binds to template-primer DNAs consisting of TT-CPD templates and primers whose 3' ends are correct nucleotides situated opposite to the TT of CPDs and opposite of the next nucleotide beyond the CPD. Thus, Pol η can repli-

cate past TT-CPDs processively without dissociating from the template primer when the correct nucleotides are incorporated. However, Pol η easily dissociates from the template primer if incorrect nucleotides are incorporated. As a result, replicated DNA contains correct nucleotides opposite of the lesion, meaning that Pol η bypasses CPDs with biased fidelity (17,18). Structural analyses of human Pol η at four consecutive steps during DNA synthesis through TT-CPD and two nucleotides beyond the CPD showed that Pol η acts as a molecular splint to stabilize damaged DNA in a normal conformation (19). Both biochemical and structural analyses indicate that Pol η catalyzes efficient and accurate bypass across CPD lesions and prevents skin cancer (19,20) but cannot bypass another major UV-induced lesion, the 6-4 photoproduct. Conversely, NER which is abrogated in XP-A to XP-G cells efficiently removes 6-4 photoproducts and prevents skin cancer but removes CPD inefficiently (21). Therefore, both TLS and NER are important for UV damage tolerance in humans. It has been shown that Pol η contributes to global genome repair of UV-induced lesions during S phase (22), further suggesting that there may be crosstalk between TLS and NER.

In addition to UV-irradiation, XP-V cells are also sensitive to cisplatin (23). Pol η can bypass cisplatin adducts *in vitro* (24) and, together with Pol ζ , catalyzes TLS past cisplatin adducts in human cells (25). Pol η also contributes to TLS across lesions such as 8-oxoguanine, thymine glycols, acetylaminofluorene adducts, benzo[*a*]pyrene-7,8-diol-9,10-epoxide (BPDE) adducts (16,25-28). However, unlike CPD bypass, in which Pol η alone acts as the main polymerase, Pol η may be one of multiple polymerases that cells use to bypass these non-CPD lesions, resulting in errors (29). Thus, depending on the lesions generated, cells may use various mechanisms to select the appropriate polymerase.

Interaction with Monoubiquitinated PCNA

Human Pol η consists of 713 amino acids. The N-terminal half contains residues that are conserved among Y-family DNA polymerases and constitute the hand-like structure that is common to DNA polymerases and an additional unique Y-family domain, called the little finger or PAD (12,13). A molecule consisting of only the N-terminal 511 amino acids was originally identified to exhibit the XP-V correcting DNA polymerase activity (6), and the N-terminal 432 residues exhibited basal DNA polymerase activity (30). Thus, the C-terminal residues are dispensable for DNA polymerase activity and likely contain regulatory elements as depicted in Fig. 2. The nuclear localization signal and PCNA interaction peptide (PIP) sequences are located close to the C-terminus and are important for the cellular localization of Pol η and nuclear foci formation with PCNA af-

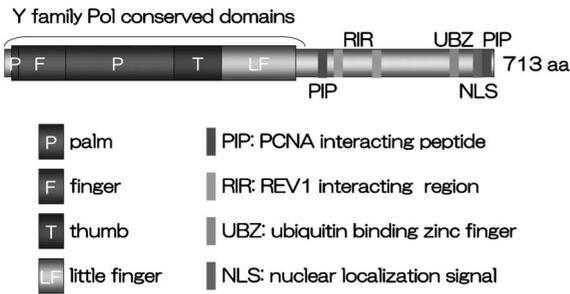


Fig. 2. Domain structures of human *Pol η* .

ter UV-irradiation, respectively (31). An ubiquitin-binding zinc finger (UBZ) domain is required for the interaction with monoubiquitinated PCNA and for its relocalization to damaged chromatin (32,33). Another PIP-like domain is also reported to be located upstream of the UBZ domain (34). The ability of *Pol η* mutants to rescue the UV-sensitivity of XP-V cells was only partially reduced with PIP mutations. Since foci formation was almost completely abolished by mutations in the C-terminal PIP domain, it is thought that nuclear foci formation is not required for TLS by *Pol η* . More confusingly, some point mutations in the UBZ domain severely affected the ability of XP-V cells to cope with UV-induced DNA damage, while a version of *Pol η* lacking its C-terminus (including the UBZ sequence) but retaining an intact PIP-like motif was able to promote cellular survival in response to UV (30). The interaction of *Pol η* with monoubiquitinated PCNA via these domains could be important for its function, although some recent reports suggest that cells are able to activate and relocate *Pol η* independently of PCNA monoubiquitination (35–38). Thus, the role of these domains and the relevance of the interaction with monoubiquitinated PCNA still need to be elucidated.

Interaction with TLS Proteins

Human *Pol η* interacts with REV1 through two domains located in the C-terminus (39,40). Mutations in these domains disrupted the *Pol η* -REV1 interaction but did not affect the ability of *Pol η* to catalyze TLS past CPDs and promote the survival of XP-V cells after UV damage (41). REV1 is a member of the Y-family of DNA polymerases and is known to play a crucial role in UV-induced mutagenesis with *Pol ζ* . Interestingly, REV1-interaction defective *Pol η* only partially suppressed spontaneous mutations in XP-V cells, whereas it suppressed UV-induced mutations completely (41). REV1 interacts with Y-family polymerases *Pol ι* and *Pol κ* , as well as *Pol η* and *Pol ζ* , through its C-terminus (39,40,42,43) and is thought to play a central role in TLS polymerase switching. Together with the observations that the *Pol η* -REV1 interaction stimulates the accumulation of endogenous REV1 to UV-damaged DNA

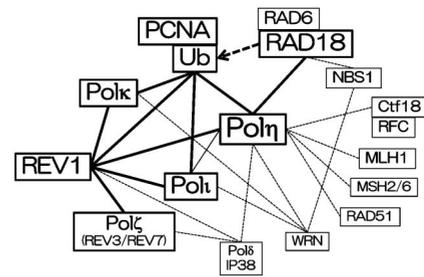


Fig. 3. Networks among *Pol η* and related proteins.

sites (41) and that *Pol ι* foci formation is enhanced by *Pol η* (44), cells may preferentially recruit *Pol η* to lesions first and then switch to other TLS polymerases. Since REV1 forms foci in response to UV-irradiation independently of *Pol η* (41,45), and REV1 and other TLS polymerases interact with monoubiquitinated PCNA directly, each polymerase could be recruited to arrested replication forks independently of each other. However, among all of the TLS polymerases, only *Pol η* interacts with RAD18, which is the primary ubiquitin ligase responsible for PCNA monoubiquitination. RAD18-*Pol η* interaction is crucial for guiding *Pol η* to arrested replication forks after UV-irradiation (46). *Pol η* also interacts with and is stimulated by a PCNA loader complex, Ctf18-RFC (47). A *Pol δ* interacting protein, PDIP38, also interacts with *Pol η* , REV1 and *Pol ζ* (48). Thus, it is likely that the replication and translesion machinery are connected in various ways in order to tolerate DNA lesions. Protein-protein interactions among *Pol η* and related proteins are depicted in Fig. 3.

Interaction with DNA Repair Proteins

Several reports may suggest that defects in the crosstalk between TLS and other DNA response mechanisms contribute to diseases associated with genomic instability.

Nijmegen breakage syndrome (NBS) is characterized by high sensitivity to ionizing radiation and predisposition to malignancies, and cells from NBS patients exhibited defects in double-stranded DNA break repair and checkpoint controls (49). Since a reduction of the responsible gene product, NBS1, sensitized human cells to UV, NBS1 is likely also involved in the UV damage response (50,51). Recently, NBS1 was found to interact with and recruit RAD18 to damaged chromatin and regulate *Pol η* -catalyzed TLS past UV-induced lesions (52).

Werner syndrome (WRN) is characterized by premature aging and cancer predisposition (53). Cells from WRN patients showed a reduced proliferative life span, genomic instability, and sensitivity to 4-nitroquinoline 1-oxide and crosslinking agents. The responsible gene product, WRN, is a RecQ family DNA helicase associ-

ated with exonuclease activity. WRN interacts with and stimulates the polymerase activities of TLS polymerases Pol η , Pol ι and Pol κ (54). WRN also regulates TLS as the WRN-NBS1 interaction is important for RAD18-mediated PCNA ubiquitination (55,56).

Hereditary nonpolyposis colon cancer (HNPCC) is linked with mutations in mismatch repair genes including MLH1, MSH2 and MSH6 (57). Human Pol η interacts with MLH1 and MSH2/MSH6 through its N-terminus and C-terminus, respectively (58,59). In addition to using mismatch repair to correct errors during DNA replication, mismatch repair proteins also contribute to other mechanisms including somatic hypermutation of immunoglobulin genes (60). Interestingly, MSH2 and MSH6, but not MLH1, participate in somatic hypermutation (61), indicating that mismatch repair proteins have separable functions. The mutation spectrum of somatic hypermutation in MSH2- or MSH6-deficient mice, in which mutations at A:T in immunoglobulin genes were drastically reduced, was similar to that of Pol η -deficient mice, as described below. A:T somatic hypermutations also require RAD18 (62) and PCNA monoubiquitination (63), although PCNA ubiquitination-independent mechanisms have also been considered (38). *In vitro* reconstitution experiments demonstrating that MSH2/MSH6 stimulates Pol η activity (58) suggests that the interaction between Pol η and MSH2/MSH6 may be involved in the somatic hypermutation of immunoglobulin genes. More recently, however, the involvement of MSH2/MSH6, Pol η , and monoubiquitinated PCNA in the oxidative stress response has also been reported (64). Thus, the interaction between Pol η and mismatch repair proteins may be involved in the repair of oxidative stress-induced DNA lesions.

Regulation of Pol η by Posttranslational Modifications

Posttranslational modifications of Pol η have been reported. Lysines in the NLS located at the C-terminus of Pol η were found to be monoubiquitinated, which prevents the interaction between Pol η and PCNA (65). An E3 ubiquitin ligase, Pirh2, binds to Pol η , catalyzes its monoubiquitination, and suppresses TLS (66). Thus, monoubiquitination at its C-terminus inactivates Pol η after TLS is completed. On the other hand, ATR- or PKC-mediated phosphorylation of Pol η at C-terminal residues activates Pol η (67,68). Phosphorylation of RAD18 by the protein kinase Cdc7 also regulates Pol η -RAD18 interaction and Pol η activation (69).

An E3 ubiquitin ligase Mdm2 interacts with and promotes polyubiquitination of Pol η and its proteasomal degradation (70). In *C. elegans*, degradation of Pol η is mediated by the Cul4-Ddb1-Cdt2 pathway, while SUMOylation of Pol η counteracts this proteolysis (71).

Thus, proteolytic degradation and its regulation can control TLS. Molecular chaperone HSP90 is also reported to regulate the stability of Pol η in human cells (72).

In Vivo Functions of Pol η

Pol η -deficient mice are viable, fertile and do not show any apparent spontaneous physiological defects under normal conditions. However, fibroblasts from these mice showed enhanced sensitivity to UV, and all of the Pol η -deficient mice developed skin tumors after UV-irradiation. These results confirm that Pol η prevents UV-induced cell death and skin cancer by catalyzing the accurate bypass of CPDs in mice as well as in humans (73,74).

In addition to accurate TLS, Pol η participates in somatic hypermutation of immunoglobulin genes as an error-prone enzyme (75). In peripheral blood lymphocytes from XP-V patients, the decrease of the A:T mutation of the immunoglobulin variable gene, was observed, although the overall mutation frequency was normal (76–79). Similar results were observed in Pol η -deficient mice (80,81). It is proposed that multiple DNA polymerases, including Pol η , Pol θ , and REV1, participate in somatic hypermutation, and that Pol η is the main mutator at A and T residues. In chicken DT40 cells, disruption of Pol η causes a decrease in the frequency of immunoglobulin gene conversion and double-strand break-induced homologous recombination (82). Human Pol η has also shown an ability to synthesize DNA from D-loop recombination intermediates, which is stimulated by interaction with the recombination protein RAD51 (83). Together, these data suggest that Pol η may also play an important role in homologous recombination, though the related phenotypes have not been addressed in XP-V patients nor Pol η -knockout animals so far.

Conclusions

Human Pol η is the gene product of XP-V and plays an invaluable role in preventing UV-induced skin cancers by catalyzing efficient and accurate translesion synthesis across UV-induced CPD lesions. Pol η is also involved in the somatic hypermutation of immunoglobulin genes. However, a lack of Pol η does not cause immunodeficiency since multiple error-prone DNA polymerases participate in somatic hypermutation. Considering that Pol η and other TLS polymerases are ubiquitously expressed in organs, Pol η and other TLS polymerases likely have multiple functions that have not yet been identified. In addition, posttranslational modifications of PCNA are known to regulate TLS and another DNA damage tolerance mechanism called template switch. Protein-protein interactions between TLS polymerases, PCNA and its modifiers, and other DNA damage response players, and their regulatory mechan-

isms have also been identified. A greater understanding of the physiological relevance and regulatory mechanisms of TLS in DNA damage tolerance could lead to the development of new ways to treat cancers and other diseases.

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