

COMPOSITION OPERATORS ON THE SPACE OF ENTIRE FUNCTIONS

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Abstract

The composition operators on the space of entire functions I' have been characterized. The invertibility of a composition operator C_ϕ in terms of the invertibility of inducing map ϕ is obtained.

Preliminaries.

Let X be a non-empty set and let $V(X)$ be a vector space of complex valued functions on X . If $\phi: X \rightarrow X$ is a mapping such that $f \circ \phi \in V(X)$ whenever $f \in V(X)$, then a composition transformation C_ϕ is defined by the equation

$$C_\phi f = f \circ \phi \quad \text{for every } f \in V(X).$$

In case $V(X)$ is a topological vector space and C_ϕ is continuous, then we call it a composition operator induced by ϕ . If $u: X \rightarrow C \setminus \{0\}$ is a mapping such that $(uC_\phi)f = u \cdot f \circ \phi \in V(X)$ whenever $f \in V(X)$, then a weighted composition operator is a continuous linear transformation $uC_\phi: V(X) \rightarrow V(X)$ defined by

$$(uC_\phi)f = u \cdot f \circ \phi \quad \text{for every } f \in V(X).$$

A complex valued function $f: C \rightarrow C$ of a complex variable is called an entire function if it is analytic in the whole complex plane. If f is an entire function then there exists a sequence $\{\hat{f}_n\}$ of complex numbers such that

$$|\hat{f}_n|^{1/n} \rightarrow 0 \quad \text{as } n \rightarrow \infty \quad \text{and} \quad f(z) = \sum_{n=0}^{\infty} \hat{f}_n z^n \quad (1)$$

The power series in (1) is a uniformly convergent power series. Conversely every sequence $\{\hat{f}_n\}$ of complex numbers with $|\hat{f}_n|^{1/n} \rightarrow 0$ as $n \rightarrow \infty$ defines an

(1980) AMS (MOS) · Subject Classification (1985: Revision), Primary: 47B38, Secondary 30D10.

Keywords and Phrases. Entire function, Uniform convergence on compact sets, Composition operator, Invertible and isometric operators.

This work is partially supported by U.G.C. Grant NO: F-8-5(30)/87 SR II.

Received October 19, 1990; revised April 11, 1991.

entire function f represented by (1). We can define a metric d in the class of entire functions as $d(f, g) = \sup\{|\hat{f}_0 - \hat{g}_0|, |\hat{f}_n - \hat{g}_n|^{1/n}, n \geq 1\}$. The class of entire functions topologized by this metric is denoted by Γ . It is shown in Iyer [8] that Γ is a non-normable complete metrizable locally convex topological vector space. The convergence of a sequence of entire functions in the metric topology of Γ is equivalent to the uniform convergence of entire functions in any circle of finite radius. Such a convergence in Γ will be called strong convergence in Γ .

Every continuous linear functional f on Γ is given by $f(\alpha) = \sum_{n=0}^{\infty} f_n a_n$, where $\alpha = \alpha(z) = \sum_{n=0}^{\infty} a_n z^n$ and $\{f_n\}$ is a sequence of complex numbers such that $\{|f_n|^{1/n}\}$ is a bounded sequence. The set of all bounded linear functionals on Γ is denoted by Γ^* . A sequence $\{\alpha_n\}$ in Γ is said to converge weakly to $\alpha \in \Gamma$ if and only if $f(\alpha_n) \rightarrow f(\alpha)$ for every $f \in \Gamma^*$. If for each $n \in \mathbb{Z}_+$, we define $e_n: C \rightarrow C$ as $e_n(z) = z^n$, then the sequence $\{e_n: n \in \mathbb{Z}_+\}$ is a basis for Γ . A sequence $\{\alpha_n\}$ in Γ is called basis for Γ if for each $\alpha \in \Gamma$ there exists a unique sequence $\{t_n(\alpha)\}$ of complex number such that $\alpha = \sum_{n=0}^{\infty} t_n(\alpha) \alpha_n$. The space Γ of entire functions has been studied extensively by Iyer ([9], [10] and [11]).

In this note we plan to study composition operators on Γ . Most of the work on composition operators is done on Hardy spaces and L^p -spaces. Nordgren [13] has summarized some known information about composition operators on L^2 and H^2 spaces. For further details about these operators we refer to Schwartz [8], Swantan [9], Cowen [6], Boyd [2], Iwanik Mayer [12], Singh [16] and Singh and Komal [17]. The weighted composition operators have been studied by Carlson [3].

We have characterized composition operators on Γ . The invertibility of C_ϕ in terms of the invertibility of ϕ is reported. Weighted composition operators on Γ have also been characterized. For $R > 0$, we denote by D_R the open disc $\{z \in C: |z| < R\}$. If $f \in \Gamma$, then $M(R, f) = \sup\{|f(z)|: z \in \bar{D}_R\}$. For $z \in C$, the evaluation functional is a map $E_z: \Gamma \rightarrow C$ defined by $E_z(f) = f(z)$ for every $f \in \Gamma$. The symbol $C(\Gamma)$ denotes the set of continuous linear operators on Γ into itself.

2. Characterizations of composition operators.

In this section we obtain some characterizations of composition operators. We first prove the following lemma:

LEMMA 2.1. *Let $R > 0$. Then for each $z \in D_R$ and $f \in \Gamma$,*

$$|f(z)| \leq \frac{RM(R, f)}{R - |z|}.$$

Proof. By Cauchy integral formula

$$f(z) = \frac{1}{2\pi i} \int_{C_R} \frac{f(w)dw}{w-z},$$

where C_R is the circle $|w|=R$. Hence

$$\begin{aligned} |f(z)| &\leq \frac{1}{2\pi} \int_{C_R} \frac{|f(w)||dw|}{|w-z|} \\ &\leq \frac{M(R, f)}{2\pi} \int_{C_R} \frac{|dw|}{R-|z|} \\ &= \frac{RM(R, f)}{R-|z|}. \end{aligned}$$

THEOREM 2.2. Let $\phi: C \rightarrow C$ be a mapping. Then $C_\phi \in C(\Gamma)$ if and only if ϕ is an entire function.

Proof. Suppose ϕ is an entire function. Since composition of two entire functions is an entire function, so $f \circ \phi$ is an entire function for each $f \in \Gamma$. We prove that C_ϕ is continuous. It is enough to prove that C_ϕ is continuous at origin. Let $R > 0$ be given. Then \bar{D}_R is a compact subset of C . But ϕ is continuous. Therefore $\phi(\bar{D}_R)$ is also compact subset of C . Hence we can find $K \geq M(R, \phi)$ such that $\phi(\bar{D}_R) \subset \bar{D}_K$. Now convergence in Γ is equivalent to uniform convergence in any circle of finite radius. Suppose $f_n \rightarrow 0$ strongly. Then for each $\varepsilon > 0$ we can find some $n_0 > 0$ such that $M(K, f_n) < \varepsilon K_0/K$ where $K_0 = K - M(R, \phi)$, for all $n \geq n_0$. From Lemma 2.1, we have

$$\begin{aligned} |f_n(\phi(z))| &\leq \frac{KM(K, f_n)}{K-|\phi(z)|} \\ &\leq \frac{KM(K, f_n)}{K-M(R, \phi)} < \varepsilon, \quad \text{for every } z \in D_R \text{ and for all } n \geq n_0. \end{aligned}$$

Hence $C_\phi f_n = f_n \circ \phi \rightarrow 0$ as $n \rightarrow \infty$.

Conversely, suppose $C_\phi: \Gamma \rightarrow \Gamma$ is continuous. Then $C_\phi f = f \circ \phi$ is an entire function for every $f \in \Gamma$. In particular, take $f = I$. Then $\phi = I \circ \phi = f \circ \phi$. Hence ϕ is an entire function.

THEOREM 2.3. Let $A \in C(\Gamma)$. Then A is a composition operator if and only if $Ae_n = (Ae_1)^n$ for every $n \in \mathbb{Z}_+$.

Proof. Suppose A is a composition operator. Then $A = C_\phi$ for some entire function $\phi: C \rightarrow C$. Therefore

$$\begin{aligned} Ae_n &= C_\phi e_n = e_n \circ \phi = \phi^n = (e_1 \circ \phi)^n \\ &= (C_\phi e_1)^n = (Ae_1)^n \quad \text{for every } n \in \mathbb{Z}_+. \end{aligned}$$

Conversely, if the condition of the theorem is satisfied, then set $\phi = Ae_1$. Clearly ϕ is an entire function. Hence C_ϕ is a composition operator. Now

$$\begin{aligned} Af &= A\left(\sum_{n=0}^{\infty} \hat{f}_n e_n\right) = \sum_{n=0}^{\infty} \hat{f}_n Ae_n \\ &= \sum_{n=0}^{\infty} \hat{f}_n (Ae_1)^n = \sum_{n=0}^{\infty} \hat{f}_n \phi^n \\ &= \sum_{n=0}^{\infty} \hat{f}_n e_n \circ \phi = \sum_{n=0}^{\infty} \hat{f}_n C_\phi e_n \\ &= C_\phi \left(\sum_{n=0}^{\infty} \hat{f}_n e_n\right) = C_\phi f, \quad \text{for every } f \in \Gamma. \end{aligned}$$

Therefore,

$$A = C_\phi.$$

THEOREM 2.4. *Let $A \in C(\Gamma)$. Then A is a composition operator if and only if $A^*E \subset E$, where $E = \{E_z : z \in C\}$.*

Proof. For each $z \in C$, the evaluation functional $E_z \in \Gamma^*$ in view of Lemma 2.1. Since

$$(C_\phi^* E_z)f = E_z(C_\phi f) = (f \circ \phi)(z) = f(\phi(z)) = E_{\phi(z)}(f)$$

for every $f \in \Gamma$, so $C_\phi^*(E) \subset E$. Hence if $A = C_\phi$, then $A^*(E) \subset E$.

Conversely, if $A^*E_z = E_w$ for some $w \in C$, then define $\phi(z) = w$. Now

$$\begin{aligned} (Af)(z) &= E_z(Af) = A^*(E_z)f \\ &= E_w(f) = E_{\phi(z)}f \\ &= f(\phi(z)) = (C_\phi f)(z) \end{aligned}$$

for every $z \in C$ and $f \in \Gamma$. Hence $A = C_\phi$.

THEOREM 2.5. *Let $C_\phi \in C(\Gamma)$. Then $C_\phi^*: \Gamma^* \rightarrow \Gamma^*$ is a composition operator if $\phi(z) = \alpha z$.*

Proof. Suppose $\phi(z) = \alpha z$. Define $\psi: C \rightarrow C$ by $\psi(z) = \alpha z$. We prove that $C_\phi^* = C_\psi$. Let $f \in \Gamma^*$ and $x \in \Gamma$. Then $f(z) = \sum_{n=0}^{\infty} f_n z^n$ and $x(z) = \sum_{n=0}^{\infty} \hat{x}_n z^n$. Therefore, $x(\phi(z)) = \sum_{n=0}^{\infty} (\hat{x} \circ \phi)(n) z^n$. But $x(\phi(z)) = \sum_{n=0}^{\infty} \hat{x}_n (\phi(z))^n = \sum_{n=0}^{\infty} \hat{x}_n (\alpha z)^n = \sum_{n=0}^{\infty} \alpha^n \hat{x}_n z^n$. Hence by unique expansion of $x(\phi(z))$, we have $(\hat{x} \circ \phi)(n) = \alpha^n \hat{x}_n$. Similarly $f(\phi(z)) = f(\alpha z) = \sum_{n=0}^{\infty} \alpha^n f_n z^n$. Now $(C_\phi^* f)(x) = f(C_\phi x) = \sum_{n=0}^{\infty} f_n (\hat{x} \circ \phi)(n) = \sum_{n=0}^{\infty} \alpha^n f_n \hat{x}_n = (f \circ \psi)(x) = (C_\psi f)(x)$ for every $x \in \Gamma$ and $f \in \Gamma^*$. Therefore $C_\phi^* = C_\psi$.

3. Invertible composition operators.

A continuous linear transformation $A: \Gamma \rightarrow \Gamma$ is called invertible if there exists a continuous linear transformation $B: \Gamma \rightarrow \Gamma$ such that $A \circ B = B \circ A = I$, the identity operator on Γ . Similarly a mapping $\phi: C \rightarrow C$ is called invertible if there exists a mapping $\psi: C \rightarrow C$ such that $\phi \circ \psi = \psi \circ \phi = I$, the identity mapping on C . Let $A \in C(\Gamma)$. Then A is called an isometry if $d(Af, Ag) = d(f, g)$ for every $f, g \in \Gamma$. In this section invertible and isometric composition operators have been studied.

THEOREM 3.1. *Let $C_\phi \in \Gamma$. Then C_ϕ is invertible if and only if ϕ is invertible with $\phi^{-1} \in \Gamma$.*

Proof. Suppose C_ϕ is invertible. Then there exists $A \in C(\Gamma)$ such that $AC_\phi = C_\phi A = I$. So we have

$$\begin{aligned} Ae_n &= Ae_1^n = A((C_\phi Ae_1)^n) = A(((Ae_1) \circ \phi)^n) \\ &= A((Ae_1)^n \circ \phi) = AC_\phi((Ae_1)^n) \\ &= (Ae_1)^n \quad \text{for } n=0, 1, 2, \dots \end{aligned}$$

By theorem 2.2 $A = C_\psi$ for some entire function ψ . It follows that $\phi \circ \psi = \psi \circ \phi = I$. This at once implies that ϕ and ψ are bijections. Hence $\phi^{-1} \in \Gamma$.

To prove the converse, let ϕ be invertible with $\phi^{-1} \in \Gamma$. Then $C_{\phi^{-1}}$ is a composition operator. Clearly $C_\phi C_{\phi^{-1}} = C_{\phi^{-1}} C_\phi = I$. Hence C_ϕ is invertible.

COROLLARY 3.2. *Let $C_\phi \in C(\Gamma)$. Then C_ϕ is invertible if and only if $\phi(z) = az + b$, where $(0 \neq) a, b \in C$.*

Proof. By Theorem 3.1 C_ϕ is invertible if and only if ϕ is bijective on C . And this is the case if and only if $\phi(z) = az + b$ with $a \neq 0$. (In fact, if ϕ is a polynomial, then it should be linear. If it is not a polynomial, it has an essential singularity at the point at infinity, so that it can not be one-to-one).

THEOREM 3.3. *Let $C_\phi \in C(\Gamma)$. Then C_ϕ is an isometry if and only if $\phi(z) = \alpha z$ where $|\alpha| = 1$.*

Proof. Let C_ϕ be an isometry. Then, $d(C_\phi(e_1), 0) = d(e_1, 0) = 1$, so that we have $|\hat{\phi}(0)| \leq 1$ and $|\hat{\phi}(n)|^{1/n} \leq 1$ for $n=1, 2, \dots$. Also, $d(C_\phi(z+c), 0) = d(z+c, 0) = \max\{1, |c|\}$. If $|c| > 2$, then $|c + \hat{\phi}(0)| \leq |c|$. This means that $\hat{\phi}(0) = 0$. Next, suppose $\hat{\phi}(m) \neq 0$ for some $m \geq 2$. Since $d(C_\phi(\alpha e_1), 0) = d(\alpha e_1, 0) = |\alpha|$ implies that $|\alpha \hat{\phi}(m)|^{1/m} \leq |\alpha|$ or $|\alpha| \leq |\alpha|^{m/|\hat{\phi}(m)|}$, which yields a contradiction by letting $\alpha \rightarrow 0$. Hence, $\hat{\phi}(z) = \hat{\phi}(1)z$. That $|\hat{\phi}(1)| = 1$ follows at once from the identity $d(\hat{\phi}, 0) = d(C_\phi(e_1), 0) = d(e_1, 0) = 1$.

Conversely if $\phi(z) = \alpha z$ for some $\alpha \in C$ such that $|\alpha| = 1$, then clearly C_ϕ is

an isometry.

4. Weighted composition operators on Γ .

A characterization of weighted composition operators is obtained in this section.

THEOREM 4.1. *Let $u: C \rightarrow C$ and $\phi: C \rightarrow C$ be two non-trivial mappings. Then $uC_\phi \in C(\Gamma)$ if and only if u and ϕ are entire functions.*

Proof. First we suppose that uC_ϕ is a continuous linear operator. Then $u \cdot f \circ \phi$ is an entire function for every entire function f . Now, if we take f to be a constant function which is equal to 1 every where, then we have $u \cdot f \circ \phi = u$ so that u is an entire function. Further, if we take $f=I$, the identity function then $u \cdot f \circ \phi = u$. Suppose $u \neq 0$. By the assumption we see that $u(z)(\phi(z))^n = uC_\phi(e_n)$ is entire for every $n=0, 1, 2, \dots$. That u is entire follows from the case $n=0$. The case $n=1$ shows that $\phi(z)$ is analytic wherever $u(z) \neq 0$. Suppose that u has a zero of order $m>0$ at a point α . If $\phi(z)$ has a pole of order k at the point α , then $C_\phi(e_n)$ has a pole of order nk there. So, for n with $nk>m$ the function $uC_\phi(e_n)$ cannot be analytic at α . In case α is an essential singularity for ϕ , $u\phi$ cannot be analytic at α . This means that ϕ should be analytic at α . Hence, ϕ is an entire function.

To prove the converse, let u and ϕ be entire functions. Since product and composition of two entire functions is an entire function, it follows that $uC_\phi f = u \cdot f \circ \phi \in \Gamma$ for every $f \in \Gamma$. Suppose $f_n \rightarrow 0$ strongly. For a given $R>0$, as in proof of Theorem 2.2 choose $K>M(R, \phi)$ such that $\phi(\bar{D}_R) \subset \bar{D}_K$. Let $\varepsilon>0$ be given. Then there exists $n_0>0$ such that

$$M(2K, f_n) < \frac{\varepsilon}{2M(R, u)} \quad \text{for every } n > n_0.$$

From Lemma 2.1, we have

$$\begin{aligned} |u(z)f_n(\phi(z))| &\leq M(R, u) |f_n(\phi(z))| \\ &\leq M(R, u) \frac{M(2K, f_n)}{2K - |\phi(z)|} \times 2K \\ &\leq 2M(R, u) M(2K, f_n) < \varepsilon \end{aligned}$$

for each $|z| \leq R$ and $n \geq n_0$. Hence

$$M(R, (uC_\phi)(f_n)) < \varepsilon \quad \text{for every } n \geq n_0$$

Thus $uC_\phi f_n \rightarrow 0$. This proves that uC_ϕ is continuous at origin. Since uC_ϕ is linear, so uC_ϕ is continuous everywhere. This completes the proof of the theorem.

ACKNOWLEDGEMENTS: The authors wish to thank the referee for his valuable comments.

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