

# SYNAPTIC ALGEBRAS

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*Dedicated to Dr. Sylvia Pulmannová on the occasion of her 70th birthday*

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**ABSTRACT.** A synaptic algebra is both a special Jordan algebra and a spectral order-unit normed space satisfying certain natural conditions suggested by the partially ordered Jordan algebra of bounded Hermitian operators on a Hilbert space. The adjective “synaptic”, borrowed from biology, is meant to suggest that such an algebra coherently “ties together” the notions of a Jordan algebra, a spectral order-unit normed space, a convex effect algebra, and an orthomodular lattice.

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## 1. Introduction

Our purpose in this article is introduce and study a class of partially ordered algebraic structures, which we call *synaptic algebras*, that are simultaneously spectral order-unit normed spaces [8] and special Jordan algebras, and that also incorporate convex effect algebras [12] and orthomodular lattices [3, 14]. We have borrowed from biology the adjective ‘synaptic’, which is derived from the Greek word ‘*sunaptein*’, meaning *to join together*. A synaptic algebra (Definition 1.1 below) is required to satisfy certain natural conditions suggested by an important spacial case, namely the partially ordered Jordan algebra of bounded Hermitian operators on a Hilbert space.

The generalized Hermitian (GH) algebras introduced and studied by Sylvia Pulmannová and the author in [9, 10] are synaptic algebras that satisfy a rather

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strong additional condition on bounded ascending sequences of pairwise commuting elements — see Section 6 below for the details. Example 1.2 below exhibits a commutative synaptic algebra which, in general, fails to be a GH-algebra, showing that synaptic algebras are proper generalizations of GH-algebras. In the sequel, we use the symbols  $\mathbb{R}$  and  $\mathbb{N}$  for the ordered field of real numbers and the set of positive integers, respectively. Also, we use ‘iff’ as an abbreviation for ‘if and only if’, and the symbol ‘:=’ means ‘equals by definition’.

**DEFINITION 1.1.** Let  $R$  be a linear associative algebra with unity element 1 over  $\mathbb{R}$  and let  $A$  be a (real) vector subspace of  $R$ . If  $a, b \in A$  and  $B \subseteq A$ , we write  $a C b$  iff  $a$  and  $b$  commute (i.e.  $ab = ba$ )<sup>1</sup> and we define

$$C(a) := \{b \in A : a C b\}, \quad C(B) := \bigcap_{b \in B} C(b), \quad \text{and} \quad CC(a) := C(C(a)).$$

The vector space  $A$  is a *synaptic algebra* with *enveloping algebra*  $R$  iff the following conditions are satisfied:

- SA1.  $A$  is a partially ordered archimedean real vector space with positive cone  $A^+ = \{a \in A : 0 \leq a\}$ ,  $1 \in A^+$  is an order unit in  $A$ , and  $\|\cdot\|$  is the corresponding order-unit norm.<sup>2</sup>
- SA2. If  $a \in A$  then  $a^2 \in A^+$ .
- SA3. If  $a, b \in A^+$ , then  $aba \in A^+$ .
- SA4. If  $a \in A$  and  $b \in A^+$ , then  $aba = 0 \implies ab = ba = 0$ .
- SA5. If  $a \in A^+$ , there exists  $b \in A^+ \cap CC(a)$  such that  $b^2 = a$ .
- SA6. If  $a \in A$ , there exists  $p \in A$  such that  $p = p^2$  and, for all  $b \in A$ ,  $ab = 0 \iff pb = 0$ .
- SA7. If  $1 \leq a \in A$ , there exists  $b \in A$  such that  $ab = ba = 1$ .
- SA8. If  $a, b \in A$ ,  $a_1 \leq a_2 \leq a_3 \leq \dots$  is an ascending sequence of pairwise commuting elements of  $C(b)$  and  $\lim_{n \rightarrow \infty} \|a - a_n\| = 0$ , then  $a \in C(b)$ .

We define  $P := \{p \in A : p = p^2\}$ . Elements  $p \in P$  are called *projections*. We define the *unit interval*  $E$  in  $A$  by  $E := \{e \in A : 0 \leq e \leq 1\}$ . Elements  $e \in E$  are called *effects*.<sup>3</sup>

If  $R$  is a von Neumann algebra, then the real vector space  $A$  of all self-adjoint elements in  $R$  is a synaptic algebra. More generally, the self-adjoint elements in a Rickart C\*-algebra ([13, §3]), and in particular in an AW\*-algebra ([15]), form a synaptic algebra. Additional examples of synaptic algebras are: JW-algebras

<sup>1</sup>We understand that a product of elements of  $A$  is the product as calculated in  $R$ , which may or may not belong to  $A$ .

<sup>2</sup>See Definition 1.6 below.

<sup>3</sup>Actually,  $E$  is a so-called *convex effect algebra* ([12]).

([17]), AJW-algebras ([17, §20]), JB-algebras ([2]), and the ordered special Jordan algebras studied by Sarymsakov, *et al.* [16]. All the foregoing examples are norm complete, but the commutative synaptic algebra in the following example need not be norm complete.

*Example 1.2.* Let  $\mathcal{F}$  be a field of subsets of a nonempty set  $X$ , let  $A$  be the commutative and associative real linear algebra, with pointwise operations, of all functions  $f: X \rightarrow \mathbb{R}$  such that

- (i)  $\lambda \in \mathbb{R} \implies f^{-1}(\lambda) \in \mathcal{F}$  and
- (ii)  $\{f(x) : x \in X\}$  is finite.

Then, with the pointwise partial order,  $A$  is a synaptic algebra with  $A$  as its own enveloping algebra. The projections in  $A$  are the characteristic set functions (indicator functions) of sets in  $\mathcal{F}$ .

**STANDING ASSUMPTIONS 1.3.** In the sequel, we assume that  $A$  is a synaptic algebra with enveloping algebra<sup>4</sup>  $R$ , that  $E$  is the set of effects in  $A$ , and that  $P$  is the set of projections in  $A$ . We understand that both  $E$  and  $P$  are partially ordered by the restrictions of the partial order  $\leq$  on  $A$ . To avoid triviality, we assume that  $1 \neq 0$ . As is customary, we shall identify each real number  $\lambda \in \mathbb{R}$  with the element  $\lambda 1 \in A$ , so that  $\mathbb{R}$  is a one-dimensional linear subspace of  $A$ . If  $n$  is one of  $1, 2, \dots, 8$ , then [SA $n$ ] will always refer to the corresponding condition in Definition 1.1.

By [SA2],  $a \in A \implies a^2 \in A$ , hence  $A$  is organized into a special Jordan algebra under the Jordan product  $a \circ b := \frac{1}{2}(ab + ba) = \frac{1}{2}[(a + b)^2 - a^2 - b^2] \in A$  for all  $a, b \in A$ . Clearly,  $1 \circ a = a \circ 1 = a$ , i.e.,  $A$  is a *unital* Jordan algebra.

**Remarks 1.4.** Let  $a, b, c \in A$ . Then  $a C b \implies ab = ba = a \circ b \in A$ . As  $a^2 \in A$  and  $a C a^2$ , it follows that  $a^3 = a \circ a^2 \in A$ , and by induction,  $a^n \in A$  for all  $n \in \mathbb{N}$ . Consequently,  $A$  is closed under the formation of real polynomials in  $a$ . Let  $c := 2(a \circ b)$ . Then  $aba = a \circ c - a^2 \circ b \in A$ , hence  $aba \in A$ . Thus,  $acb + bca = (a + b)c(a + b) - aca - bcb \in A$ .

**LEMMA 1.5.** *If  $a, b \in A^+$  and  $a C b$ , then  $ab = ba \in A^+$ .*

*Proof.* Assume that  $a, b \in A^+$  and  $a C b$ . By Remarks 1.4,  $ab = ba \in A$ . By [SA5], there exist  $x \in A^+ \cap CC(a)$  and  $y \in A^+ \cap CC(b)$  such that  $a = x^2$  and  $b = y^2$ . As  $x \in CC(a)$  and  $a C b$ , we have  $x C b$ ; hence, as  $y \in CC(b)$ , it follows that  $x C y$ . Therefore,  $xy = yx \in A$  by Remarks 1.4, and we have  $(xy)^2 = x^2y^2 = ab$ . Consequently,  $ab \in A^+$  by [SA2]. □

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<sup>4</sup>We shall not be concerned with the detailed structure of the enveloping algebra  $R$  — we regard  $R$  merely as an arena in which to study  $A$ ,  $E$ , and  $P$ .

By [SA1],  $A$  is an *order-unit normed space* according to the following definition (adapted to our present notation).

**DEFINITION 1.6.** An *order-unit normed space* [1, pp. 67–69] is a partially ordered real vector space  $A$  with a distinguished element  $1 \in A$ , called the *unit*, such that:

- (i)  $A$  is *archimedean*, i.e., if  $a, b \in A$  and  $na \leq b$  for all  $n \in \mathbb{N}$ , then  $-a \in A^+$ .
- (ii)  $0 < 1$  and  $1$  is an *order unit*<sup>5</sup> in  $A$ , i.e., for every  $a \in A$ , there exists  $n \in \mathbb{N}$  such that  $a \leq n \cdot 1$ .<sup>6</sup>

The *order-unit norm*  $\|\cdot\|$  on  $A$  is defined by

- (iii)  $\|a\| := \inf\{\lambda \in \mathbb{R} : 0 < \lambda \text{ and } -\lambda \leq a \leq \lambda\}$ .

The order-unit norm  $\|\cdot\|$  is a *bona fide* norm on  $A$ , and it is related to the partial-order structure of  $A$  by the following properties,<sup>7</sup> which we shall use routinely in the sequel: For all  $a, b \in A$ ,

$$- \|a\| \leq a \leq \|a\|, \quad \text{and} \quad \text{if } -b \leq a \leq b, \text{ then } \|a\| \leq \|b\|.$$

If  $(a_n)_{n \in \mathbb{N}}$  is a sequence in  $A$  and  $a \in A$ , the notation  $\lim_{n \rightarrow \infty} a_n = a$ , or simply  $a_n \rightarrow a$ , will mean that  $a$  is the limit of  $(a_n)_{n \in \mathbb{N}}$  in the norm topology, i.e., that  $\lim_{n \rightarrow \infty} \|a - a_n\| = 0$ .

**LEMMA 1.7.** Let  $a, b \in A$  and  $0 < \lambda \in \mathbb{R}$ . Then:

- (i)  $-\lambda \leq a \leq \lambda \iff a^2 \leq \lambda^2$ .
- (ii)  $\|a^2\| = \|a\|^2$ .
- (iii)  $0 \leq a, b \implies \|a - b\| \leq \max\{\|a\|, \|b\|\}$ .
- (iv)  $\|a \circ b\| \leq \|a\| \|b\|$ .
- (v) If  $a \leq b$ , then  $\|ab\| \leq \|a\| \|b\|$ .

**Proof.** If  $-\lambda \leq a \leq \lambda$ , then  $0 \leq \lambda - a, \lambda + a$ , and as  $(\lambda - a)C(\lambda + a)$ , Lemma 1.5 implies that  $0 \leq (\lambda - a)(\lambda + a) = \lambda^2 - a^2$ . Conversely, suppose that  $a^2 \leq \lambda^2$ . Then  $0 \leq (\lambda - a)^2$  by [SA2], whence  $0 \leq (\lambda^2 - a^2) + (\lambda - a)^2 = 2(\lambda^2 - \lambda a)$  and since  $0 < \lambda$ , it follows that  $a \leq \lambda$ . As  $a^2 \leq \lambda^2$ , we also have  $(-a)^2 \leq \lambda^2$ , whence  $-a \leq \lambda$ , i.e.,  $-\lambda \leq a$ , proving (i).

Part (ii) follows from (i).

To prove (iii), we can assume that  $\|a\| \leq \|b\|$ . As  $0 \leq b$ , we have  $a \leq \|a\| \leq \|b\| \leq \|b\| + b$ , whence  $a - b \leq \|b\|$ . Also, as  $0 \leq a$ , we have  $b \leq \|b\| \leq \|b\| + a$ , whence  $b - a \leq \|b\|$ , and therefore  $-\|b\| \leq a - b \leq \|b\|$ . Consequently,  $\|a - b\| \leq \|b\| = \max\{\|a\|, \|b\|\}$ . To prove (iv), it will be sufficient by normalization to

<sup>5</sup>Some authors use the terminology “strong order unit”.

<sup>6</sup>Recall that we are identifying  $n \in \mathbb{N} \subseteq \mathbb{R}$  with  $n1$ .

<sup>7</sup>See [1, Proposition II.1.2] and [11, Proposition 7.12 (c)]

prove that  $\|a\| = \|b\| = 1 \implies \|a \circ b\| \leq 1$ . Thus, we assume  $\|a\| = \|b\| = 1$ , so that  $\|a \pm b\| \leq 2$ , and therefore by (ii),  $\|(a \pm b)^2\| \leq 4$ . Consequently, by (iii),

$$\|a \circ b\| = \frac{1}{4} \|(a+b)^2 - (a-b)^2\| \leq \frac{1}{4} \max\{\|(a+b)^2\|, \|(a-b)^2\|\} \leq 1.$$

If  $a C b$ , then  $ab = a \circ b$ , so (v) follows immediately from (iv). □

## 2. Square roots, projections, and carriers

**Remarks 2.1.** Let  $a, b \in A$ . Then:

- (i) By [SA4] with  $b = 1$ , we have  $a^2 = 0 \implies a = 0$ .
- (ii) If  $0 \leq a, b$  and  $a + b = 0$ , then  $0 \leq a = -b \leq 0$ , whence  $a = b = 0$ .

**THEOREM 2.2.** *Let  $0 \leq a \in A$ . Then there exists a unique  $r \in A$  such that  $0 \leq r$  and  $r^2 = a$ ; moreover,  $r \in CC(a)$ .*

*Proof.* Suppose that  $0 \leq a \in A$ . By [SA5], there exists  $b \in CC(a)$  such that  $0 \leq b$  and  $b^2 = a$ . As  $a \in C(a)$ , we have  $a C b$ . Suppose also that  $r \in A$  with  $0 \leq r$ ,  $r^2 = a$ . Obviously,  $r C a$ , whence  $b C r$ . It will be sufficient to prove that  $r = b$ .

By [SA5], there exists  $s \in CC(b)$  such that  $0 \leq s$  and  $s^2 = b$ . As  $b, r \in C(b)$ , we have  $s C b$  and  $s C r$ . By [SA5] again, there exists  $t \in CC(r)$  such that  $0 \leq t$  and  $t^2 = r$ . As  $b, r \in C(r)$ , we have  $t C b$  and  $t C r$ .

Since  $s C b$  and  $s C r$ , it follows that  $s C (b - r)$ , hence  $s(b - r) = s \circ (b - r) \in A$ . Likewise, since  $t C b$  and  $t C r$ , we have  $t(b - r) \in A$ . Moreover, as  $b^2 = r^2 = a$ , it follows that

$$(s(b - r))^2 + (t(b - r))^2 = (s^2 + t^2)(b - r)^2 = (b + r)(b - r)^2 = (b^2 - r^2)(b - r) = 0.$$

But  $0 \leq (s(b - r))^2$  and  $0 \leq (t(b - r))^2$  by [SA2], whence  $(s(b - r))^2 = (t(b - r))^2 = 0$ , so  $s(b - r) = t(b - r) = 0$  by Remarks 2.1.

As  $s(b - r) = 0$ , it follows that  $b(b - r) = s^2(b - r) = 0$ . Likewise,  $r(b - r) = t^2(b - r) = 0$ , whence  $(b - r)^2 = b(b - r) - r(b - r) = 0$ , and by Remarks 2.1.(i),  $r = b$ . □

If  $0 \leq a \in A$ , then of course, the unique element  $r$  in Theorem 2.2 is called the *square root* of  $a$ , and in what follows we denote it in the usual way as  $a^{1/2}$ .

**Remarks 2.3.** Let  $p \in P$ . Then, as  $p = p^2$ , [SA2] implies that  $0 \leq p$ . Also,  $(1 - p)^2 = 1 - 2p + p^2 = 1 - p$ , so  $1 - p \in P$ , and therefore  $0 \leq 1 - p$ , i.e.,  $p \leq 1$ . Consequently,  $0 \leq p \leq 1$ , and it follows that  $P \subseteq E$ .

**THEOREM 2.4.** *Let  $e \in E$  and  $p \in P$ . Then the following conditions are mutually equivalent:*

- (i)  $e \leq p$ .
- (ii)  $e = ep = pe$ .
- (iii)  $e = pep$ .
- (iv)  $e = ep$ .
- (v)  $e = pe$ .

**Proof.**

(i)  $\implies$  (ii). Assume that  $e \leq p$  and let  $d := p - e$ . Then  $0 \leq e, d, 1 - p$ ,  $e + d = p$ , and

$$(1 - p)e(1 - p) + (1 - p)d(1 - p) = (1 - p)p(1 - p) = 0.$$

By [SA3],  $0 \leq (1 - p)e(1 - p)$ ,  $(1 - p)d(1 - p)$ , and it follows from Remarks 2.1.(ii) that  $(1 - p)e(1 - p) = (1 - p)d(1 - p) = 0$ . Therefore, by [SA4],  $(1 - p)e = e(1 - p) = 0$ , i.e.,  $e = pe = ep$ .

(ii)  $\implies$  (iii)  $\implies$  (iv). Follows from  $p = p^2$ .

(iv)  $\iff$  (v). By [SA4],  $e = ep \implies e(1 - p) = 0 \implies (1 - p)e(1 - p) = 0 \implies (1 - p)e = 0 \implies e = pe$ , and the converse implication follows by symmetry.

(v)  $\implies$  (i). Assume (v). Since (iv)  $\iff$  (v), we have  $pe = ep = e$ , so  $(1 - e)p = p(1 - e) = p - e$ , whence  $0 \leq p - e$  by Lemma 1.5, and therefore  $e \leq p$ .  $\square$

**LEMMA 2.5.** *Let  $e \in E$ . Then:*

- (i)  $e^2 \in E$  with  $0 \leq e^2 \leq e$ .
- (ii)  $2e - e^2 \in E$ .
- (iii)  $e - e^2 \in E$  with  $e - e^2 \leq e, 1 - e$ .

**Proof.** By [SA2],  $0 \leq e^2$ , and as  $eC(1 - e)$  with  $0 \leq e, 1 - e$ , Lemma 1.5 implies that  $0 \leq e(1 - e)$ , whence  $0 \leq e^2 \leq e \leq 1$ , proving (i). Also,  $0 \leq (1 - e)^2 = 1 - 2e + e^2$ , so by (i),  $0 \leq e + (e - e^2) = 2e - e^2 \leq 1$ , proving (ii). Part (iii) follows from (i) and (ii).  $\square$

Obviously,  $E$  is a convex set, and by Remarks 2.3,  $P \subseteq E$ . The following theorem characterizes, in various ways, those effects  $p \in E$  that are projections.

**THEOREM 2.6.** *If  $p \in E$ , then the following conditions are mutually equivalent:*

- (i)  $p \in P$ .
- (ii) *If  $\lambda \in \mathbb{R}$ ,  $0 < \lambda < 1$ , and  $e \in E$ , then  $\lambda e \leq p \iff e \leq p$ .*

- (iii)  $p$  is an extreme point of the convex set  $E$ .
- (iv) If  $e, f, e + f \in E$ , then  $e, f \leq p \implies e + f \leq p$ .
- (v) If  $e \in E$  and  $e \leq p, 1 - p$ , then  $e = 0$ .

**Proof.**

(i)  $\implies$  (ii). Suppose  $p \in P$ ,  $e \in E$ , and  $0 < \lambda < 1$ . Then  $0 \leq \lambda e \leq e \leq 1$ , so  $\lambda e \in E$ . Therefore, by Theorem 2.4,  $\lambda e \leq p \iff \lambda ep = \lambda e \iff ep = e \iff e \leq p$ .

(ii)  $\implies$  (iii) Assume (ii) and suppose that  $p = \lambda e + (1 - \lambda)f$  with  $0 < \lambda < 1$  and  $e, f \in E$ . Then  $\lambda e \leq p$ , whence  $e \leq p = \lambda e + (1 - \lambda)f$ , therefore  $(1 - \lambda)e \leq (1 - \lambda)f$ , and it follows that  $e \leq f$ . Similarly,  $f \leq e$ , so  $e = f = p$ .

(iii)  $\implies$  (i) Assume (iii). By parts (i) and (ii) of Lemma 2.5,  $p^2, 2p - p^2 \in E$ , and since  $p = \frac{1}{2}p^2 + \frac{1}{2}(2p - p^2)$ , (iii) implies that  $p = p^2 = 2p - p^2$ , whence  $p \in P$ .

(i)  $\implies$  (iv) Assume that  $p \in P$ ,  $e, f, e + f \in E$ , and  $e, f \leq p$ . Then by Theorem 2.4,  $e = pep$  and  $f = pfp$ . As  $e + f \in E$ , we have  $0 \leq 1 - (e + f)$ , whence by [SA3],  $0 \leq p(1 - e - f)p$ , i.e.,  $e + f = pep + pfp \leq p^2 = p$ .

(iv)  $\implies$  (v) Assume (iv) and suppose that  $e \in E$  with  $e \leq p, 1 - p$ . Then  $e, p \in E$ ,  $0 \leq e + p \leq 1$ , and  $e, p \leq p$ , whence  $e + p \leq p$  by (iv), and therefore  $e \leq 0$ . But  $0 \leq e$ , so  $e = 0$ .

(v)  $\implies$  (i) Assume (v). By Lemma 2.5.(iii),  $0 \leq p - p^2 \leq p, 1 - p$ , whence  $p = p^2$  by (v). □

**THEOREM 2.7.** *Let  $a \in A$ . Then there exists a unique projection  $p \in P$  such that, for all  $b \in A$ ,  $ab = 0 \iff pb = 0$ .*

**Proof.** By [SA6], there exists  $p \in P$  such that, for all  $b \in A$ ,  $ab = 0 \iff pb = 0$ . Suppose  $q \in P$  and, for all  $b \in A$ ,  $ab = 0 \iff qb = 0$ . Putting  $b = 1 - p$ , we find that  $a(1 - p) = 0$ , whence  $q(1 - p) = 0$ , i.e.,  $q = qp$ , and therefore  $q \leq p$  by Theorem 2.4. By symmetry,  $p \leq q$ , so  $p = q$ , proving the uniqueness of  $p$ . □

**DEFINITION 2.8.** If  $a \in A$ , then the unique projection  $p$  in Theorem 2.7 is called the *carrier projection* of (or for)  $a$  and is denoted by  $a^\circ$ . Thus,  $a^\circ \in P$  and, for all  $b \in A$ ,  $ab = 0 \iff a^\circ b = 0$ .

**LEMMA 2.9.** *Let  $a, b \in A$  and  $p \in P$ . Then:*

- (i)  $pb = 0 \iff bp = 0$ .
- (ii)  $pa = a \iff ap = a$ .
- (iii)  $aa^\circ = a^\circ a = a$ .
- (iv)  $ab = 0 \iff ba = 0$ .

**Proof.** By [SA4] and the fact that  $0 \leq p$ , we have  $pb = 0 \implies bpb = 0 \implies bp = 0$ , whence  $pb = 0 \implies bp = 0$ . A similar argument yields the converse, proving (i). By (i),  $pa = a \iff (1-p)a = 0 \iff a(1-p) = 0 \iff ap = a$ , proving (ii). As  $a^\circ \in P$ , we have  $a^\circ(1-a^\circ) = 0$ , so  $a(1-a^\circ) = 0$ , i.e.,  $aa^\circ = a$ , whence  $a^\circ a = a$  by (ii), proving (iii). To prove (iv), assume that  $ab = 0$ . Then  $a^\circ b = 0$ , so  $ba^\circ = 0$  by (i). Also,  $a = a^\circ a$  by (iii), whereupon  $ba = ba^\circ a = 0$ . Thus,  $ab = 0 \implies ba = 0$ , and the converse follows by symmetry.  $\square$

**THEOREM 2.10.** *Let  $a, b \in A$ . Then:*

- (i)  $a = 0 \iff a^\circ = 0$ .
- (ii)  $a \in P \iff a = a^\circ$ .
- (iii)  $a^\circ$  is the smallest projection  $p \in P$  such that  $a = ap$ .
- (iv) If  $e \in E$ , then  $e^\circ$  is the smallest projection  $p \in P$  such that  $e \leq p$ .
- (v)  $ab = 0 \iff ab^\circ = 0 \iff a^\circ b^\circ = 0$ .
- (vi)  $a^\circ \in CC(a)$ .
- (vii) If  $n \in \mathbb{N}$ , then  $(a^n)^\circ = a^\circ$ .
- (viii) If  $0 \leq a \leq b$ , then  $a^\circ \leq b^\circ$ .

**Proof.**

(i) and (ii) are obvious from the definition of  $a^\circ$ .

(iii) We have  $aa^\circ = a$  by Lemma 2.9.(iii). Suppose that  $p \in P$  and  $a = ap$ . Then  $a(1-p) = 0$ , whence  $a^\circ(1-p) = 0$ , so  $a^\circ = a^\circ p$ , and therefore  $a^\circ \leq p$  by Theorem 2.4.

(iv) Part (iv) is a consequence of (iii) and Theorem 2.4.

(v) By Lemma 2.9.(iv),

$$ab = 0 \iff ba = 0 \iff b^\circ a = 0 \iff ab^\circ = 0 \iff a^\circ b^\circ = 0.$$

(vi) Suppose that  $c \in C(a)$  and let  $d := (1-a^\circ)ca^\circ + a^\circ c(1-a^\circ)$ . Thus,  $d \in A$  (see Remarks 1.4), and as  $aa^\circ = a$ , we have

$$ad = a(1-a^\circ)ca^\circ + aa^\circ c(1-a^\circ) = 0 + ac(1-a^\circ) = ca(1-a^\circ) = 0,$$

and therefore

$$0 = a^\circ d = 0 + a^\circ c(1-a^\circ) = a^\circ c - a^\circ ca^\circ, \quad \text{i.e., } a^\circ c = a^\circ ca^\circ.$$

Also, as  $a^\circ d = 0$ , Lemma 2.9 implies that  $0 = da^\circ = (1-a^\circ)ca^\circ$ , i.e.,  $ca^\circ = a^\circ ca^\circ$ . Therefore  $ca^\circ = a^\circ ca^\circ = a^\circ c$ , so  $c \in C(a^\circ)$ .

(vii) Let  $n \in \mathbb{N}$ . As  $aa^\circ = a$ , we have  $a^n a^\circ = a^n$ , whence  $(a^n)^\circ \leq a^\circ$  by (iii). We have to prove that  $a^\circ \leq (a^n)^\circ$ . Put  $q := 1 - (a^n)^\circ$ . By (vi),  $C(a^n) \subseteq C((a^n)^\circ)$ , whence  $a C q$ . Evidently,  $a^n q = 0$ , so there is a smallest positive integer  $k$  such that  $a^k q = 0$ . If  $k$  is even, then  $a^{k/2} q a^{k/2} = 0$ , so  $a^{k/2} q = 0$  by [SA4], contradicting the minimality of  $k$ . Therefore,  $k$  is odd



**COROLLARY 3.4.** *If  $0 \leq a, b \in A$  and  $a C b$ , then  $a^2 \leq b^2 \iff a \leq b$ .*

*Proof.* Assume the hypotheses and suppose that  $a^2 \leq b^2$ . As  $0 \leq (b - a)^2$ , we have

$$0 \leq (b - a)^2 + b^2 - a^2 = 2(b^2 - ab), \quad \text{whence } 0 \leq (b - a)b. \quad (1)$$

Also, by parts (vii), (viii), and (iii) of Theorem 2.10,

$$a^\circ = (a^2)^\circ \leq (b^2)^\circ = b^\circ, \quad \text{whence } ab^\circ = a. \quad (2)$$

Let  $c := (b - a)^+$  and  $d := (b - a)^-$ . Then by Remarks 3.2 and parts (v) and (vi) of Theorem 3.3,  $b \in C(b - a) \subseteq C(c) \cap C(d)$ , and we have

$$b C c, b C d, c C d, 0 \leq c, 0 \leq d, dc = 0, \text{ and } b - a = c - d. \quad (3)$$

By (1) and (3),

$$0 \leq (b - a)b = (c - d)b = cb - db. \quad (4)$$

Since  $d C (cb - db)$  and  $0 \leq d$ , it follows from (4), (3), and Lemma 1.5 that  $0 \leq d(cb - db) = -d^2b$ , i.e.,  $d^2b \leq 0$ . Likewise, as  $0 \leq d^2$ ,  $0 \leq b$ , and  $b C d^2$ , we also have  $0 \leq d^2b$ ; hence  $d^2b = 0$ , and consequently

$$d^\circ b = (d^2)^\circ b = 0, \quad \text{so } db = 0, \quad \text{whence } db^\circ = 0. \quad (5)$$

As  $c \in C(b) \subseteq C(b^\circ)$ ,  $0 \leq c$ , and  $0 \leq b^\circ$ , we have  $0 \leq cb^\circ$  by Lemma 1.5, whence by (5), (3), and (2),

$$0 \leq cb^\circ = (c - d)b^\circ = (b - a)b^\circ = bb^\circ - ab^\circ = b - a.$$

Conversely, suppose that  $a \leq b$ , i.e.,  $0 \leq b - a$ . As  $a C b$ , we have  $a C (b - a)$ , and it follows from Lemma 1.5 that  $0 \leq a(b - a) = ab - a^2$ , i.e.,  $a^2 \leq ab$ . Similarly,  $0 \leq (b - a)b = b^2 - ab$ , whence  $ab \leq b^2$ , and it follows that  $a^2 \leq b^2$ .  $\square$

**DEFINITION 3.5.** If  $a \in A$ , then the *signum* of  $a$  is defined and denoted by  $\text{sgn}(a) := (a^+)^\circ - (a^-)^\circ$ .

**THEOREM 3.6.** *Let  $a \in A$ . Then:*

- (i)  $\text{sgn}(a) \in CC(a)$ .
- (ii)  $\text{sgn}(a)^2 = a^\circ$ .
- (iii)  $\text{sgn}(a)a = a \text{sgn}(a) = |a|$ .
- (iv)  $\text{sgn}(a)|a| = |a| \text{sgn}(a) = a$ .

*Proof.* By Theorem 3.3.(i),  $C(a) \subseteq C((a^+)^\circ) \cap C((a^-)^\circ)$ , from which (i) follows. Part (ii) follows from parts (vii) and (viii) of Theorem 3.3, and parts (iii) and (iv) are consequences of parts (iii) and (iv) of Theorem 3.3.  $\square$

The formula  $a = \text{sgn}(a)|a| = |a| \text{sgn}(a)$  in Theorem 3.6 is called the *polar decomposition* of  $a$ .

**COROLLARY 3.7.** *Let  $a, b \in A$ . Then:*

- (i)  $ab = 0 \iff |a||b| = 0$ .
- (ii)  $|a|^\circ = a^\circ$ .

*Proof.* We have  $ab = 0 \implies |a||b| = \text{sgn}(a)ab\text{sgn}(b) = 0$ , and conversely,  $|a||b| = 0 \implies ab = \text{sgn}(a)|a||b|\text{sgn}(b) = 0$ , proving (i). Arguing as above, we find that  $|a|b = 0 \iff ab = 0$ , whence  $|a|^\circ = a^\circ$ , proving (ii).  $\square$

### 4. Quadratic, compression, and Sasaki mappings

**DEFINITION 4.1.** If  $a \in A$ , the mapping  $J_a: A \rightarrow A$  defined by  $J_a(b) := aba$  for all  $b \in A$  is called the *quadratic mapping* determined by  $a$ . If  $p \in P$ , the quadratic mapping  $J_p$  is called the *compression* on  $A$  with *focus*  $p$ .

**THEOREM 4.2.** *If  $a \in A$ , then the quadratic mapping  $J_a: \rightarrow A$  is both linear and order preserving.*

*Proof.* Obviously,  $J_a$  is linear. Suppose that  $0 \leq h \in A$ . By [SA3],  $0 \leq |a|h|a|$ , and we define  $k := (|a|h|a|)^{1/2}$ . Thus,  $k^2|a|^\circ = |a|h|a||a|^\circ = |a|h|a| = k^2$ , so by (ii) and parts (vii) and (iii) of Theorem 2.10,

$$k^\circ = (k^2)^\circ \leq |a|^\circ = a^\circ, \quad \text{whence } ka^\circ = k. \tag{1}$$

Let  $w := \text{sgn}(a)$ . Then by parts (ii) and (iv) of Theorem 3.6,  $w^2 = a^\circ$  and  $a = w|a| = |a|w$ ; hence by (1)

$$0 \leq (wkw)^2 = wkw^2kw = wka^\circ kw = wk^2w = w|a|h|a|w = aha = J_a(h).$$

Suppose  $b, c \in A$  with  $b \leq c$ , and put  $h := c - b$ . Then  $0 \leq h$ , therefore  $0 \leq J_a(h) = J_a(c) - J_a(b)$ , whence  $J_a(b) \leq J_a(c)$ , i.e.,  $J_a$  is order preserving.  $\square$

**Remark 4.3.** Condition [SA3] requires that  $a, b \in A^+ \implies aba \in A^+$ ; however, by Theorem 4.2, we now have the stronger result  $b \in A^+ \implies aba \in A^+$  for all  $a \in A$ .

**LEMMA 4.4.** *Let  $a, b \in A$  and  $p \in P$ . Then:*

- (i)  $\|J_a(b)\| \leq \|a^2\| \|b\| = \|a\|^2 \|b\|$ .
- (ii)  $J_a: A \rightarrow A$  is norm continuous.
- (iii) If  $p \neq 0$ , then  $\|p\| = 1$ .
- (iv)  $\|J_p(a)\| \leq \|a\|$ .

**Proof.** As  $-||b|| \leq b \leq ||b||$ , we have

$$-||b||a^2 = a(-||b||)a \leq aba \leq a||b||a = ||b||a^2,$$

whence  $||aba|| \leq ||(||b||a^2)|| = ||a^2|| ||b||$ . By Lemma 1.7.(ii),  $||a^2|| = ||a||^2$ , proving (i), and (ii) follows from (i). Also by Lemma 1.7.(ii),  $||p||^2 = ||p^2|| = ||p||$ , from which (iii) follows, and (iv) is a consequence of (i) and (iii).  $\square$

Let  $p \in P$  and  $e \in E$ . By Theorem 4.2,  $J_p$  is linear and order preserving. Clearly,  $J_p(1) = p \in P \subseteq E$ . By Theorem 2.4,  $e \leq p \implies J_p(e) = e$ . Also, if  $J_p(e) = 0$ , then  $pep = 0$ , whence  $pe = ep = 0$ , so  $e \leq 1 - p$ . Conversely, as a consequence of [4, Corollary 4.6], compressions on  $A$  are characterized as in the following theorem.

**THEOREM 4.5.** *Let  $J: A \rightarrow A$  be a linear and order-preserving mapping such that  $J(1) \leq 1$  and, for every  $e \in E$ ,  $e \leq J(1) \implies J(e) = e$ . Then  $p := J(1) \in P$  and  $J = J_p$ .*

**LEMMA 4.6.** *Let  $a \in A$  and  $p \in P$ . Then:*

- (i)  $a \in C(p) \iff a = J_p(a) + J_{1-p}(a)$ .
- (ii)  $C(p)$  is norm closed in  $A$ .

**Proof.** If  $a \in C(p)$ , it is clear that  $a = pap + (1-p)a(1-p)$ . Conversely, if  $a = pap + (1-p)a(1-p)$  then  $pa = pap = ap$ , proving (i). Define the mapping  $c_p: A \rightarrow A$  by  $c_p(a) := J_p(a) + J_{1-p}(a)$ . By Lemma 4.4.(ii),  $c_p$  is norm continuous, and by (i),  $C(p)$  is its set of fixed points, proving (ii).  $\square$

**THEOREM 4.7.** *Let  $(a_n)_{n \in \mathbb{N}}$  be a sequence in  $A$  and suppose that  $\lim_{n \rightarrow \infty} a_n = a \in A$ . Then:*

- (i) *If  $a_n \leq b \in A$  for all  $n \in \mathbb{N}$ , then  $a \leq b$ .*
- (ii) *If  $a_1 \leq a_2 \leq \dots$ , then  $a$  is the supremum (least upper bound) of  $(a_n)_{n \in \mathbb{N}}$  in  $A$ .*
- (iii) *The positive cone  $A^+$  is norm closed in  $A$ .*

**Proof.** By hypothesis, for each  $m \in \mathbb{N}$ , there exists  $N_m \in \mathbb{N}$  such that, for all  $n \in \mathbb{N}$ ,

$$N_m \leq n \implies a_n - a \leq ||a_n - a|| \leq 1/m \implies a_n \leq a + 1/m. \tag{1}$$

(i) Assume the hypothesis of (i). Then, for all  $m \in \mathbb{N}$ ,  $a - b \leq a - a_{N_m}$ . Let  $p := ((a - b)^+)^{\circ} \in CC(a - b)$ . Then,  $(a - b)^+ = p(a - b) = p(a - b)p = J_p(a - b)$ , so by Lemma 4.4.(iv) and (1), for every  $m \in \mathbb{N}$ ,

$$(a - b)^+ = J_p(a - b) \leq J_p(a - a_{N_m}) \leq ||J_p(a - a_{N_m})|| \leq ||a - a_{N_m}|| \leq 1/m,$$

whence  $m(a - b)^+ \leq 1$ , and since  $A$  is archimedean, it follows that  $(a - b)^+ \leq 0$ . But  $0 \leq (a - b)^+$ , so  $(a - b)^+ = 0$ , and consequently,  $a - b = -(a - b)^- \leq 0$ , i.e.,  $a \leq b$ .

(ii) By (1), for each  $m \in \mathbb{N}$ ,

$$a_1 \leq a_2 \leq \dots \leq a_{N_m} \leq a + 1/m;$$

hence  $a_n \leq a + 1/m$  for all  $n \in \mathbb{N}$ . Therefore, for each  $n \in \mathbb{N}$ , we have  $m(a_n - a) \leq 1$  for all  $m \in \mathbb{N}$ , and since  $A$  is archimedean, it follows that  $a_n - a \leq 0$ , i.e.,  $a_n \leq a$ . If  $a_n \leq b \in A$  for all  $n \in \mathbb{N}$ , then by (i),  $a \leq b$ ; hence  $a$  is the least upper bound of  $(a_n)_{n \in \mathbb{N}}$ .

(iii) Let  $(c_n)_{n \in \mathbb{N}}$  be a sequence in  $A^+$  and suppose that  $c_n \rightarrow c$ . Then  $-c_n \rightarrow -c$ , and as  $-c_n \leq 0$  for all  $n \in \mathbb{N}$ , (i) implies that  $-c \leq 0$ , i.e.,  $c \in A^+$ .  $\square$

By combining the quadratic mapping  $J_a$  with the carrier, we obtain the *Sasaki mapping* on  $A$  as per the following definition.

**DEFINITION 4.8.** For each  $a \in A$ , the *Sasaki mapping*<sup>8</sup>  $\phi_a: A \rightarrow P$  is defined by  $\phi_a(b) := (J_a(b))^\circ = (aba)^\circ$  for all  $b \in B$ .

**THEOREM 4.9.** Let  $a, b, c \in A$ . Then:

- (i)  $\phi_a(b) \leq \phi_a(1) = a^\circ$ .
- (ii)  $0 \leq b \leq c \implies \phi_a(b) \leq \phi_a(c)$ .
- (iii) If  $0 \leq b$ , then  $\phi_a(b)c = 0 \implies \phi_a(c)b = 0$ .
- (iv) If  $0 \leq b, c$ , then  $\phi_a(b)c = 0 \iff \phi_a(c)b = 0$ .
- (v) If  $0 \leq b$ , then  $\phi_a(b) = \phi_a(b^\circ)$ .

**Proof.**

(i) As  $abaa^\circ = aba$ , Theorem 2.10.(iii) implies that  $\phi_a(b) = (aba)^\circ \leq a^\circ$ . Also,  $\phi_a(1) = (a^2)^\circ = a^\circ$  by Theorem 2.10.(vii).

(ii) Assume that  $0 \leq b \leq c$ . Then  $0 \leq J_a(b) \leq J_a(c)$ , so  $\phi_a(b) \leq \phi_a(c)$  by Theorem 2.10.(viii).

(iii) Suppose that  $0 \leq b$  and  $\phi_a(b)c = 0$ . Then  $(aba)^\circ c = 0$ , whence  $abac = 0$ , and therefore  $(aca)b(aca) = ac(abac)a = 0$ , whereupon  $acab = 0$  by [SA4], and it follows that  $(aca)^\circ b = 0$ , i.e.,  $\phi_a(c)b = 0$ .

(iv) Follows from (iii).

(v) Suppose that  $0 \leq c$ . We have  $\phi_a(c)b = 0 \iff \phi_a(c)b^\circ = 0$ , and as  $0 \leq b^\circ$ , it follows from (iv) that  $\phi_a(c)b^\circ = 0 \iff \phi_a(b^\circ)c = 0$ . Consequently,  $\phi_a(b)c = 0 \iff \phi_a(b^\circ)c = 0$ . Putting  $c = 1 - \phi_a(b^\circ)$ , we find that  $\phi_a(b) = \phi_a(b)\phi_a(b^\circ)$ , hence  $\phi_a(b) \leq \phi_a(b^\circ)$ . Similarly, putting  $c = 1 - \phi_a(b)$ , we obtain  $\phi_a(b^\circ) \leq \phi_a(b)$ .  $\square$

<sup>8</sup>The terminology derives from the fact that, for  $p \in P$ , the restriction of  $\phi_p$  to  $P$  is a so-called *Sasaki projection* on  $P$  [14, p. 99]. See Theorem 5.6 below.

**THEOREM 4.10.** *Let  $0 \neq v \in P$  and define  $vAv := J_v(A) = \{vav : a \in A\} = \{b \in A : b = bv = vb\}$ . Then  $vAv$  is norm-closed in  $A$  and, with the partial order inherited from  $A$ ,  $vAv$  is a synaptic algebra with unit  $v$  and enveloping algebra  $vRv$ .<sup>9</sup> Moreover, the order-unit norm on  $vAv$  is the restriction to  $vAv$  of the order-unit norm on  $A$ , and for all  $a, b \in vAv$ , we have:  $a \circ b, a^\circ, |a|, a^+, a^-, \text{sgn}(a) \in vAv$ ;  $J_a(A) \subseteq vAv$ ;  $\phi_a(A) \subseteq vAv$ ; and  $0 \leq a \implies a^{1/2} \in vAv$ .*

*Proof.* By Lemma 4.4.(ii),  $J_v: A \rightarrow A$  is norm continuous, and since  $vAv$  is the set of fixed points of  $J_v$ , it follows that  $vAv$  is a norm-closed linear subspace of  $A$ . Let  $b \in vAv$ . Then there exists  $n \in \mathbb{N}$  such that  $b \leq n = n1$ ; hence  $b = J_v(b) \leq nJ_v(1) = nv$ , so  $v$  is an order unit in  $vAv$ . By a similar argument, if  $0 \leq \lambda \in \mathbb{R}$ , then  $-\lambda \leq b \leq \lambda \implies -\lambda v \leq b \leq \lambda v$ ; conversely,  $-\lambda v \leq b \leq \lambda v \implies -\lambda \leq b \leq \lambda$  follows from the fact that  $0 \leq v \leq 1$ ; hence  $\|b\| = \inf\{0 < \lambda \in \mathbb{R} : -\lambda v \leq b \leq \lambda v\}$ . Thus, [SA1] holds for  $vAv$ .

That  $vAv$  satisfies [SA2]–[SA4] is obvious. If  $0 \leq b \in vAv$ , then, since  $b = bv = vb$  and  $b^{1/2} \in CC(b)$ , we have  $0 \leq vb^{1/2}v = vb^{1/2} = b^{1/2}v$  with  $(vb^{1/2})^2 = b$ ; hence  $b^{1/2} = vb^{1/2}$  by the uniqueness of square roots (Theorem 2.2), and it follows that  $b^{1/2} \in vAv$ . Thus,  $vAv$  satisfies [SA5]. If  $b \in vAv$ , we again have  $b = bv = vb$ , whence  $b^\circ \leq v$ , and since  $b^\circ \in CC(b)$ , it follows easily that  $b^\circ \in vAv$ . Thus,  $vAv$  satisfies [SA6].

To show that  $vAv$  satisfies [SA7], suppose that  $v \leq b \in vAv$ . Then  $1 = v + (1 - v) \leq b + 1 - v$  with  $b = vb = bv$ . By [SA7], there exists  $c \in A$  such that  $1 = c(b + 1 - v) = (b + 1 - v)c$ . Applying  $J_v$  to both sides of the latter equation, we find that  $v = vcbv = vbcv = vcvb = bvcv$ , and since  $vcv \in vAv$ , it follows that  $vAv$  satisfies [SA7]. Obviously,  $vAv$  inherits condition [SA8] from  $A$ . We omit the completely straightforward proofs of the remaining assertions of the theorem. □

## 5. Orthomodularity of the projection lattice

**DEFINITION 5.1.** The mapping  $^\perp: P \rightarrow P$  is defined by  $p^\perp := 1 - p$  for all  $p \in P$ . If  $p, q \in P$ , we say that  $p$  is *orthogonal* to  $q$ , in symbols  $p \perp q$ , iff  $p \leq q^\perp$ .

We note that  $p \perp q \implies q \perp p$  and that  $p \perp p \iff p = 0$ . In this section we are going to prove that, with  $p \mapsto p^\perp := 1 - p$  as the *orthocomplementation*,  $P$  is a *orthomodular lattice* as per the following definition ([3, 14]).

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<sup>9</sup>In dealing with the synaptic algebra  $vAv$  in the presence of the synaptic algebra  $A$ , we cannot follow the convention (previously adopted for  $A$ ) of identifying real numbers  $\lambda$  with multiples  $\lambda v$  of the unit element  $v$ .

**DEFINITION 5.2.** Let  $X$  be a partially ordered set (poset). A mapping  $x \mapsto x^\perp$  from  $X$  to  $X$  is called an *involution* iff it is order reversing ( $x \leq y \implies y^\perp \leq x^\perp$ ) and of period 2 ( $(x^\perp)^\perp = x$ ) for all  $x, y \in X$ . An *orthomodular poset* (OMP) is a partially ordered set  $X$  with a smallest element 0, a largest element 1, and an involution  $^\perp: X \rightarrow X$ , called the *orthocomplementation*, such that, for all  $x, y \in X$ :

- (i) The infimum (greatest lower bound)  $x \wedge x^\perp$  of  $x$  and  $x^\perp$  exists in  $X$  and  $x \wedge x^\perp = 0$ .
- (ii) If  $x \leq y^\perp$ , then the supremum (least upper bound)  $x \vee y$  exists in  $X$ .
- (iii) If  $x \leq y$ , then  $y = x \vee (x^\perp \wedge y)$ .

An *orthomodular lattice* (OML) is an OMP  $X$  that is a lattice (i.e., every pair  $x, y \in X$  has an infimum  $x \wedge y$  and a supremum  $x \vee y$  in  $X$ .)

Let  $X$  be a poset and let  $a, b, x, y \in X$ . If we write  $a = x \wedge y$ , or  $x \wedge y = a$ , we mean that the infimum (greatest lower bound)  $x \wedge y$  of  $x$  and  $y$  exists in  $X$  and that it equals  $a$ . A similar convention applies to an existing supremum (least upper bound)  $b = x \vee y$  of  $x$  and  $y$  in  $X$ . An involution  $x \mapsto x^\perp$  on  $X$  gives rise to a *De Morgan duality* on  $X$  whereby existing infima are converted to suprema and *vice versa*. For instance, if  $a = x \wedge y$ , then  $a^\perp = x^\perp \vee y^\perp$ . Also, if  $X$  has a smallest element 0 and a largest element 1, then  $0^\perp = 1$  and  $1^\perp = 0$ . Obviously, the mapping  $p \mapsto p^\perp = 1 - p$  (respectively,  $e \mapsto 1 - e$ ) is an involution on the poset  $P$  (respectively, on the poset  $E$ ), and  $a \mapsto -a$  is an involution on  $A$ .

Suppose that  $X$  is an OMP with  $x \mapsto x^\perp$  as the orthocomplementation. Then by Definition 5.2.(i) and De Morgan duality, we have both  $x \wedge x^\perp = 0$  and  $x \vee x^\perp = 1$ , i.e.,  $x^\perp$  is an *orthogonal complement*, or for short, an *orthocomplement* of  $x$  in  $X$ . Let  $x, y \in X$  with  $x \leq y$ . Then  $x \leq (y^\perp)^\perp$ , whence  $x \vee y^\perp$  exists in  $X$  by Definition 5.2.(ii), and therefore  $x^\perp \wedge y = (x \vee y^\perp)^\perp$  exists in  $X$  by De Morgan duality. Since  $x \leq x \vee y^\perp = (x^\perp \wedge y)^\perp$ , it also follows from Definition 5.2.(ii) that the supremum  $x \vee (x^\perp \wedge y)$  exists in  $X$ . The condition  $x \leq y \implies y = x \vee (x^\perp \wedge y)$  in Definition 5.2.(iii) is called the *orthomodular law*.

**LEMMA 5.3.** For all  $p, q \in P$ :

- (i)  $p C q \implies pq = p \wedge q$ .
- (ii)  $p \perp q \iff pq = 0$ .
- (iii)  $p \perp q \implies p \vee q = p + q$ .
- (iv)  $p \leq q \implies q - p = p^\perp \wedge q \in P$ .
- (v) With  $p \mapsto p^\perp := 1 - p$  as the orthocomplementation,  $P$  is an OMP.

**Proof.**

(i) Assume that  $pq = qp$ . Obviously,  $(pq)^2 = pq$ , so  $pq \in P$ . Also  $p(pq) = pq$  and  $q(pq) = pq$ , so  $pq \leq p, q$  by Theorem 2.4. Suppose that  $r \in P$  and  $r \leq p, q$ .

Again by Theorem 2.4,  $rp = pr = r$  and  $rq = qr = r$ , whence  $rpq = r$ , i.e.,  $r \leq pq$ . Therefore  $pq = p \wedge q$ .

(ii) By Theorem 2.4,  $p \perp q \iff p \leq 1 - q \iff p = p(1 - q) = p - pq \iff pq = 0$ .

(iii) Suppose that  $p \perp q$ . Then  $pq = 0$  by (ii), so  $qp = 0$  by Lemma 2.9.(iv), and it follows that  $(p+q)^2 = p^2 + q^2 = p+q$ , i.e.,  $p+q \in P$ . As  $0 \leq p, q$ , we have  $p, q \leq p+q$ . Suppose that  $r \in P$  with  $p, q \leq r$ . Then, by Theorem 2.4,  $p = pr$  and  $q = qr$ , whereupon  $p+q = (p+q)r$ , i.e.,  $p+q \leq r$ . Therefore,  $p+q = p \vee q$ .

(iv) Suppose that  $p \leq q = (q^\perp)^\perp$ . Then by (iii),  $p+q^\perp = p \vee q^\perp \in P$ , whence  $(p+q^\perp)^\perp = p^\perp \wedge q \in P$ . But  $(p+q^\perp)^\perp = 1 - (p+1-q) = q - p$ .

(v) Obviously, 0 is the smallest element and 1 is the largest element in the poset  $P$ . In view of (ii), it remains only to show that the orthomodular law holds in  $P$ . But, if  $p, q \in P$  with  $p \leq q$ , then by (iv),  $q - p = p^\perp \wedge q$  and by (iii),  $q = p + (q - p) = p + (p^\perp \wedge q) = p \vee (p^\perp \wedge q)$ .  $\square$

**THEOREM 5.4.** *Let  $a \in A$ . Then:*

- (i) *If  $p, q \in P$ , then  $\phi_a(p) \perp q \iff p \perp \phi_a(q)$ .*
- (ii)  *$\phi_a$  preserves all existing suprema in  $P$ , i.e., if  $Q \subseteq P$  and  $r = \bigvee Q$ , then  $\phi_a(r) = \bigvee \{ \phi_a(q) : q \in Q \}$ .*

**Proof.** Part (i) follows from Theorem 4.9.(iv) and Lemma 5.3.(ii). To prove part (ii), suppose that  $Q \subseteq P$  and  $r = \bigvee Q$ . Then, for all  $q \in Q$ ,  $0 \leq r \leq q$ , whence  $\phi_a(q) \leq \phi_a(r)$  by Theorem 4.9.(ii). Suppose that  $t \in P$  and  $\phi_a(q) \leq t$  for all  $q \in Q$ . Then, for all  $q \in Q$ ,  $\phi_a(q) \perp t^\perp$ , whence, by (i),  $q \perp \phi_a(t^\perp)$ , i.e.,  $q \leq (\phi_a(t^\perp))^\perp$ , and it follows that  $r \leq (\phi_a(t^\perp))^\perp$ . Consequently, by (i) again,  $\phi_a(r) \perp t^\perp$ , i.e.,  $\phi_a(r) \leq t$ , and therefore  $\phi_a(r) = \bigvee \{ \phi_a(q) : q \in Q \}$ .  $\square$

**LEMMA 5.5.** *Let  $p, q, r \in P$ . Then:*

- (i)  $\phi_p(r) \leq p$ .
- (ii)  $r \leq p \iff \phi_p(r) = r$ .
- (iii)  $r \perp p \iff \phi_p(r) = 0$ .
- (iv)  $p \wedge q$  exists in  $P$  and  $p \wedge q = p - \phi_p(q^\perp)$ .

**Proof.**

(i) By Theorems 4.9.(i) and 2.10.(ii),  $\phi_p(r) \leq p^\circ = p$ .

(ii) If  $r \leq p$ , then  $r = pr = rp$ , so  $\phi_p(r) = (prp)^\circ = r^\circ = r$ . The converse implication follows from (i).

(iii) By Lemma 5.3.(ii), [SA4], and Theorem 2.10.(i),  $p \perp r \iff pr = 0 \iff prp = 0 \iff (prp)^\circ = 0 \iff \phi_p(r) = 0$ .

(iv) Let  $t := (\phi_p(q^\perp))^\perp = 1 - \phi_p(q^\perp) \in P$ . By (i),  $\phi_p(q^\perp) \leq p$ , whence  $pC\phi_p(q^\perp)$  and  $p\phi_p(q^\perp) = \phi_p(q^\perp)$ . Therefore, by parts (i) and (iv) of Lemma 5.3,

$$p \wedge t = pt = tp = p - \phi_p(q^\perp) = p \wedge (\phi_p(q^\perp))^\perp \in P. \tag{1}$$

By (1),  $p \wedge t \perp \phi_p(q^\perp)$ , whence by Theorem 5.4.(i),  $\phi_p(p \wedge t) \perp q^\perp$ , i.e.  $\phi_p(p \wedge t) \leq q$ . But,  $p \wedge t \leq p$ , whence by (ii),  $\phi_p(p \wedge t) = p \wedge t$ , and we have  $p \wedge t \leq q$ . Thus  $p \wedge t \leq p, q$ . Suppose  $r \in P$  and  $r \leq p, q$ . By (ii),  $\phi_p(r) = r \leq q$ , so  $\phi_p(r) \perp q^\perp$ , and therefore  $r \perp \phi_p(q^\perp)$  by Theorem 5.4.(i); hence,  $r \leq (\phi_p(q^\perp))^\perp = t$ . But  $r \leq p$ ; hence  $r \leq p \wedge t$  by (1), and it follows that  $p \wedge t = p \wedge q$ .  $\square$

**THEOREM 5.6.** *P is an OML and, for all  $p, q \in P$ ,  $\phi_p(q) = p \wedge (p^\perp \vee q)$ .*

*Proof.* Let  $p, q \in P$ . Then by Lemma 5.5.(iv),  $p \wedge q$  exists in  $P$ , so by De Morgan duality,  $p \vee q = (p^\perp \wedge q^\perp)^\perp$  also exists in  $P$ . Therefore,  $P$  is an OML. Also, as  $p^\perp \leq p^\perp \vee q$ , we have  $p^\perp \vee q = p^\perp \vee (p \wedge (p^\perp \vee q))$  by the orthomodular law; hence, by Theorem 5.4.(ii) and parts (iii) and (ii) of Lemma 5.5,

$$\begin{aligned} \phi_p(q) &= \phi_p(p^\perp) \vee \phi_p(q) = \phi_p(p^\perp \vee q) \\ &= \phi_p(p^\perp \vee (p \wedge (p^\perp \vee q))) \\ &= \phi_p(p^\perp) \vee \phi_p(p \wedge (p^\perp \vee q)) = p \wedge (p^\perp \vee q). \end{aligned} \tag{1} \quad \square$$

Two elements  $p$  and  $q$  of an orthomodular lattice are said to be *compatible* (or to *commute*) iff  $p = (p \wedge q) \vee (p \wedge q^\perp)$  [14, p. 20]. By a standard argument (e.g., [7, Theorem 3.11]), if  $p, q \in P$ , then  $p$  and  $q$  are compatible in the foregoing sense iff  $pCq$ .

## 6. Synaptic versus GH-algebras

Every generalized Hermitian (GH) algebra  $G$  [9, Definition 2.1] is a synaptic algebra. Indeed, [SA1] follows from [9, Theorem 4.2] and parts (ii), (iii), and (iv) of [9, Definition 2.1] imply [SA2]–[SA4]. Also, [SA5] follows from [9, Theorem 4.5], [9, Theorem 5.2] implies [SA6], and [SA7] is a consequence of [10, Lemma 4.1]. Finally, by [9, Lemma 6.6.(iii)],  $G$  satisfies [SA8]; hence  $G$  is a synaptic algebra.

By [9, Definition 2.1.(vii)], a generalized Hermitian algebra  $G$  has the following *commutative Vigier*<sup>10</sup> *property*:

[CV] *Every bounded ascending sequence  $g_1 \leq g_2 \leq \dots$  of pairwise commuting elements in  $G$  has a supremum  $g$  in  $G$  and  $g \in CC(\{g_n : n \in \mathbb{N}\})$ .*

<sup>10</sup>See [6, Section 5] for the origin of the terminology

Clearly, a synoptic algebra  $A$  is a GH-algebra iff it satisfies [CV]. The condition [CV] is quite strong<sup>11</sup> (see [9, Section 4]), and the main impetus for the formulation in Definition 1.1 is to replace [CV] by some of its algebraic consequences [SA5], [SA6], and [SA7], accompanied by the considerably weaker condition [SA8].

As an indication of the extent to which synoptic algebras generalize GH-algebras, we may consider the commutative case. The projections in a commutative GH-algebra form a  $\sigma$ -complete Boolean algebra; moreover, every  $\sigma$ -complete Boolean algebra can be realized as the (Boolean) lattice of projections in a commutative GH-algebra [10, Theorem 5.7]. On the other hand, the projections in a commutative synoptic algebra form a Boolean algebra, which need not be  $\sigma$ -complete; moreover, *every Boolean algebra  $B$  can be realized as the (Boolean) lattice of projections in a commutative synoptic algebra*. Indeed, by Stone's theorem,  $B$  can be represented as the field  $\mathcal{F}$  of compact open subsets of a totally disconnected Hausdorff space  $X$ , and the projection lattice of the commutative synoptic algebra  $A$  in Example 1.2 is isomorphic to  $B$ .

### 7. Invertible and regular elements

As we now show, the results in [10, Section 4] pertaining to invertible and von Neumann regular elements of a GH-algebra  $G$  go through for our synoptic algebra  $A$ , although we must be a little careful since the proof of [10, Lemma 4.1] depends on the property [CV]. As usual, an element  $a \in A$  is *invertible* iff there exists a (necessarily unique) element  $a^{-1} \in A$  such that  $aa^{-1} = a^{-1}a = 1$ . If  $a$  is invertible, it is clear that  $a^{-1} \in CC(a)$  and that  $a^\circ = 1$ .

**LEMMA 7.1.** *Let  $a \in A$ . Then:*

- (i) *If  $0 \leq a$  and  $a$  is invertible, then  $0 \leq a^{-1}$ .*
- (ii)  *$a$  is invertible iff  $|a|$  is invertible, and if  $a$  is invertible, then  $|a|^{-1} = |a^{-1}|$ .*

*Proof.*

(i) Suppose  $0 \leq a$  and  $a$  is invertible. As  $aC(a^{-1})^2$  and  $0 \leq (a^{-1})^2$ , Lemma 1.5 implies that  $0 \leq a(a^{-1})^2 = a^{-1}$ .

(ii) Let  $s := \text{sgn}(a)$ . By Theorem 3.6,  $s \in CC(a)$ ,  $s^2 = a^\circ$ ,  $sa = as = |a|$ , and  $s|a| = |a|s = a$ . Suppose  $a$  is invertible. As  $s \in CC(a)$ , we have  $sC a^{-1}$  and  $|a|(sa^{-1}) = (sa^{-1})|a| = 1$ ; hence  $|a|$  is invertible and  $|a|^{-1} = sa^{-1}$ . Also,  $s^2 = a^\circ = 1$ , and by (i),  $0 \leq sa^{-1}$ . But,  $(sa^{-1})^2 = s^2(a^{-1})^2 = (a^{-1})^2$ , whence

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<sup>11</sup>For instance, as a consequence of [CV], the orthomodular lattice of projections in a GH-algebra is necessarily  $\sigma$ -complete [9, Theorem 5.4].

$|a|^{-1} = sa^{-1} = |sa^{-1}| = |a^{-1}|$ . Conversely, if  $|a|$  is invertible, it is clear that  $a$  is invertible with  $a^{-1} = s|a|^{-1}$ .  $\square$

**THEOREM 7.2.** *If  $a \in A$ , then  $a$  is invertible iff there exists  $0 < \epsilon \in \mathbb{R}$  such that  $\epsilon \leq |a|$ .*

*Proof.* Suppose first that  $a$  is invertible. Then, by Lemma 7.1,  $|a|$  is invertible. As 1 is an order unit, there exists  $n \in \mathbb{N}$  such that  $|a|^{-1} \leq n$ , and since  $|a|$  commutes with  $n - |a|^{-1}$ , Lemma 1.5 implies that  $0 \leq (n - |a|^{-1})|a|$ , i.e.,  $1 \leq n|a|$ . Consequently, with  $0 < \epsilon := 1/n$ , we have  $\epsilon \leq |a|$ .

Conversely, suppose  $0 < \epsilon \leq |a|$ . Then  $1 \leq \epsilon^{-1}|a|$ ; hence by [SA7],  $\epsilon^{-1}|a|$  is invertible, and it follows that  $|a|$  is invertible with  $|a|^{-1} = \epsilon^{-1}(\epsilon^{-1}|a|)^{-1}$ . Thus  $a$  is invertible by Lemma 7.1.  $\square$

**DEFINITION 7.3.** Let  $a \in A$ .

- (i)  $a$  is von Neumann regular iff there exists  $b \in A$  such that  $ab, ba \in A$  and  $aba = a$ .
- (ii)  $a$  is regular iff there exists  $0 < \epsilon \in \mathbb{R}$  such that  $\epsilon a^\circ \leq |a|$ .

Obviously, 0 is both von Neumann regular and regular. The proof of the following theorem is virtually identical<sup>12</sup> to the proof of [10, Theorem 4.5].

**THEOREM 7.4.** *If  $0 \neq a \in A$ , then the following conditions are mutually equivalent:*

- (i)  $a$  is von Neumann regular.
- (ii) There exists  $r \in a^\circ A a^\circ$  such that  $ar = ra = a^\circ$ .
- (iii)  $a$  is invertible in the synaptic algebra  $a^\circ A a^\circ$ .
- (iv)  $a$  is regular.

**COROLLARY 7.5.** *If  $a \in A$ , then  $a$  is invertible iff  $a$  is regular and  $a^\circ = 1$ .*

If  $0 \neq a \in A$  and  $a$  is regular, then the (necessarily unique) inverse of  $a$  in  $a^\circ A a^\circ$  (Theorem 7.4) is called the *pseudo-inverse* of  $a$  in  $A$ , and by definition, the pseudo-inverse of 0 is 0. If  $a$  is regular, it is not difficult to show that the pseudo-inverse of  $a$  belongs to  $CC(a)$ .

**THEOREM 7.6.** *If  $a \in A$ , then  $a$  is regular iff both  $a^+$  and  $a^-$  are regular.*

*Proof.* Let  $p := (a^+)^\circ$  and  $q := (a^-)^\circ$ . Then by Theorem 3.3,  $p, q \in CC(a)$ ,  $p + q = a^\circ$ ,  $pq = pa^- = 0$ ,  $qp = qa^+ = 0$ ,  $pa = pa^+ = a^+$ , and  $qa = qa^- = a^-$ .

Suppose that  $a$  is regular. Then there exists  $0 < \epsilon \in \mathbb{R}$  with  $\epsilon(p + q) = \epsilon a^\circ \leq |a| = a^+ + a^-$ , so  $\epsilon p = p(\epsilon(p + q)) \leq p(a^+ + a^-) = a^+ = |a^+|$ , whence  $a^+$  is regular. Likewise,  $\epsilon q \leq a^-$ , so  $a^-$  is regular. Conversely, if both  $a^+$  and  $a^-$

<sup>12</sup>Note that  $a^\circ A a^\circ$  is a synaptic algebra by Theorem 4.10.

are regular, there exist  $0 < \alpha, \beta$  such that  $\alpha p \leq a^+$  and  $\beta q \leq a^-$ ; hence with  $\epsilon := \min\{\alpha, \beta\}$ , we have  $\epsilon a^\circ = \epsilon(p + q) \leq a^+ + a^- = |a|$ , and it follows that  $a$  is regular.  $\square$

**COROLLARY 7.7.**  *$a \in A$  is invertible iff  $a^\circ = 1$  and both  $a^+$  and  $a^-$  are regular.*

### 8. Spectral resolution

In this section, we show that the synaptic algebra  $A$  is a so-called *spectral order-unit normed space*; hence the results of [8] are at our disposal. In particular, every element in  $A$  both determines and is determined by a family of projections — its *spectral resolution*.

As per [8, Definition 1.5 (i)], an element  $a \in A$  is *compatible* with a projection  $p \in P$  iff  $a = J_p(a) + J_{1-p}(a)$ . Thus, by Lemma 4.6.(i),  $C(p)$  is the set of all elements of  $A$  that are compatible with  $p$ ; hence, the notation used in [8, Definition 1.5 (i) and ff.] is consistent with our notation in this article.

**THEOREM 8.1.** *The family  $(J_p)_{p \in P}$  is a spectral compression base [8, Definition 1.7] for the order-unit space  $A$ .*

**Proof.** To begin with, we have to show that  $P$  is a normal sub-effect algebra of  $E$  ([5, Definition 1]). Of course,  $0, 1 \in P$ , and  $p \in P \implies 1 - p = p^\perp \in P$ . Also, if  $p, q \in P$  with  $p + q \leq 1$ , then  $p + q = p \vee q \in P$  by Lemma 5.3.(iii). Therefore  $P$  is a sub-effect algebra of  $E$ . Suppose that  $d, e, f, d + e + f \in E$  with  $p := d + e \in P$  and  $q := d + f \in P$ . Then  $e + q = d + e + f \leq 1$ , so  $e \leq 1 - q$ , and therefore by Theorem 2.4,  $e = e(1 - q)$ , i.e.,  $eq = 0$ . Also,  $d \leq d + f = q$ , so  $dq = d$  by Theorem 2.4, and it follows that  $pq = (d + e)q = dq = d$ . By symmetry,  $qp = d$ ; hence by Lemma 5.3.(i),  $d = p \wedge q \in P$ , and it follows that  $P$  is a normal sub-effect algebra of  $E$ .

Now let  $p, q, r \in P$  with  $p + q + r \leq 1$ . Then  $pq = pr = qr = 0$ ,  $p + r = p \vee r \in P$  and  $q + r = q \vee r \in P$ , whence, for all  $a \in A$ ,

$$J_{p+r}(J_{q+r}(a)) = (p + r)(q + r)a(q + r)(p + r) = rar = J_r(a),$$

and it follows that  $(J_p)_{p \in P}$  is a compression base for  $A$  ([5, Definition 2]).

If  $e \in E$ , then by Theorem 2.10.(vii),  $e^\circ$  is the smallest projection  $p$  such that  $e \leq p$ ; hence the compression base  $(J_p)_{p \in P}$  has the projection cover property ([8, Definition 1.4]).

Let  $a \in A$  and let  $p := (a^+)^\circ$ . Then by parts (i), (iii), and (vi) of Theorem 3.3, we have  $C(a) \subseteq C(p)$ ,  $J_p(a) = pap = pa = a^+ \geq 0$ , and  $J_{1-p}(a) = (1 - p)a(1 - p) = (1 - p)a = a - pa = a - a^+ = -a^- \leq 0$ . Thus, the compression base  $(J_p)_{p \in P}$  has the comparability property ([8, Definition 1.6]), and therefore  $(J_p)_{p \in P}$  is a spectral compression base for  $A$ .  $\square$

If  $a \in A$ , it is clear that, for all  $p \in P$ ,  
 $p \leq 1 - a^\circ \iff a^\circ p = 0 \iff ap = pa = 0 \iff (a \in C(p) \ \& \ J_p(a) = pap = 0)$ .

Therefore, as per [8, Theorem 2.1 and ff.], the mapping  $' : A \rightarrow P$  defined by  $a' := 1 - a^\circ$  for all  $a \in A$  is effective as the *Rickart mapping* on  $A$ . We note that, for  $p \in P$ , we have  $p' = 1 - p = p^\perp$ .

Let  $a, b \in A$ . In [8] the notation  $b \in CPC(a)$  means that, for all  $p \in P$ ,  $a \in C(p) \implies b \in C(p)$ . Thus,  $b \in CPC(a) \iff C(a) \cap P \subseteq C(b)$ ; hence,  $CC(a) \subseteq CPC(a)$ . For instance, by [8, Lemmas 2.1.(vi), 2.4.(iv)],  $a^\circ, |a|, a^+ \in CPC(a)$ , but for our synaptic algebra  $A$ , we have the (possibly) stronger conditions  $a^\circ, |a|, a^+ \in CC(a)$ .

In view of the remarks above, we can translate the results in [8] into our present formalism by replacing  $a'$  by  $1 - a^\circ$ ,  $a''$  by  $a^\circ$ , and  $p'$  by  $p^\perp = 1 - p$  for all  $a \in A$  and all  $p \in P$ . Moreover, if  $a \in C p$ , we can replace  $J_p(a)$  by  $pa$  (or by  $ap$ ).

**DEFINITION 8.2.** Let  $a \in A$  and  $\lambda \in \mathbb{R}$ . Then:

- (i) The *spectral lower and upper bounds*  $L$  and  $U$  for  $a$  are defined by  $L := \sup\{\lambda \in \mathbb{R} : \lambda \leq a\}$  and  $U := \inf\{\lambda \in \mathbb{R} : a \leq \lambda\}$ .
- (ii) The family of projections  $(p_\lambda)_{\lambda \in \mathbb{R}}$  defined by  $p_\lambda := 1 - ((a - \lambda)^+)^{\circ}$  is called the *spectral resolution* of  $a$ .
- (iii) The family of projections  $(d_\lambda)_{\lambda \in \mathbb{R}}$  defined by  $d_\lambda := 1 - (a - \lambda)^{\circ}$  is called the family of *eigenprojections* of  $a$ . If  $d_\lambda \neq 0$ , then  $\lambda$  is called an *eigenvalue* of  $a$ .

**STANDING ASSUMPTIONS 8.3.** In what follows:  $a \in A$ ;  $L$  and  $U$  are the spectral bounds for  $a$ ;  $(p_\lambda)_{\lambda \in \mathbb{R}}$  is the spectral resolution of  $a$ ; and  $(d_\lambda)_{\lambda \in \mathbb{R}}$  is the family of eigenprojections for  $a$ .

By [8, Theorem 3.1],  $-\infty < L \leq U < \infty$ ,  $\|a\| = \max\{|L|, |U|\}$ , and  $L \leq a \leq U$ . The following theorem is a consequence of [8, Theorems 3.3, 3.5, and 3.6].

**THEOREM 8.4.** For all  $\lambda, \mu \in \mathbb{R}$ :

- (i)  $p_\lambda, d_\lambda \in CC(a)$ ; hence  $p_\lambda C p_\mu, p_\lambda C d_\mu$ , and  $d_\lambda C d_\mu$ .
- (ii)  $p_\lambda(a - \lambda) \leq 0 \leq (1 - p_\lambda)(a - \lambda)$ .
- (iii)  $\lambda \leq \mu \implies p_\lambda \leq p_\mu$  and  $p_\mu - p_\lambda = p_\mu \wedge (1 - p_\lambda)$ .
- (iv)  $\lambda < \mu \implies d_\lambda \leq p_\lambda \leq 1 - d_\mu \implies d_\lambda \perp d_\mu$ .
- (v)  $\mu \geq U \iff p_\mu = 1$ .
- (vi)  $\lambda < L \implies p_\lambda = 0$ , and  $L < \lambda \implies 0 < p_\lambda$ .
- (vii) If  $\alpha \in \mathbb{R}$ , then  $p_\alpha = \bigwedge\{p_\mu : \alpha < \mu \in \mathbb{R}\}$ .
- (viii) If  $\alpha \in \mathbb{R}$ , then  $p_\alpha - d_\alpha = \bigvee\{p_\lambda : \alpha > \lambda \in \mathbb{R}\}$ .

By [8, Theorem 3.4, Remark 3.1, and Corollary 3.1], we have the following theorem and corollary.

**THEOREM 8.5.** *Suppose that  $\lambda_0, \lambda_1, \dots, \lambda_n \in \mathbb{R}$  with  $\lambda_0 < L < \lambda_1 < \dots < \lambda_n = U$ , and let  $\gamma_i \in \mathbb{R}$  with  $\lambda_{i-1} \leq \gamma_i \leq \lambda_i$  for  $i = 1, 2, \dots, n$ . Define  $u_i := p_{\lambda_i} - p_{\lambda_{i-1}}$  for  $i = 1, 2, \dots, n$ , and let  $\epsilon := \max\{\lambda_i - \lambda_{i-1} : i = 1, 2, \dots, n\}$ . Then:*

$$u_1, u_2, \dots, u_n \in P \cap CC(a), \quad \sum_{i=1}^n u_i = 1, \quad \text{and} \quad \left\| a - \sum_{i=1}^n \gamma_i u_i \right\| \leq \epsilon.$$

According to Theorem 8.5,  $a$  can be written as a norm-convergent integral  $a = \int_{L-0}^U \lambda dp_\lambda$  of Riemann-Stieltjes type; hence  $a$  not only determines, but it is determined by its spectral resolution.

**COROLLARY 8.6.** *There exists an ascending sequence  $a_1 \leq a_2 \leq \dots$  in  $CC(a)$  such that each  $a_n$  is a finite linear combination of projections in the family  $(p_\lambda)_{\lambda \in \mathbb{R}}$  and  $\lim_{n \rightarrow \infty} a_n = a$ .*

**DEFINITION 8.7.** A real number  $\rho$  belongs to the *resolvent set* of  $a$  iff there is an open interval  $I$  in  $\mathbb{R}$  with  $\rho \in I$  such that  $p_\lambda = p_\rho$  for all  $\lambda \in I$ . The *spectrum* of  $a$ , in symbols  $\text{spec}(a)$ , is defined to be the complement in  $\mathbb{R}$  of the resolvent set of  $a$ .

As is proved in [8],  $\text{spec}(a)$  has all of the expected basic properties. For instance, by [8, Theorem 4.3],  $\text{spec}(a)$  is a closed nonempty subset of the closed interval  $[L, U] \subseteq \mathbb{R}$ ,  $L = \inf(\text{spec}(a)) \in \text{spec}(a)$ ,  $U = \sup(\text{spec}(a)) \in \text{spec}(a)$ , and  $\|a\| = \sup\{|\alpha| : \alpha \in \text{spec}(a)\}$ . By [8, Theorem 4.4],  $a \in A^+ \iff \text{spec}(a) \subseteq \mathbb{R}^+$ , and by [8, Corollary 5.1],  $a \in P \iff \text{spec}(a) \subseteq \{0, 1\}$ . As a consequence of [8, Theorem 4.2], every isolated point of  $\text{spec}(a)$  is an eigenvalue of  $a$ , and every eigenvalue of  $a$  belongs to  $\text{spec}(a)$ .

**DEFINITION 8.8.** An element in  $A$  is *simple* iff it is a finite linear combination of pairwise commuting projections.

The following result is a consequence of [8, Theorems 5.2 and 5.3].

**THEOREM 8.9.** *The simple elements of  $A$  are precisely those with finite spectrum. Let  $a$  be a simple element of  $A$ . Then  $a$  can be written uniquely as  $a = \sum_{i=1}^n \alpha_i u_i$ , where  $\alpha_1 < \alpha_2 < \dots < \alpha_n$ ,  $0 \neq u_i \in P$ , and  $\sum_{i=1}^n u_i = 1$ . Moreover,  $a$  is regular,  $|a| = \sum_{i=1}^n |\alpha_i| u_i$ ,  $\|a\| = \max\{|\alpha_i| : i = 1, 2, \dots, n\}$ ,  $a^\circ = \sum_{\alpha_i \neq 0} u_i$ , and  $u_i = d_{\alpha_i}$  for  $i = 1, 2, \dots, n$ .*

As a consequence of Corollary 8.6, each element  $a \in A$  is the norm limit (hence by Theorem 4.7.(ii) also the supremum) of an ascending sequence of pairwise commuting simple elements, and it follows that the simple elements in  $A$  (hence by Theorem 8.9, also the regular elements in  $A$ ) are norm-dense in  $A$ .

**THEOREM 8.10.** *If  $b \in A$ , then  $b \in C(a)$  iff  $b \in C(p_\lambda)$  for all  $\lambda \in \mathbb{R}$ .*

*Proof.* For  $\lambda \in \mathbb{R}$ , we have  $p_\lambda \in CC(a)$ ; hence  $b \in C(a)$  implies that  $b \in C(p_\lambda)$ . Conversely, suppose that  $b \in C(p_\lambda)$  for all  $\lambda \in \mathbb{R}$  and let  $(a_n)_{n \in \mathbb{N}}$  be the ascending sequence in Corollary 8.6. As  $a_n \in CC(a)$  for all  $n \in \mathbb{N}$ , the elements of the sequence  $(a_n)_{n \in \mathbb{N}}$  commute with each other. As each  $a_n$  is a finite linear combination of projections  $p_\lambda$ , we have  $a_n \in C(b)$  for all  $n \in \mathbb{N}$ , and it follows from [SA8] that  $a \in C(b)$ .  $\square$

**THEOREM 8.11.**  *$C(a)$  is norm-closed in  $A$  and, with the partial order inherited from  $A$ ,  $C(a)$  is a synaptic algebra with unit 1 and enveloping algebra  $R$ . Let  $b, c \in C(a)$ . Then:  $b \circ c, b^\circ, |b|, b^+, b^-, J_b(c), \phi_b(c) \in C(a)$ ;  $0 \leq b \implies b^{1/2} \in C(a)$ ; and the spectral resolution and family of eigenprojections of  $b$  are the same whether calculated in  $A$  or in  $C(a)$ .*

*Proof.* Suppose that  $(b_n)_{n \in \mathbb{N}}$  is a sequence in  $C(a)$  and  $b_n \rightarrow b \in A$ . Then by Theorem 8.10,  $b_n \in C(p_\lambda)$  for all  $n \in \mathbb{N}$  and all  $\lambda \in \mathbb{R}$ , and it follows from Lemma 4.6.(ii) that  $b \in C(p_\lambda)$  for all  $\lambda \in \mathbb{R}$ . Therefore,  $b \in C(a)$  by Theorem 8.10, whence  $C(a)$  is norm-closed in  $A$ . The remainder of the proof is omitted as it is completely straightforward  $\square$

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