

ON THE EXISTENCE OF SOLUTIONS FOR SINGULAR BOUNDARY VALUE PROBLEM OF THIRD-ORDER DIFFERENTIAL EQUATIONS

FENG WANG* — YUJUN CUI**

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ABSTRACT. The singular boundary value problems of third-order differential equations

$$\begin{aligned} -u'''(t) &= h(t)f(t, u(t)), & t \in (0, 1), \\ u(0) = u'(0) &= 0, & u'(1) = \alpha u'(\eta) \end{aligned}$$

are considered under some conditions concerning the first eigenvalues corresponding to the relevant linear operators, where $h(t)$ is allowed to be singular at both $t = 0$ and $t = 1$, and f is not necessary to be nonnegative. The existence results of nontrivial solutions and positive solutions are given by means of the topological degree theory.

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1. Introduction

Many authors are interested in the existence of positive solutions for third-order boundary value problem (see [2]–[12] and references therein). In most work mentioned, they study the existence of positive solutions for third-order boundary value problem by the method of upper and lower solutions, Schauder's fixed point theorem or the fixed point index in cone under some different conditions in which f is nonnegative. In this paper, we consider the following singular boundary value problems:

$$\begin{cases} -u'''(t) = h(t)f(t, u(t)), & t \in (0, 1), \\ u(0) = u'(0) = 0, & u'(1) = \alpha u'(\eta), \end{cases} \quad (1.1)$$

where $0 < \eta < 1$, $1 < \alpha < \frac{1}{\eta}$ and $h(t)$ is allowed to be singular at $t = 0$ and $t = 1$. In particular, f is not necessary to be nonnegative. We obtain

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the existence results of nontrivial solutions, and the existence results of positive solutions for some cases, by means of the topological degree theory under some conditions on $f(t, u)$ concerning the first eigenvalue corresponding to the relevant linear operator. For the concepts and properties about the cone theory and the topological degree we refer to [4], [5].

Our paper is organized as follows. Some preliminaries and lemmas are given in Section 2. In Section 3, the main results are established. Requiring no condition that $f(t, u) \geq 0$ when $u \geq 0$, we prove that the singular boundary value problem (1.1) has at least one nontrivial solution. We also investigate the existence of positive solutions in the case that f is nonnegative.

2. Preliminaries and lemmas

In Banach space $C[0, 1]$ in which the norm is defined by $\|u\| = \max_{0 \leq t \leq 1} |u(t)|$. We set

$$P = \{u \in C[0, 1] : u(t) \geq 0, \quad t \in [0, 1]\}, \quad (2.1)$$

then P is a positive cone in $C[0, 1]$. We denote by $B_r = \{u \in C[0, 1] : \|u\| < r\}$ ($r > 0$) the open ball of radius r and use θ to denote the zero function in $C[0, 1]$.

LEMMA 1. *Let $y(t) \in C[0, 1]$, $0 < \eta < 1$, $1 < \alpha < \frac{1}{\eta}$, then the BVP:*

$$\begin{cases} -u'''(t) - y(t) = 0, & t \in (0, 1), \\ u(0) = u'(0) = 0, & u'(1) = \alpha u'(\eta) \end{cases}$$

has a unique solution

$$u(t) = \int_0^1 G(t, s)y(s) \, ds,$$

where

$$G(t, s) = \frac{1}{2(1 - \alpha\eta)} \begin{cases} (2ts - s^2)(1 - \alpha\eta) + t^2s(\alpha - 1), & s \leq \min\{\eta, t\}, \\ t^2(1 - \alpha\eta) + t^2s(\alpha - 1), & t \leq s \leq \eta, \\ (2ts - s^2)(1 - \alpha\eta) + t^2(\alpha\eta - s), & \eta \leq s \leq t, \\ t^2(1 - s), & \max\{\eta, t\} \leq s \end{cases}$$

is Green's function of the BVP

$$\begin{cases} -u'''(t) = 0, & t \in (0, 1), \\ u(0) = u'(0) = 0, & u'(1) = \alpha u'(\eta). \end{cases}$$

Proof. The proof of this lemma is easy, and we omit it. □

Remark 1. It is obvious that the Green function $G(t, s)$ is continuous and $G(t, s) \geq 0$ for any $0 \leq t, s \leq 1$. In addition, we also have

$$\max_{0 \leq t \leq 1} G(t, s) \leq g(s),$$

where $g(s) = \frac{1+\alpha}{1-\alpha\eta} s(1-s)$. In fact, for any fixed $s \in [0, 1]$, it is easy to see that

$$G_t(t, s) = \frac{1}{1-\alpha\eta} \begin{cases} s(1-\alpha\eta) + ts(\alpha-1), & s \leq \min\{\eta, t\}, \\ t(1-\alpha\eta) + ts(\alpha-1), & t \leq s \leq \eta, \\ s(1-\alpha\eta) + t(\alpha\eta-s), & \eta \leq s \leq t, \\ t(1-s), & \max\{\eta, t\} \leq s. \end{cases}$$

If $s \leq \min\{\eta, t\}$, then

$$\begin{aligned} G_t(t, s) &= \frac{1}{1-\alpha\eta} [s(1-\alpha\eta) + ts(\alpha-1)] \\ &\leq \frac{1}{1-\alpha\eta} \alpha s(1-\eta) \leq \frac{1}{1-\alpha\eta} \alpha s(1-s) \leq g(s). \end{aligned}$$

If $t \leq s \leq \eta$, then

$$\begin{aligned} G_t(t, s) &= \frac{1}{1-\alpha\eta} [t(1-\alpha\eta) + ts(\alpha-1)] \\ &\leq \frac{1}{1-\alpha\eta} \alpha s(1-\eta) \leq \frac{1}{1-\alpha\eta} \alpha s(1-s) \leq g(s). \end{aligned}$$

If $\eta \leq s \leq t$, then

$$\begin{aligned} G_t(t, s) &= \frac{1}{1-\alpha\eta} [s(1-\alpha\eta) + t(\alpha\eta-s)] \\ &= \frac{1}{1-\alpha\eta} [s(1-t) + \alpha\eta(t-s)] \\ &\leq \frac{1}{1-\alpha\eta} [s(1-s) + \alpha s(1-s)] = g(s). \end{aligned}$$

If $\max\{\eta, t\} \leq s$, then

$$G_t(t, s) = \frac{1}{1-\alpha\eta} t(1-s) \leq \frac{1+\alpha}{1-\alpha\eta} s(1-s) = g(s).$$

Therefore, $G_t(t, s) \leq g(s)$, $(t, s) \in [0, 1] \times [0, 1]$. Then for any $(t, s) \in [0, 1] \times [0, 1]$, we have

$$G(t, s) = \int_0^t G_\tau(\tau, s) d\tau \leq \int_0^t g(s) d\tau = tg(s) \leq g(s).$$

In this paper we suppose that

(H₁) $h: (0, 1) \rightarrow [0, +\infty)$ is continuous, $h(t) \not\equiv 0$ and

$$\int_0^1 g(t)h(t) \, dt < +\infty. \quad (2.2)$$

(H₂) $f: [0, 1] \times (-\infty, +\infty) \rightarrow (-\infty, +\infty)$ is continuous.

As is well known, the singular nonlinear boundary value problem (1.1) can be converted into the equivalent Hammerstein nonlinear integral equation

$$u(t) = \int_0^1 G(t, s)h(s)f(s, u(s)) \, ds, \quad t \in [0, 1]. \quad (2.3)$$

Let

$$(Au)(t) = \int_0^1 G(t, s)h(s)f(s, u(s)) \, ds, \quad t \in [0, 1], \quad (2.4)$$

$$(Tu)(t) = \int_0^1 G(t, s)h(s)u(s) \, ds, \quad t \in [0, 1]. \quad (2.5)$$

By the method similar to that in [15], we have:

LEMMA 2. *Suppose that (H₁), (H₂) are satisfied, then $A: C[0, 1] \rightarrow C[0, 1]$ is a completely continuous operator and $T: C[0, 1] \rightarrow C[0, 1]$ is a completely continuous linear operator, $T(P) \subset P$.*

Notice that $T(P) \subset P$, it follows that $r(T) \geq 0$. It is obvious that if the operator A has a fixed point u , then u is the solution of (1.1).

LEMMA 3. *Suppose that the condition (H₁) is satisfied, then for the operator T defined by (2.5), the spectral radius $r(T) \neq 0$ and T has a positive eigenfunction corresponding to its first eigenvalue $\lambda_1 = (r(T))^{-1}$.*

We also need the following lemmas in [5].

LEMMA 4. *Let P be a cone in a real Banach space E , Ω a bounded open subset of E , and $A: P \cap \overline{\Omega} \rightarrow P$ a completely continuous operator. Assume that there exists a $u_0 \in P$, $u_0 \neq \theta$, such that*

$$u - Au \neq \mu u_0$$

for all $u \in P \cap \partial\Omega$ and $\mu \geq 0$, then the fixed point index

$$i(A, P \cap \Omega, P) = 0.$$

LEMMA 5. *Let P be a cone in a real Banach space E , Ω a bounded open subset of E with $\theta \in P \cap \Omega$, and $A: P \cap \overline{\Omega} \rightarrow P$ a completely continuous operator. If*

$$Au \neq \mu u$$

for all $u \in P \cap \partial\Omega$ and $\mu \geq 1$, then the fixed point index

$$i(A, P \cap \Omega, P) = 1.$$

3. Main results

THEOREM 6. *Suppose that the conditions (H_1) , (H_2) are satisfied. If there exists a constant $b \geq 0$ such that*

$$\min_{t \in [0,1]} f(t, u) \geq -b, \quad \text{for all } u \in (-\infty, +\infty), \quad (3.1)$$

$$\liminf_{u \rightarrow 0} \min_{t \in [0,1]} \frac{f(t, u)}{|u|} > \lambda_1, \quad (3.2)$$

$$\limsup_{u \rightarrow +\infty} \max_{t \in [0,1]} \frac{f(t, u)}{u} < \lambda_1, \quad (3.3)$$

where λ_1 is the first eigenvalue of T defined by (2.5). Then the singular boundary value problem (1.1) has at least one nontrivial solution.

Proof. It follows from (3.2) that there exists $r_1 > 0$ such that

$$f(t, u) \geq \lambda_1 |u| \quad \text{for all } |u| \leq r_1. \quad (3.4)$$

For every $u \in \overline{B}_{r_1}$, we have from (3.4) that

$$(Au)(t) \geq \lambda_1 \int_0^1 G(t, s) h(s) |u(s)| ds \geq 0, \quad t \in [0, 1],$$

and thus $A(\overline{B}_{r_1}) \subset P$. For any $u \in \partial B_{r_1} \cap P$, it follows from (3.4) that

$$(Au)(t) \geq \lambda_1 \int_0^1 G(t, s) h(s) u(s) ds = \lambda_1 (Tu)(t), \quad t \in [0, 1]. \quad (3.5)$$

We may suppose that A has no fixed point on ∂B_{r_1} (otherwise, the proof completes). Let u^* be the positive eigenfunction of T corresponding to λ_1 , thus $u^* = \lambda_1 T u^*$. Now we show that

$$u - Au \neq \mu u^* \quad \text{for all } u \in \partial B_{r_1} \cap P, \quad \mu \geq 0. \quad (3.6)$$

If, otherwise, there exist $u_1 \in \partial B_{r_1} \cap P$ and $\tau_0 \geq 0$ such that $u_1 - Au_1 = \tau_0 u^*$, then $\tau_0 > 0$ and

$$u_1 = Au_1 + \tau_0 u^* \geq \tau_0 u^*.$$

Put

$$\tau^* = \sup\{\tau : u_1 \geq \tau u^*\}. \quad (3.7)$$

It is easy to see that $\tau^* \geq \tau_0 > 0$ and $u_1 \geq \tau^* u^*$. We have from $T(P) \subset P$ that

$$\lambda_1 T u_1 \geq \tau^* \lambda_1 T u^* = \tau^* u^*.$$

Therefore by (3.5),

$$u_1 = A u_1 + \tau_0 u^* \geq \lambda_1 T u_1 + \tau_0 u^* \geq \tau^* u^* + \tau_0 u^*,$$

which contradicts the definition of τ^* . Hence (3.6) is true. Since $A(\overline{B}_{r_1}) \subset P$, we have from the permanence property of fixed point index and Lemma 4 that

$$\deg(I - A, B_{r_1}, \theta) = i(A, B_{r_1} \cap P, P) = 0, \quad (3.8)$$

where \deg denotes the topological degree.

Letting $\tilde{u}(t) = b \int_0^1 G(t, s) h(s) ds$, obviously, $\tilde{u} \in P$. It is easy to see from (3.1) that $A: C[0, 1] \rightarrow P - \tilde{u}$. Define $\tilde{A}u = A(u - \tilde{u}) + \tilde{u}$, $u \in C[0, 1]$, then $\tilde{A}: C[0, 1] \rightarrow P$.

It follows from (3.3) that there exist $r_2 > r_1 + \|\tilde{u}\|$ and $0 < \sigma < 1$ such that

$$f(t, u) \leq \sigma \lambda_1 u \quad \text{for all } u \geq r_2. \quad (3.9)$$

Let $T_1 u = \sigma \lambda_1 T u$, $u \in C[0, 1]$. Then $T_1: C[0, 1] \rightarrow C[0, 1]$ is a bounded linear operator and $T_1(P) \subset P$. Let

$$M = 2 \max \left\{ \sup_{u \in \overline{B}_{r_2}} \int_0^1 G(s, s) h(s) |f(s, u(s))| ds, 2\|\tilde{u}\| \right\}. \quad (3.10)$$

It is clear that $M < +\infty$. Let

$$W = \{u \in P : u = \mu \tilde{A}u, 0 \leq \mu \leq 1\}. \quad (3.11)$$

In the following, we prove that W is bounded.

For any $u \in W$, set $\tilde{v}(t) = \min\{u(t) - \tilde{u}(t), r_2\}$ and denote

$$e(u) = \{t \in [0, 1] : u(t) - \tilde{u}(t) > r_2\}.$$

When $u(t) - \tilde{u}(t) < 0$, $\tilde{v}(t) = u(t) - \tilde{u}(t) \geq u(t) - r_2 \geq -r_2$, and so $\|\tilde{v}\| \leq r_2$. Thus for $u \in W$, we have from (3.9)

$$\begin{aligned}
 u(t) &= \mu(\tilde{A}u)(t) \\
 &\leq \int_0^1 G(t, s)h(s)f(s, u(s) - \tilde{u}(s)) \, ds + \tilde{u}(t) \\
 &= \int_{e(u)} G(t, s)h(s)f(s, u(s) - \tilde{u}(s)) \, ds \\
 &\quad + \int_{[0,1] \setminus e(u)} G(t, s)h(s)f(s, u(s) - \tilde{u}(s)) \, ds + \tilde{u}(t) \\
 &\leq \sigma\lambda_1 \int_0^1 G(t, s)h(s)u(s) \, ds + \int_0^1 G(t, s)h(s)f(s, \tilde{v}(s)) \, ds + 2\tilde{u}(t) \\
 &\leq \sigma\lambda_1 \int_0^1 G(t, s)h(s)u(s) \, ds + M = (T_1u)(t) + M,
 \end{aligned}$$

where M is defined as (3.10). Thus $((I - T_1)u)(t) \leq M$, $t \in [0, 1]$.

Since λ_1 is the first eigenvalue of T and $0 < \sigma < 1$, the first eigenvalue of T_1 , $(r(T_1))^{-1} > 1$. Therefore, the inverse operator $(I - T_1)^{-1}$ exists and

$$(I - T_1)^{-1} = I + T_1 + T_1^2 + \cdots + T_1^n + \cdots. \quad (3.12)$$

It follows from $T_1(P) \subset P$ that $(I - T_1)^{-1}(P) \subset P$. So we have $u(t) \leq (I - T_1)^{-1}M$, $t \in [0, 1]$ and W is bounded.

Select $r_3 > \max\{r_2, \sup W + \|\tilde{u}\|\}$ and thus \tilde{A} has no fixed point on ∂B_{r_3} . In fact, if there exists $u_1 \in \partial B_{r_3}$ such that $\tilde{A}u_1 = u_1$, then $u_1 \in W$ and $\|u_1\| = r_3 > \sup W$, which is a contradiction. Then we have from Lemma 5 and the permanence property that

$$\deg(I - \tilde{A}, B_{r_3}, \theta) = i(\tilde{A}, B_{r_3} \cap P, P) = 1. \quad (3.13)$$

Set the completely continuous homotopy $H(\lambda, u) = A(u - \lambda\tilde{u}) + \lambda\tilde{u}$, $(\lambda, u) \in [0, 1] \times \overline{B}_{r_3}$. If there exists $(\lambda_0, u_2) \in [0, 1] \times \partial B_{r_3}$ such that $H(\lambda_0, u_2) = u_2$, then $A(u_2 - \lambda_0\tilde{u}) = u_2 - \lambda_0\tilde{u}$ and $\tilde{A}(u_2 - \lambda_0\tilde{u} + \tilde{u}) = u_2 - \lambda_0\tilde{u} + \tilde{u}$. Thus $u_2 - \lambda_0\tilde{u} + \tilde{u} \in W$ and

$$\|u_2 - \lambda_0\tilde{u} + \tilde{u}\| \geq \|u_2\| - (1 - \lambda_0)\|\tilde{u}\| \geq r_3 - \|\tilde{u}\| > \sup W,$$

a contradiction! From the homotopy invariance of topological degree and (3.13) we have

$$\begin{aligned}\deg(I - A, B_{r_3}, \theta) &= \deg(I - H(0, \cdot), B_{r_3}, \theta) \\ &= \deg(I - H(1, \cdot), B_{r_3}, \theta) \\ &= \deg(I - \tilde{A}, B_{r_3}, \theta) = 1.\end{aligned}\quad (3.14)$$

By (3.8) and (3.14) we have that

$$\deg(I - A, B_{r_3} \setminus \overline{B}_{r_1}, \theta) = \deg(I - A, B_{r_3}, \theta) - \deg(I - A, B_{r_1}, \theta) = 1,$$

which implies that A has at least one fixed point on $B_{r_3} \setminus \overline{B}_{r_1}$. This means that the singular nonlinear boundary value problem (1.1) has at least one nontrivial solution. \square

Remark 2. The condition (3.1) implies that f is not necessary to be nonnegative.

COROLLARY 1. Suppose that the conditions (H_1) , (H_2) are satisfied. If there exists a constant $b^* \geq 0$ such that

$$\min_{t \in [0,1]} f(t, u) \geq -\frac{b^*}{M} \quad \text{for all } u \geq -b^*, \quad (3.15)$$

where $M = \max_{t \in [0,1]} \int_0^1 G(t, s)h(s) ds$ and in addition, (3.2) and (3.3) hold, then the singular boundary value problem (1.1) has at least one nontrivial solution.

Proof. Denote

$$f_1(t, u) = \begin{cases} f(t, u), & u \geq -b^*, \\ f(t, -b^*), & u < -b^*. \end{cases} \quad (3.16)$$

Define

$$(A_1 u)(t) = \int_0^1 G(t, s)h(s)f_1(s, u(s)) ds, \quad t \in [0, 1].$$

By Theorem 1 we know that A_1 has at least one nonzero fixed point \tilde{u} . Then

$$\tilde{u}(t) = \int_0^1 G(t, s)h(s)f_1(s, \tilde{u}(s)) ds \geq -\frac{b^*}{M} \int_0^1 G(t, s)h(s) ds \geq -b^*.$$

From (3.16) we have that $f_1(t, \tilde{u}(t)) = f(t, \tilde{u}(t))$, $t \in [0, 1]$, then

$$\tilde{u}(t) = \int_0^1 G(t, s)h(s)f_1(s, \tilde{u}(s)) ds = \int_0^1 G(t, s)h(s)f(s, \tilde{u}(s)) ds.$$

Thus \tilde{u} is the nontrivial solution of singular boundary value problem (1.1). \square

THEOREM 7. Suppose that the conditions (H_1) , (H_2) are satisfied. If

$$uf(t, u) \geq 0 \quad \text{for all } t \in [0, 1], \quad u \in (-\infty, +\infty), \quad (3.17)$$

$$\liminf_{u \rightarrow 0} \min_{t \in [0, 1]} \frac{f(t, u)}{u} > \lambda_1, \quad (3.18)$$

$$\limsup_{|u| \rightarrow +\infty} \max_{t \in [0, 1]} \frac{f(t, u)}{u} < \lambda_1, \quad (3.19)$$

where λ_1 is the first eigenvalue of T defined by (2.5). Then the singular boundary value problem (1.1) has at least one positive solution and one negative solution.

Proof. From (3.17) we have that $A(P) \subset P$. Similar to the proof of Theorem 1 in which $b = 0$, we have by Lemmas 4 and 5 that there exist $0 < \tilde{r}_1 < \tilde{r}_2$ such that

$$i(A, B_{\tilde{r}_1} \cap P, \theta) = 1, \quad i(A, B_{\tilde{r}_2} \cap P, \theta) = 0. \quad (3.20)$$

Then

$$i(A, (B_{\tilde{r}_2} \cap P) \setminus (\overline{B_{\tilde{r}_1}} \cap P), \theta) = i(A, B_{\tilde{r}_2} \cap P, \theta) - i(A, B_{\tilde{r}_1} \cap P, \theta) = -1.$$

So A has a fixed point in $(B_{\tilde{r}_2} \cap P) \setminus (\overline{B_{\tilde{r}_1}} \cap P)$ and (1.1) has at least one positive solution.

Denote $f_2(t, u) = -f(t, -u)$ for all $t \in [0, 1]$, $u \in (-\infty, +\infty)$, and define

$$(A_2 u)(t) = \int_0^1 G(t, s) h(s) f_2(s, u(s)) \, ds, \quad t \in [0, 1].$$

Then $A_2(P) \subset P$ and A_2 has a fixed point $\tilde{u} \in P \setminus \{\theta\}$, i.e., $A_2 \tilde{u} = \tilde{u}$.

Since $f_2(t, \tilde{u}(t)) = -f(t, -\tilde{u}(t))$ for all $t \in [0, 1]$, we have

$$-\tilde{u}(t) = \int_0^1 G(t, s) h(s) f(s, -\tilde{u}(s)) \, ds = (A(-\tilde{u}))(t) \quad \text{for all } t \in [0, 1].$$

So $-\tilde{u}$ is the negative solution of (1.1). □

Remark 3. In Theorem 2, f is not required to be bounded below, and in particular, the existence of positive solutions is obtained in Theorem 2 though A may be not a cone mapping.

4. An example

In this section, we give the following example to illustrate the application of our main result obtained in Section 3.

Let

$$h(t) = \frac{1}{\sqrt{t(1-t)}}, \quad f(t, u) = \frac{1-u^2}{1+u^2}. \quad (4.1)$$

Then h is singular at $t = 0, 1$ and f is unbounded from below and sign-changing for $u \geq 0$. It is easy to prove that all the conditions in Theorem 1 are satisfied. As a result, BVP (1.1) with $h(t)$ and $f(t, u)$ given by (4.1) has at least one nontrivial solution.

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*School of Mathematics and Physics
Changzhou University
Changzhou 213164
P.R. CHINA
E-mail: fengwang188@163.com

**Department of Applied Mathematics
Shandong University of Science and Technology
Qingdao 266510
P.R. CHINA