

## OPERATOR-VALUED MULTIPLIER THEOREMS CHARACTERIZING HILBERT SPACES

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### Abstract

We show that the operator-valued Marcinkiewicz and Mikhlin Fourier multiplier theorem are valid if and only if the underlying Banach space is isomorphic to a Hilbert space.

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### 1. Introduction

Mikhlin's multiplier theorem is of great importance in analysis. It says that a bounded function  $m \in C^1(\mathbb{R} \setminus \{0\})$  such that  $tm'(t)$  is bounded, defines an  $L^p(\mathbb{R})$ -multiplier for  $1 < p < \infty$ . In the context of partial differential equations vector-valued spaces  $L^p(\mathbb{R}; X)$  occur in a natural way, where  $X$  is a Banach space. Thus the function  $m$  should take its values in  $\mathcal{L}(X)$ . Our aim is to show that Mikhlin's multiplier theorem does hold for such operator-valued functions if and only if  $X$  is isomorphic to a Hilbert space.

The phenomenon that operator-valued versions of certain classical multiplier theorems are only valid in Hilbert spaces was first observed by Pisier (unpublished) as a consequence of Kwapien's deep characterization of Hilbert spaces. More recently, new versions of operator-valued multiplier theorems turned out to be most useful in the theory of evolution equations (see the references and comments below) and it

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seems to us that it is important to elaborate in some detail why the classical result merely holds on Hilbert spaces.

In another context, it helps to impose a Mihlin's condition of order  $k$

$$(1) \quad m \in C^k(\mathbb{R} \setminus \{0\}; \mathcal{L}(X)), \quad \sup_{t \in \mathbb{R} \setminus \{0\}, 0 \leq l \leq k} \|t^l m^{(l)}(t)\| < \infty.$$

In fact, Amann [1] discovered that if  $m$  satisfies (1) with  $k = 2$ , then  $m$  is a multiplier for Besov spaces and in particular for the space  $C^\theta(\mathbb{R}; X)$ ,  $0 < \theta < 1$  (see also [2] and [11]). We show here that imposing higher order Mihlin's conditions does not help in the context of operator-valued  $L^p$ -multipliers.

We also consider the groups  $\mathbb{T}$  and  $\mathbb{Z}$  instead of  $\mathbb{R}$ . In fact, the case  $\mathbb{T}$  corresponds to Marcinkiewicz's classical theorem and its operator-valued version is already treated in [3] for the order-1-case.

Now we would like to comment on the new vector-valued multiplier theorems which were found recently. It were Berkson-Gillespie [4] who introduced the notion of  $R$ -boundedness (after implicit use of Bourgain [6]). They use  $R$  as an abbreviation for Riesz, but in many subsequent papers people seem think rather of Rademacher or 'Randomized' because the definition involves Rademacher functions. A multiplier theorem of Marcinkiewicz type was established by Clément-de Pagter-Sukochev-Witvliet [8] for multipliers of the form  $m(t)I$  ( $I$  is the identity operator) clarifying the role of  $R$ -boundedness. Then Weis [18] established Mihlin's theorem for operator-valued functions (without restriction) replacing boundedness by the stronger condition of  $R$ -boundedness. Then in [3] the corresponding periodic theorem (that is, Marcinkiewicz's theorem) was proved on the basis of results in [8]. Štrkalj and Weis [17] gave an  $R$ -version of the variational version of the Marcinkiewicz theorem. Further important contributions were given by Clément-Prüss [9], Denk-Hieber-Prüss [10], and Girardi-Weis [11].

## 2. Periodic multipliers

Let us first recall some notions. Let  $X$  be a Banach space. Denote by  $r_j$  the  $j$ -th Rademacher function on  $[0, 1]$ . For  $x \in X$ , we denote by  $r_j \otimes x$  the vector-valued function  $t \mapsto r_j(t)x$ . Let  $Y$  be another Banach space. We denote by  $\mathcal{L}(X, Y)$  the set of all bounded linear operators from  $X$  to  $Y$ . If  $X = Y$  we will denote  $\mathcal{L}(X, Y)$  simply by  $\mathcal{L}(X)$ . A family  $\mathbf{T} \subset \mathcal{L}(X, Y)$  is called  $R$ -bounded if for some  $q \in [1, \infty)$  there exists a constant  $c_q \geq 0$  such that

$$(2) \quad \left\| \sum_{j=1}^n r_j \otimes T_j x_j \right\|_{L^q(0,1;Y)} \leq c_q \left\| \sum_{j=1}^n r_j \otimes x_j \right\|_{L^q(0,1;X)}$$

for all  $T_1, \dots, T_n \in \mathbf{T}$ ,  $x_1, \dots, x_n \in X$  and  $n \in \mathbb{N}$ . By Kahane's inequality [14, Theorem 1.e.13] if such constant  $c_q$  exists for some  $q \in [1, \infty)$ , then it also exists for each  $q \in [1, \infty)$ .

It is known that  $R$ -boundedness is strictly stronger than boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space. More precisely, each bounded subset in  $\mathcal{L}(X, Y)$  is  $R$ -bounded if and only if  $X$  is of cotype 2 and  $Y$  is of type 2 (see [3, Proposition 1.13]). In particular, by a result of Kwapien [14, pages 73–74], each bounded subset in  $\mathcal{L}(X)$  is  $R$ -bounded if and only if  $X$  is isomorphic to a Hilbert space.

For  $1 \leq p < \infty$ , consider the Banach space  $L^p(0, 2\pi; X)$  with norm  $\|f\|_p := (\int_0^{2\pi} \|f(t)\|^p dt)^{1/p}$ . For  $f \in L^p(0, 2\pi; X)$  we denote by

$$\hat{f}(k) := \frac{1}{2\pi} \int_0^{2\pi} e^{-ikt} f(t) dt,$$

the  $k$ -th Fourier coefficient of  $f$ , where  $k \in \mathbb{Z}$ . For  $k \in \mathbb{Z}$ ,  $x \in X$  we let  $e_k(t) = e^{ikt}$  and  $(e_k \otimes x)(t) = e_k(t)x$  ( $t \in \mathbb{R}$ ). A function  $f \in L^p(0, 2\pi; X)$  is called a *trigonometric polynomial* if  $f$  is given by  $f = \sum_{k \in \mathbb{Z}} e_k \otimes x_k$ , where  $x_k \in X$  is 0 for all but finitely many  $k \in \mathbb{Z}$ .

Let  $M = (M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X, Y)$  be a sequence and let  $1 \leq p, q < \infty$ . We say that  $(M_k)_{k \in \mathbb{Z}}$  is a *periodic  $L^p$ - $L^q$ -Fourier multiplier* if there exists a constant  $C > 0$  such that

$$\left\| \sum_{k \in \mathbb{Z}} e_k \otimes M_k x_k \right\|_{L^q(0, 2\pi; Y)} \leq C \left\| \sum_{k \in \mathbb{Z}} e_k \otimes x_k \right\|_{L^p(0, 2\pi; X)}$$

for all  $X$ -valued trigonometric polynomials  $\sum_{k \in \mathbb{Z}} e_k \otimes x_k$ . In this case, there exists a unique operator  $M \in \mathcal{L}(L^p(0, 2\pi; X), L^q(0, 2\pi; Y))$  such that  $(Mf)\hat{\phantom{f}}(k) = M_k \hat{f}(k)$  for  $k \in \mathbb{Z}$  [3]. When  $p = q$ , we say simply that  $(M_k)_{k \in \mathbb{Z}}$  is a *periodic  $L^p$ -Fourier multiplier*. For  $k \in \mathbb{Z}$ , we let  $(\Delta^1 M)(k) = M_{k+1} - M_k$  and  $(\Delta^m M)(k) = (\Delta^1(\Delta^{m-1} M))(k)$  for  $m \geq 2$ . Notice that  $\Delta^m M$  is a discrete analogue of the  $m$ -th derivative of  $M$ .

The classical Marcinkiewicz Fourier multiplier theorem has been extended to the operator-valued case in the following way: let  $X$  and  $Y$  be UMD spaces and let  $(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X, Y)$ ; if both  $\{M_k : k \in \mathbb{Z}\}$  and  $\{k(M_{k+1} - M_k) : k \in \mathbb{Z}\}$  are  $R$ -bounded, then  $(M_k)_{k \in \mathbb{Z}}$  defines a periodic  $L^p$ -Fourier multiplier for each  $1 < p < \infty$  [3]. Indeed,  $(M_k)_{k \in \mathbb{Z}}$  is a periodic  $L^p$ - $L^q$ -Fourier multiplier whenever  $1 \leq q \leq p < \infty$ .

We will need the following inequality of Pisier [15]. Let  $1 \leq p < \infty$  and let  $\Lambda = \{n_k : k \in N\} \subset \mathbb{Z}$  be a Sidon subset [16, page 120]. Then there exists  $C > 0$  such that for any Banach space  $X$  and for any finite sequence  $(y_k)_{1 \leq k \leq N}$  of  $X$ , we have

$$(3) \quad C^{-1} \left\| \sum_k r_k \otimes y_k \right\|_2 \leq \left\| \sum_k e_{n_k} \otimes y_k \right\|_p \leq C \left\| \sum_k r_k \otimes y_k \right\|_2.$$

Note that if  $\lambda > 1$ , then any subset  $\{n_k : k \in \mathbb{N}\}$  satisfying  $n_{k+1}/n_k \geq \lambda$  ( $k \in \mathbb{N}$ ) is a Sidon subset of  $\mathbb{Z}$  [16, page 127].

The following result shows that one cannot replace  $R$ -boundedness in the operator-valued Marcinkiewicz theorem above by boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space.

**THEOREM 1.** *Let  $X$  be a Banach space. Then the following assertions are equivalent:*

- (i)  $X$  is isomorphic to a Hilbert space.
- (ii) For some  $1 \leq q < p < \infty$ , each sequence  $(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$  satisfying
  - (a)  $\sup_{k \in \mathbb{Z}} \|M_k\| < \infty$ ,
  - (b)  $\sup_{k \in \mathbb{Z}} \|k^l(\Delta^l M)(k)\| < \infty$  for  $l \in \mathbb{N}$ ,
  - (c)  $M_k = 0$  for  $k \leq 0$ ,

*is a periodic  $L^p$ - $L^q$ -Fourier multiplier.*

- (iii) For all  $1 < p < \infty$ , each sequence  $(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$  satisfying
  - (a)  $\sup_{k \in \mathbb{Z}} \|M_k\| < \infty$ ,
  - (b)  $\sup_{k \in \mathbb{Z}} \|k(\Delta^1 M)(k)\| < \infty$ ,

*is a periodic  $L^p$ -Fourier multiplier.*

**REMARK 2.** For  $l = 1$ , the condition formulated in (iii) is the classical condition considered by Marcinkiewicz in the scalar case. For arbitrary  $l \in \mathbb{N}$  we therefore speak of the *Marcinkiewicz condition of order  $l$* . For  $p = q$  and  $l = 1$ , Theorem 1 has been proved in [3, Proposition 1.17.]. However, a more refined choice of test functions is needed here for the general case. The motivation to consider  $l > 1$  stems from the results on Fourier multipliers for spaces of Hölder continuous functions where, indeed, the Marcinkiewicz condition of order 2 suffices (see [2] and also the Concluding Remarks at the end of this article). Theorem 1 shows that this is not the case in the  $L^p$ -context even if we consider weaker multipliers by allowing  $q < p$ . This has also been done by Kalton-Lancien in the context of maximal regularity for Cauchy problems [13] (see also the Concluding Remarks 5 (b) below).

**PROOF.** (i)  $\Rightarrow$  (iii). Assume that  $X$  is isomorphic to a Hilbert space, then considering an orthonormal basis one easily verifies that each bounded subset in  $\mathcal{L}(X)$  is actually  $R$ -bounded, so the result follows from the operator-valued Marcinkiewicz Fourier multiplier theorem in [3].

(iii)  $\Rightarrow$  (ii) is trivial.

(ii)  $\Rightarrow$  (i). Assume that for some  $1 \leq q < p < \infty$ , each sequence  $(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$  satisfying  $\sup_{k \in \mathbb{Z}} \|M_k\| < \infty$ ,  $\sup_{k \in \mathbb{Z}} \|k^l(\Delta^l M)(k)\| < \infty$  for  $l \in \mathbb{N}$  and  $M_k = 0$  for  $k \leq 0$ , is a periodic  $L^p$ - $L^q$ -Fourier multiplier. Let  $N = (N_k)_{k \in \mathbb{N}} \subset \mathcal{L}(X)$  be a bounded sequence.

Let  $\mathcal{S}(\mathbb{R})$  be the Schwartz space. Let  $\phi_1 \in \mathcal{S}(\mathbb{R})$  be such that  $\text{supp}(\phi_1) \subset [2, 4]$  and  $\phi_1(3) = 1$ . For  $n \geq 1$ , we let  $h_n = 2^{2n-2}$ . Define  $\phi_n = \phi_1(\cdot/h_n)$ . Then  $\text{supp}(\phi_n) \subset [2h_n, 4h_n]$  and  $\phi_n(3h_n) = 1$ . Let  $\phi: \mathbb{R} \rightarrow \mathcal{L}(X)$  be defined by

$$\phi(t) = \begin{cases} \phi_n(t)N_n & \text{if } 2h_n \leq t \leq 4h_n \text{ for some } n \geq 1; \\ 0 & \text{otherwise.} \end{cases}$$

Let  $M = (\phi(k))_{k \in \mathbb{Z}}$ . We claim that

$$(4) \quad \sup_{k \in \mathbb{Z}} \|\phi(k)\| < \infty,$$

$$(5) \quad \sup_{k \in \mathbb{Z}} \|k^l(\Delta^l M)(k)\| < \infty,$$

for  $l \in \mathbb{N}$ . Indeed (4) is clearly true. We will only give the proof for (5) when  $l = 2$ , the proof for the general case is similar.

First notice that when  $4h_n \leq k \leq 8h_n - 2$  for some  $n \in \mathbb{N}$ , or  $k \leq 0$ , then  $(\Delta^2 M)(k) = 0$ . While when  $2h_n - 2 < k < 4h_n$  for some  $n \in \mathbb{N}$

$$\begin{aligned} (\Delta^2 M)(k) &= (\phi_n(k+2) - 2\phi_n(k+1) + \phi_n(k))N_n \\ &= \left( \phi_1\left(\frac{k+1}{h_n} + \frac{1}{h_n}\right) - 2\phi_1\left(\frac{k+1}{h_n}\right) + \phi_1\left(\frac{k+1}{h_n} - \frac{1}{h_n}\right) \right) N_n \\ &= \frac{1}{2h_n^2}(\phi_1''(\eta_1) + \phi_1''(\eta_2))N_n \end{aligned}$$

for some  $\eta_1, \eta_2 \in \mathbb{R}$ . We deduce that

$$\sup_{k \in \mathbb{Z}} \|k^2(\Delta^2 M)(k)\| \leq \sup_{n \in \mathbb{N}} \frac{16h_n^2}{4h_n^2} \|N_n\| \sup_{x \in \mathbb{R}} |\phi_1''(x)| \leq 4 \sup_{n \in \mathbb{N}} \|N_n\| \sup_{x \in \mathbb{R}} |\phi_1''(x)|.$$

Thus  $M = (\phi(k))_{k \in \mathbb{Z}}$  is a periodic  $L^p$ - $L^q$ -Fourier multiplier by assumption. Hence there exists  $C > 0$  such that for  $n \in \mathbb{N}$  and  $x_1, x_2, \dots, x_n \in X$ , we have

$$\left\| \sum_k e_k \otimes \phi(k)x_k \right\|_q \leq C \left\| \sum_k e_k \otimes x_k \right\|_p,$$

and, in particular,

$$\left\| \sum_{n \geq 1} e_{3h_n} \otimes M_k x_{3h_n} \right\|_q \leq C \left\| \sum_{n \geq 1} e_{3h_n} \otimes x_{3h_n} \right\|_p.$$

By (3), this implies that the sequence  $(M_k)_{k \geq 1}$  is  $R$ -bounded. It is easy to check that if each countable subset of  $T$  is  $R$ -bounded then so is  $T$ . We deduce from this that each bounded subset in  $\mathcal{L}(X)$  is actually  $R$ -bounded. By [3, Proposition 1.13], this implies that  $X$  is isomorphic to a Hilbert space.  $\square$

### 3. Multipliers on the line

Let  $X$  be a Banach space and consider the Banach space  $L^p(\mathbb{R}; X)$  for  $1 < p < \infty$ . We denote by  $\mathcal{D}(\mathbb{R}; X)$  the space of all  $X$ -valued  $C^\infty$ -functions with compact support.  $\mathcal{S}(\mathbb{R}; X)$  will be the  $X$ -valued Schwartz space and we let  $\mathcal{S}'(\mathbb{R}; X) := \mathcal{L}(\mathcal{S}(\mathbb{R}); X)$ , where  $\mathcal{S}(\mathbb{R})$  denotes the  $\mathbb{C}$ -valued Schwartz space. Let  $Y$  be another Banach space. Then given  $M \in L^1_{\text{loc}}(\mathbb{R}; \mathcal{L}(X, Y))$ , we may define an operator  $T : \mathcal{F}^{-1}\mathcal{D}(\mathbb{R}; X) \rightarrow \mathcal{S}'(\mathbb{R}; Y)$  by means of

$$T\phi := \mathcal{F}^{-1}M\mathcal{F}\phi \quad \text{for all } \mathcal{F}\phi \in \mathcal{D}(\mathbb{R}; X),$$

where  $\mathcal{F}$  denotes the Fourier transform. Since  $\mathcal{F}^{-1}\mathcal{D}(\mathbb{R}; X)$  is dense in  $L^p(\mathbb{R}; X)$ , we see that  $T$  is well defined on a dense subset of  $L^p(\mathbb{R}; X)$ . We say that  $M$  is an  $L^p$ -Fourier multiplier on  $L^p(\mathbb{R}; X)$  if  $T$  can be extended to a bounded linear operator from  $L^p(\mathbb{R}; X)$  to  $L^p(\mathbb{R}; Y)$ .

The classical Mikhlin Fourier multiplier theorem has been extended to the operator-valued case by Weis. Let  $X$  and  $Y$  be UMD spaces,  $1 < p < \infty$  and let  $M \in C^1(\mathbb{R} \setminus \{0\}, \mathcal{L}(X, Y))$ . If both  $\{M(x) : x \neq 0\}$  and  $\{xM'(x) : x \neq 0\}$  are  $R$ -bounded, then  $M$  defines a  $L^p$ -Fourier multiplier on  $L^p(\mathbb{R}; X)$  [18].

The following result shows that one cannot replace  $R$ -boundedness in the operator-valued Mikhlin theorem above by boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space.

**THEOREM 3.** *Let  $X$  be a Banach space. Then the following assertions are equivalent:*

- (i)  $X$  is isomorphic to a Hilbert space.
- (ii) For some  $1 < p < \infty$ , each function  $M \in C^\infty(\mathbb{R}; \mathcal{L}(X))$  satisfying
  - (a)  $M(x) = 0$  for  $x \leq 0$ ,
  - (b)  $\sup_{x \in \mathbb{R}} \|M(x)\| < \infty$ ,
  - (c)  $\sup_{x \in \mathbb{R}} (1 + |x|)^l \|M^{(l)}(x)\| < \infty$  for  $l \in \mathbb{N}$ ,

*defines an  $L^p$ -Fourier multiplier on  $L^p(\mathbb{R}; X)$ .*

(iii) For all  $1 < p < \infty$ , each function  $M \in C^1(\mathbb{R} \setminus \{0\}; \mathcal{L}(X))$  satisfying the conditions

- (a)  $\sup_{x \neq 0} \|M(x)\| < \infty$ ,
- (b)  $\sup_{x \neq 0} \|xM'(x)\| < \infty$ ,

*defines an  $L^p$ -Fourier multiplier on  $L^p(\mathbb{R}; X)$ .*

**PROOF.** (i)  $\Rightarrow$  (iii). Assume that  $X$  is isomorphic to a Hilbert space. Then considering an orthonormal basis one easily verifies that each bounded subset in  $\mathcal{L}(X)$  is

actually  $R$ -bounded, so the result follows from the operator-valued Mikhlin Fourier multiplier theorem of Weis [18].

(iii)  $\Rightarrow$  (ii) is trivial.

(ii)  $\Rightarrow$  (i). Assume (ii) holds. Let  $(M_k)_{k \geq 0} \subset \mathcal{L}(X)$  be a bounded sequence and let  $\phi \in \mathcal{D}(\mathbb{R})$  satisfying  $\text{supp}(\phi) \subset [1, 2]$ ,  $\sup_{x \in \mathbb{R}} |\phi(x)| = 1$  and  $\phi(3/2) = 1$ . Define  $M \in C^\infty(\mathbb{R}; \mathcal{L}(X))$  by

$$M(x) = \begin{cases} 0 & \text{if } x \leq 1; \\ \phi(2^{-k}x)M_k & \text{if } 2^k \leq x < 2^{k+1} \text{ for some } k \geq 0. \end{cases}$$

Then  $\sup_{x \in \mathbb{R}} \|M(x)\| = \sup_{k \geq 0} \|M_k\| < \infty$  and for  $l \in \mathbb{N}$ ,

$$\begin{aligned} & \sup_{x \in \mathbb{R}} (1 + |x|)^l \|M^{(l)}(x)\| \\ & \leq 2^m \left( \sup_{x \in \mathbb{R}} |x^l \phi^{(l)}(x)| \sup_{k \geq 0} \|M_k\| + \sup_{x \in \mathbb{R}} |\phi^{(l)}(x)| \sup_{k \geq 0} 2^{-lk} \|M_k\| \right) < \infty. \end{aligned}$$

So  $M$  is an  $L^p$ -Fourier multiplier on  $L^p(\mathbb{R}; X)$  by assumption. By [9, Proposition 1] this implies that the set  $\{M(x) : x \in \mathbb{R}\}$  is  $R$ -bounded. In particular, the sequence  $(M_k)_{k \geq 0}$  is  $R$ -bounded. We deduce from this that each bounded subset in  $\mathcal{L}(X)$  is  $R$ -bounded, by [3, Proposition 1.13]  $X$  is isomorphic to a Hilbert space.  $\square$

#### 4. Multipliers on $\mathbb{Z}$

Let  $X, Y$  be Banach spaces and consider the Banach space  $\ell^p(\mathbb{Z}; X)$  for  $1 < p < \infty$ . Let  $\mathbb{T} = \{e^{it} : 0 \leq t < 2\pi\}$  be the torus. We consider the dense subspace  $P$  of  $\ell^p(\mathbb{Z}; X)$  consisting of all elements having a finite support. Then for  $f = (f_n)_{n \in \mathbb{Z}} \in P$ , the Fourier transform of  $f$  is a function on  $[-\pi, \pi]$  defined by  $(\mathcal{F}f)(t) = \sum_{n \in \mathbb{Z}} f_n e^{int}$ . Let  $M \in L^\infty(-\pi, \pi; \mathcal{L}(X, Y))$ . Then the function  $M\mathcal{F}f$  is in  $L^\infty(-\pi, \pi; Y)$ , where  $\mathcal{F}^{-1}$  denotes the inverse Fourier transform. We deduce that  $Tf := \mathcal{F}^{-1}(M\mathcal{F}f) \in c_0(\mathbb{Z}; Y)$  makes sense. We say that  $M$  is an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$  if the mapping  $T$  can be extended to a bounded linear operator from  $\ell^p(\mathbb{Z}; X)$  to  $\ell^p(\mathbb{Z}; Y)$ .

The classical Mikhlin Fourier multiplier theorem on  $\ell^p(\mathbb{Z})$  has been extended to the operator-valued case by Blunck. Let  $1 < p < \infty$ ,  $X$  be a UMD space, let  $M \in C^1((-\pi, 0) \cup (0, \pi); \mathcal{L}(X))$  be such that both  $\{M(t) : t \in (-\pi, 0) \cup (0, \pi)\}$  and  $\{(e^{it} - 1)(e^{it} + 1)M'(t) : t \in (-\pi, 0) \cup (0, \pi)\}$  are  $R$ -bounded. Then  $M$  is an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$  [5]. In particular, each  $M \in C^1([-\pi, 0) \cup (0, \pi]; \mathcal{L}(X))$  such that both  $\{M(t) : t \neq 0\}$  and  $\{tM'(t) : t \neq 0\}$  are  $R$ -bounded, defines an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$ . Blunck has also established the  $R$ -boundedness of

$L^p$ -Fourier multipliers on  $\ell^p(\mathbb{Z}; X)$ : when  $M$  is an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$ , then  $\{M(t) : t \text{ is a Lebesgue point of } M\}$  is  $R$ -bounded.

The following result shows that one cannot replace the  $R$ -boundedness in Blunck's result by the boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space. As the proof is similar to that of Theorem 3, we omit it.

**THEOREM 4.** *Let  $X$  be a Banach space. Then the following assertions are equivalent:*

- (i)  $X$  is isomorphic to a Hilbert space.
- (ii) For some  $1 < p < \infty$ , each function  $M \in C^\infty([-\pi, \pi]; \mathcal{L}(X))$  satisfying
  - (a)  $\sup_{x \in [-\pi, \pi]} \|M(x)\| < \infty$ ,
  - (b)  $\sup_{x \in [-\pi, \pi]} |x|^l \|M^{(l)}(x)\| < \infty$  for  $l \in \mathbb{N}$ ,
  - (c)  $M(x) = 0$  for  $x \leq 0$ ,

*defines an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$ .*

(iii) For all  $1 < p < \infty$ , each function  $M \in C^1([-\pi, 0) \cup (0, \pi]; \mathcal{L}(X))$  satisfying

- (a)  $\sup_{x \neq 0} \|M(x)\| < \infty$ ,
- (b)  $\sup_{x \neq 0} \|x M'(x)\| < \infty$ ,

*defines an  $L^p$ -Fourier multiplier on  $\ell^p(\mathbb{Z}; X)$ .*

## 5. Concluding remarks

(a) One can actually show by using [3, Theorem 1.3] and the same argument as in the proof of Theorem 1, that when  $X$  and  $Y$  are UMD-spaces, then the assertions (ii) and (iii) in Theorem 1 are still equivalent for sequences in  $\mathcal{L}(X, Y)$ . Similarly, using [18, Theorem 3.4] (respectively, [5, Theorem 1.3]) one can show that when  $X$  and  $Y$  are UMD-spaces, the assertions (ii) and (iii) in Theorem 3 (respectively, Theorem 4) are still equivalent for functions with values in  $\mathcal{L}(X, Y)$ . Furthermore, these assertions are equivalent to  $X$  having cotype 2 and  $Y$  having type 2. This contains our Theorem 1, Theorem 3 and Theorem 4 by a result of Kwapien [14, pages 73–74], saying that a Banach space  $X$  is isomorphic to a Hilbert space if and only if  $X$  is of cotype 2 and of type 2.

(b) A restricted version of our results follows from the recent work of Kalton and Lancien on the maximal regularity problem [12]. In particular, the counterexample constructed in [12] can be used to show that the equivalences in Theorem 1 and Theorem 3 are true within the class of UMD Banach spaces which have an unconditional basis.

(c) In contrast to the  $L^p$ -spaces case, the situation for Hölder continuous function spaces is quite different. It has been shown that the operator-valued Marcinkiewicz



(respectively, Mikhlin) Fourier multiplier theorem holds true on  $C_{\text{per}}^\alpha([0, 2\pi]; X)$  (respectively,  $C^\alpha(\mathbb{R}; X)$ ) for every Banach space  $X$  and  $0 < \alpha < 1$  and for each sequence  $M = (M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$  satisfying a second order condition:

$$\sup_{k \in \mathbb{Z}} \|M_k\| + \sup_{k \in \mathbb{Z}} \|k(\Delta^1 M)(k)\| + \sup_{k \in \mathbb{Z}} \|k^2(\Delta^2 M)(k)\| < \infty$$

(respectively, each function  $M \in C^2(\mathbb{R} \setminus \{0\}; \mathcal{L}(X))$  satisfying a second order condition:  $\sup_{x \neq 0} \|M(x)\| + \sup_{x \neq 0} \|xM'(x)\| + \sup_{x \neq 0} \|x^2M''(x)\| < \infty$ ) (see Amann [1] and [2]). Here  $C_{\text{per}}^\alpha([0, 2\pi]; X)$  denotes the space of all functions in  $C^\alpha(\mathbb{R}, X)$  which are  $2\pi$ -periodic. If the Banach space has a non-trivial type, then even the Marcinkiewicz condition of order 1 suffices (see [2] and [11]).

(d) Periodic  $L^p$ -Fourier multipliers (respectively,  $L^p$ -Fourier multipliers on  $L^p(\mathbb{R}; X)$ ) of the form  $M = (m_k I)_{k \in \mathbb{Z}}$ , where  $m_k \in \mathbb{C}$  for  $k \in \mathbb{Z}$  (respectively,  $M = f I$ , where  $f \in C^1(\mathbb{R} \setminus \{0\})$ ) on  $L^p(0, 2\pi; X)$  (respectively, on  $L^p(\mathbb{R}; X)$ ) have been studied by Zimmermann [19], where  $I$  denotes the identity operator on  $X$ . Actually Zimmermann's results follow from the operator-valued Marcinkiewicz (respectively, Mikhlin) Fourier multiplier theorem established in [3] (respectively, in [18]) as each subset  $M \subset \mathcal{L}(X)$  of the form  $M = \{\lambda I : \lambda \in \Omega\}$  is  $R$ -bounded whenever  $\Omega \subset \mathbb{C}$  is bounded. Zimmermann's results together with a result of Burkholder [7] show that the scalar-valued Marcinkiewicz (respectively, Mikhlin) Fourier multiplier theorem holds true for  $L^p(0, 2\pi; X)$  (respectively,  $L^p(\mathbb{R}; X)$ ) for some  $1 < p < \infty$  if and only if  $X$  is a UMD space. A similar result characterizing UMD spaces via a scalar-valued Fourier multiplier theorem on  $\ell^p(\mathbb{Z}; X)$  can be established based on results in [4].

(e) It is remarkable that in all three cases we consider here (Theorem 1, Theorem 3 and Theorem 4), the sequence  $(M_k)_{k \in \mathbb{Z}}$  (or the function  $M$ ) satisfying the Marcinkiewicz condition (of order  $l$ ) without being a Fourier multiplier consists of operators of rank 1 (see [3, Proposition 1.13.]).

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