

## HARDY-LITTLEWOOD MAXIMAL FUNCTIONS ON SOME SOLVABLE LIE GROUPS

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*Dedicated to Robert Edwards in recognition of  
25 years' distinguished contribution to mathematics in Australia,  
on the occasion of his retirement*

### Abstract

Let  $N$  be a nilpotent simply connected Lie group, and  $A$  a commutative connected  $d$ -dimensional Lie group of automorphisms of  $N$  which correspond to semisimple endomorphisms of the Lie algebra of  $N$  with positive eigenvalues. Form the split extension  $S = N \rtimes A \cong N \rtimes \mathfrak{a}$ ,  $\mathfrak{a}$  being the Lie algebra of  $A$ . We consider a family of “rectangles”  $B_r$  in  $S$ , parameterized by  $r > 0$ , such that the measure of  $B_r$  behaves asymptotically as a fixed power of  $r$ . One can construct the Hardy-Littlewood maximal function operator  $f \rightarrow M_f$  relative to left translates of the family  $\{B_r\}$ . We prove that  $M$  is of weak type  $(1, 1)$ . This complements a result of J.-O. Strömberg concerning maximal functions defined relative to hyperbolic balls in a symmetric space.

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Let  $G$  be a semi-simple connected non-compact Lie group with finite center and let  $G = NAK$  be the Iwasawa decomposition of  $G$ . Let  $S = G/K$  be the non-compact symmetric space.  $NA$  acts on  $S$  simply transitively and so there is a natural identification of the group  $NA$  (the group of translations of  $S$ ) and  $S$ . We write

$$S = NA.$$

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The  $G$ -invariant metric  $\rho$  on  $S$  is thus a left-invariant metric on  $S$  and the  $G$ -invariant measure on  $S$  is the left invariant Haar measure  $\mu_l$  on  $S$ . In this setting a theorem of J.-O. Strömberg [2] reads

THEOREM. *Let*

$$\mathbf{B}_r = \{s \in S: \rho(s, e) \leq r\}.$$

*The maximal function  $Mf$  defined by*

$$Mf(s) = \sup_{r>0} \mu_l(\mathbf{B}_r)^{-1} \int_{s\mathbf{B}_r} f(s') d\mu_l(s')$$

*is of weak type  $(1, 1)$ .*

The aim of this note is to show that a similar theorem is true for other families of balls  $\{B_r\}_{r>0}$  on  $S$  (not  $K$ -invariant any more) and as a matter of fact, the proof is very easy and straightforward. As a simple calculation shows, the balls we consider and the balls with respect to the hyperbolic metric on the upper half-plane (identified with the ' $ax + b$ '-group as above) are not comparable in measure, so Strömberg's result and ours are not simple consequences of each other.

The setting of our theorem is as follows.

Let  $N$  be a nilpotent simply connected Lie group. Let  $A$  be a commutative connected  $d$ -dimensional Lie group of automorphisms of  $N$  which (as linear transformations on  $\mathfrak{n}$ ) are semi-simple with positive eigenvalues. We write  $A = \{e^t: t \in \mathfrak{a}\}$ ,  $N \ni x \rightarrow e^t x \in N$  being the action of  $A$  on  $N$ . We then have  $e^t \cdot e^{t'} x = e^{t+t'} x$ .

Let

$$N \ni x \rightarrow |x| \in \mathbf{R}^+$$

be a continuous function on  $N$  with the property that for some positive constants  $c, C', Q$

$$Cr^Q \leq \text{measure}\{x: |x| \leq r\} \leq c'r^Q$$

for all  $r > 0$ . For  $t \in \mathfrak{a}$  let  $|t| = \text{norm of the operator } t \text{ (acting on } \mathfrak{n})$ . We form the split extension of  $N$  by  $A$ :

$$S = NA = N \times \mathfrak{a}$$

the multiplication being

$$(x, t)(x', t') = (x + e^{-t}x', t + t').$$

Then the left and right invariant Haar measures on  $S$  are,

$$d\mu_l(x, t) = e^{-\text{Tr } t} dx dt,$$

$$d\mu_r(x, t) = dx dt,$$

respectively.

**THEOREM.** *Let*

$$B_r = \{s = (x, t) : |x| \leq r, |t| \leq r\}.$$

*The maximal function  $Mf$  defined by*

$$Mf = \sup_{r>0} \mu_l(B_r)^{-1} \int_{sB_r} f(s') d\mu_l(s')$$

*is of weak type  $(1, 1)$ .*

The proof follows [2] but is much simpler. In fact the theorem is an immediate consequence of the following two propositions.

Let

$$M_0 f(s) = \sup_{r \leq 1} \mu_l(B_r)^{-1} \int_{sB_r} f(s') d\mu_l(s'),$$

$$M_\infty f(s) = \sup_{r \geq 1} \mu_l(B_r)^{-1} \int_{sB_r} f(s') d\mu_l(s').$$

**PROPOSITION 1.**  *$M_0$  is of weak type  $(1, 1)$ .*

**PROPOSITION 2.**  *$M_\infty f(s) \leq |f| + \check{r}(s)$ , where  $\check{r} \in L^1(S, \mu_l)$ .*

Proposition 1 follows from the following two easy lemmas.

**LEMMA 1.** *Let  $E \subset S$  and  $\mu_l(E) < +\infty$ . Suppose*

$$E \subset \bigcup_{s \in \sigma} sB_{r(s)}, \quad r(s) \leq 1.$$

*Then there exists a subset  $\{s_1, s_2, \dots\}$  of  $\sigma$  such that if  $B_{r_j} = B_{r(s_j)}$ , then*

$$s_i B_{r_i} \cap s_j B_{r_j} = \emptyset \quad \text{for } i \neq j$$

*and*

$$E \subset \bigcup_j s_j B_{r_j} B_{2r_j}^{-1} B_{2r_j}.$$

The proof is standard.

**LEMMA 2.** *There is a constant  $C$  such that*

$$\mu_l(B_r B_{2r}^{-1} B_{2r}) \leq C \mu_l(B_r)$$

*for all  $r \leq 1$ .*

**PROOF OF PROPOSITION 2.** We have

$$(1) \quad \mu_l(B_r) \geq Cr^Q \int_{|t| \leq r} e^{-\text{Tr } t} dt = Cr^Q (sh r)^d.$$

Following J.-L. Clerc and E. M. Stein [1], see also [1], we get

$$\varphi(x, t) = \begin{cases} \mu_l(B_{|x|})^{-1} & \text{if } |x| \geq \max\{|t|, 1\}, \\ \mu_l(B_{|t|})^{-1} & \text{if } |t| \geq \max\{|x|, 1\}, \\ 1 & \text{otherwise.} \end{cases}$$

and we note that for a constant  $C$

$$(2) \quad \mu_l(B_r)^{-1} \chi_{B_r}(x, t) \leq C \varphi(x, t)$$

for all  $r \geq 1$ , where  $\chi_E$  denotes the indicator function of  $E$ . In fact, it suffices to verify (2) for

$$r_0 = \min\{r : (x, t) \in B_r\}$$

and for  $r_0$  (2) is obvious.

By (1), we have

$$\varphi(x, t) \leq C(1 + |x|^Q(sh|x|)^d + |t|^Q(sh|t|)^d)^{-1} = \tau(x, t).$$

Consequently, by (2),

$$\begin{aligned} \mathcal{M}_\infty f(s) &= \sup_{r \geq 1} \mu_l(B_r)^{-1} \int \chi_{sB_r}(s') f(s') d\mu_l(s') \\ &= \sup_{r \geq 1} \int \mu_l(B_r)^{-1} \chi_{B_r}(s'^{-1}s) f(s') d\mu_l(s') \\ &\leq |f| * \check{\tau}(x). \end{aligned}$$

But clearly

$$\int (1 + |x|^Q(sh|x|)^d + |t|^Q(sh|t|)^d)^{-1} dt dx < +\infty$$

i.e.

$$\tau \in L^1(S, \mu_r)$$

whence  $\check{\tau} \in L^1(S, \mu_l)$ , and the proof is complete.

**REMARK.** It would perhaps be interesting to know whether a similar result holds for riemannian balls with respect to some left-invariant riemannian metric on a solvable Lie group  $S$ .

## References

- [1] J.-L. Clerc and E. M. Stein, ' $L^p$ -multipliers for non-compact symmetric spaces', *Proc. Nat. Acad. Sci. U.S.A* **71** (1974), 3911–3912.
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