

Salicylaldimine Copper(II) complex catalyst: Pioneer for ring opening Polymerization of Lactide

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Abstract. Salicylaldimine copper complex has been synthesized and its reactivity for the ring-opening polymerization (ROP) of lactide has been studied. This monomeric copper complex was prepared by the reaction of copper(II) solution with one molar equivalent of salicylaldimine Schiff-base ligand in methanol under nitrogen atmosphere. This copper complex has been characterized by different spectroscopic methods, which showed square planar geometry. The molecular structure of the salicylaldimine Schiff-base has been determined by X-ray diffraction studies. The complex was tested as the initiator for the ring-opening polymerization of lactide, with variation in diamine group in ligand. The rate of polymerization is dependent on the diamine group in the following order: ethylene > propylene > phenyl. The salicylaldimine copper complex allows controlled ring-opening polymerization as indicated by the linear relationship between the percentage conversion and the number-average molecular weight. On the basis of literature reports, a mechanism for ROP of lactide has been proposed.

Keywords. Ring opening polymerization (ROP); lactide; salicylaldimine; copper complex; PLA.

1. Introduction

Poly(lactic acid) (PLA),^{1–3} produced by the ring-opening polymerization (ROP) of lactide (LA), is a leading biodegradable and biocompatible polyester and PLA degrades to form nontoxic components (water and carbon dioxide), which makes PLA very useful for biomedical and pharmaceutical applications.^{4–7} Due to their outstanding mechanical properties, PLAs are used in surgery as orthopedic applications, tissue engineering and biodegradable internal fixation devices.^{8,9}

The preventive use in biomedical application is dependent on the extent to which the metal residues are removable upon quenching the polymerization. As removal of metal can never be complete, a preferred and feasible industrial process should employ metals in which the residues are not cytotoxic. It is practical to use environmentally benign metals so that there will be no harm due to metal residue in polymers.¹⁰ Different metal initiators or catalysts have been used in the formation of PLA, such as compounds of aluminum,^{11–13} lithium,^{1,14–16} magnesium,^{17–23} iron,²⁴

tin,^{25,26} titanium,^{27,28} or zinc.^{19,29–33} Recently, initiators or catalysts based on metal such as Ca, Mg, Fe and Zn have received great interest because of their metabolized activity in the body.³⁴ Comparatively, copper complexes with high electron transfer ability, moderate Lewis acidity and stability associated with reactive intermediates, should be the topic of in depth investigations. Copper is a biocompatible metal supporting the survival of life and to the best of our knowledge there have been few reports on copper initiators having nitrogen-containing polydentate ligands (which appear as one of the most versatile ligand classes in both main group and transition metal coordination chemistry as these allow facile modulation of steric and electronic factors³⁵) and their application in ROP of lactide. These include copper complexes derived from pyrazole,³⁶ phenoxy-ketimine,³⁷ salicylaldimine,³⁸ and diketiminate,³⁹ which are active towards polymerization of lactides and produce polymer with moderate number average molecular weights and narrow molecular weight distribution. Nowadays, all the commercial PLAs are synthesized using FDA-approved stannous octanoate as catalyst. Copper acetate having same

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catalytic characteristics as stannous octanoate and less toxic has been used for synthesis of PLA.⁴⁰

Recently, John *et al.*, showed that phenoxy-ketimine copper complexes **1-3** shown in figure 1 successfully catalyzed the ROP of *L*-lactide under solvent-free conditions at 160–180°C, producing PLLA of moderate molecular weights ($M_n = 8000-11\,000$, $[M] : [I] = 50$) with 70–80% conversion.³⁷

Appavoo *et al.*, investigated the ROP of *DL*-lactide using copper complexes **4-7** with pyrazole unit to produce low molecular weight polymers.³⁶ They reported that the complex **4** (figure 1) displayed relatively higher catalytic conversion than other complexes **5-7** at 110°C after 144 h (monomer: initiator = 100: 1). And also, Bhunora *et al.*, investigated ROP of *DL*-lactide using four coordinate copper complexes **8-10** (figure 1) and showed highest conversion (80%) in case of complex **10** rather than **8** (55%) and **9** (18%) (monomer to initiator ratio = 50).³⁸

The relative success of tetra-coordinate salicylaldimine complexes in various polymerization reactions is most probably due to the scope for suitable alteration of the steric bulk and the electronic property of the subsidiary ligand and also due to their easy synthetic accessibility, as the salicylaldimines are generally prepared *via* Schiff base condensation reactions. Despite extensive utility of salicylaldimine Schiff base complexes in many important chemical transformations,³⁵ their application in ROP of *L*-lactide largely remains unexplored. Because of the relative scarcity of reports on the use of copper complexes as ROP initiators, we embarked on a study of these complexes in such processes. Here we report the synthesis and use of tetra-coordinated salicylaldimine copper complex in *L*-lactide polymerization. This complex is stable in air and easy to prepare. In addition, the steric and electronic effects of ligands on polymerization

activity were also investigated. A kinetic study of the ROP of *L*-lactide was carried out and correlated with the nature of the ligands. In addition, a mechanism for ROP of lactide has been proposed.

2. Experimental

2.1 Materials

Syntheses were performed under a dry nitrogen atmosphere using a combination of a glove box and standard Schlenk techniques. All solvents were of analytical grade and were dried and distilled prior to use. Toluene and dichloromethane were dried and distilled from sodium benzophenone and P_2O_5 , respectively. Anhydrous copper chloride, Ethylene diamine (ED), propylene diamine (PD) and benzene-1, 2-diamine (BD) were purchased from HiMedia Laboratories Pvt. Ltd., Mumbai, India and 2-hydroxy-3-methoxybenzaldehyde (HMB) and benzyl alcohol (BnOH) were procured from E. Merck, India. *L*-Lactide (LA) purchased from Sigma-Aldrich was used as received. Other chemicals were of analytical grade (>99.0 wt %) and used as received.

2.2 Characterization of the Schiff bases and its copper complex

IR spectra were recorded on KBr pellet using Perkin-Elmer 1600 FTIR Spectrophotometer. The electronic spectra were recorded with Shimadzu 1601 PC UV-Vis Spectrophotometer. TGA was carried out by using Perkin-Elmer Pyris, Diamond Thermal Analyzer under nitrogen atmosphere at a heating rate of 10°C min⁻¹. AAS was done by Perkin-Elmer 3100 Atomic Absorption Spectrometer at λ_{max} of copper ion. Haraeus Carlo Ebra 1108 Elemental Analyzer was used for

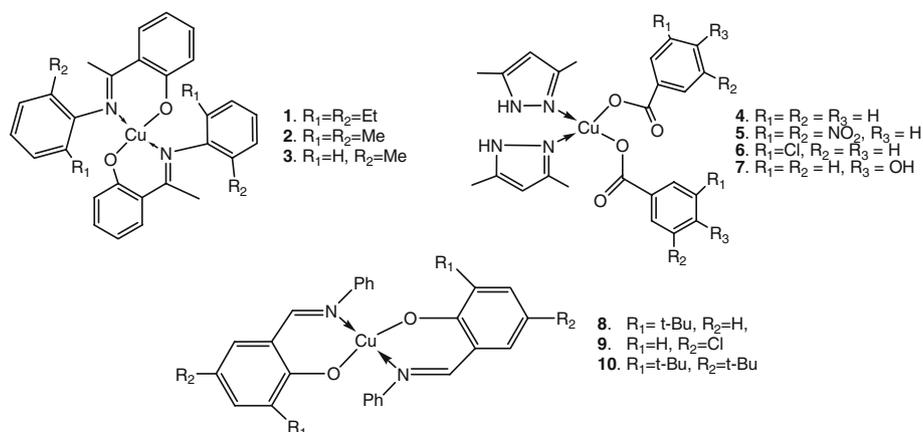


Figure 1. Copper complexes used for ROP of *L*-lactide.³⁶⁻³⁸

analyzing the composition of Schiff bases and its copper complexes. The NMR spectra were recorded on an FT-NMR-Bruker 300 MHz Spectrometer using DMSO- d_6 as a solvent and tetramethylsilane (TMS) as an internal reference. The magnetic moment (μ) of metal complexes was measured using Vibrating Sample Magnetometer-155. The molecular weight of Schiff base its copper complex and PLA were determined using a Vapor Pressure Osmometer (Merck VAPRO 5600, Germany). A suitable crystal of ligand was analyzed by Bruker Kappa Apex-II diffractometer.

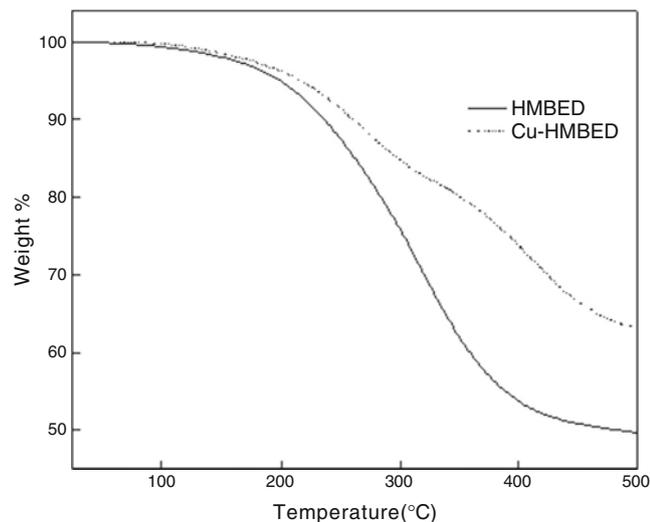


Figure 2. Thermal stability of the H2MBED Schiff base and its copper complex.

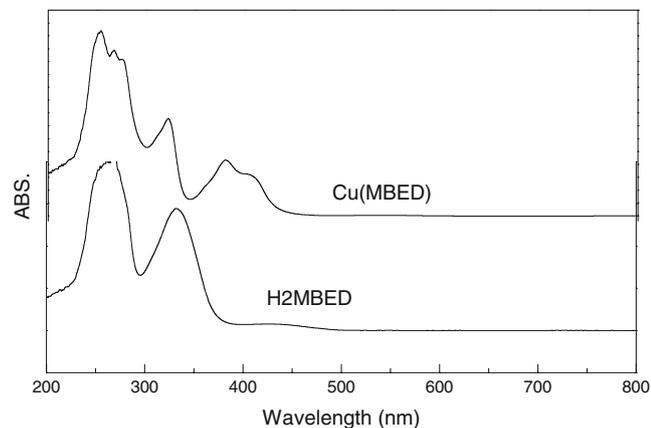


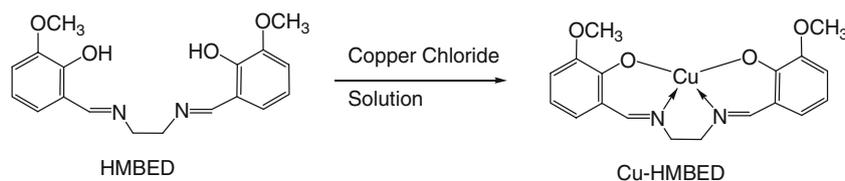
Figure 3. Electronic spectra of H2MBED Schiff base (0.05mM) and its copper complex (0.05mM).

2.3 Synthesis of Characterization of H2MBED Schiff base and its copper complexes

N, N'-bis (2-hydroxy-3-methoxy benzaldehyde) ethylenediamine (H2MBED) Schiff base was synthesized by the modified synthetic route reported earlier.⁴¹ The mixture of 2-hydroxy-3-methoxybenzaldehyde (20.00 mmol, 3.04 g) and ethylene diamine (10.00 mmol, 0.6 g) in methanol was refluxed at 60°C for about 2 h. The straw yellow colored crystals were obtained on cooling the reaction mixture, and then they were recrystallized with chloroform. The copper complex Cu(MBED) was synthesized by refluxing 100 mL methanolic solution of Schiff base (20.00 mmol, 6.56 g) and copper chloride (20.00 mmol, 2.69 g) in a round bottom flask at 60°C for 7 h. All reactions were performed under nitrogen atmosphere. Finally, the copper complex was recrystallized in methanol and dried in a vacuum desiccator.

The thermal stability of the Cu(MBED) catalyst was analyzed for their application in high-temperature reactions and to provide proof for the complexation of copper ion with H2MBED Schiff base. The TGA of H2MBED Schiff base showed a weight loss of 50.4 wt% at 500°C, but its copper(II) chloride complex showed a weight loss of 37.0 wt%, which indicates that Cu(MBED) complex was more stable⁴² in comparison to ligand (figure 2). In addition to thermal analysis, FTIR and UV techniques were used for H2MBED Schiff base and its copper complex to provide evidence for the formation of Cu(MBED). Elemental analysis and magnetic property of the copper complex confirmed the structures and geometries.

The H2MBED Schiff base has absorption bands at 1613 cm^{-1} and 1256 cm^{-1} for $>\text{C}=\text{N}$ and phenolic $>\text{C}-\text{O}$, respectively, and a broad band between 3100 and 2800 cm^{-1} was observed for phenolic OH (figure S1 in Supplementary Information). From elemental analysis of H2MBED Schiff base, it was observed that (wt %): C = 66.13, N = 8.42 and H = 6.21; and the Calcd (%): C = 65.84, N = 8.53 and H = 6.14, which corresponded to the formula $\text{C}_{18}\text{H}_{20}\text{N}_2\text{O}_4$.⁴¹ The molecular weight of H2MBED was 329.23 g mol^{-1} (Calcd. 328.36 g mol^{-1}). The UV-Vis absorption bands at 264 nm and 330 nm of H2MBED (figure 3), represent the transitions $\pi \rightarrow \pi^*$ and $n \rightarrow \pi^*$, respectively.



Scheme 1. Preparation of copper(II) complex of H2MBED Schiff base.

The copper(II) complex of H2MBED Schiff base was prepared by refluxing the mixture of Schiff base and copper(II) chloride at 60°C for 7 h (scheme 1) in methanol. It was observed that complexation of copper(II) ion was 83.8 wt%. The elemental analysis of Cu(MBED) complex showed (wt %): C = 56.39, N = 7.13 and H = 4.62; Calcd. (%): C = 55.45, N = 7.18 and H = 4.65, which corresponds to the formula $C_{18}H_{18}CuN_2O_4$. The observed molecular weight of Cu(MBED) was $390.46 \text{ g mol}^{-1}$ (Calcd. $389.89 \text{ g mol}^{-1}$).

Due to the formation of copper complex, there was a considerable difference in IR bands for $>C=N$ and $>C=O$ groups and also two new absorption bands at 566 cm^{-1} and 442 cm^{-1} appeared due to the formation of Cu–O and Cu–N bonds in Cu(MBED) complex (table S1 in SI and figure 4). And also, vanishing of phenolic OH band between 2800 and 3000 cm^{-1} of H2MBED confirms the formation of Cu(MBED).⁴¹

The formation of Cu(MBED) showed hypsochromic shift in $\pi \rightarrow \pi^*$ transition from 264 nm to 254 nm, and for the $n \rightarrow \pi^*$ transition from 330 nm to 269 nm

(table S1 in SI). And also showed transitions at 322 nm and 383 nm for $C \rightarrow T$ and $d \rightarrow d$ transition, respectively. These electronic transitions correspond to $t_{2g}^6 e_g^3$ configurations for copper(II) ion in this complex. The magnetic moment (μ) of the Cu(MBED) complex was found to be 1.84 BM, which indicated that it was paramagnetic and square planar structure with dsp^2 hybridization.

2.4 Crystal structure of H2MBED

X-ray diffraction measurement showed that single crystals of H2MBED belongs to space group P_c and monoclinic system. Crystallographic data and the results of structure refinements are summarized in table S2 (in Supplementary Information) and the crystal structure shown in figure 5. As shown in figure 5, each HMB binds to two nitrogen of BD. Selected bond lengths (Å) and bond angles (deg) are: N(2)–C(11) 1.274(3); N(2)–C(10) 1.451(3); C(9)–N(1) 1.452(3); N(1)–C(8) 1.270(3); C(11)–N(2)–C(10) 119.56(19); N(2)–C(11)–C(12) 121.70(19); N(2)–C(11)–H(8) 119.2; N(2)–C(10)–C(9) 110.38(18); N(2)–C(10)–H(7A) 109.6; N(2)–C(10)–H(7B) 109.6; N(1)–C(9)–C(10) 109.82(18); N(1)–C(9)–H(6B) 109.7; N(1)–C(9)–H(6A) 109.7; C(8)–N(1)–C(9) 119.71(19); N(1)–C(8)–C(6) 123.2(2); N(1)–C(8)–H(5) 118.4.

2.5 Cu(MBED) complex in ring opening polymerization of L-lactide

A typical polymerization procedure was exemplified by the synthesis of PLA-150 ([LA]/[Cu] = 150) at room temperature. To a rapidly stirred solution of Cu(MBED) (0.052 g, 0.133 mmol) in toluene (30 mL) was added L-lactide (2.88 g, 20 mmol) along with requisite amount of benzyl alcohol. A rise in viscosity was observed and finally the stirring was ceased after 25 h. Volatile materials were removed under vacuum, and the residue was

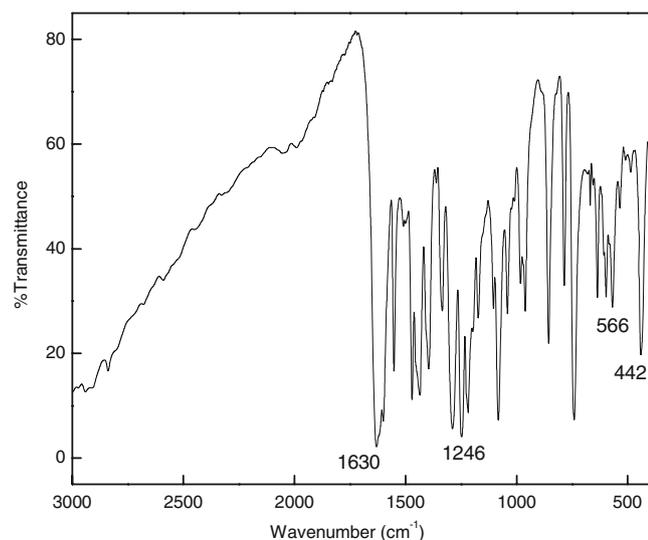


Figure 4. FTIR spectrum of Cu(MBED) complex.

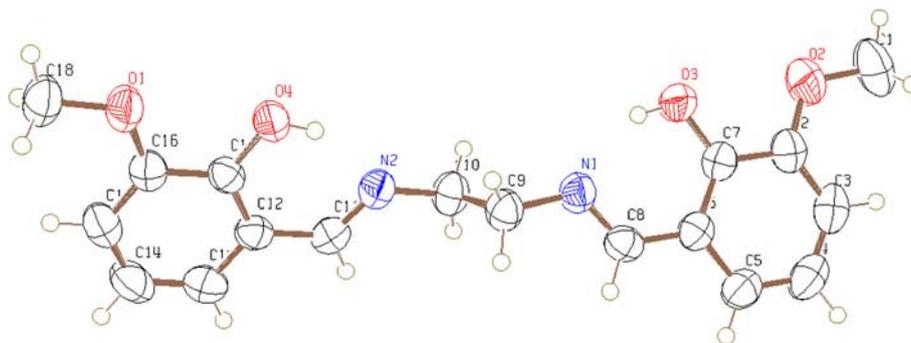


Figure 5. Crystal structure of H2MBED.

extracted with THF (30 mL). The extraction was dried again and the white precipitate was washed with n-hexane three times and dried under vacuum overnight, giving a crystalline white solid. Yield: 2.4 g (83%).

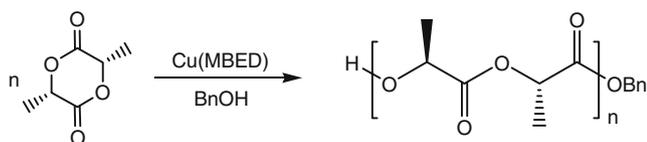
The molecular weights (M_n and M_w) and polydispersity index (M_w/M_n) were determined by using gel permeation chromatography (GPC) manufactured by Waters. The GPC instrument was equipped with a Waters 1525 Binary HPLC pump. Two columns, namely, Waters Styragel HR4 7.8 × 300 mm, “WAT10573” and “WAT044223” were used in series for separation using THF as the solvent and mobile phase. The flow rate of THF was 1.0 mL/min. An ELS detector, Waters

2420, was used for detection of different molecular weight fractions. Polystyrene standards with a low dispersity index were used to generate a calibration curve. The GPC chromatograms were analyzed through Breeze version 3.3 software.

3. Results and Discussion

3.1 Cu(MBED) complex in ring opening polymerization of L-lactide

On the basis of the ‘immortal’ property of lactide polymerization demonstrated by several copper complexes^{36–39} and the evidence of *in situ* formation of metal alkoxide in the presence of alcohol,^{11–33} the complex Cu(MBED) was expected to behave as catalyst for the ROP of lactide in the presence of benzyl alcohol. The copper complex Cu(MBED) in presence of benzyl alcohol does initiate the ring-opening polymerization of L-lactide (LA) in dichloromethane at 30°C (scheme 2). The polymerization results are listed in tables 1 and 2.



Scheme 2. Polymerization of lactide in presence of benzyl alcohol (BnOH).

Table 1. Polymerization of L-lactide using Cu(MBED) at 30°C.

Entry	Solvent	Conversion ^a (%)	M_n (Theory) ^b (g mol ⁻¹)	M_n (VPO) ^c (g mol ⁻¹)	M_n (GPC) ^d	PDI
1	CH ₂ Cl ₂	96.4	21000	24000	23200	1.08
2	Toluene	81.2	17600	12500	13700	1.06
3	THF	62.3	13500	8300	7000	1.06

Conditions: $[L-LA]_0 = 20$ mmol, room temperature, Reaction time 24 h, $[M]_0/[Cu]/[BnOH] = 150/1/1$

^a Percentage conversion of the monomer [(weight of polymer recovered/weight of monomer) × 100].

^b Calculated by $[(LA]_0/[BnOH]) \times 144.13 \times \text{conversion}\% + 108.14$.

^c Determined by VPO.⁴³

^d Obtained from GPC analysis and calibrated by polystyrene standard. Values are obtained from GPC times 0.58.

Table 2. Polymerization of L-lactide by copper complex (Cu(MBED)) in presence of benzyl alcohol (BnOH).

Entry	$[L-LA]_0/[Cu]/[BnOH]$	Time(h)	Conversion ^a (%)	M_n (Theory) ^b (g mol ⁻¹)	M_n (VPO) ^c (g mol ⁻¹)	M_n (GPC) ^d	PDI
1	100:1:0	24	<5	- ^e	- ^e	- ^e	- ^e
2	50:1:1	24	84.0	6000	8800	7800	1.11
3	100:1:1	24	93.0	13500	15800	15300	1.09
4	150:1:1	24	96.4	21000	24000	23200	1.08
5	100:1:2	20	95.3	7000	8900	7800	1.07
6	100:1:4	15	97.0	3600	5100	5500	1.10
7 ^f	150:1:1	24	92.4	20100	22900	21500	1.12
8 ^g	150:1:1	24	94.8	20600	23000	23200	1.07

Conditions: $[L-LA]_0 = 20$ mmol, room temperature. Solvent: 30 mL of CH₂Cl₂.

^a Percentage conversion of the monomer [(weight of polymer recovered/weight of monomer) × 100].

^b Calculated by $[(LA]_0/[BnOH]) \times 144.13 \times \text{conversion}\% + 108.14$.

^c Determined by VPO.⁴³

^d Obtained from GPC analysis and calibrated by polystyrene standard. Values are obtained from GPC times 0.58.

^e Data not available.

^f For complex Cu-HMBBD.

^g For complex Cu-HMBPD.

All the runs displayed good activities for the polymerization of *L*-lactide and great control of molecular weight, and the presence of benzyl alcohol has a significant influence on the polymerization behavior of the corresponding complexes.

Ring-opening polymerization of *L*-lactide using complex Cu(MBED), with a monomer to benzyl alcohol ratio 150/1 has been systematically studied at 30°C (table 1). It is worth noting that complex Cu(MBED) is more active in CH₂Cl₂ than in toluene or in THF. The difference in activity is probably due to the solubility of complex Cu(MBED) in CH₂Cl₂ which is somewhat higher than that in toluene. The slowest polymerization rate was found in THF probably caused by the coordination ability of THF with copper to retard the reaction rate.

By comparison of the polymerization results listed in table 2, several structure-activity trends may be drawn. Experimental results indicate that compound Cu(MBED) is an efficient catalyst for ROP of *L*-lactide in the presence of BnOH, when [M]₀/[I]₀ ratio is ranging from 50 to 150. The polymerization is well controlled and the 'living' character is demonstrated by the low polydispersity index (PDI) ranging from 1.08 to 1.11 of the polymers, (table 2, entry 2–4) and by

the linear relationship between M_n and $[M]_0/[BnOH]_0$ ratio (figure 6). It is interesting to note that compound Cu(MBED) catalyzes ROP of *L*-lactide with benzyl alcohol (BnOH) and shows immortality. The 'immortal' character was examined using two or four equiv. ratios of benzyl alcohol as the chain transfer agent (entries 5–6). It was found that for polymerization without the use of BnOH, there is almost negligible conversion (<5%), (table 2, entry 1) but the use of BnOH changes drastically the activities of the catalyst. And with higher amount of BnOH (table 2, entries 5–6), the reaction time decreases from 24 h to 15 h. This may be due to initiator participating actively in the polymerization reaction. The molecular weight of the polymers was also affected by the amount of BnOH used. By the addition of two or four equiv. benzyl alcohol in the polymerization reaction, the molecular weight became half or one fourth, respectively. To examine the influence of different diimine bridging parts, a series of copper

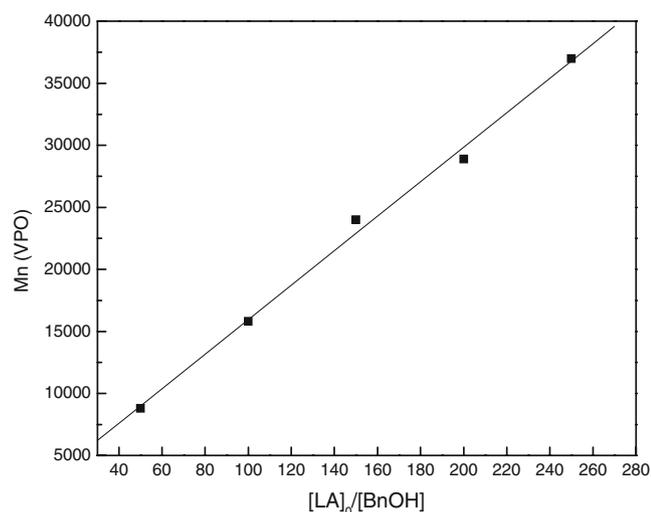


Figure 6. Linear plot of M_n vs. $[Lactide]/[benzyl\ alcohol]$ ratio (initial) in the polymerization of *L*-lactide catalyzed by Cu(MBED) in CH₂Cl₂ at room temperature.

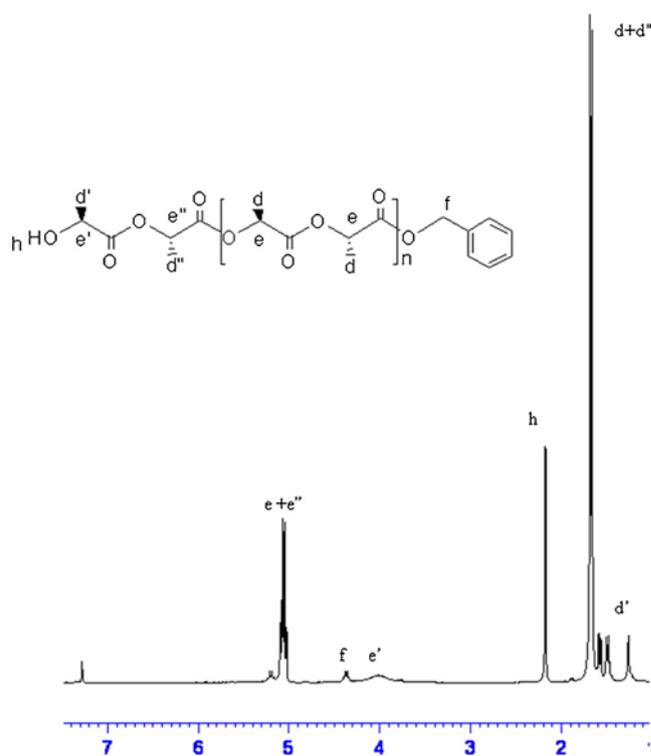


Figure 8. ¹H NMR spectrum of PLLA-150 (i.e., $[LA]_0/[BnOH] = 150$).

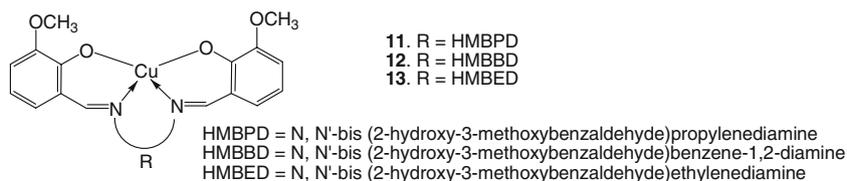


Figure 7. Copper complexes used for ROP of *L*-lactide.

complexes have been prepared. Among the copper complexes, **11-13** (figure 7) and complex **13** have shown better activity in 24 hours (table 2, entry 4, 7–8). This may be due to creation of more electrophilicity at copper center in comparison to other copper complexes

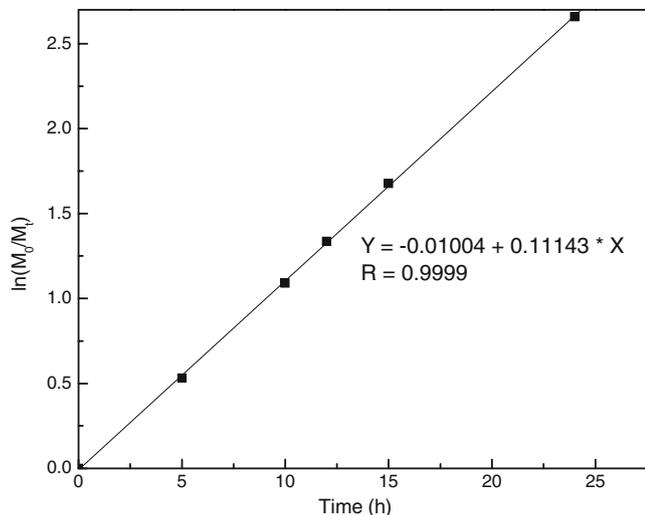
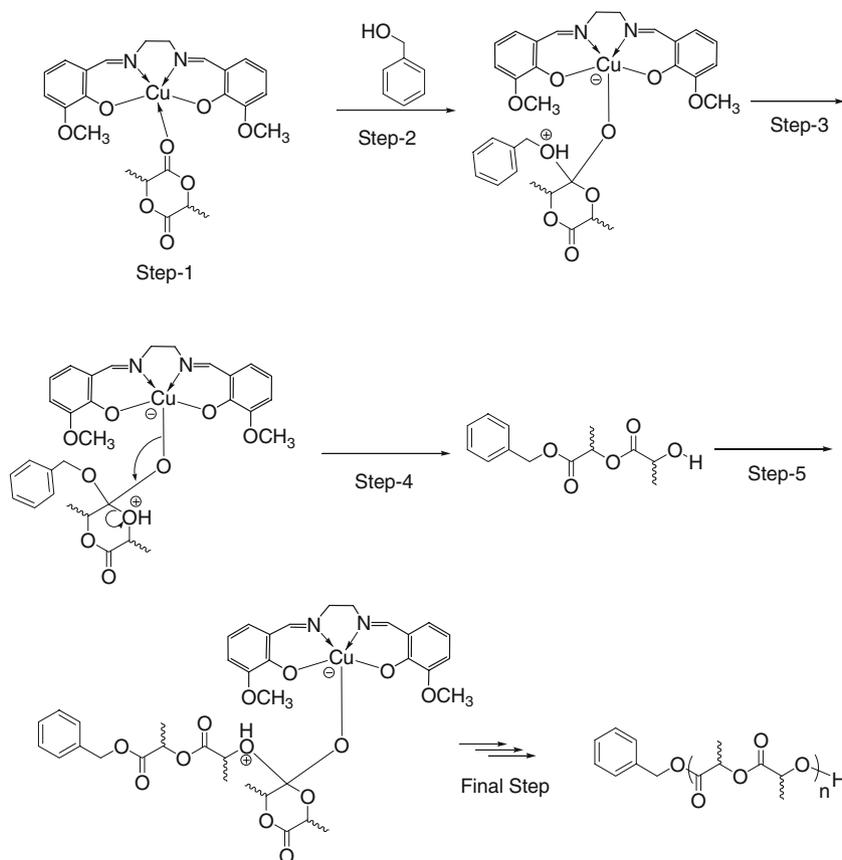


Figure 9. Semilogarithmic plot of *L*-lactide conversion, $\ln(M_0/M_t)$ vs. time, catalyzed by Cu(MBED) in the presence of BnOH: $[L-LA]_0 / [Cu]/[BnOH] = 100 / 1 / 1$.

11-12 and is favorable for the coordination and insertion of LA monomers. And also, the catalytic activity decreases with the increase of steric hindrance offered by the diamine substituent (table 2, entry 4, 7–8).

To understand the initiating process, ^1H NMR studies on the PLLA, catalyzed by Cu(MBED) with BnOH as the initiator, were carried out as shown in figure 8. The ^1H NMR spectrum of PLLA-150, prepared from a $[LA]_0/[BnOH]$ ratio of 150, indicates that the polymer chain is capped with a benzyl ester group on one end and a hydroxyl group on the other end, suggesting that the initiation occurred through the insertion of the benzyl alkoxy group into *L*-lactide giving an intermediate, which further reacts with an excess of *L*-lactide yielding polyesters. The polymerization procedure agrees with the process found in other metal alkoxides.^{21–23,29} Our polymerization results are much superior to those reported for copper complexes with elaborate ligands.^{37,38} Literature report for the polymerization of *L*-lactide using three different copper phenoxy-ketimine complexes at 160°C were found to be, $M_n = 2200 \text{ g mol}^{-1}$, $M_n = 4500 \text{ g mol}^{-1}$ and $M_n = 6500 \text{ g mol}^{-1}$,³⁷ which suggest that our results are superior in terms of M_n (table 2). And also, the molecular weights of the polymers obtained in all the entries are



Scheme 3. Reaction steps of ROP of *L*-lactide.

considerably higher than the molecular weight expected based on the $[LA]_0/[BnOH]$ ratio (table 2). This indicates that either propagation is faster than initiation or partial hydrolysis of the initiating functionality has occurred.

3.2 Kinetics of polymerization

We have performed kinetic studies for the polymerization of *L*-lactide using Cu(MBED), Cu-HMBPD and Cu-HMBBD as catalysts in the presence of an initiator BnOH in the ratio $[LA]_0/[Cu]/[BnOH] = 100/1/1$. The results for Cu(MBED) are depicted in figure 9 and for Cu-HMBPD and Cu-HMBBD (figures S2 and S3 in Supporting Information).

The $\ln[M]_0/[M]_t$ vs time plots are linear. These plots imply that the polymerization reaction obeys first order kinetics. The values of the apparent rate constant (k_{app}) may be calculated from the slope of these plots. The value of k_{app} and standard deviation (SD) for *L*-lactide polymerization in the presence of initiator was found to be 0.11143 h^{-1} & 0.01458 ; 0.11315 h^{-1} & 0.08635 and 0.0918 h^{-1} & 0.00665 for Cu(MBED), Cu(HMPD) and Cu(HMBD) respectively.

3.3 Mechanism of polymerization

Considering the experimental findings for the ROP of *L*-lactide, under the conditions mentioned, the polymerization proceeds by the mechanism as outlined in scheme 3. The copper complex (Cu(MBED)) has produced active species in step 2 through fast interactions with lactide and benzyl alcohol. The active species was subsequently used in the formation of intermediates through rearrangements in step 3 and then in step 4, and ring opening of lactide occurs with benzyl ester in one end. Further, another molecule of lactide gets ring opening in step 5 as per step 2. Subsequent additions of lactide produce PLA.

4. Conclusions

The copper complex Cu(MBED) catalyst was shown to be a good catalyst to initiate the ring opening polymerization of *L*-lactide in the presence of benzyl alcohol. The activity of the copper complex Cu(MBED) is negligible in the absence of benzyl alcohol. Also, in the presence of two or four equivalent benzyl alcohol in the polymerization reactions, the molecular weight became half or one fourth, respectively. All the PLA produced by ROP of *L*-lactide showed moderate molecular weight with conversion rate above 90%. Among the

diamine groups, the polymerization rate followed the order as follows: ethylene group > propylene group > benzene group.

Supporting Information (SI)

FTIR spectra of H2MBED Schiff base (figure S1), semilogarithmic plots of *L*-lactide conversion in time catalyzed by Cu-HMBPD (figure S2) and Cu-HMBBD (figure S3), FTIR frequencies and electronic transitions of H2MBED Schiff base and its copper complex (table S1) and crystal data of H2MBED and structure refinement parameters (table S2) are given in Supplementary Information, which is available at www.ias.ac.in/chemsci.

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