

## The one-dimensional extended Bose–Hubbard model<sup>†</sup>

RAMESH V PAI<sup>1</sup> and RAHUL PANDIT<sup>2\*</sup>

<sup>1</sup>Department of Physics, Goa University, Goa 403 206, India

<sup>2</sup>Centre for Condensed Matter Theory, Department of Physics,  
Indian Institute of Science, Bangalore 560 012, India and Jawaharlal Nehru  
Centre for Advanced Scientific Research, Jakkur, Bangalore 560 064, India  
e-mail: rapai@sancharnet.in; rahul@physics.iisc.ernet.in

**Abstract.** We use the finite-size, density-matrix-renormalization-group (DMRG) method to obtain the zero-temperature phase diagram of the one-dimensional, extended Bose–Hubbard model, for mean boson density  $r = 1$ , in the  $U$ – $V$  plane ( $U$  and  $V$  are respectively, onsite and nearest-neighbour repulsive interactions between bosons). The phase diagram includes superfluid (SF), bosonic-Mott-insulator (MI), and mass-density-wave (MDW) phases. We determine the natures of the quantum phase transitions between these phases.

**Keywords.** Boson systems; quantum statistical theory; ground state; elementary excitations; other topics in quantum fluids and solids; liquid and solid helium.

### 1. Introduction

The study of systems of interacting bosons has been attracting a lot of attention over the past decade or so. Progress in this field has been driven by an interplay between theory,<sup>1–10</sup> numerical simulations,<sup>11–14</sup> and experiments. The latter include studies of liquid <sup>4</sup>He in porous media like vycor or aerogel,<sup>15</sup> Bose–Einstein condensates trapped in optical lattices,<sup>16,17</sup> micro-fabricated Josephson-junction arrays,<sup>18–20</sup> the disorder-driven superconductor-insulator transition in thin films of superconducting materials like bismuth,<sup>21</sup> and flux lines in type-II superconductors pinned by columnar defects aligned with the external magnetic field.<sup>22</sup> Theoretical and numerical studies<sup>2–4,7,11,12</sup> have concentrated on the Bose–Hubbard model which exhibits superfluid (SF) and bosonic-Mott-insulator (MI) phases and, if onsite disorder is included, a Bose-glass (BG) phase too. As we will show below, a mass-density-wave (MDW) phase can also be obtained in an extended-Bose–Hubbard model. Mean-field theories<sup>2–4,6</sup> of such models yield the phases mentioned above and physically appealing pictures of the natures of these phases. However, especially in low dimensions, such mean-field theories cannot always uncover the types of correlations present in these phases or the natures of the transitions between these phases. We have shown earlier<sup>7</sup> that, for *one-dimensional* Bose–Hubbard models, the density-matrix-renormalization-group (DMRG) is a reliable method for the elucidation of such correlations and the universality classes of quantum phase transitions. Here we give a brief overview of our recent calculation of the zero-temperature phase diagram of the extended-Bose–Hubbard model in one dimension by the DMRG method.

<sup>†</sup>Dedicated to Professor C N R Rao on his 70th birthday

\*For correspondence

## 2. Results and discussion

The Hamiltonian for the extended-Bose–Hubbard model is

$$\square = -t \sum_{\langle i,j \rangle} (a_i^\dagger a_j + hc) + \frac{U}{2} \sum_i \hat{n}_i (\hat{n}_i - 1) + V \sum_{\langle i,j \rangle} \hat{n}_i \hat{n}_j - \sum_i \mathbf{m} \hat{n}_i, \quad (1)$$

where  $t$  is the amplitude for the hopping of bosons between nearest-neighbour pairs of sites  $\langle i, j \rangle$ ,  $a_i^\dagger$  ( $a_i$ ) is the boson creation (annihilation) operator at site  $i$ , and  $\hat{n}_i = a_i^\dagger a_i$  the associated number operator with eigenvalues  $0, 1, 2, \dots$ . The onsite interaction  $U$  and the nearest-neighbour interaction  $V$  are positive (i.e. repulsive). We restrict ourselves to the physically relevant region  $V \leq U$  and set the energy scale by choosing  $t = 1$ . The random chemical potential  $\mathbf{m}$  can be used to model onsite disorder.

This model has been studied by a number of groups and several interesting results have been obtained especially in the case  $V = 0$ .<sup>2,5,7–11</sup> In particular, if  $V = 0$  and there is no disorder, only an SF phase is obtained at noninteger densities. For integer densities an MI phase is obtained at large  $U$ ; as  $U$  is lowered the system shows an MI-SF transition, which is of the Kosterlitz–Thouless type<sup>23</sup> in one dimension. The most detailed study of this transition in the Bose–Hubbard model was carried out by us in Ref. [7] by using the DMRG method.

We will not review our DMRG scheme since it has been described in detail elsewhere.<sup>7,24</sup> For our purposes here it suffices to note that, especially in one dimension and with open boundary conditions, the DMRG method allows us to calculate the ground-state energy  $E_L^0(N)$ , the first-excited-state energy  $E_L^1(N)$ , and the associated eigenstates  $|\mathcal{Y}_{0L}\rangle$  and  $|\mathcal{Y}_{1L}\rangle$  of models such as (1) as a function of the size  $L$  for a system with  $N$  bosons. Given these we can calculate the energy gap  $G_L \equiv [E_L^0(N+1) + E_L^0(N-1) - 2E_L^0(N)]$ , the order parameter for the MDW phase  $M_{MDW} \equiv \frac{1}{L} \sum_i (-1)^i \langle \mathcal{Y}_{0L} | (\hat{n}_i - \mathbf{r}) | \mathcal{Y}_{0L} \rangle$  and the associated correlation function  $\Gamma_L^{MDW}(r) \equiv \frac{1}{L} \sum_i (-1)^i \langle \mathcal{Y}_{0L} | (\hat{n}_i - \mathbf{r}) (\hat{n}_{i+r} - \mathbf{r}) | \mathcal{Y}_{0L} \rangle$ , where  $\mathbf{r}$  is the mean density of bosons, the correlation function that characterises the SF phase  $\Gamma_L^{SF}(r) \equiv \frac{1}{L} \sum_i \langle \mathcal{Y}_{0L} | a_i^\dagger a_{i+r} | \mathcal{Y}_{0L} \rangle$  and its second moment  $\mathbf{x}_L^2 \equiv [\sum_r r^2 \Gamma_L^{SF}(r)] / [\sum_r \Gamma_L^{SF}(r)]$ . Note that  $\mathbf{x}$  is the correlation length for SF ordering in a system of size  $L$ . In a phase with a gap,  $\lim_{L \rightarrow \infty} G_L = G_\infty > 0$ . By contrast, in a critical phase, such as the SF, which has long-range correlations,  $\mathbf{x}$  diverges as  $L \rightarrow \infty$  and the gap vanishes as  $G_L \sim \mathbf{x}_L^{-1}$ .

The correlation length is extrapolated to the  $L \rightarrow \infty$  limit by using finite-size scaling.<sup>25</sup> In the critical region,

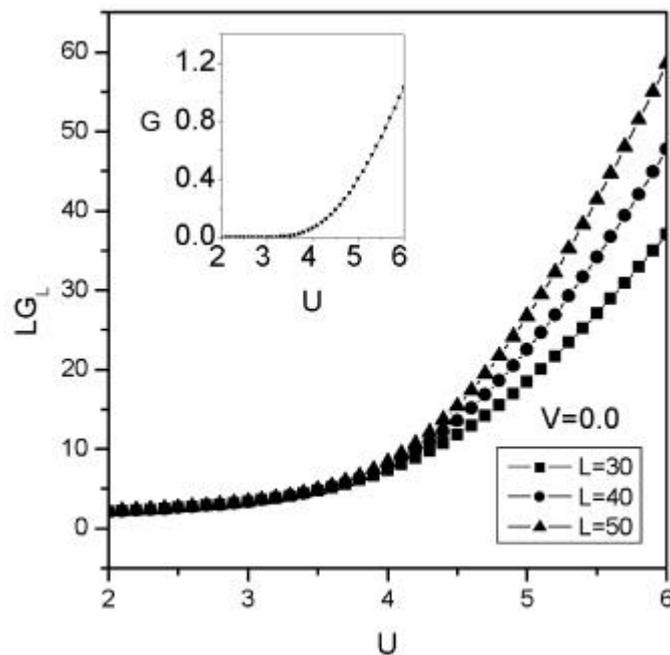
$$\mathbf{x}_L^{-1} \approx L^{-1} f(L/\mathbf{x}), \quad (2)$$

where  $f(L/\mathbf{x})$  is a scaling function. Thus plots of  $L/\mathbf{x}$  or, equivalently,  $LG_L$ , vs  $U$ , for different system sizes  $L$ , consist of curves that intersect at the critical point, at which the correlation length  $\mathbf{x}$  diverges if  $L = \infty$ . We show such a plot in figure 1 for  $V = 0$ . The infinite-system gap  $G_\infty > 0$  at large  $U$  in the MI phase. However, it vanishes for  $U \leq U_c \approx 3.4$ , where the SF phase is obtained. The curves for different values of  $L$  coalesce for  $U \leq U_c \approx 3.4$ . This indicates that the MI-SF transition is of the Kosterlitz–Thouless (KT) type and that the SF phase is critical. In particular, the SF phase, in this one-dimensional model, has a diverging correlation length, and a vanishing gap. For a

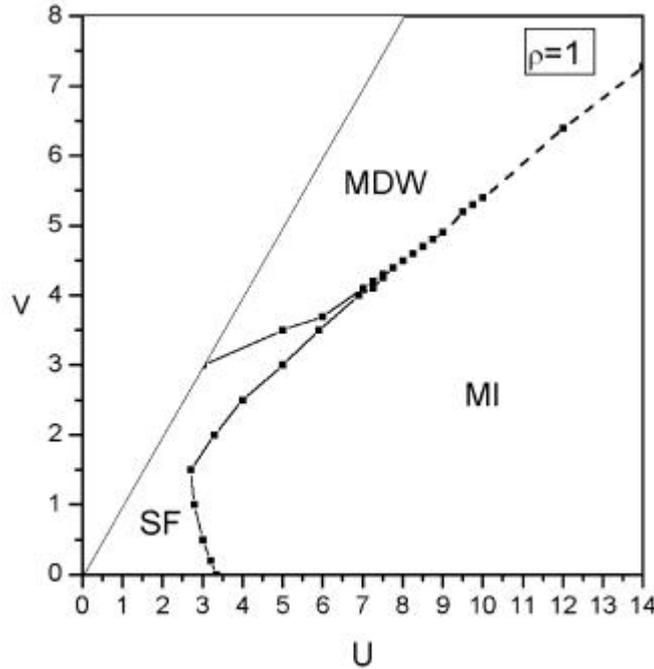
full elucidation of the KT nature of the MI-SF transition, we refer the reader to the analysis, via  $\mathbf{b}$  functions, of Ref. [7]. Note that a  $d$ -dimensional, zero-temperature, quantum phase transition lies in the universality class of a finite-temperature phase transition in an associated, classical system in  $(d + 1)$  dimensions; here  $d = 1$  and the MI-SF transition lies in the universality class of the KT transition in the two-dimensional, classical XY model.

Recently Kühner *et al*<sup>9</sup> have studied model (1) by using the finite-size DMRG<sup>26</sup> (FS-DMRG) method. They have shown that, for  $V=0.4$ , an SF-MDW transition is obtained for  $r=1/2$ ; an MI-SF transition is obtained for  $r=1$ . We have extended their FS-DMRG calculation to obtain the zero-temperature phase diagram of model (1) in the  $U$ - $V$  plane for  $U > V$  and for  $r=1$  (figure 2). The number of states in the density matrix is chosen such that the truncation error is always less than  $5 \times 10^{-6}$ . We also restrict the number of bosons per site to 4, which suffices for the values of  $U$  we consider (large values of  $U$  disfavour large boson numbers at any given site). Further details of our calculation are given in Refs [7, 24].

The phase diagram of figure 2 shows an SF phase at small values of  $U$  and  $V$  as is to be expected since the bosons interact relatively weakly here. However, as the interaction strengths increase, the MI and MDW phases get stabilised. The former dominates when  $U$  is much larger than  $V$  whereas the latter dominates if  $U$  and  $V$  are both large and comparable. This is to be expected since a large, repulsive  $V$  disfavors a phase with a uniform density of bosons on nearest-neighbour sites; instead, an MDW phase, with a



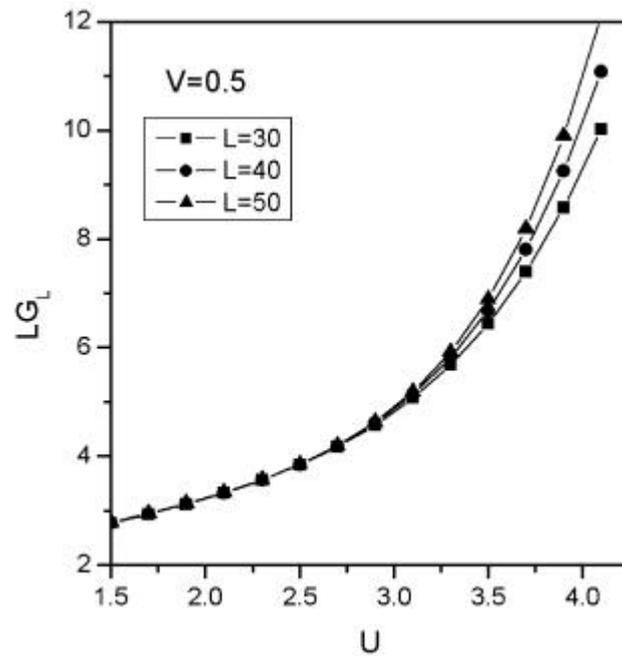
**Figure 1.** A plot of  $LG_L$  as a function of  $U$  for different system sizes  $L$  for  $V=0$ . The coalescence of different curves for  $U < 3.4$  shows a Kosterlitz–Thouless-type SF-MI transition. The inset shows the infinite-system gap  $G_\infty$ , obtained by extrapolation, versus  $U$ .



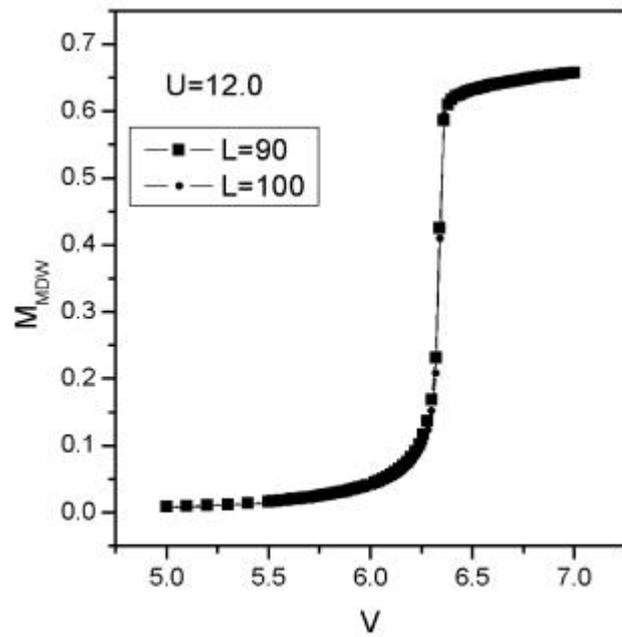
**Figure 2.** The zero-temperature phase diagram of the extended Bose–Hubbard model (1) obtained, for mean boson density  $r = 1$ , from our FS-DMRG calculation. Superfluid (SF), bosonic-Mott-insulator (MI), and mass-density-wave (MDW) phases are obtained in the physically relevant region  $U > V$  to which we restrict ourselves. The MI-SF phase boundary lies in the Kosterlitz–Thouless (KT) universality class. The MDW-SF phase boundary has both KT and two-dimensional-Ising characters. The MI-MDW phase boundary is first-order (dashed line) at large values of  $U$  and  $V$ .

periodic variation of the boson density, is stabilised by  $V$ . The lattice we consider is bipartite and has two sublattices A and B (say odd-numbered and even-numbered sites); the ground state in the MDW phase is, therefore, doubly degenerate since the peaks in the mass-density wave can lie either on the A or the B sublattice. If the bosons are charged this MDW phase is a charge-density-wave (CDW) phase.

The MI-SF phase boundary in figure 2 lies in the Kosterlitz–Thouless (KT) universality class. We have confirmed this explicitly from plots of  $LG_L$  vs  $U$ , which coalesce for different values of  $L$  as shown in the illustrative plot of figure 3 (compare this with figure 1). This is to be expected for the SF phase of model (1) in one dimension. The MDW-SF phase boundary has both KT and two-dimensional-Ising characters as we have checked explicitly by plots similar to figures 1 and 3. The KT character follows from the XY-symmetry of the SF order parameter; the two-dimensional-Ising character follows from the double degeneracy of the MDW ground state mentioned above. The MI-MDW phase boundary is first-order (dashed line in figure 2) at large values of  $U$  and  $V$ . This follows from the sharp change in  $M_{MDW}$  with  $V$  as shown in figure 4 for  $U = 12$ ; we have also checked for this transition that plots of  $LG_L$  versus  $V$  do not intersect or coalesce for different values of  $L$  indicating that this is *not* a continuous transition. The precise nature of the multicritical point at which the phase boundaries of figure 2 intersect will be explored elsewhere.<sup>24</sup>



**Figure 3.** A plot of  $LG_L$  as a function of  $U$  for different system sizes  $L$  for  $V=0.5$ . The coalescence of different curves for  $U < 2.9$  shows a Kosterlitz–Thouless-type SF–MI transition (compare figure 1 for the case  $V = 0$ ).



**Figure 4.** The order parameter of the MDW phase  $M_{MDW}$  vs  $V$ , for  $U = 12$  and  $L = 90$  and  $L = 100$ , showing a sharp jump which indicates that the MI–MDW transition is first order.

### 3. Conclusions

In conclusion, then, we have studied the complete phase diagram of the one-dimensional, extended Bose–Hubbard model for mean boson density  $\bar{n}=1$  by using the FS-DMRG method. In addition to the well-known SF and MI phases, we find an MDW phase; we also determine the phase boundaries between these phases. We have looked for, but not found, a supersolid phase which has both SF and MDW order. We hope our study will stimulate experimentalists to look for such MDW phases in systems of interacting bosons.

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