

The Jacobian of a nonorientable Klein surface

PABLO ARÉS-GASTESI and INDRANIL BISWAS

School of Mathematics, Tata Institute of Fundamental Research, Mumbai 400 005, India
E-mail: pablo@math.tifr.res.in; indranil@math.tifr.res.in

MS received 10 July 2002; revised 17 January 2003

Abstract. Using divisors, an analog of the Jacobian for a compact connected nonorientable Klein surface Y is constructed. The Jacobian is identified with the dual of the space of all harmonic real one-forms on Y quotiented by the torsion-free part of the first integral homology of Y . Denote by X the double cover of Y given by orientation. The Jacobian of Y is identified with the space of all degree zero holomorphic line bundles L over X with the property that L is isomorphic to $\sigma^*\bar{L}$, where σ is the involution of X .

Keywords. Nonorientable surface; divisor; Jacobian

1. Introduction

Let Y be a compact connected nonorientable Riemann surface, that is, each transition function is either holomorphic or anti-holomorphic. We consider surfaces without boundary. Let X denote the double cover of Y given by the local orientations. So X is a compact connected Riemann surface.

In §2, we define a morphism from Y to $\overline{\mathbb{H}}$, the closure of the upper half-plane in the Riemann sphere $\widehat{\mathbb{C}}$. Let $\text{Div}_0(Y)$ denote the group defined by all formal finite sums of the form $\sum n_i y_i$, where $n_i \in \mathbb{Z}$ with $\sum n_i = 0$ and $y_i \in Y$. We call such a divisor D to be principal if there is a morphism (see §2 for the definition of morphism) u from Y to $\overline{\mathbb{H}}$ with the property that

$$D = u^{-1}(0) - u^{-1}(\infty).$$

Let $J_0(Y)$ denote the quotient of $\text{Div}_0(Y)$ by its subgroup consisting of all principal divisors. This $J_0(Y)$ is the analog of the Jacobian for a nonorientable Riemann surface.

Harmonic one-forms are defined on Y . Let $H_{\mathbb{R}}^1(Y)$ denote the space of all harmonic real one-forms on Y . The torsion-free part of $H_1(Y, \mathbb{Z})$ is a subgroup of $\mathcal{H}_{\mathbb{R}}^1(Y)^*$. The quotient is identified with $J_0(Y)$. This is proved by showing that $\mathcal{H}_{\mathbb{R}}^1(Y)$ is identified with the space of all holomorphic one-forms ω on X satisfying the identity $\bar{\omega} = \sigma^*\omega$, where σ is the nontrivial automorphism of the double cover X of Y (Theorem 2.7).

For a holomorphic line bundle L over X , the pullback $\sigma^*\bar{L}$ is again a holomorphic line bundle over X . We show that $J_0(Y)$ is identified with the group of all holomorphic line bundles L over X for which the holomorphic line bundle $\sigma^*\bar{L}$ is isomorphic to L (Theorem 4.2).

A compact Riemann surface is a smooth projective curve over \mathbb{C} . Conversely, every smooth projective curve over \mathbb{C} corresponds to a compact Riemann surface. If we take

a smooth projective curve $X_{\mathbb{R}}$ defined over \mathbb{R} , then using the inclusion of \mathbb{R} in \mathbb{C} we get a smooth projective curve $X_{\mathbb{C}}$ over \mathbb{C} . Now, since the involution of \mathbb{C} defined by conjugation fixes \mathbb{R} , the complex curve $X_{\mathbb{C}}$ is equipped with an anti-holomorphic involution that reverses the orientation. Conversely, every complex projective curve equipped with an anti-holomorphic involution is actually defined over \mathbb{R} . If the involution does not have any fixed points, that is, the curve does not have any real points, then it is called an imaginary curve.

Therefore, a nonorientable Riemann surface Y (without boundary) corresponds to an imaginary algebraic curve defined over \mathbb{R} . The Jacobian of the complexification $Y_{\mathbb{C}}$ is also the complexification of a variety defined over \mathbb{R} . The Jacobian $J_0(Y)$ coincides with this variety defined over \mathbb{R} .

2. Divisors on a nonorientable surface

Let Y be a compact connected nonorientable surface. In other words, Y is a compact connected nonorientable smooth manifold of dimension two, and Y has a covering by smooth coordinate charts such that each transition function is either holomorphic or anti-holomorphic. Any coordinate chart in the maximal atlas satisfying the above condition on transition functions will be called *compatible*. Such a nonorientable surface is called a *Klein surface*.

DEFINITION 2.1

A *divisor* D on Y is a formal sum of type

$$D = \sum_{y \in Y} n_y y,$$

where $n_y \in \mathbb{Z}$ and $n_y = 0$ except for a finitely many points of Y .

DEFINITION 2.2

The *degree* of a divisor $D = \sum_{y \in Y} n_y y$ is defined to be the integer $\deg(D) := \sum_{y \in Y} n_y$.

We will denote by $\text{Div}(Y)$ the set of all divisors on Y . Let $\text{Div}_d(Y) \subset \text{Div}(Y)$ be the divisors of degree d .

Let $\pi : X \rightarrow Y$ be a double cover of Y given by local orientations on Y . So for a contractible open subset $U \subset Y$, the inverse image $\pi^{-1}(U)$ is two copies of U with the two possible orientations on U (see [1] for more details on Klein surfaces and their double covers).

Therefore, X is a Riemann surface, and the change of orientation defines an anti-holomorphic involution $\sigma : X \rightarrow X$ that commutes with π .

The involution σ induces in a natural way a mapping on the set of divisors on the Riemann surface X as follows

$$\begin{aligned} \sigma^* : \text{Div}(X) &\longrightarrow \text{Div}(X) \\ \sum m_j x_j &\longmapsto \sum m_j \sigma(x_j). \end{aligned}$$

Observe that σ^* preserves the degree.

Similarly, the quotient map $\pi : X \rightarrow Y$ induces mappings between the divisors on X and Y . To define those mappings we first set up some notation. For any point $y \in Y$ we will denote by $\pi^{-1}(y)$ the divisor given by the inverse image of y . In other words, $\pi^{-1}(y) = x + \sigma(x)$, where $x \in X$ is a point satisfying $\pi(x) = y$. Then we can define two mappings as follows:

$$\begin{aligned} \pi^* : \text{Div}(Y) &\rightarrow \text{Div}(X), & \pi_* : \text{Div}(X) &\rightarrow \text{Div}(Y), \\ \sum_{j=1}^s n_j y_j &\mapsto \sum_{j=1}^s n_j \pi^{-1}(y_j), & \sum_{j=1}^s m_j x_j &\mapsto \sum_{j=1}^s m_j \pi(x_j). \end{aligned}$$

Observe that $(\pi_* \circ \pi^*)(D) = 2D$ and $(\pi^* \circ \pi_*)(E) = E + \sigma^*(E)$ for $D \in \text{Div}(Y)$ and $E \in \text{Div}(X)$.

Let $\text{Div}(X)^{\sigma^*}$ denote the set of fixed points of σ^* on $\text{Div}(X)$.

The following lemma follows immediately from the above definitions.

Lemma 2.3. The group $\text{Div}(Y)$ is identified with $\text{Div}(X)^{\sigma^}$. The isomorphism takes the subgroup $\text{Div}_0(Y)$ to $\text{Div}(X)_0^{\sigma^*} = \text{Div}_0(X) \cap \text{Div}(X)^{\sigma^*}$.*

Let $j : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ denote the mapping induced by conjugation on the Riemann sphere $\widehat{\mathbb{C}}$, so that $j(z) = \bar{z}$ and $j(\infty) = \infty$. The quotient space is a surface with boundary, $\overline{\mathbb{H}} = \widehat{\mathbb{C}}/\langle j \rangle$. We can also identify $\overline{\mathbb{H}}$ with the closure of \mathbb{H} (the upper half-plane) in the Riemann sphere. Let

$$p : \widehat{\mathbb{C}} \longrightarrow \overline{\mathbb{H}}$$

denote the quotient map. After identifying $\overline{\mathbb{H}}$ with the closure of \mathbb{H} the map p coincides with the one defined by $p(x + \sqrt{-1}y) = x + \sqrt{-1}|y|$ and $p(\infty) = \infty$.

A morphism from Y to $\overline{\mathbb{H}}$ is a continuous mapping

$$u : Y \longrightarrow \overline{\mathbb{H}}$$

such that if (U, w) is a local coordinate function defined on Y , compatible with the Riemann surface structure, with $w(U) \subset \mathbb{H}$, then there exists a holomorphic function $F : w(U) \rightarrow \mathbb{C}$ that makes the following diagram commutative:

$$\begin{array}{ccc} U & \xrightarrow{u} & \overline{\mathbb{H}} \\ w \downarrow & & \uparrow p \\ \mathbb{H} & \xrightarrow{F} & \mathbb{C} \end{array},$$

where p is defined above.

Let u be a morphism, as above, from Y to $\overline{\mathbb{H}}$ which is not identically equal to 0 or ∞ . If z_0 is a point of $\overline{\mathbb{H}}$, then by $u^{-1}(z_0)$ we understand the divisor given by the inverse image of z_0 under u (so the integers n_j in Definition 2.1 are given by the multiplicities of u at the corresponding points). Since 0 and ∞ in $\widehat{\mathbb{C}}$ project to two different points on $\overline{\mathbb{H}}$,

$$\text{div}(u) := u^{-1}(0) - u^{-1}(\infty) \in \text{Div}(Y)$$

is a divisor on Y .

DEFINITION 2.4

A divisor $D \in \text{Div}(Y)$ is called *principal* if $D = \text{div}(u)$ for some morphism $u : Y \rightarrow \overline{\mathbb{H}}$ of the above type. The set of principal divisors of Y will be denoted by $\text{Div}_P(Y)$.

PROPOSITION 2.5

A divisor D on Y is principal if and only if there exists a divisor $E \in \text{Div}_P(X) \cap \text{Div}(X)^{\sigma^*}$ with $\pi^*D = E$.

Proof. Let $E = \text{div}(f)$ be a principal divisor in $\text{Div}(X)^{\sigma^*}$, where f is a non-constant meromorphic function on X . Consider the function ψ on X defined by $\psi(x) = \overline{f(\sigma(x))}$ on X . This function ψ is clearly meromorphic.

Since $E \in \text{Div}(X)^{\sigma^*}$, we have $\text{div}(\psi) = \text{div}(f)$. Consequently, there exists a constant $c \in \mathbb{C} \setminus \{0\}$ such that $\psi = cf$.

Therefore, we have $f(x) = \psi(x)/c = \overline{f(\sigma(x))}/c = \overline{\psi(\sigma(x))}/|c|^2 = f(x)/|c|^2$. Take $c_0 \in \mathbb{C}$ with $c_0^2 = c$. Set $f_0 = c_0 f$.

The divisor for the meromorphic function f_0 coincides with E . Furthermore, f_0 satisfies the condition

$$f_0 \circ \sigma = \overline{f_0}.$$

Therefore, it induces a map

$$\hat{f} : Y := X/\sigma \longrightarrow \overline{\mathbb{H}} := \widehat{\mathbb{C}}/\langle j \rangle$$

with $\text{div}(\hat{f}) = D$.

Conversely, let $D = \text{div}(u)$ be a principal divisor on Y . Consider the composition $u \circ \pi : X \longrightarrow \overline{\mathbb{H}}$. It is straight-forward to see that the function $u \circ \pi$ lifts to a smooth function

$$f : X \longrightarrow \widehat{\mathbb{C}}$$

such that $p \circ f = u \circ \pi$. There are two such smooth lifts; one is holomorphic and the other is anti-holomorphic ($u \circ \pi$ also has a continuous lift, defined by the inclusion of $\overline{\mathbb{H}}$ in $\widehat{\mathbb{C}}$ which is not smooth). Let f denote the holomorphic one. Since $\text{div}(f) = \pi^*(D) \in \text{Div}(X)^{\sigma^*}$, the proof of the proposition is complete. \square

DEFINITION 2.6

The quotient of $\text{Div}_0(Y)$, the group of all degree zero divisors on Y , by the subgroup of all principal divisors on Y is called the *Jacobian* of Y . The Jacobian of Y will be denoted by $J_0(Y)$.

From Proposition 2.5, it follows immediately that by sending any divisor D on Y to the divisor π^*D on X we obtain an injective homomorphism from $J_0(Y)$ to the Jacobian $J_0(X)$ of X . From Lemma 2.3, it follows that $J_0(Y)$ coincides with the fixed point set of the involution of $J_0(X)$ defined by σ .

A function $f : W \rightarrow \mathbb{R}$, defined on an open subset of Y is called *harmonic* if for every point $y \in W$, there exists a compatible coordinate chart (U, w) , with

$$y \in U \subseteq W,$$

such that the function $f \circ w^{-1}$ is harmonic. Since precomposition with holomorphic and anti-holomorphic functions preserve harmonicity, we conclude that harmonic functions are well-defined on Y .

We say that a real one-form η on Y is *harmonic* if it is locally given by df , where f is a harmonic function.

Let Ω denote the holomorphic cotangent bundle of the Riemann surface X . If $\omega \in H^0(X, \Omega)$ is given locally by $\omega = f dz$, where f is a holomorphic function, then define

$$\overline{\sigma^* \omega} := (\overline{f \circ \sigma}) d(\overline{z \circ \sigma}).$$

So if ω is defined over U , then $\overline{\sigma^* \omega}$ is a holomorphic one-form defined over $\sigma(U)$. More generally, for a one-form $\alpha = u dz + v d\bar{z}$, set

$$\sigma^* \alpha = (u \circ \sigma) d(z \circ \sigma) + (v \circ \sigma) d(\bar{z} \circ \sigma).$$

Let $\mathcal{H}_{\mathbb{R}}^1(Y)$ and $\mathcal{H}_{\mathbb{R}}^1(X)$ denote the space of all real harmonic one-forms on Y and X respectively. Using the map $\pi : X \rightarrow Y$, we can lift harmonic forms on Y to smooth forms on X . It is easy to see that the pullback of a harmonic form on Y is a harmonic form on X . Therefore, there is a well-defined injective homomorphism $\pi^* : \mathcal{H}_{\mathbb{R}}^1(Y) \rightarrow \mathcal{H}_{\mathbb{R}}^1(X)$.

The complex structure on X defines a Hodge-* operator on one-forms on X . In local holomorphic coordinates the Hodge-* operator is

$$*(u dz + v d\bar{z}) = -\sqrt{-1}u dz + \sqrt{-1}v d\bar{z}$$

or $*(a dx + b dy) = -b dx + a dy$.

A holomorphic one-form ω on X will be called σ -invariant if $\sigma^* \omega = \overline{\omega}$. The space of all σ -invariant forms on X will be denoted by $H^0(X, \Omega)^{\overline{\sigma^*}}$.

Theorem 2.7. *A holomorphic form $\omega \in H^0(X, \Omega)$ is σ -invariant if and only if there exists a form $\eta \in \mathcal{H}_{\mathbb{R}}^1(Y)$ such that $\omega = \beta + \sqrt{-1}(*\beta)$, where $\beta = \pi^* \eta$.*

The homomorphism $H_{\mathbb{R}}^1(Y) \rightarrow H^0(X, \Omega)^{\overline{\sigma^}}$ defined by*

$$\eta \mapsto \pi^* \eta + \sqrt{-1}(*\pi^* \eta)$$

is an isomorphism of real vector spaces.

Proof. Take any $\omega \in H^0(X, \Omega)$. Let $\omega = \beta + \sqrt{-1}(*\beta)$, where β is a real one-form. Now the condition $\sigma^* \omega = \overline{\omega}$ immediately implies that $\sigma^* \beta = \beta$. Therefore, β is the pullback of a form on Y . For any $\eta \in \mathcal{H}_{\mathbb{R}}^1(Y)$, the form $\pi^* \eta + \sqrt{-1}(*\pi^* \eta)$ is a σ -invariant holomorphic one-form.

Let

$$\varphi : \mathcal{H}_{\mathbb{R}}^1(Y) \rightarrow H^0(X, \Omega)^{\overline{\sigma^*}}$$

be the homomorphism that sends any harmonic form $\eta \in \mathcal{H}_{\mathbb{R}}^1(Y)$ to the holomorphic form $\pi^* \eta + \sqrt{-1}(*\pi^* \eta)$. This homomorphism is injective since a holomorphic one-form with vanishing real part must be identically zero.

The inverse homomorphism

$$H^0(X, \Omega)^{\overline{\sigma^*}} \rightarrow \mathcal{H}_{\mathbb{R}}^1(Y)$$

sends a σ -invariant form ω on Y to η with the property

$$\pi^*\eta = \frac{\omega + \bar{\omega}}{2}.$$

This completes the proof of the theorem. \square

3. The Jacobian

A closed oriented smooth path γ on X gives an element $L_\gamma \in H^0(X, \Omega)^*$ defined by

$$L_\gamma(\omega) = \int_\gamma \omega,$$

where $\omega \in H^0(X, \Omega)$. Using Stokes' theorem we get a mapping from $H_1(X, \mathbb{Z})$ to $H^0(X, \Omega)^*$. The quotient space $H^0(X, \Omega)^*/H_1(X, \mathbb{Z})$ will be denoted by $J_1(X)$.

As we saw in the previous section, for a holomorphic one-form ω on X , the form $\overline{\sigma^*\omega}$ is again a holomorphic one-form. This involution of $H^0(X, \Omega)$ induces an involution

$$\sigma_1 : H^0(X, \Omega)^* \longrightarrow H^0(X, \Omega)^*.$$

In other words, $(\sigma_1(L))(\omega) = \overline{L(\sigma^*(\omega))}$. It is easy to check that for any closed smooth-oriented path γ on X , the identity

$$\sigma_1(L_\gamma) = L_{\sigma(\gamma)}$$

is valid. So, the involution σ_1 preserves the subgroup $H_1(X, \mathbb{Z}) \subset H^0(X, \Omega)^*$.

Consequently, the involution σ_1 of $H^0(X, \Omega)^*$ induces an involution on the quotient space $J_1(X)$. The involution of $J_1(X)$ obtained this way will also be denoted by σ_1 .

Let g be the genus of the compact connected Riemann surface X . Suppose we have a canonical basis of $H_1(X, \mathbb{Z})$, say $\{\alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g\}$. This means that the corresponding intersection matrix is

$$J = \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix},$$

where I is the identity matrix of rank g . Then there exists a unique basis of $H^0(X, \Omega)$, say $\{\omega_1, \dots, \omega_g\}$, such that $\int_{\alpha_k} \omega_j = \delta_{jk}$ ([2], Proposition III.2.8). We say that this basis is *adapted* to the given basis of homology.

Using this adapted basis we can identify $H^0(X, \Omega)^*$ with \mathbb{C}^g by sending the element L of $H^0(X, \Omega)^*$ to the vector $(L(\omega_1), \dots, L(\omega_g))$.

Therefore, for any $\gamma \in H_1(X, \mathbb{Z})$, we may identify the element $L_\gamma \in H^0(X, \Omega)^*$ with

$$(L_\gamma(\omega_1), \dots, L_\gamma(\omega_g)) \in \mathbb{C}^g.$$

Denote by \mathcal{L} the lattice in \mathbb{C}^g defined by $H_1(X, \mathbb{Z})$ using this identification. The quotient space $J_1(X)$ defined earlier is clearly identified with the quotient \mathbb{C}^g/\mathcal{L} .

Assume that the basis $\{\omega_j\}$ is σ -invariant, that is, $\overline{\sigma^*(\omega_j)} = \omega_j$ for each $j \in [1, g]$. It is easy to check that by the above isomorphism of $H^0(X, \Omega)^*$ with \mathbb{C}^g the involution σ_1 of

$H^0(X, \Omega)^*$ (defined earlier) coincides with the conjugation defined as $(z_1, \dots, z_g) \mapsto (\bar{z}_1, \dots, \bar{z}_g)$.

We will denote by $\sigma_{\#}$ the involution of $H_1(X, \mathbb{Z})$ induced by the involution σ of X . Let

$$\{\gamma_1, \dots, \gamma_g, \delta_1, \dots, \delta_g\}$$

be a canonical basis of $H_1(X, \mathbb{Z})$ satisfying the condition $\sigma_{\#}(\gamma_j) = \gamma_j$ for all $j \in [1, g]$. Let $\{\omega_1, \dots, \omega_g\}$ denote the corresponding adapted basis.

PROPOSITION 3.1

The above adapted basis $\{\omega_1, \dots, \omega_g\}$ is σ -invariant.

Proof. Since

$$\int_{\sigma_{\#}\gamma} \omega = \int_{\gamma} \sigma^* \omega = \overline{\int_{\gamma} \sigma^* \bar{\omega}}$$

(as σ is an involution), the proposition follows immediately. □

As in §2, let $J_0(X)$ denote the quotient $\text{Div}_0(X)/\text{Div}_P(X)$. For a meromorphic function f we have $\sigma^*(\text{div}(f)) = \text{div}(\overline{f \circ \sigma})$. So σ^* induces an involution on $J_0(X)$. This involution of $J_0(X)$ will be denoted by σ_0 .

Let $\{\omega_1, \dots, \omega_g\}$ be the basis in Proposition 3.1. Recall the quotient $J_1(X)$ of $H^0(X, \Omega)^*$ defined earlier. The Abel–Jacobi map $A : X \rightarrow J_1(X)$ is defined as follows: choose a point x_0 of X and set $A(x) = [\int_{x_0}^x \omega_1, \dots, \int_{x_0}^x \omega_g]$, where the brackets denote the equivalence class in $J_1(X)$. We have

$$\begin{aligned} A(\sigma(x)) &= \left[\int_{x_0}^{\sigma(x)} \omega_1, \dots, \int_{x_0}^{\sigma(x)} \omega_g \right] = \left[\int_{x_0}^{\sigma(x_0)} \omega_1, \dots, \int_{x_0}^{\sigma(x_0)} \omega_g \right] \\ &\quad + \left[\int_{\sigma(x_0)}^{\sigma(x)} \omega_1, \dots, \int_{\sigma(x_0)}^{\sigma(x)} \omega_g \right] \\ &= c_0 + \left[\int_{\sigma(x_0)}^{\sigma(x)} \sigma^*(\omega_1), \dots, \int_{\sigma(x_0)}^{\sigma(x)} \sigma^*(\omega_1) \right] \\ &= c_0 + \left[\int_{x_0}^x \bar{\omega}_1, \dots, \int_{x_0}^x \bar{\omega}_g \right] = c_0 + \overline{A(x)}, \end{aligned}$$

where $c_0 = A(\sigma(x_0))$. For a divisor $D = \sum_{j=1}^r n_j x_j$, we define

$$A(D) = \sum_{j=1}^r n_j A(x_j).$$

If D has degree equal to 0 then we can write it as $D = \sum_{j=1}^s x_j - \sum_{j=1}^s y_j$, where $x_j \neq y_k$ (though we can have repetitions among the x_j s or the y_k s). Then it is easy to check that

$$A(\sigma_0(D)) = \overline{A(D)} = \sigma_1(A(D)), \tag{1}$$

where σ_1 and σ_0 are the earlier defined involutions of $H^0(X, \Omega)^*$ and $J_0(X)$ respectively.

By Abel’s theorem, the map A can be extended to a map from $J_0(X)$ to $J_1(X)$. By the Abel–Jacobi inversion problem, the map $A : J_0(X) \rightarrow J_1(X)$ is surjective. Thus (1) says that σ_0 and σ_1 are equivalent under A , that is, the following diagram commutes:

$$\begin{array}{ccc}
 J_0(X) & \xrightarrow{A} & J_1(X) \\
 \sigma_0 \downarrow & & \downarrow \sigma_1 \\
 J_0(X) & \xrightarrow{A} & J_1(X).
 \end{array} \tag{2}$$

In the paragraph following Definition 2.6 we noted that the Jacobian $J_0(Y)$ coincides with the fixed point set of $J_0(X)$ for the action of the involution σ_0 . Let $J_1(X)^{\sigma_1} \subset J_1(X)$ be the fixed point set for the action of the involution σ_1 on $J_1(X)$. From the commutativity of the diagram in (2) it follows immediately that $J_0(Y)$ is identified with $J_1(X)^{\sigma_1}$. Finally using Theorem 2.7, the Jacobian $J_0(Y)$ is identified with the quotient of $\mathcal{H}_{\mathbb{R}}^1(Y)$ by the torsion-free part of $H_1(Y, \mathbb{Z})$.

4. Line bundles on a Klein surface

Let L be a holomorphic line bundle over a Riemann surface X . By \bar{L} we will mean the C^∞ complex line bundle over X whose transition functions are the conjugations of the transition functions for L . To explain this, let $U_i, i \in I$, be an open covering of X and assume that over each U_i we are given a holomorphic trivialization of L . So for any ordered pair $i, j \in I$, we have the corresponding transition function

$$f_{i,j} : U_i \cap U_j \longrightarrow \mathbb{C}^*$$

which is holomorphic. The C^∞ complex line bundle \bar{L} has C^∞ trivializations over each $U_i, i \in I$, and for any ordered pair $i, j \in I$ the corresponding transition function is $\overline{f_{i,j}}$. It is easy to see that the collection $\{\overline{f_{i,j}}\}_{i,j \in I}$ satisfy the cocycle condition to define a C^∞ complex line bundle.

The line bundle \bar{L} can also be defined without using local trivializations. A C^∞ complex line bundle is a C^∞ real vector bundle of rank two together with a smoothly varying complex structure on the fibers (which are real vector spaces of dimension two). The underlying real vector bundle of rank two for \bar{L} coincides with the one for L . For any $x \in X$, if J_x is the complex structure on the fiber L_x , then the complex structure of the fiber \bar{L}_x is $-J_x$.

As in §2, let Y be a nonorientable Klein surface and X its double cover, which is a connected Riemann surface of genus g .

Let L be a holomorphic line bundle over X . The complex line bundle $\sigma^*\bar{L}$ has a natural holomorphic structure, where σ , as before, is the involution of X . To construct the holomorphic structure on $\sigma^*\bar{L}$, observe that if f is a holomorphic function on an open subset U of X , then $\overline{f \circ \sigma}$ is a holomorphic function of $\sigma(U)$. We can choose the above open subsets U_i (sets over which L is trivialized) in such a way that $\sigma(U_i) = U_i$. Now, since each $\overline{f_{i,j} \circ \sigma}$ is a holomorphic function on $U_i \cap U_j$, the complex line bundle $\sigma^*\bar{L}$ gets equipped with a holomorphic structure.

PROPOSITION 4.1.

Let D be a divisor on X of degree d and L the corresponding holomorphic line bundle $\mathcal{O}_X(D)$ over X of degree d . Then the holomorphic line bundle σ^*L corresponds to the divisor $\sigma(D)$, that is, $\sigma^*L \cong \mathcal{O}_X(\sigma(D))$.

Proof. Since $L \cong \mathcal{O}_X(D)$, we have a meromorphic section s of L with the positive part of D as the zeros of s (of order given by multiplicity) and the negative part of D as the poles of s (of order given by multiplicity). Since L and \bar{L} are identified as real rank two vector bundles, the pullback σ^*s defines a smooth section of $\sigma^*\bar{L}$ over the complement (in X) of the support of D .

It is straight-forward to check that the section σ^*s of $\sigma^*\bar{L}$ is meromorphic. The divisor defined by the meromorphic section σ^*s clearly coincides with $\sigma(D)$. Consequently, $\sigma^*\bar{L}$ is holomorphically isomorphic to the line bundle over X defined by the divisor $\sigma(D)$. This completes the proof of the proposition. \square

Recall the quotient space $J_0(X) := \text{Div}_0(X)/\text{Div}_P(X)$ considered in §2. The Jacobian $J_0(X)$ is identified with the space of all isomorphism classes of degree zero holomorphic line bundles over X . The isomorphism sends any divisor D to the line bundle $\mathcal{O}_X(D)$. As in §3, let σ_0 denote the involution of $J_0(X)$ defined by σ . From Proposition 4.1, it follows immediately that the above identification of $J_0(X)$ with degree zero line bundles takes the involution σ_0 to the involution defined by $L \mapsto \sigma^*\bar{L}$ on the space of all isomorphism classes of degree zero line bundles.

Let D be a divisor of degree zero on the nonorientable Klein surface Y . From Proposition 2.5, it follows immediately that D is principal if and only if π^*D is principal. Therefore, we have an injective homomorphism

$$\rho : \frac{\text{Div}_0(Y)}{\text{Div}_P(Y)} \longrightarrow \frac{\text{Div}_0(X)}{\text{Div}_P(X)} = J_0(X) \tag{3}$$

defined by $D \mapsto \pi^*D$, where $\text{Div}_P(Y)$ denotes the group of principal divisors on Y (as before, Div_0 denotes degree zero divisors).

Theorem 4.2. *The image of the homomorphism ρ in (3) coincides with the subgroup of $J_0(X)$ defined by all holomorphic line bundle L with $\sigma^*\bar{L}$ holomorphically isomorphic to L .*

Proof. Let D be a divisor on Y of degree zero. The divisor π^*D on X is left invariant by the action of the involution σ . From the above remark that the involution σ_0 is taken into the involution defined by $L \mapsto \sigma^*\bar{L}$, it follows immediately that the holomorphic line bundle $L = \mathcal{O}_X(\pi^*D)$ over X corresponding to the divisor π^*D satisfies the condition $L \cong \sigma^*\bar{L}$.

For the converse direction, take a holomorphic line bundle L over X which has the property that $\sigma^*\bar{L}$ is isomorphic to L . Let s be a nonzero meromorphic section of L . If the divisor $\text{div}(s)$ is left invariant by the involution σ , then L is in the image of ρ .

If $\text{div}(s)$ is *not* left invariant by the involution σ , then consider the meromorphic section of $\sigma^*\bar{L}$ defined by σ^*s . (Recall that $\sigma^*\bar{L}$ and σ^*L are identified as real rank two C^∞ bundles, and the section of $\sigma^*\bar{L}$ defined by σ^*s using this identification is meromorphic.)

Now, fix a holomorphic isomorphism

$$\alpha : L \longrightarrow \sigma^*\bar{L} \tag{4}$$

such that the composition

$$L \xrightarrow{\alpha} \sigma^* \bar{L} \xrightarrow{\sigma^* \bar{\alpha}} \overline{\sigma^* \sigma^* \bar{L}} = L \tag{5}$$

is the identity automorphism of L , where $\bar{\alpha}$ is the isomorphism of \bar{L} with $\sigma^* \bar{L}$ induced by α . Note that such an isomorphism exists. Indeed, if

$$\alpha' : L \longrightarrow \sigma^* \bar{L}$$

is any isomorphism, then the automorphism $\sigma^* \bar{\alpha}' \circ \alpha'$ of L (defined as in (5)) is the multiplication by a nonzero scalar $c \in \mathbb{C}$. Take any $c_0 \in \mathbb{C}$ such that $c_0^2 = c$. Now the isomorphism $\alpha = \alpha'/c_0$ satisfies the condition that the composition in (5) is the identity automorphism of L .

Let s' be the meromorphic section of L defined by the above section $\sigma^* s$ using this isomorphism. Consider the meromorphic section $s' + s$ of L . Since $\text{div}(s)$ is not left invariant by σ , this meromorphic section $s' + s$ is not identically zero. The divisor $\text{div}(s + s')$ is clearly left invariant by the involution σ . Hence $L \in J_0(X)$ is in the image of ρ . This completes the proof of the theorem. \square

5. Nonorientable line bundle

In this section we will define a line bundle on Y intrinsically without using X .

Let $\{U_i\}_{i \in I}$ be a covering of Y by open subsets and for each U_i ,

$$\phi_i : U_i \longrightarrow \mathbb{R}^2,$$

a C^∞ coordinate chart. Consider the trivial (real) line bundle $U_i \times \mathbb{R}$ on each U_i . Using

$$\frac{\det d(\phi_j \circ \phi_i^{-1})}{|\det d(\phi_j \circ \phi_i^{-1})|} \in \pm 1 \subset \text{Aut}(\mathbb{R})$$

as the transition function over $U_i \cap U_j$ for the pair (i, j) , we get a real line bundle over Y . This line bundle will be denoted by ξ . Since the transition functions are ± 1 , the line bundle $\xi^{\otimes 2}$ has a natural isomorphism with the trivial line bundle $Y \times \mathbb{R}$. Let

$$\lambda : \xi^{\otimes 2} \longrightarrow Y \times \mathbb{R} \tag{6}$$

be the isomorphism.

We will give a construction of the line bundle ξ without using coordinate charts. Consider the complement $\bigwedge^2 TY \setminus \{0_Y\}$ of the zero section of the real line bundle $\bigwedge^2 TY$, where TY is the real tangent bundle of Y . The multiplicative group

$$\mathbb{R}^+ := \{c \in \mathbb{R} \mid c > 0\}$$

acts on $\bigwedge^2 TY \setminus \{0\}$. The action of any $c \in \mathbb{R}^*$ sends any $v \in \bigwedge^2 TY \setminus \{0\}$ to cv . Also, the multiplicative group ± 1 acts on $\bigwedge^2 TY \setminus \{0\}$ by sending any v to $\pm v$. Since these two actions commute, we have an action of the multiplicative group ± 1 on

$$Z := \frac{\bigwedge^2 TY \setminus \{0_Y\}}{\mathbb{R}^+}.$$

Now, we have

$$\xi = \frac{Z \times \mathbb{R}}{\pm 1},$$

where ± 1 acts diagonally and it acts on \mathbb{R} as multiplication by ± 1 .

We will show that the Klein surface (nonorientable complex) structure on Y gives an isomorphism of TY with $TY \otimes \xi$, where TY as before is the (real) tangent bundle of Y . To construct the isomorphism, take a compatible coordinate chart

$$\phi_i : U_i \longrightarrow \mathbb{C}$$

compatible with the nonorientable complex structure. The orientation of the complex line \mathbb{C} induces an orientation of U_i using ϕ_i . This gives a trivialization of ξ over U_i (this induced trivialization is also clear from the first construction of ξ). Using ϕ_i we have a complex structure on U_i obtained from the complex structure of \mathbb{C} . Let

$$\gamma_i : TU_i \longrightarrow TU_i \otimes \xi|_{U_i}$$

be the isomorphism defined by the almost complex structure of U_i and the trivialization of $\xi|_{U_i}$. If ϕ_j is another compatible coordinate chart then the function $\phi_i \circ \phi_j^{-1}$ is either holomorphic or anti-holomorphic. This immediately implies that the isomorphism

$$\gamma_j : TU_j \longrightarrow TU_j \otimes \xi|_{U_j}$$

(obtained by repeating the construction of γ_i for the new compatible coordinate chart) coincides with γ_i over $U_i \cap U_j$. Consequently, the locally defined isomorphisms $\{\gamma_i\}$ patch together compatibly to give a global isomorphism

$$\gamma : TY \longrightarrow TY \otimes \xi \tag{7}$$

over Y .

A *nonorientable complex line bundle* over Y is a C^∞ real vector bundle of rank two over Y together with a C^∞ isomorphism of vector bundles

$$\tau : E \longrightarrow E \otimes \xi \tag{8}$$

satisfying the condition that the composition

$$E \xrightarrow{\tau} E \otimes \xi \xrightarrow{\tau \otimes \text{Id}_\xi} (E \otimes \xi) \otimes \xi = E \otimes \xi^{\otimes 2} \xrightarrow{\text{Id}_E \otimes \lambda} E \tag{9}$$

coincides with the automorphism of E defined by multiplication with -1 , where λ is defined in (6).

Therefore, if for a point $y \in Y$ we fix $w \in \xi_y$ with $\lambda(w \otimes w) = 1$, then the automorphism of the fiber E_y defined by

$$v \longmapsto \langle \tau(v), w^* \rangle$$

is an almost complex structure on E_y , where $\langle -, - \rangle$ denotes the contraction of ξ_y with its dual line ξ_y^* and $w^* \in \xi_y^*$ is the dual element of w , that is, $\langle w, w^* \rangle = 1$.

Let (E, τ) be a nonorientable complex line bundle over Y as above. It is easy to see that the C^∞ vector bundle E is *not* orientable. Indeed, the two orientations on a two-dimensional

real vector space V defined by J and $-J$, where J is an almost complex structure on V , are opposite to each other. To explain this, note that an orientation of the tangent space $T_y Y$, where $y \in Y$, induces an orientation of the fiber E_y and conversely. Indeed, giving an orientation of $T_y Y$ is equivalent to giving a vector in $w \in \xi_y$ with $\lambda(w \otimes w) = 1$. As it was shown above, such an element w gives an almost complex structure on E_y . Hence E_y gets an orientation. Conversely, if we have an orientation of the fiber E_y , then choose the element $w \in \xi_y$, with $\lambda(w \otimes w) = 1$, that induces this orientation using τ . Now, w gives an orientation of $T_y Y$. Therefore, giving an orientation of E_y is equivalent to giving an orientation of $T_y Y$. Since the tangent bundle TY is not orientable, we conclude that the vector bundle E is not orientable.

The total space of the vector bundle E will also be denoted by E . Let

$$f : E \longrightarrow Y$$

be the natural projection. Note that the relative tangent bundle for f (that is, the kernel of the differential df) is identified with f^*E . So we have the following exact sequence of vector bundle

$$0 \longrightarrow f^*E \longrightarrow TE \longrightarrow f^*TY \longrightarrow 0 \quad (10)$$

over the manifold E .

The line bundle $f^*\xi$ will be denoted by $\hat{\xi}$. Let

$$J : TE \longrightarrow TE \otimes \hat{\xi}$$

be an isomorphism such that the composition

$$TE \xrightarrow{J} TE \otimes \hat{\xi} \xrightarrow{J \otimes \text{Id}_{\hat{\xi}}} (TE \otimes \hat{\xi}) \otimes \hat{\xi} = TE \otimes \hat{\xi}^{\otimes 2} \xrightarrow{\text{Id}_E \otimes f^*\lambda} TE$$

coincides with the automorphism of E defined by multiplication with -1 . Assume that the isomorphism J satisfies the following further conditions:

- (1) The subbundle f^*E in (10) is preserved by J and $J|_{f^*E}$ coincides with the isomorphism $f^*\tau$, where τ is defined in (8).
- (2) The action of J on the quotient f^*TY in (10) coincides with the isomorphism $f^*\gamma$, where γ is constructed in (7).

A holomorphic structure on the nonorientable complex line bundle E is an isomorphism J as above satisfying the following conditions (apart from the above conditions) described below.

If we take a coordinate chart (U, ϕ) on Y compatible with the nonorientable Riemann surface structure, then as we saw before, the restriction $\xi|_U$ gets a trivialization. This in turn gives a trivialization of $\hat{\xi}$ over $f^{-1}(U)$. Using this trivialization of $\hat{\xi}|_{f^{-1}(U)}$, the isomorphism $J|_{f^{-1}(U)}$ becomes an automorphism J_ϕ of $(TE)_{f^{-1}(U)}$ with the property that $J_\phi \circ J_\phi$ coincides with the automorphism of $(TE)_{f^{-1}(U)}$ given by multiplication with -1 . In other words, J_ϕ is an almost complex structure on $f^{-1}(U)$.

A *holomorphic structure* on the nonorientable complex line bundle E is an isomorphism J satisfying the following two conditions (apart from the earlier conditions):

- (1) The almost complex structure J_ϕ on $f^{-1}(U)$ is integrable for every compatible coordinate chart.
- (2) There is a homomorphic isomorphism

$$f_\phi : f^{-1}(U) \longrightarrow \phi(U) \times \mathbb{C} \subset \mathbb{C} \times \mathbb{C}$$

that fits in a commutative diagram

$$\begin{array}{ccc} f^{-1}(U) & \xrightarrow{f_\phi} & \phi(U) \times \mathbb{C} \\ \downarrow f & & \downarrow \\ U & \xrightarrow{\phi} & \phi(U) \end{array}$$

(the right vertical arrow is the projection to the first coordinate), and the restriction of f_ϕ to any fiber of f is a complex linear isomorphism with \mathbb{C} .

A holomorphic line bundle over Y is defined to be a complex line bundle equipped with a holomorphic structure.

As in §2, let $\pi : X \longrightarrow Y$ be the double cover of the nonorientable Riemann surface Y given by local orientations. As before, let σ denote the anti-holomorphic involution of the Riemann surface X .

Theorem 5.1. *The space of all holomorphic line bundles over Y are in bijective correspondence with the holomorphic line bundles L over X with the property that $\sigma^*\bar{L}$ is holomorphically isomorphic to L .*

Proof. Let L be a holomorphic line bundle over X such that $\sigma^*\bar{L}$ is holomorphically isomorphic to L . Fix an isomorphism

$$\alpha : L \longrightarrow \sigma^*\bar{L}$$

as in (4) such that the composition in (5) is the identity automorphism of L .

Since the underlying C^∞ line bundle for \bar{L} is identified with that of L , the isomorphism α gives a C^∞ isomorphism of L with σ^*L whose composition with itself is the identity automorphism of L . In other words, α is a C^∞ lift to L of the involution σ of X . Therefore, the quotient L/α is a real vector bundle of rank two over $X/\sigma = Y$. This real vector bundle of rank two over Y will be denoted by E .

To construct a complex structure on E , first note that the (real) line bundle $\pi^*\xi$ over X is canonically trivialized, i.e., there is a natural isomorphism of $\pi^*\xi$ with the trivial line bundle $X \times \mathbb{R}$ over X . Indeed, this follows immediately from the definitions of X and ξ . The complex structure on the fibers on L give an isomorphism

$$L \longrightarrow L$$

defined by multiplication by $\sqrt{-1}$. Consider the composition

$$L \longrightarrow L \longrightarrow L \otimes_{\mathbb{R}} (X \times \mathbb{R}) \longrightarrow L \otimes_{\mathbb{R}} \pi^*\xi$$

which we denote by J_0 . Since $\pi^*\xi$ is the pullback of a line bundle over Y , there is a natural lift of the involution σ to $\pi^*\xi$. On the other hand, α is a C^∞ lift of the involution σ to

L . Therefore, we have a lift of the involution σ to $L \otimes_{\mathbb{R}} \pi^* \xi$. It is straight-forward to check that the isomorphism J_0 defined above commutes with the lifts of the involution σ to L and $L \otimes_{\mathbb{R}} \pi^* \xi$. This immediately implies that the isomorphism J_0 descends to an isomorphism of E with $E \otimes_{\mathbb{R}} \xi$ over Y . This isomorphism of E with $E \otimes_{\mathbb{R}} \xi$, which we denote by J , clearly satisfies the condition that the composition in (9) is multiplication by -1 . Therefore, (E, J) is a nonorientable complex line bundle.

It is easy to see that J defines a holomorphic structure on E . Indeed, this is an immediate consequence of the fact that the almost complex structure on the total space of L is integrable.

For the converse direction, take a holomorphic line bundle (E, J) over Y . Consider the (real) rank two C^∞ vector bundle $\pi^* E$ over X . Since $\pi^* \xi$ is identified with the trivial line bundle, the complex structure τ on E (defined in (8)) gives a complex structure on $\pi^* E$. For the same reason, J defines an integrable complex structure on the total space of $\pi^* E$. Using the conditions on J the vector bundle $\pi^* E$ gets the structure of a holomorphic line bundle over X .

Since $\pi^* E$ is the pullback of a vector bundle over Y , the involution σ of X has a natural C^∞ lift to $\pi^* E$. The isomorphism of $\pi^* E$ with $\sigma^* \pi^* E$ defined by this lift gives a holomorphic isomorphism of the holomorphic line bundle $\pi^* E$ with $\sigma^* \overline{\pi^* E}$. This completes the proof of the theorem.

References

- [1] Arés Gastesi P, A note on Torelli spaces of non-orientable compact Klein surfaces, *Ann. Acad. Sci. Fenn. Math.* **24** (1999) 23–30
- [2] Farkas H and Kra I, *Riemann Surfaces*, Volume 72 of Graduate Text in Mathematics, 2nd edition (New York, Heidelberg and Berlin: Springer-Verlag) (1992)