

# $^{18}\text{F}$ -FDG Uptake as a Biologic Prognostic Factor for Recurrence in Patients with Surgically Resected Non–Small Cell Lung Cancer

Kotaro Higashi, MD<sup>1</sup>; Yoshimichi Ueda, MD<sup>2</sup>; Yukiko Arisaka, MD<sup>1</sup>; Tsutomu Sakuma, MD<sup>3</sup>; Yoshihiro Nambu, MD<sup>4</sup>; Manabu Oguchi, MD<sup>1</sup>; Hiroyasu Seki, MD<sup>5</sup>; Suzuka Taki, MD<sup>1</sup>; Hisao Tonami, MD<sup>1</sup>; and Itaru Yamamoto, MD<sup>1</sup>

<sup>1</sup>Department of Radiology, Kanazawa Medical University, Ishikawa, Japan; <sup>2</sup>Department of Pathology, Kanazawa Medical University, Ishikawa, Japan; <sup>3</sup>Department of Respiratory Surgery, Kanazawa Medical University, Ishikawa, Japan; <sup>4</sup>Division of Respiratory Disease, Department of Internal Medicine, Kanazawa Medical University, Ishikawa, Japan; and <sup>5</sup>Department of Radiology, Kanazawa Cardiovascular Hospital, Ishikawa, Japan

Among patients with resected non–small cell lung cancer (NSCLC), approximately 50% present with a recurrent tumor. The clinical or pathologic TNM staging does not always provide a satisfactory explanation for differences in relapse and survival. Thus, it is of major importance to be able to predict these relapses and to prevent them with an active chemotherapy or radiotherapy program (or both).  $^{18}\text{F}$ -FDG uptake on PET could be of prognostic significance in patients with resected NSCLC. The goal of this study was to determine whether the level of metabolic activity observed with  $^{18}\text{F}$ -FDG uptake correlates with the probability of postoperative recurrence in patients with NSCLC. **Methods:** Fifty-seven patients with NSCLC were examined with  $^{18}\text{F}$ -FDG PET. For semiquantitative analysis, standardized uptake values (SUVs) were calculated. Patients were classified into high-SUV ( $>5.0$ ) and low-SUV ( $\leq 5.0$ ) groups. All patients underwent thoracotomy within 4 wk after the  $^{18}\text{F}$ -FDG PET study. Tumor  $^{18}\text{F}$ -FDG uptake (SUV), pathologic stage, and lesion size were analyzed for their possible association with disease-free survival. **Results:** Forty-six patients had pathologic stage I NSCLC and 11 had pathologic stage II or stage III NSCLC. In a univariate analysis, patients with an SUV of  $\leq 5$  had a much better disease-free survival than did patients with an SUV of  $>5$  ( $P < 0.0001$ ). In patients with pathologic stage I and stage IA NSCLC, the SUV was also correlated with disease-free survival ( $P < 0.0001$  and  $P = 0.0012$ , respectively). Patients with pathologic stage I disease had an expected 5-y disease-free survival rate of 88% if the SUV was  $\leq 5$  and a survival rate of  $\leq 17\%$  if the SUV was  $>5$ . A multivariate Cox analysis identified the SUV as the most significant independent factor for disease-free survival. **Conclusion:** We conclude that the  $^{18}\text{F}$ -FDG uptake in primary NSCLC determined by PET has a significant independent postoperative prognostic value for recurrence, especially in patients with pathologic stage I NSCLC.  $^{18}\text{F}$ -FDG uptake was superior to pathologic stage in predicting relapse of patients with NSCLC.

**Key Words:** PET;  $^{18}\text{F}$ -FDG; lung cancer; disease-free survival  
**J Nucl Med 2002; 43:39–45**

**T**he tumor-node-metastasis (TNM) staging system is the most important tool used by clinical oncologists to estimate prognosis (1) and to choose the best combination of treatment modalities such as surgery, radiation therapy, and chemotherapy. However, the clinical or pathologic TNM staging does not always provide a satisfactory explanation for differences in relapse and survival. Resected stage I non–small cell lung cancer (NSCLC) is a typical example. Many patients are cured, but some suffer an early relapse and die. Approximately 50% of patients with resected NSCLC present with a recurrent tumor (2). Approximately 35% of stage I patients who undergo resection relapse, resulting in a 5-y survival rate of approximately 65% (3). Thus, it is of major importance to be able to predict these relapses and to prevent them with an active chemotherapy or radiotherapy program (or both).

Recent advances in molecular biology have helped to elucidate different patterns of relapse and survival in resected stage I NSCLC. For instance, measures of tumor proliferation estimated by proliferating cell nuclear antigen (PCNA) and Ki-67 expression have prognostic value for recurrence and survival in resected NSCLC (4,5). NSCLC is also characterized by glucose metabolic derangements. Increased glycolysis results in the upregulation of glucose transporter protein (especially subtype Glut-1) and in increased hexokinase activity (6). These glucose metabolic derangements can be measured quantitatively in vivo by PET after administration of  $^{18}\text{F}$ -FDG. Recently, the  $^{18}\text{F}$ -FDG uptake in NSCLC has been correlated with the growth rate and proliferation capacity of tumors (7–9) and has also been identified as an independent prognostic factor correlated with tumor aggressiveness and survival in patients

Received May 23, 2001; revision accepted Sep. 25, 2001.  
For correspondence or reprints contact: Kotaro Higashi, MD, Department of Radiology, Kanazawa Medical University, 1-1, Daigaku, Uchinada, Kahokugun, Ishikawa, 920-0293, Japan.  
E-mail: h550208@kanazawa-med.ac.jp

with lung cancer (10–13). The more metabolically active the tumor, the worse the outcome.

On the basis of these findings, we analyzed recurrence in patients with resected NSCLC. The aim of this study was to evaluate whether the standardized uptake value (SUV), a semiquantitative measurement of  $^{18}\text{F}$ -FDG uptake in the tumor, was predictive of the prognosis for recurrence in patients with surgically resected NSCLC.

## MATERIALS AND METHODS

### Patients

Fifty-seven patients with NSCLC were included in this retrospective study. The study group included patients with NSCLC who underwent  $^{18}\text{F}$ -FDG PET between February 1994 and November 2000, then underwent resection of the tumor, and then were monitored bimonthly at the outpatient clinic after surgery. Excluded were patients who showed evidence of distal metastatic disease before surgery, who received postoperative chemotherapy or radiation therapy, or who had insulin-dependent diabetes and serum glucose levels of  $>120$  mg/dL just before the  $^{18}\text{F}$ -FDG was injected. Not all eligible patients underwent  $^{18}\text{F}$ -FDG PET because of limitations of scheduling and patient consent. Patient characteristics recorded at baseline included age, sex, tumor cell type (adenocarcinoma, squamous cell carcinoma, adenosquamous cell carcinoma, or large cell carcinoma), cell differentiation (poorly, moderately, or well differentiated), lesion size (maximal tumor diameter as determined by pathologic findings), and pathologic stage (according to the TNM staging system). Informed consent was obtained from patients for the  $^{18}\text{F}$ -FDG PET study.

### $^{18}\text{F}$ -FDG PET

Thoracic PET was performed using a dedicated PET camera (Headtome IV; Shimazu, Kyoto, Japan) with 4 rings, which provided 7 tomographic slices. The intrinsic resolution was 5-mm full width at half maximum at the center. After at least 4 h of fasting, each subject underwent transmission scanning for attenuation correction for 10 min. Immediately after obtaining the transmission scan,  $^{18}\text{F}$ -FDG was administered intravenously, and a static scan (14–24 tomographic slices at 6.5-mm intervals) was obtained 40 min later for 10–20 min using a  $128 \times 128$  matrix. The average injection dose of  $^{18}\text{F}$ -FDG was 185 MBq.

### Data Analysis

The  $^{18}\text{F}$ -FDG images were visually interpreted from the films and carefully correlated with a contemporaneous CT study. For semiquantitative analysis of the  $^{18}\text{F}$ -FDG uptake, irregular regions of interest (ROIs) were placed over the most intense area of  $^{18}\text{F}$ -FDG accumulation. To place ROIs on the lesion, a modified method, based on the original report of Ahuja et al. (12), was used. The ROIs placed on the lesions encompassed all pixels within that lesion with uptake values of  $>90\%$  of the maximum uptake in that slice, and the average counted rate in each ROI was calculated. After correction for radioactive decay, the ROIs were analyzed by computing the SUV (tumor activity concentration/injected dose/body weight), which was calculated using a calibration factor between PET counts and radioactivity concentration.

### Statistical Analysis

Disease-free survival time was defined as the time interval from the date of  $^{18}\text{F}$ -FDG PET until recurrence or the last follow-up

date. Survival time was defined as the time interval from the date of  $^{18}\text{F}$ -FDG PET until death or the last follow-up date. Survival and disease-free survival were calculated with the Kaplan–Meier method, and groups were compared using the log-rank test.

Multivariate analysis was performed with the Cox proportional hazards model to assess the joint effects and interactions of the following variables on disease-free survival: SUV, pathologic stage, and lesion size. A log-rank test and a generalized Wilcoxon test were used to determine a statistically significant SUV cutoff value, which was used for survival and disease-free survival analyses.

## RESULTS

The characteristics of the 57 patients are listed in Table 1. The SUV was  $3.6 \pm 2.3$  (mean  $\pm$  SD) and ranged from 0.38 to 9.74. Forty-six patients had pathologic stage I NSCLC, including 38 with stage IA (T1 N0 M0) and 8 with stage IB (T2 N0 M0) NSCLC. Eleven patients had pathologic stage II or stage III NSCLC. The lesion size, which was determined from the resected specimens, was  $2.6 \pm 1.1$  cm (mean  $\pm$  SD) and ranged from 0.8 to 6.3 cm. Fifteen (26.3%) of the lung cancers were  $>3$  cm in diameter. Of the remaining 42 lung cancers, 20 (35.1%) were 2.1–3.0 cm and 22 (38.6%) were  $\leq 2$  cm. The histologic types were 47 adenocarcinomas, including 13 bronchioloalveolar carcinomas, 8 squamous cell carcinomas, 1 adenosquamous cell carcinoma, and 1 large cell carcinoma. Seventeen (29.8%) of the 57 patients suffered a recurrence during follow-up at a median time of 14 mo after the PET study, and 11 of these patients died during follow-up at a median time of 19 mo after the PET study. Forty patients did not suffer a recurrence at the time of data analysis. Follow-up for these patients was available for a median period of 33.5 mo after the PET study.

### Univariate Survival Analysis

The influence of tumor  $^{18}\text{F}$ -FDG uptake was explored for various SUV cutoff values. The most discriminative cutoff point for prognosis proved to be at an SUV of 5, although dichotomization with a broad range of SUVs, between 4 and 7, gave significantly discriminative log-rank probability values and generalized Wilcoxon probability values. Patients with an SUV of  $\leq 5$  had a significantly better survival rate than patients with an SUV of  $>5$  ( $P = 0.0002$ ) (Fig. 1A). In 44 patients with lung cancer other than bronchioloalveolar carcinoma, those with an SUV of  $\leq 5$  had a significantly better survival rate than patients with an SUV of  $>5$  ( $P = 0.0028$ ).

### Univariate Disease-Free Survival Analysis

The influence of tumor  $^{18}\text{F}$ -FDG uptake was explored for various SUV cutoff values, and the most discriminative cutoff point was again found to be an SUV of 5. Patients with an SUV of  $\leq 5$  also had a significantly better disease-free survival rate than patients with an SUV of  $>5$  ( $P < 0.0001$ ) (Fig. 1B). In patients with pathologic stage I (Fig.

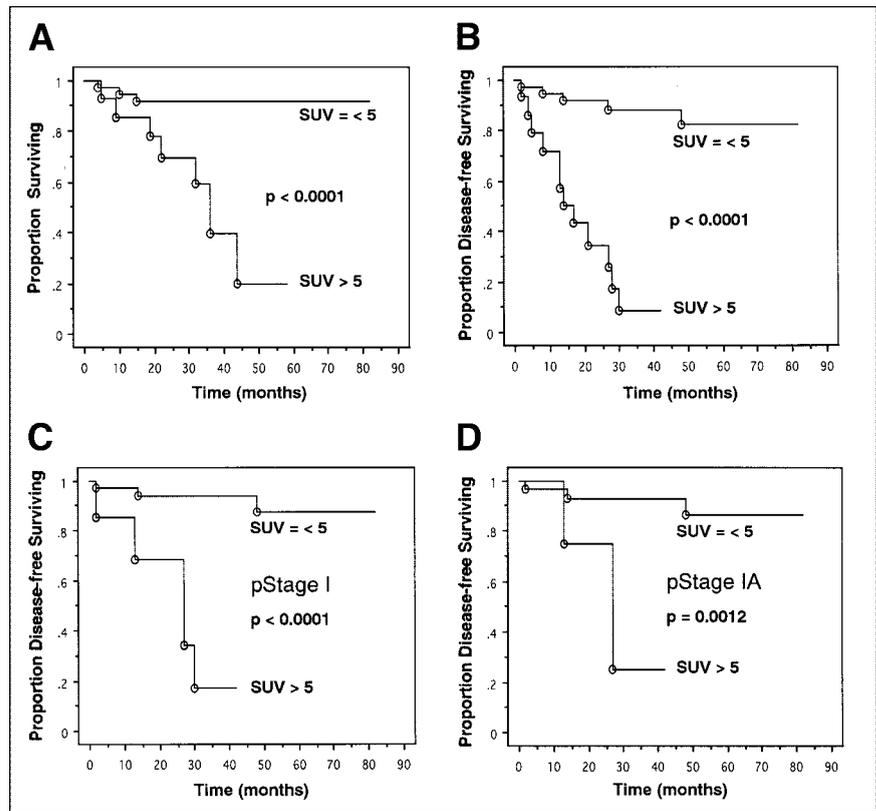
**TABLE 1**  
Characteristics and Radionuclide Imaging Results of 57 Patients

Patient no.	Age (y)	Sex	Histologic type	Size (cm)	pStage	FDG SUV	Recurrence	Interval* (mo)	Status	Interval† (mo)
1	70	F	BAC	1.2	IA	0.45	–	14	Alive	14
2	51	F	BAC	1.2	IA	0.38	–	63	Alive	63
3	60	F	BAC	1.6	IA	1.85	–	19	Alive	19
4	69	F	BAC	1.9	IA	0.68	–	33	Alive	33
5	76	F	BAC	2.0	IA	1.32	–	31	Alive	31
6	59	M	BAC	2.2	IA	1.20	–	25	Alive	25
7	54	F	BAC	2.2	IA	0.84	–	55	Alive	55
8	49	F	BAC	2.5	IA	1.03	–	62	Alive	62
9	50	F	BAC	2.6	IA	1.10	–	51	Alive	51
10	66	M	BAC	2.6	IA	0.93	–	8	Alive	8
11	73	F	BAC	2.8	IA	2.31	–	7	Alive	7
12	63	F	BAC	3.8	IB	2.78	–	37	Alive	37
13	67	M	BAC	4.0	IB	2.14	–	34	Alive	34
14	66	F	Well-diff. AC	1.0	IA	2.41	–	80	Alive	80
15	68	M	Well-diff. AC	1.4	IIIA	3.86	–	38	Alive	38
16	51	M	Well-diff. AC	1.7	IA	2.03	+	14	Deceased	15
17	46	F	Well-diff. AC	1.9	IA	2.32	–	72	Alive	72
18	78	F	Well-diff. AC	2.0	IA	1.21	–	23	Alive	23
19	48	F	Well-diff. AC	2.0	IA	1.78	–	31	Alive	31
20	62	F	Well-diff. AC	2.0	IA	1.24	–	18	Alive	18
21	49	M	Well-diff. AC	2.4	IA	1.70	–	52	Alive	52
22	65	F	Well-diff. AC	2.6	IA	1.91	–	18	Alive	18
23	64	F	Well-diff. AC	3.0	IA	3.25	–	2	Alive	2
24	67	F	Well-diff. AC	3.1	IB	3.71	–	28	Alive	28
25	70	M	Well-diff. AC	3.2	IB	4.41	–	38	Alive	38
26	65	M	Well- to mod. diff. AC	2.8	IA	1.79	–	16	Alive	16
27	42	F	Mod. diff. AC	1.5	IA	2.64	–	79	Alive	79
28	47	F	Mod. diff. AC	1.6	IA	2.04	–	51	Alive	51
29	63	M	Mod. diff. AC	1.8	IA	3.81	–	52	Alive	52
30	74	M	Mod. diff. AC	1.8	IA	2.52	+	2	Deceased	4
31	76	F	Mod. diff. AC	1.9	IA	3.76	–	56	Alive	56
32	71	M	Mod. diff. AC	2.1	IA	2.07	–	12	Alive	12
33	53	F	Mod. diff. AC	2.5	IIIA	5.31	+	28	Deceased	44
34	72	M	Mod. diff. AC	2.8	IA	2.35	+	48	Alive	48
35	53	M	Mod. diff. AC	2.9	IA	5.76	+	27	Deceased	32
36	72	F	Mod. diff. AC	3.0	IIIA	6.94	+	17	Deceased	22
37	59	F	Mod. diff. AC	3.5	IB	2.12	–	53	Alive	53
38	59	F	Mod. diff. AC	3.5	IIIA	7.06	+	14	Deceased	19
39	64	M	Mod. diff. AC	3.6	IIIB	5.94	+	21	Deceased	36
40	68	M	Mod. diff. AC	3.8	IIIA	4.87	+	8	Deceased	10
41	75	M	Mod. diff. AC	4.9	IIB	6.05	+	8	Alive	25
42	85	M	Poorly diff. AC	0.8	IA	2.11	–	39	Alive	39
43	71	M	Poorly diff. AC	2.5	IA	6.13	+	13	Alive	58
44	73	M	Poorly diff. AC	2.7	IIA	8.05	–	19	Alive	19
45	50	M	Poorly diff. AC	3.2	IB	5.80	+	30	Alive	36
46	54	M	Poorly diff. AC	4.0	IIIA	8.29	+	4	Deceased	5
47	59	M	Poorly diff. AC	5.5	IB	5.97	+	12	Alive	14
48	71	M	Mod. diff. SCC	2.0	IA	5.30	–	42	Alive	42
49	73	M	Mod. diff. SCC	2.7	IA	4.19	–	7	Alive	7
50	72	M	Mod. diff. SCC	2.8	IA	4.76	–	6	Alive	6
51	76	M	Mod. diff. SCC	3.0	IA	7.22	+	27	Alive	65
52	66	M	Poorly diff. SCC	1.5	IA	4.77	–	82	Alive	82
53	75	M	Poorly diff. SCC	1.7	IA	3.72	–	62	Alive	62
54	64	M	Poorly diff. SCC	4.0	IB	6.19	–	2	Alive	2
55	70	M	Poorly diff. SCC	6.3	IIIA	9.74	+	5	Deceased	9
56	76	M	Adeno-SCC	3.1	IIIA	7.90	+	13	Deceased	36
57	80	F	Large cell carcinoma	1.8	IA	3.26	–	1	Alive	1

\*Time interval from date of FDG PET until recurrence or last follow-up date.

†Time interval from date of FDG PET until death or last follow-up date.

pStage = pathologic stage; BAC = bronchioloalveolar carcinoma; diff. = differentiated; AC = adenocarcinoma; mod. = moderately; SCC = squamous cell carcinoma; adeno-SCC = adenosquamous cell carcinoma.



**FIGURE 1.** (A) Kaplan–Meier survival curves of all 57 patients according to SUV of lung cancer. (B) Kaplan–Meier disease-free survival curves of all 57 patients according to SUV of lung cancer. (C and D) Kaplan–Meier disease-free survival curves of 46 patients with pathologic stage (pStage) I NSCLC (C) and 38 patients with pStage IA NSCLC (D) according to SUV of lung cancer. Curves reveal clear demarcation, with poor survival or disease-free survival of subjects in high-SUV group.

1C) and stage IA (Fig. 1D) NSCLCs, the SUV was also correlated with disease-free survival ( $P < 0.0001$  and  $P = 0.0012$ , respectively). Images from representative patients (patients 35 and 37) are shown in Figures 2 and 3. Patients with pathologic stage I NSCLC had an expected 5-y disease-free survival rate of 88% if the SUV was  $\leq 5$  and a survival rate of  $\leq 17\%$  if the SUV was  $> 5$ . In 44 patients with lung cancer other than bronchioloalveolar carcinoma, those with an SUV of  $\leq 5$  also had a significantly better disease-free survival rate than patients with an SUV of  $> 5$  ( $P < 0.0001$ ). In patients with pathologic stage I and stage IA lung cancers other than bronchioloalveolar carcinoma, the SUV was also correlated with disease-free survival ( $P = 0.0004$  and  $P = 0.0124$ , respectively).

#### Multivariate Disease-Free Survival Analysis

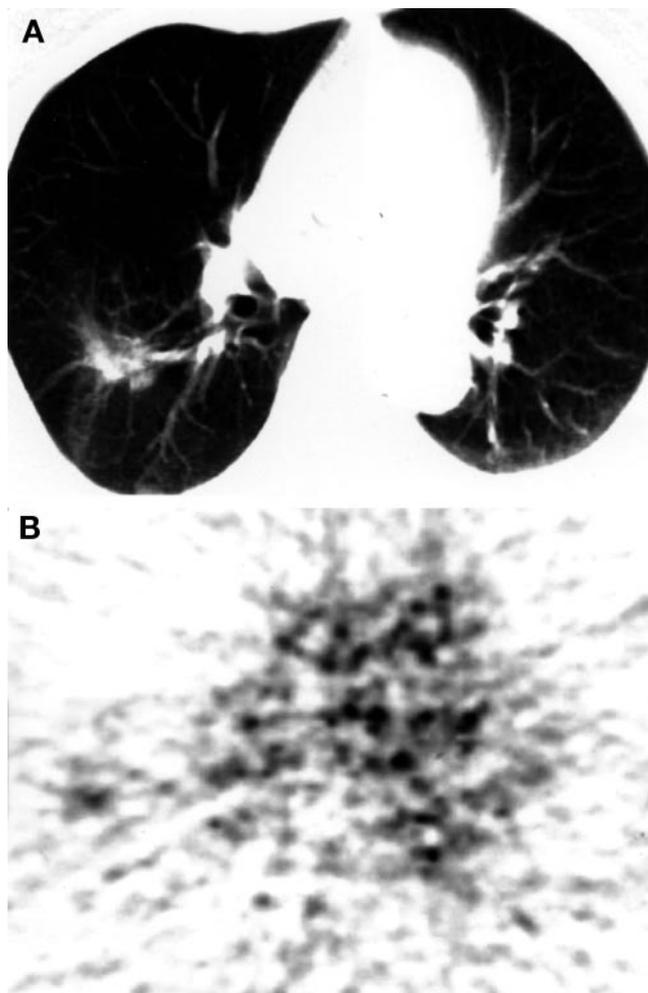
The joint effects and interactions of the SUV, pathologic stage, and lesion size were examined to determine whether the SUV had prognostic importance for recurrence beyond that provided by the pathologic stage and lesion size. The categorical variable (pathologic stage I vs. stages II and III) and the numeric variables (SUV  $< 5$  vs.  $> 5$  and tumor diameter  $< 3$  vs.  $> 3$  cm) were dichotomized. A multivariate Cox analysis identified the SUV as the most significant independent factor for disease-free survival (Table 2). In 44 patients with lung cancer other than bronchioloalveolar carcinoma, a multivariate Cox analysis again identified the SUV as the most significant independent factor for disease-free survival.

#### DISCUSSION

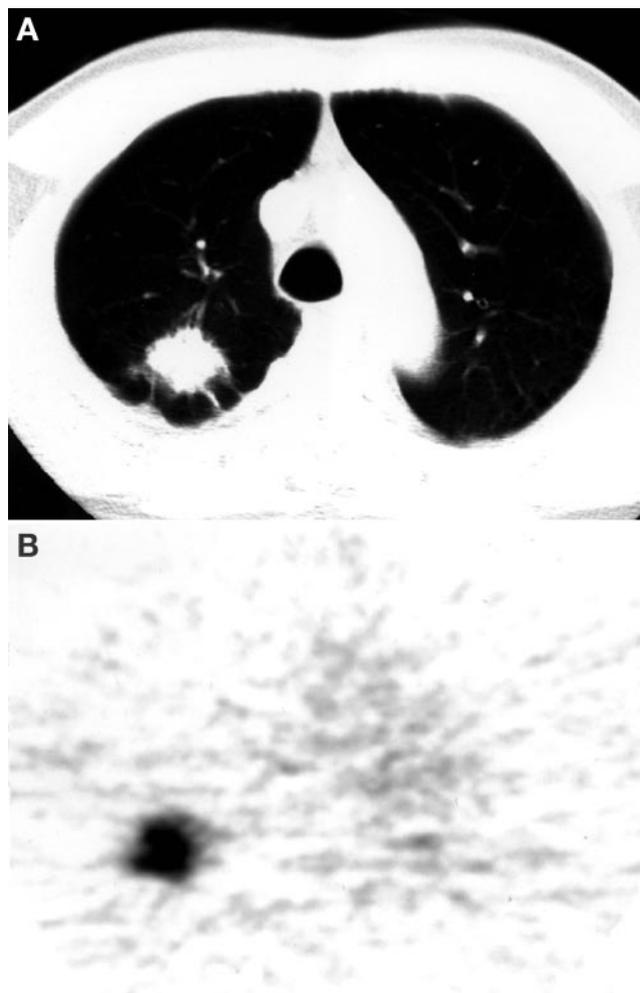
The principal finding of this study is that  $^{18}\text{F}$ -FDG uptake in primary NSCLC patients determined by PET has a significant independent postoperative prognostic value for recurrence, especially in patients with pathologic stage I NSCLC: The greater the  $^{18}\text{F}$ -FDG uptake in lung cancer, the higher the incidence of metastasis.  $^{18}\text{F}$ -FDG uptake was superior to pathologic stage in predicting relapse of patients with NSCLC.

In this study, approximately 30% of patients with resected NSCLC presented with a tumor recurrence. Approximately 17% of stage I patients who underwent resection suffered a relapse. The ability to predict recurrence is an important contribution to treatment planning. If postoperative prognosis can be determined before surgery, it will be possible to determine indications for intensive preoperative and postoperative treatment, including radiotherapy and chemotherapy. Thus,  $^{18}\text{F}$ -FDG PET appears to be useful in determining the optimal therapeutic policy and in contributing to improvement of the postoperative prognosis.

Although the pathologic stage is the most important prognostic factor to date (1), it provides an incomplete biologic profile of NSCLC. Measures of tumor proliferation estimated by PCNA and Ki-67 expression have prognostic value in patients with resected NSCLC (4,5). Increased PCNA and Ki-67 expression have been found to predict poor outcome (4,5) and to be associated with the development of metastases (14). A better understanding of the



**FIGURE 2.** Patient 37: moderately differentiated adenocarcinoma, 3.5 cm in maximal diameter, pathologic stage IB (T2 N0 M0). (A) CT image shows nodule in right lung. (B)  $^{18}\text{F}$ -FDG PET shows faint accumulation in tumor (SUV, 2.12). Patient was alive 53 mo after PET study.



**FIGURE 3.** Patient 35: moderately differentiated adenocarcinoma, 2.9 cm in maximal diameter, pathologic stage IA (T1 N0 M0). (A) CT image shows nodule in right lung. (B)  $^{18}\text{F}$ -FDG PET shows hot accumulation in tumor (SUV, 5.76). Patient suffered recurrence in brain 27 mo after PET study and died 32 mo after PET study.

molecular biology of NSCLC has recently led to the identification of factors that could explain the more aggressive behavior of some tumors. A further molecular-biologic derangement found in NSCLC is altered glucose metabolism, resulting primarily from increased glycolysis and up-regulation of glucose transporter proteins (especially Glut-1) and increased hexokinase activity (6). The  $^{18}\text{F}$ -FDG uptake in NSCLC has been correlated with tumor growth rate and proliferation capacity (7–9): The greater the  $^{18}\text{F}$ -FDG uptake, the higher the proliferation capacity. The  $^{18}\text{F}$ -FDG uptake in NSCLC has also been correlated with aggressiveness (pleural involvement, vascular invasion, and lymphatic permeation), as determined by pathology in adenocarcinoma of the lung (10): The greater the  $^{18}\text{F}$ -FDG uptake, the more aggressive the tumor. Aggressiveness, especially vascular invasion, is an important determinant of recurrence in stage I NSCLC (14,15). Furthermore, overexpression of the Glut-1 transporter, an important feature of

glucose disturbance in NSCLC (16), has also been linked to a poorer prognosis (17,18). All of these findings could explain the relationship between  $^{18}\text{F}$ -FDG uptake on PET and biologic aggressiveness, and thus prognosis, in NSCLC.

**TABLE 2**  
Cox Proportional Hazards Model  
(Using Dichotomized Variables)

Variable	Relative risk	95% CI*	P
Tumor SUV, <5 vs. >5	7.00	1.75–27.93	0.0058
pStage, I vs. II and III	0.41	0.12–1.47	0.17
Tumor size, <3 vs. >3 cm	2.26	0.81–6.35	0.12

\*95% confidence interval (CI) of relative risk.  
pStage = pathologic stage.

$^{18}\text{F}$ -FDG uptake has been identified recently as a significant prognostic factor correlated with survival in patients with lung cancer (10–13): The more metabolically active the tumor, the worse the outcome. Dhital et al. (11) reported that an SUV of  $\geq 20$  was of significant prognostic value in 77 patients with primary lung cancer. Ahuja et al. (12) reported that an SUV of  $>10$  was of significant adverse prognostic importance in 155 patients with NSCLC. In these studies, not only the surgical group but also the radiation or chemotherapy group (or both) were included. Vansteenkiste et al. (13) reported that for the SUV a group dichotomy with a cutoff SUV value of 7 had the best discriminative value for prognosis in the total 125 patients with NSCLC and in the surgical cohort. In our study, because the selection of treatment regimen was also a significant prognostic factor, only surgically resected patients were included, and the most discriminative cutoff point was found at an SUV of 5, a lower value than those found in other studies. There are several possible explanations for this phenomenon. First, our study included only surgically resected patients, and 46 (80.7%) of the 57 patients had pathologic stage I lung cancers. Second, the tumor size (mean  $\pm$  SD) was small ( $2.60 \pm 1.67$  cm), and 38.6% of the total lung cancers were  $\leq 2$  cm in size.  $^{18}\text{F}$ -FDG uptake values determined by PET are subject to partial-volume effects, which lead to an underestimation of the real value (19). Finally, depending on the type of neoplasm, wide individual variations in glucose consumption can be observed. The uptake of  $^{18}\text{F}$ -FDG by adenocarcinomas has been shown to correlate with their degree of cell differentiation (20). In particular, the  $^{18}\text{F}$ -FDG uptake in bronchioloalveolar carcinomas was significantly lower than that in nonbronchioloalveolar adenocarcinomas (7,20). In our study, the SUV (mean  $\pm$  SD) of all lung cancers was low ( $3.6 \pm 2.3$ ). This finding can be attributed to the fact that our study included many bronchioloalveolar carcinomas and well-differentiated adenocarcinomas, which have low SUV values.

Our study has certain limitations. First, dichotomization with a broad range of SUVs, between 4 and 7, gave significantly discriminative log-rank probability values and generalized Wilcoxon probability values, although the most discriminate cutoff point for prognosis proved to be at an SUV of 5. Vansteenkiste et al. (13) also reported that dichotomization with a broad range of SUVs gave significantly discriminative log-rank probability values ( $<0.05$ ). It seems reasonable to hypothesize that there is no true cutoff point but, rather, a transition zone, within which the prognosis gradually worsens (13).

Second, because of limitations of scheduling and patient consent, we were unable to study sequential patients, a factor that may introduce a selection bias. This study included many adenocarcinomas, especially bronchioloalveolar carcinomas; however, recent evidence suggests that the number of cases of adenocarcinoma of the lung has increased dramatically in the last decade and this is largely

attributed to an increase in bronchioloalveolar carcinoma (21,22). Therefore, we performed additional survival and disease-free survival analyses to see whether  $^{18}\text{F}$ -FDG uptake (SUV) has a significant discriminative value for prognosis in patients with lung cancer other than bronchioloalveolar carcinoma. In 44 patients with lung cancer other than bronchioloalveolar carcinoma, those with an SUV of  $\leq 5$  had a significantly better survival rate and disease-free survival rate than patients with an SUV of  $>5$ . In patients with pathologic stage I and stage IA lung cancers other than bronchioloalveolar carcinoma, the SUV was correlated with disease-free survival. A multivariate analysis also identified the SUV as the most significant independent factor for disease-free survival. Thus,  $^{18}\text{F}$ -FDG uptake (SUV) also has a significant independent postoperative prognostic value for recurrence in patients with lung cancer other than bronchioloalveolar carcinoma.

Third, we did not include the data of PCNA or Ki-67 expression in multivariate disease-free survival analysis, although measures of tumor proliferation with PCNA and Ki-67 expression have prognostic value in patients with resected NSCLC. Clearly, additional study is essential to determine whether the SUV has a postoperative prognostic value for recurrence beyond that provided by PCNA and Ki-67 expression.

Univariate and multivariate analyses showed that an increased SUV identifies a subgroup of patients with the worst prognosis for recurrence, and this parameter seems to be more important than pathologic stage as a prognostic factor for recurrence. Patients with pathologic stage I disease and a hypermetabolic lesion may benefit from chemotherapy or radiotherapy (or both) after surgery. No clear data support the possibility that additional treatment in this setting improves survival. If a group of these high-risk patients who realistically have microscopic metastasis at presentation could be identified, improved outcomes may be possible. Further studies assessing patient survival after various therapeutic protocols are recommended.

## CONCLUSION

$^{18}\text{F}$ -FDG uptake in primary NSCLC patients determined by PET has a significant independent postoperative prognostic value for recurrence, especially in patients with pathologic stage I NSCLC: The greater the  $^{18}\text{F}$ -FDG uptake in lung cancer, the higher the incidence of metastasis.  $^{18}\text{F}$ -FDG uptake was superior to pathologic stage in predicting relapse of patients with NSCLC.

## ACKNOWLEDGMENTS

This work was supported by a Grant for Project Research (H2001-2 and A1999-1) from the High-Technology Center of Kanazawa Medical University, a Grant-in-Aid for Cancer Research (12-4) from the Ministry of Health, Labour and Welfare, Japan, and a Grant-in-Aid for Scientific Research

(13670192) from the Ministry of Education, Science, and Culture, Japan.

## REFERENCES

- van Rens MTM, de la Riviere AB, Elbers HRJ, van den Bosch JMM. Prognostic assessment of 2,361 patients who underwent pulmonary resection for non-small cell lung cancer, stage I, II, and IIIA. *Chest*. 2000;117:374–379.
- Moldvay J, Scheid P, Wild P, et al. Predictive survival markers in patients with surgically resected non-small cell lung carcinoma. *Clin Cancer Res*. 2000;6:1125–1134.
- Naruke T, Goya T, Tsuchiya R, Suemasu K. Prognosis and survival in resected lung carcinoma based on the new international staging system. *J Thorac Cardiovasc Surg*. 1988;96:440–447.
- Lavezzi AM, Santambrogio L, Bellaviti N, et al. Prognostic significance of different biomarkers in non-small cell lung cancer. *Oncol Rep*. 1999;6:819–825.
- Pence JC, Kerns BM, Dodge RK, Iglehart JD. Prognostic significance of the proliferation index in surgically resected non-small-cell lung cancer. *Arch Surg*. 1993;128:1382–1390.
- Nelson CA, Wang JQ, Leav I, et al. The interaction among glucose transport, hexokinase, and glucose-6-phosphatase with respect to  $^3\text{H}$ -2-deoxyglucose retention in murine tumor models. *Nucl Med Biol*. 1996;23:553–541.
- Higashi K, Ueda Y, Yagishita M, et al. FDG PET measurement of the proliferative potential of non-small cell lung cancer. *J Nucl Med*. 2000;41:85–92.
- Duhaylongsod FG, Lowe VJ, Patz EF, et al. Lung tumor growth correlations with glucose metabolism measured by fluoride-18 fluorodeoxyglucose positron emission tomography. *Ann Thorac Surg*. 1995;60:1348–1362.
- Vesselle H, Schmidt RA, Pugsley JM, et al. Lung cancer proliferation correlates with [ $^{18}\text{F}$ ]fluorodeoxyglucose uptake by positron emission tomography. *Clin Cancer Res*. 2000;6:3837–3844.
- Higashi K, Ueda Y, Ayabe K, et al. FDG PET in the evaluation of the aggressiveness of pulmonary adenocarcinoma: correlation with histopathological features. *Nucl Med Commun*. 2000;21:707–714.
- Dhital K, Saunders CA, Seed PT, et al. [ $^{18}\text{F}$ ]Fluorodeoxyglucose positron emission tomography and its prognostic value in lung cancer. *Eur J Cardiothorac Surg*. 2000;18:425–428.
- Ahuja V, Coleman RE, Herndon J, Patz EF. The prognostic significance of fluorodeoxyglucose positron emission tomography imaging for patients with non-small cell lung carcinoma. *Cancer*. 1998;83:918–924.
- Vansteenkiste JF, Stroobants SG, Dupont PJ, et al. Prognostic importance of the standardized uptake value on  $^{18}\text{F}$ -fluoro-2-deoxy-glucose-positron emission tomography scan in non-small-cell lung cancer: an analysis of 125 cases. *J Clin Oncol*. 1999;17:3201–3206.
- Ogawa J, Tsurumi T, Yamada S, Koide S, Shohtsu A. Blood vessel invasion and expression of sialyl Lewis and proliferating cell nuclear antigen in stage I non-small cell lung cancer: relation to postoperative recurrence. *Cancer*. 1994;73:1177–1183.
- Suzuki K, Nagai K, Yoshida J, et al. Conventional clinicopathologic prognostic factor in surgically resected non-small cell lung carcinoma: a comparison of prognostic factors for each pathologic TNM stage based on multivariate analyses. *Cancer*. 1999;86:1976–1984.
- Higashi K, Ueda Y, Sakurai A, et al. Correlation of Glut-1 glucose transporter expression with F-18 FDG uptake in non-small cell carcinoma. *Eur J Nucl Med*. 2000;27:1778–1785.
- Younes M, Brown RW, Stephenson M, Gondo M, Cagle PT. Overexpression of Glut1 and Glut3 in stage I non-small cell lung carcinoma is associated with poor survival. *Cancer*. 1997;80:1046–1051.
- Ogawa J, Inoue H, Koide S. Glucose-transporter-type-1-gene amplification correlates with sialyl-Lewis-X synthesis and proliferation in lung cancer. *Int J Cancer*. 1997;74:189–192.
- Keyes JW Jr. SUV: standard uptake or silly useless value? *J Nucl Med*. 1995;36:1836–1839.
- Higashi K, Ueda Y, Seki H, et al. Fluorine-18-FDG imaging is negative in bronchioloalveolar lung carcinoma. *J Nucl Med*. 1998;39:1016–1020.
- Barsky S, Cameron R, Osann KE, Tomita D, Holmes C. Rising incidence of bronchioloalveolar lung carcinoma and its unique clinicopathologic features. *Cancer*. 1994;73:1163–1170.
- Auerbach O, Garfinkel L. The changing pattern of lung carcinoma. *Cancer*. 1991;68:1973–1977.





The Journal of  
NUCLEAR MEDICINE

## **$^{18}\text{F}$ -FDG Uptake as a Biologic Prognostic Factor for Recurrence in Patients with Surgically Resected Non-Small Cell Lung Cancer**

Kotaro Higashi, Yoshimichi Ueda, Yukiko Arisaka, Tsutomu Sakuma, Yoshihiro Nambu, Manabu Oguchi, Hiroyasu Seki, Suzuka Taki, Hisao Tonami and Itaru Yamamoto

*J Nucl Med.* 2002;43:39-45.

---

This article and updated information are available at:  
<http://jnm.snmjournals.org/content/43/1/39>

---

Information about reproducing figures, tables, or other portions of this article can be found online at:  
<http://jnm.snmjournals.org/site/misc/permission.xhtml>

Information about subscriptions to JNM can be found at:  
<http://jnm.snmjournals.org/site/subscriptions/online.xhtml>

*The Journal of Nuclear Medicine* is published monthly.  
SNMMI | Society of Nuclear Medicine and Molecular Imaging  
1850 Samuel Morse Drive, Reston, VA 20190.  
(Print ISSN: 0161-5505, Online ISSN: 2159-662X)

© Copyright 2002 SNMMI; all rights reserved.

 SOCIETY OF  
NUCLEAR MEDICINE  
AND MOLECULAR IMAGING