

DEVELOPMENT OF CIRCADIAN SLEEP REGULATION IN THE RAT:  
A LONGITUDINAL STUDY UNDER CONSTANT CONDITIONS

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## ABSTRACT

**Study Objectives:** To better understand the development of sleep, we characterized the development of circadian rhythms in sleep and wakefulness in the artificially-reared, isolated rat pup using an experimental design that minimized the effects of maternal separation.

**Methods:** Neonatal rats were reared in constant conditions (dim red light) while electroencephalographic (EEG) and electromyographic (EMG) signals were continuously recorded for up to 3 weeks. This time period spanned the pre-weaned and weaned ages. The distribution of sleep-wake states was analyzed to estimate the emergence of circadian rhythms.

**Results:** Overt ~24-hour rhythms in time spent awake and asleep appear by postnatal day (P)17.

A marked bi-modal sleep-wake pattern was also observed, evidenced by the appearance of a pronounced ~12-hour component in the periodogram over the subsequent 3 days (P17-21). This suggested the presence of two ~24-hour components consistent with the dual-oscillator concept.

During this 3-day time window, waking bouts became longer resulting in a repartition of the duration of intervals without NREM sleep into short (<30 min) and longer inter-NREM sleep episodes. These longer waking bouts did not immediately result in an increase in NREM sleep delta (0.5-4.0 Hz) power, which is an index of sleep homeostasis in adult mammals. The sleep homeostatic response did not fully mature until P25.

**Conclusions:** These results demonstrate that the maturation of circadian organization of sleep-wake behavior precedes the expression of mature sleep homeostasis.

**Keywords:** ontogenesis, perinatal, maturation, rhythms, neonatal

**Statement of Significance:** This study provides new insights into the development of sleep regulatory mechanisms in a widely used animal model.

## INTRODUCTION

Mammalian sleep is regulated by circadian and homeostatic mechanisms that control the timing and intensity of sleep across the 24-hour day. These regulatory mechanisms undergo dramatic changes during the course of perinatal development<sup>1</sup>. The homeostatic response to sleep deprivation, for example, is strikingly different in rodents depending on their age<sup>2-5</sup>. In adult rats, sleep deprivation reliably increases non-rapid-eye movement (NREM) sleep electroencephalograph (EEG) delta power, a widely used index of homeostatic sleep propensity. However, in rats prior to approximately the 4<sup>th</sup> postnatal week, sleep deprivation fails to increase EEG delta power; infant rats instead show only compensatory changes in sleep time, sleep continuity, or phasic motor activity<sup>2, 4</sup>. Compensatory rebounds in EEG defined REM sleep time following total sleep deprivation or selective REM sleep deprivation are also absent until approximately the 4<sup>th</sup> postnatal week<sup>2, 6</sup>. These findings indicate that forms of sleep homeostasis may be present during early development, but coupling to neural mechanisms governing adult, compensatory changes in sleep architecture occurs only after a certain stage of maturation.

Circadian organization of sleep and wakefulness follows a similar developmental plan. In fetal rodents, circadian rhythms in metabolism, enzyme activity and immediate early gene expression are present in the suprachiasmatic nucleus (SCN)<sup>1</sup>. However, the coupling of SCN rhythms to sleep and wake neural circuitry is a comparatively late postnatal event<sup>7</sup>. In human infants, for example, faint 24-hour periodicities in activity are detectable in newborns, but are not prominent until after the third postnatal month<sup>8</sup>. The precise timing of this coupling has been difficult to ascertain because detecting endogenous rhythms requires eliminating entraining and masking signals from the mother and the environment. This is particularly difficult to do in neonatal rodents, which depend upon their dam for warmth, feeding and grooming (necessary for proper micturition and defecation). In addition, extended periods of maternal separation without

controls for temperature, feeding and grooming elicits a stress response that alters sleep and wake architecture in infant rats <sup>9</sup>.

In a series of earlier studies, we developed and used an artificial rearing system that minimizes the effects of maternal separation on sleep patterns to chronically measure EEG and electromyographically (EMG) defined REM and NREM sleep in neonatal rats <sup>2, 10, 11</sup>. In the presence of a 12:12 light dark cycle, diurnal/nocturnal patterns of sleep and wakefulness emerged between P16 and P20, and a declining trend in EEG delta power across the rest-phase was detectable at P24 <sup>10</sup>. These findings suggest that circadian regulation of sleep and wakefulness emerges in the third postnatal week and may precede the maturation of adult forms of sleep homeostasis. To more adequately address these issues, we used our artificial rearing system to continuously and quantitatively measure sleep and wakefulness in rat pups born and individually raised under constant (dim red light) conditions from the pre-weaning (P12) to post-weaning periods (P20+).

## MATERIALS AND METHODS

### Experimental Design

Time-plugged Long-Evans dams (obtained from Simonson Laboratories, Gilroy CA, USA) were placed in light-tight ventilated chambers and maintained in constant conditions of dim red light (5-8 lux) and temperature (22 °C) with food and water available *ad libitum* on gestational (G) day 4 (i.e., G4). The boxes were kept in a light-tight room also maintained under similar constant conditions. Dams were left undisturbed until parturition (approximately G20) with the exception of cage cleaning and food and water replenishment that occurred once every 3 to 5 days. At approximately G18, the dams were inspected every 16 hours for new litters. The

cleaning schedule and litter inspection times were selected to prevent maternal circadian entrainment to external cues.

Following parturition, the litters were culled to 10 pups. At postnatal day (P) 9 or 10, male pups (n=12) were removed from the dams and surgically prepared for polysomnographic recording according to previously described methods<sup>11</sup>. Briefly, rat pups were anesthetized with methoxyflurane gas. An incision was made over the skull which was cleaned with a solution of hydrogen peroxide followed by application of copalite. EEG electrodes were placed between bregma and lambda. EMG electrodes were sutured into the nuchal muscles and the entire assembly was affixed to the skull using a combination of cyanoacrylate glue and dental acrylic. The wound was then closed with 4 to 5 sutures and the animals were treated with the antibiotic gentamicin subcutaneously. All surgical procedures were conducted in the same room and under the same constant conditions as those used for housing the dams and for recording sleep in the pups. At no time were any of the dams or pups exposed to a change in lighting conditions. All animal procedures were in accordance with IACUC guidelines at Stanford University.

### Artificial Rearing Techniques

We used a modified version of our previously described system to artificially rear isolated rat pups beginning at P12 for up to 2 weeks<sup>2, 10-12</sup>. Briefly, neonatal rat pups were fitted with an indwelling, stainless steel cheek cannula that was attached to a flexible feeding tube. The tube was then attached to a timed pump that infused a high-fat milk formula every 35 min across the 24-hour day until weaning at P18. The pups were separately housed (under the same constant conditions) in acrylic boxes with bedding material that were enclosed within a water-bath that maintained ambient temperatures at age-appropriate thermo-neutral ranges. Pre-weaned pups were also inspected and groomed with a moistened cotton ball every 16

hours to induce micturition and defecation and to reduce the effects of maternal separation. Body mass was recorded every 32 h. Cage cleaning and any necessary changes in milk supply were done at these times as well. Following weaning, the tubes were removed and the pups were provided rat chow and water *ad libitum*. From this time onward, cage cleaning, body mass measurements, and all other entrances to the recording room only occurred at multiples of 16 hours (e.g. 32 hours, 48 hour etc.). These schedules were used to avoid entrainment cues to developing circadian rhythms.

#### Polygraphic Recording, Animal Selection and State Annotation

EEG and EMG signals were routed from the animal via an electrical, counter-balanced tether/commutator to a Grass 7 polygraph as described previously<sup>11</sup>. Signals were processed with a high pass filter of 0.3 Hz and a low pass filter of 35 Hz, digitized at 100 Hz, and collected in 10-sec epochs on a computer. EMG signals were full-wave rectified, integrated, and stored as one value per epoch. The EEG was also Fourier transformed in each 10-sec epoch<sup>11</sup>. Polygraphic data were collected continuously from the beginning of each experiment (P12 or P13) until its termination. Only pups that showed sustained body mass gains and good polysomnographic signals (P12-P20) were used for analyses. Mean body masses at P12 and P20 were 27.4 ( $\pm 0.6$ ) and 38.9 ( $\pm 1.6$ ), respectively, which represents an average gain in body mass of 42 %. This gain was less than observed in control pups left with their dams in 12:12 light/dark cycles (67 %)<sup>11</sup>, but within ranges reported for developing rats<sup>13</sup>.

Some of the recordings had to be terminated after only a few days because the feeding tubes became disconnected. In other cases, the EEG and EMG signals became unusable due to movement of the electrodes as the animal grew. However, we were able to successfully record EEG & EMG signals from most of the animals into the 4<sup>th</sup> postnatal week (Fig. 1). Five

animals with the longest records (Fig. 2) were selected for circadian analyses. These longer records allowed the detection of stable significant circadian rhythmicity lasting a minimum of 3 cycles. Analyses concerning sleep-wake distribution and architecture were based on 11 animals. The vigilance states of REM and NREM sleep and wakefulness (W) were determined using an algorithm previously shown to agree with manual scoring (>90 %) in neonatal rats<sup>10</sup>.

### Circadian Analysis of Sleep-Wake States

Because there is no commonly accepted method of analysis for such data, multiple methods were used to ensure that data analyses were unbiased by the methodology. Before the data were analyzed for circadian periodicity, they were 'de-trended' to remove the general developmental changes in sleep-wake state amounts on which the circadian changes were superimposed (Figure1 and Suppl. Figure1). De-trending was accomplished by subtracting state amount in a given 20-min interval; i.e., the time resolution at which the sleep-wake distribution was analyzed, from the mean value for state amount reached in the 12 h prior and following this time point (i.e., 24 h; see Suppl. Figure 1).

The presence of significant periodicity in the circadian range (i.e., 20 to 28 h) was determined by four methods of time-series analysis; i.e., the Dörrscheidt and Beck<sup>14</sup> and the  $X^2$  periodogram analysis algorithms<sup>15</sup>, which are both widely used in circadian rhythm studies and best suited to analyze rhythmic data with a stable waveform, the Lomb-Scargle periodogram algorithm ('Peanuts' free-ware<sup>16</sup>), which was designed to handle non-uniform data, and the Fourier analysis. Only the first three algorithms provide measures of statistical significance for circadian periods. Although each method is best suited for particular applications and has inherent limitations, all three methods produced nearly identical results (See Suppl. Figure 1 for a

comparison of the first three methods). Because the Dörrscheidt and Beck method was more conservative in calling a given test period significant, all results reported here are based on this algorithm (see also <sup>8</sup>).

The periodogram analysis was used to determine the age at which the distribution of each sleep-wake state revealed a significant circadian oscillation. Time spent in each state was calculated for consecutive 20-min time bins. This bin size accommodated both the need to reduce bin-to-bin variability (smoothing the 10-sec scores) and the need for a temporal resolution appropriate for circadian studies. Periodogram analysis was applied to the first 4.5 days of data of each vigilance state and then advanced at 20-min increments to the end of the data set (illustrated in Suppl. Figure 1). The choice of the 4.5-day window was a compromise between the need for a window that still could provide reasonable time resolution to study circadian ontogeny, and the need to accommodate a minimal number of cycles (ca. 4) that still allowed for statistical evaluation of rhythmicity. A last argument in favor of using shorter rather than longer time windows is that during development rhythms are, by definition, not stationary. Because of the surprising and important of the 12-hour component we discovered, we marked the emergence of both 12- and 24-hour 'rhythms'. We used the midpoint of the 'significant' window as the age at which significant rhythmicity first emerged although one could equally well argue to take window onset as after this time point significant circadian rhythmicity was present. Our estimate might thus be biased towards a 2.25-day delay. Periods were deemed significant at a  $P = 0.01$ .

#### Sleep-Wake Architecture and Sleep Homeostasis

The time course of the development of the consolidation of waking bouts was analyzed by assessing the relative distribution of wake-bout duration on each day for 8 categories each doubling in duration starting with the shortest episode; i.e., 10-sec, and ending with episodes > 10 min. Both the number of episodes (expressed per hour of wakefulness), as well as the % with



which each of the 8 categories contributes to total time spent awake during each day were quantified.

Similarly, the developmental time course of the duration of time between NREM sleep episodes (inter NREM sleep episodes) was determined. This analysis was intended to gain insight into the timing of the maturation of the sleep homeostatic process as measured by NREM sleep delta power. NREM delta power is a widely-used index of sleep homeostasis in adult mammals that appears at a specific time in development<sup>2</sup>. It is generally accepted that sleep propensity decreases in the presence of NREM sleep and to accumulate in its absence; i.e., during inter NREM sleep episodes comprising both wakefulness and REM sleep<sup>17</sup>. In simulation models in rodents it has been assumed that sleep propensity increases at the same rate during both wakefulness and REM sleep<sup>18, 19</sup> although this assumption has not been experimentally addressed in developing rodents. We determined a distribution of inter-NREM sleep episode durations for 7 categories at 10-min increments starting with episodes <10 min and ending with episodes > 60 min. The number of episodes was expressed as a percentage of all episodes present at each developmental age category.

The latter analyses revealed that with developmental age a clear partition between inter NREM sleep episodes shorter and longer than 30 min occurred. The effect of time without NREM sleep on subsequent EEG delta power was assessed by correlating each inter-NREM sleep episode duration with the level of EEG delta power reached in the following NREM sleep bout. Only inter-NREM sleep episodes > 30 min and delta power values for sustained NREM sleep bouts (> 3.5 min) were included in the analyses.

## Statistical Analyses

Assessing presence of significant rhythmic components (in the 12- and 24-hour ranges) for the developmental time series of the 3 sleep-wake states was done according to the Dörrscheidt and Beck method (see above) with a significance thresholds set at  $P = 0.01$ . Other statistical analyses were performed using SAS (SAS Institute Inc, Cary, NC, USA). Significant effects of state and rhythmic component (12- vs. 24h) and their interactions were assessed using analysis-of-variance (ANOVA) and decomposed using post-hoc paired t-tests. Simple contrasts were assessed using t-tests. Statistical significance was set to  $P = 0.05$  and results are reported as mean  $\pm$  standard error of the mean (SEM). SigmaPlot 11 (Systat Software Inc., Chicago, IL, USA) was used for graphs including linear and non-linear regression analyses.

## RESULTS

### Development of Vigilance State Amounts

The daily time-spent-awake and asleep changed over the course of development, as reported previously<sup>11, 20</sup>. Daily amounts of wakefulness peaked at P21 and declined steadily until P28 when daily amounts remained constant until the end of the study (Fig. 1). Daily amounts of REM sleep decreased steadily until P21 and remained constant thereafter. By contrast, daily amounts of NREM sleep increased gradually until P29. The pattern of vigilance state development in the present study was similar to that observed for pups raised in an Light-Dark cycle and separated from their dams on alternate days, or acutely recorded for 36 hours<sup>10, 11</sup>. Therefore, long-term maternal separation did not affect sleep state development.

## Development of Circadian Rhythms of Sleep-Wake States

Initially, besides occasional signs in some rats of a 16-hour rhythmicity related to the grooming schedule (e.g. Fig. 2A and Suppl. Fig.1), no consistent rhythmicity of any period could be discerned across sleep-wake states and individuals. Circadian periodicity in sleep-wake distribution was significant by approximately P17 (Fig.2). The appearance could be pinpointed with reasonable precision within each individual and sleep-wake state allowing statistical assessment of onset times (Figs. 2 and 3, Table 1). This was true for wake, NREM sleep, and REM sleep and no difference in onset time was observed among the three sleep-wake states. A rhythm of approximately 12 h was also detectable, but appeared, on average, three days later; i.e., at approximately P20 (Table 2). This 12-hour component was not only present in the periodogram but could be directly observed in the sleep-wake distribution, especially clear towards the end of the registration (P27-P30) when only 12-hour oscillations could be observed (Fig.2B). At P21.5 (the age when circadian rhythms were present in all states and in all rats), the period of the circadian rhythm was significantly greater than 24 h (Table 2) consistent with the longer than 24 h circadian rhythms reported for the adult rat<sup>21,22</sup>.

We also examined two additional and related measures reflective of circadian organization; the inter-NREM sleep bout duration and wake-bout duration. Although related, these two measures differ, especially at the earlier ages, when long periods of REM sleep interrupt NREM sleep (e.g. Fig.3A). Prior to P18, there appeared to be little evidence of consolidated waking periods (Fig. 4A). After P18, however, there was a clear shift in the distribution of wake bout lengths towards longer bouts such that by P19, long bouts (>10 minutes) comprised 40% of all time spent awake (Fig. 4C). Similarly, at approximately P17-P21, there was a change in the distribution of inter-NREM interval lengths from very short intervals to those longer than 30 min

(Fig.5). Both changes paralleled the appearance of circadian rhythmicity based on total time spent in each state (Table 1).

### Development of Sleep Homeostasis

We also examined the relationship between inter-NREM sleep interval length and subsequent levels of NREM sleep delta power (Figs. 5-6). This is because sleep propensity (as measured by NREM sleep delta power) in adult mammals is thought to discharge only during NREM sleep (and to accumulate during its absence)<sup>17</sup>. Prior to P24, no clear relationship was present between inter-NREM sleep intervals and subsequent NREM sleep delta power. However, by P24, a positive linear relationship could be discerned (Fig. 6B). In addition, by P25 the portion of the variance in NREM sleep delta power explained by the length of the preceding inter-NREM sleep length sharply increased (Fig. 6C). This indicated that a mature sleep homeostatic response, under constant conditions, emerged between P24-25—which agrees well with results in a 12:12 Light-Dark schedule<sup>2, 10</sup>.

### DISCUSSION

There are several critical elements in experimentally identifying a *bonafide* endogenous circadian rhythm. First, the behavior or biological process should be observed over several close to 24 hour cycles under constant conditions. This ensures that what is observed is not an induced pattern that disappears once exogenous time cues are eliminated. Second, the sampling period should be sufficiently fine-grained so as to capture an accurate rendering of the

circadian rhythm. Thus, the ideal design includes continuous sampling in the same organism across many days. These criteria are easily met in studies in adult animals. These criteria have, however, not been met in past studies of the development of circadian sleep/wake cycles.

We used an artificial rearing technique to continuously measure EEG/EMG defined sleep and wake states in neonatal rats for many days, over ages that spanned the pre-weaning and weaned periods. Neonatal rats were born in constant conditions, surgeries were performed in constant conditions, and artificial rearing was conducted under the same constant conditions. All experimental manipulations were performed at 16 hour intervals (or multiples of 16 hours); thus, these were unlikely to serve as entraining cues (i.e., zeitgebers) as the mammalian circadian clock cannot entrain to periods of 16 (or multiples thereof) hours. Moreover, we did not observe consistent 16h induced rhythmicity either, indicating that the interventions were not strong enough to introduce noticeable disturbances in ongoing sleep-wake behavior.

We found that overt changes in sleep-wake organization emerge between the second and third postnatal week. These findings are in good agreement with an earlier investigation in blinded rats which reported 24 hour activity rhythms by the third postnatal week<sup>23</sup>. Our results also agree with data from animals reared under 12:12 light-dark schedules, where diurnal/nocturnal differences in sleep-wake amounts, durations or EEG activity appeared between P16 and P28, depending on the strain of rat<sup>10, 12, 24</sup>. However in these latter investigations the presence of a light-dark cycle may have masked or altered the occurrence of endogenous rhythmicity. Here we show that rhythms spontaneously appear and persist in constant conditions indicating that the coupling of the SCN to EEG/EMG measured vigilance states occurs in the 2<sup>nd</sup>-3<sup>rd</sup> postnatal week.

Our results, however, differ in some respects from recent studies reporting day/night differences in sleep/wake organization in isolated P2 Sprague-Dawley rats. As reported by Gall *et al.*,<sup>25</sup> nuchal motor recordings showed differences in sleep cycle number depending on

whether the recordings were made in the day or night. However, no day/night differences in wake amounts or bout durations were observed at P2. In addition to differences in rat strains (Sprague-Dawley vs. Long-Evans), there are a number of methodological differences between that study and ours. In contrast to our study, a cross-sectional approach was used, recordings were not made in constant conditions and only two, 2-hour recordings in a 24-hour period were made per animal. Therefore it is not clear if the reported differences in day vs. night before the 2<sup>nd</sup> postnatal week reflect an endogenous 24 hour rhythm or a response to light. Interestingly, however, at older ages when EEG defined states are present, these investigators reported an increase in waking amounts and bout durations in the dark phase by P15 and P21, respectively, which corresponds well with the present results <sup>25</sup>. Similar results were reported in Norway rats using a similar experimental design (cross-sectional measures in the presence of a light-dark cycle ); no significant difference in wake amounts or bout duration were reported until P15 <sup>26</sup>.

### Dual Circadian Rhythms?

We noted the emergence of a marked bi-modal sleep-wake pattern evidenced by the appearance of a pronounced ~12-hour component in the waking periodogram over a 3-day period immediately following the emergence of the ~24-hour components. The period of the 12-hour rhythm did not significantly differ from half the 24-hour circadian component (Table 2), suggesting the two are linked. As is the case in our sleep-wake data, the distribution of overt behaviors over the circadian day are often bi-modal. A typical example of such bi-modality are the two surges in activity related to dawn and dusk that can be observed in many species <sup>27</sup>. It has been suggested

that these two components are driven by two separate, but phase locked 24-hour oscillators; the evening and morning oscillator (reviewed in <sup>27</sup>). This coupling gives rise to a 12-hour component in the periodogram, the magnitude of which depends on the phase difference between the two 24-hour components (Supp. Fig. 2A). With the assumption of two 24-hour rhythms each independently affecting sleep and waking but phase coupled at ca. 132°, the observed bi-modality in the time course of wakefulness and the resulting two-peak periodogram could be accurately reproduced (Suppl. Fig. 2B). Consistent with the dual-oscillator concept these results might thus suggest the presence of two ~24-hour components, which seem to develop even in the absence of transitions between lighting conditions (i.e., dawn and dusk) to which they are phase locked under entrained conditions.

#### Development of Sleep Homeostasis and Circadian Regulation: Which Emerges First?

The present results support the idea that circadian and mature homeostatic sleep regulatory mechanisms develop at slightly different rates <sup>10</sup>. Studies in isolated P12-P20 Long-Evans rats conducted in light-dark cycles, show that sleep deprivation produces compensatory changes in sleep time and continuity; however, adult like changes in NREM sleep delta power are not observed until the end of the 4th postnatal week <sup>2</sup>. However, 24-hour organization in sleep and wake amounts and wake bout durations are detectable by P15-P17 and largely mature by P20; several days before the appearance of mature sleep homeostasis, as measured by changes in NREM sleep delta power <sup>10</sup>. Slightly different results have been reported in Sprague-Dawley rats, where increases in NREM sleep delta power were observed at P22, but adult-like diurnal/nocturnal organization was not fully present until P29-P30 <sup>28</sup>. Although strain differences may account for these different results, an additional factor may be masking effects of the light-dark cycle. Here we find under constant conditions that endogenous 24-hour

rhythms in sleep and wake amounts are present by P17 in Long-Evans rats, and possibly as early as P14-15, depending on when the onset of rhythmicity is assigned (see Materials and Methods). However, positive linear relationships between inter-NREM sleep intervals and NREM delta power are not observed until P24. Therefore, within the Long-Evans rat strain, it would appear that circadian regulation precedes the appearance of mature forms of sleep homeostasis. While speculative, this raises the interesting possibility that the emergence of longer, more consolidated waking bouts then triggers a mature homeostatic sleep response

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### Table and Figure legends.

**Table 1:** Onset of circadian rhythmicity in the distribution of the three sleep-wake states

	<b>≈12 h</b>	<b>≈24 h</b>
<b>W</b>	P20.6 ± 1.4	P17.2 ± 0.8
<b>NREMS</b>	P20.7 ± 1.3	P18.4 ± 0.8
<b>REMS</b>	P21.5 ± 1.6	P17.2 ± 1.1

Mean onset ( $\pm$  SEM;  $n = 5$ ) in days after birth (P) of significant rhythmicity ( $P < 0.01$ ) in the 12-(h)our ( $\approx 12$  h) and 24-h ( $\approx 24$  h) ranges. Periodicity onset did not significantly differ among the three behavioral states but started ca. 3.3 days later in the 12-h range (2-way rANOVA: factor 'state':  $P = 0.45$ ; 'period':  $P = 0.045$ ; interaction:  $P = 0.25$ ).

**Table 2:** Period of circadian rhythms for wakefulness at P21.5

	<b>Period [h]</b>
<b>≈12 h</b>	12.17 ± 0.10
<b>≈24 h</b>	24.44 ± 0.12
<b>24/12</b>	2.01 ± 0.02

Circadian period length ( $\pm$  SEM;  $n = 5$ ) at P21.5 significantly deviated from 24.0h (t-test,  $P = 0.024$ ). The period length of the 24-h component was twice that of the 12-h component (24/12)

and the 24/12-ratio did not significantly deviate from 2.0 (paired t-test,  $P = 0.64$ ). P21.5 was chosen because by then significant periodicity was established for all states and rats.

**Figure 1:** Developmental changes in time-spent-awake (open), in NREMS (black), and in REMS (grey symbols). Values represent mean daily (i.e., 24 hour) % artifact-free recording time ( $\pm 1$  SEM). Recordings started at midnight after the 11th or 12th postnatal day ( $n=8$  and 3, respectively). Number of rats (N) contributing to the mean decreased over the course of the experiment (upper curve).

**Figure 2:** Appearance of ~12-(h)our and 24-h rhythmicity in the distribution of wakefulness, NREMS, and REMS. **A)** Heat maps of periodograms obtained at 20-min increments for consecutive 4.5-day windows illustrated for one individual rat (J14). Color coded values represent relative Q-values of the periodogram calculated as the difference from the level of Q at  $P = 0.01$  (see Suppl. Fig.1). Thus green through orange hues represent test periods of significant signal. Note the significant activity in rhythmicity in the 12 and 24h ranges starting around post-natal day (P)22 and between P15 and -21, respectively, varying according to behavioral state. Note that the first periodogram that could be calculated was at P14.25; i.e. the midpoint of the 1st 4.5-day window (P12-16.5). **B)** Illustration of the precise onset times of significant 24-h (blue-to-green) and 12-h (green-to-brown) rhythmicity in the original de-trended recordings for wakefulness (W), NREMS (N), and REMS (R) for rat J14. Note that scaling for sleep is inverted to match the wakefulness signal. Note also the presence of a distinct 12-h component in the sleep-wake distribution especially clear between P27 and -30. Finally, onset times are plotted at midpoint of the 4.5-day sliding window and thus rhythmicity can already be observed in the 2 days prior to onset although a statistical evaluation requires more than 2 cycles. **C)** Periodogram heat maps for the distribution of wakefulness for the 4 other rats (J3, -6,

-16, and -17) with sufficiently long recordings for the detection of stable (>3 days) and significant rhythmicity. Subsequent analyses on appearance of rhythmicity were based on these 5 animals (see Fig.3 and Table 1).

**Figure 3:** Postnatal day at which the ~12-(h)hour (left) and 24-h (right panels) components of the sleep-wake distribution reached and remained (> 3 days) significant for the 5 rats selected (see Fig.2). Mean Q-values for the two test-period ranges of interest (i.e., 11.3-12.7h and 23.0-25.3h, respectively) were expressed relative to the Q-value reached at  $P = 0.01$  for these two period ranges (horizontal line at 0 in each panel). Developmental time courses of relative Q-values are indicated for wakefulness (W, black), NREMS (N, blue), and REMS (R, red lines). Asterisks indicate the time point from which the rhythm was deemed significant for each behavioral state and rat. See Table 1 for further statistical evaluation of these onset times. Grey line reflects the Q-values for periods neighboring the regions of interest (labelled 'background'); i.e., 9.3-11.3h/12.7-14.7h and 21.0-23.0h/25.3-27.3h. These background values were averaged for the 2 test-periods ranges across behavioral states and then analyzed in the same way as the signal. Background activity decreased while signal strength increased in all cases.

**Figure 4:** Appearance of consolidated waking bouts during development. **A)** Rasterplot illustrating the change of waking bout duration for the two individuals with longest recording (J14 and J16). Vertical black bars represent % time spent awake for consecutive 5-min intervals. Data are double plotted such that consecutive days are plotted next to and underneath each other for better visualization of circadian rhythms. Note the complete lack of wake consolidation prior to P18. **B)** Mean circadian waveform of wakefulness for the two individuals in A over the days prior to (light grey lines) and following (black areas) the day significant 24-h rhythmicity were observed (i.e., P18). Data were folded at the circadian period of each animal to obtain

average waking values for consecutive circadian hours. Data are double plotted. **C)** Group means for all 11 rats of the distribution of wake-bout duration for consecutive developmental days calculated as the number of episodes per hour awake (upper graph) or as % of total time awake (lower graph) for bout durations ranging from 10 sec to >10 min. Note that 10-sec waking episodes dominate up until P18 while the number of >10-min episodes (expanded on the back panel of the upper graph) gradually increase 4-fold after P15. These longest waking bouts (>10 min) comprise >40 % of all time-spent-awake after P19 (lower graph).

**Figure 5:** Developmental changes in the distribution of inter-NREMS episodes. **A)** Each dot represents an interval without NREMS at the age at which it occurred illustrated for two individuals (J14 and J16). Note that in the first 4 days of the recording (ages P12-16), inter-episode duration are more-or-less evenly distributed while in subsequent days (P17-21) a marked redistribution occurs with inter-episode durations that are either shorter or longer than 30 min (dashed yellow line within each panel). This repartition coincides with the appearance of a circadian component to sleep-wake distribution (see Table 1). **B)** This developmental partition into long and short inter-NREMS episode durations is summarized for all 11 rats in a relative frequency histogram.

**Figure 6:** Relationship between the duration of inter-NREMS episode duration and EEG delta power (0.5-4.0 Hz) in subsequent NREMS. **A).** Illustration (rat J14) of two 24-hour recordings of 'raw' sleep-wake data [10-sec scores as a hypnogram with waking (w, top), NREM sleep (N, middle), and REM sleep (R, bottom bar)] and matching EEG delta power values during P14 and P22; i.e., 4 days before and after P18 when waking bouts (and inter-NREM sleep episodes) consolidated. Note that whereas sleep is organized in discrete bouts separated by waking episodes at P22, no such structuring was observed at P14. Also note that at P22, longer waking

bouts tend to be followed by NREM sleep with higher EEG delta power. **B)** Linear regression analysis between inter-NREMS bout durations and subsequent EEG delta power was performed for each day (P12-32). Values for all 11 rats were pooled. Note that number of data points decreases as less rats contribute (see Fig.1). Resulting regression lines in red. Tick marks in lower left panel apply to all other panels. **C)** Summary of developmental changes in the correlation between time-spent-without-NREMS and subsequent EEG delta power. The slope of the regression line [95% confidence intervals (CI); red symbols] gradually increased and remained significantly positive (CI do not overlap 0) from P24 onwards, linear correlations reach and remain significant from P25 onwards (asterisks;  $P < 0.05$ ), and the variance explained (black symbols,  $R^2$ ) by this relationship greatly increased from P26 onwards. Dashed lines represent non-linear regression analyses (function 'Logistic' with 4 parameters, Sigmaplot) fitted in the same way to both variables.

Figure 1

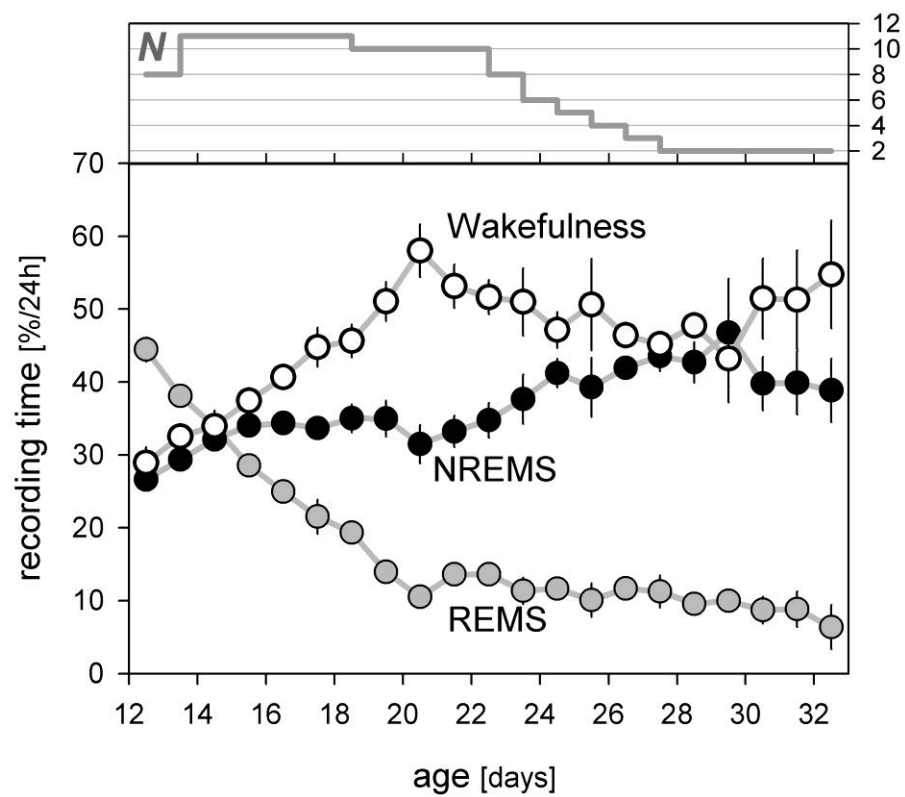




Figure 2

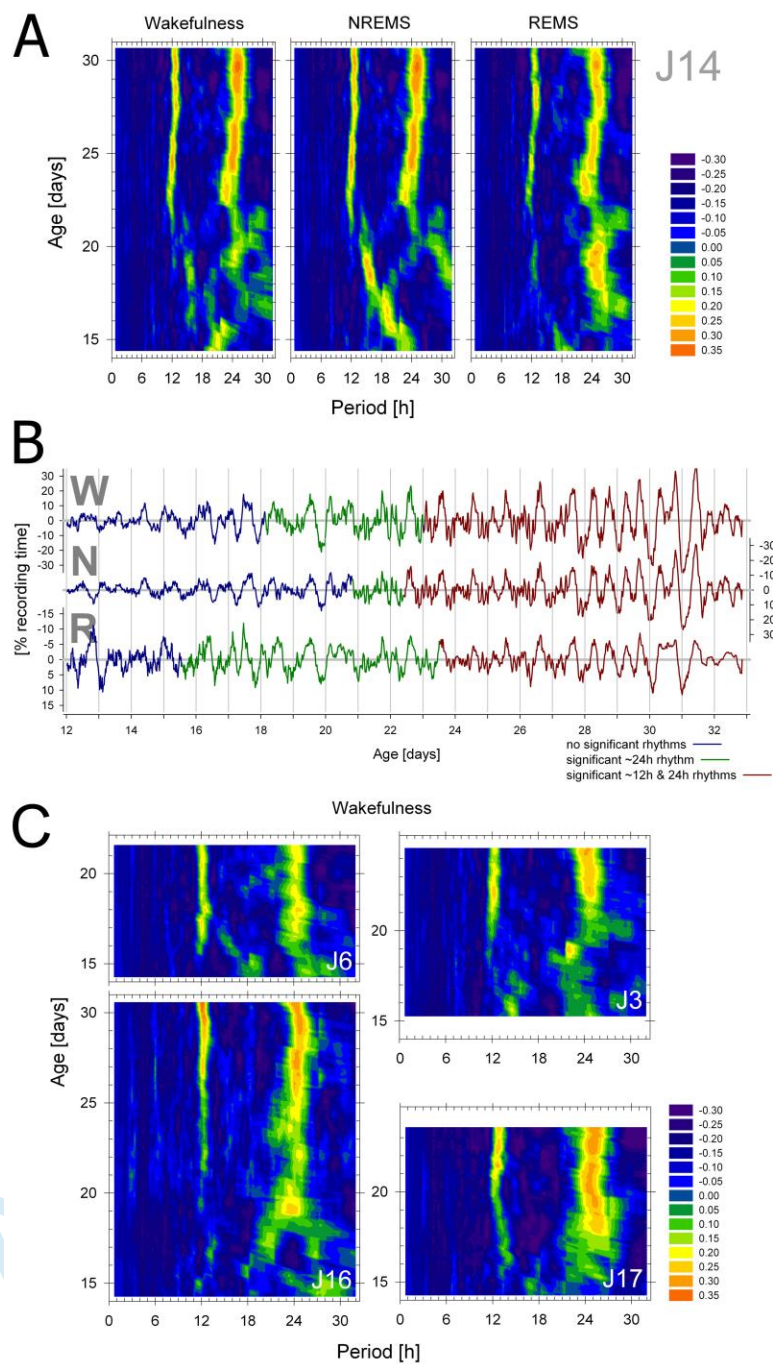


Figure 3

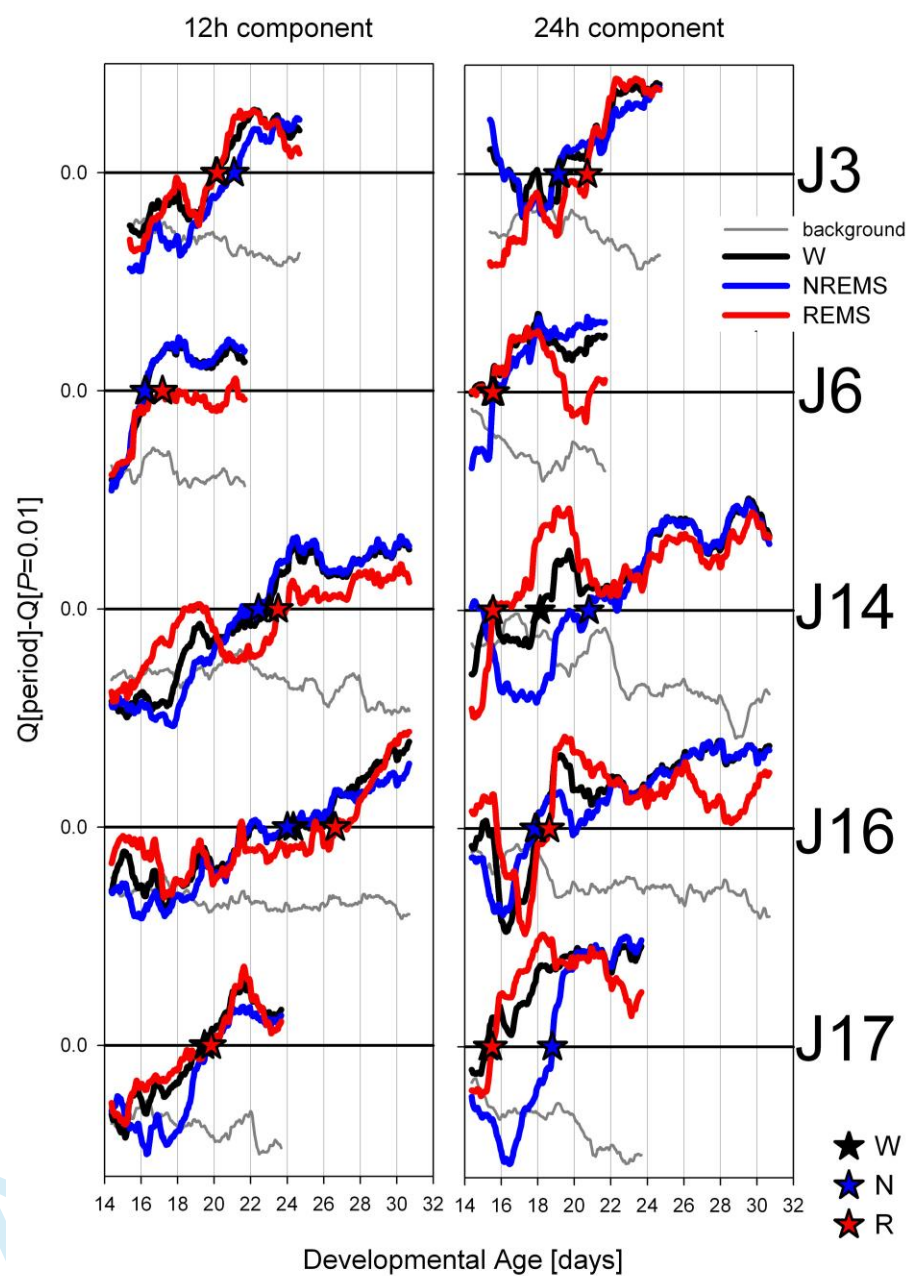


Figure 4

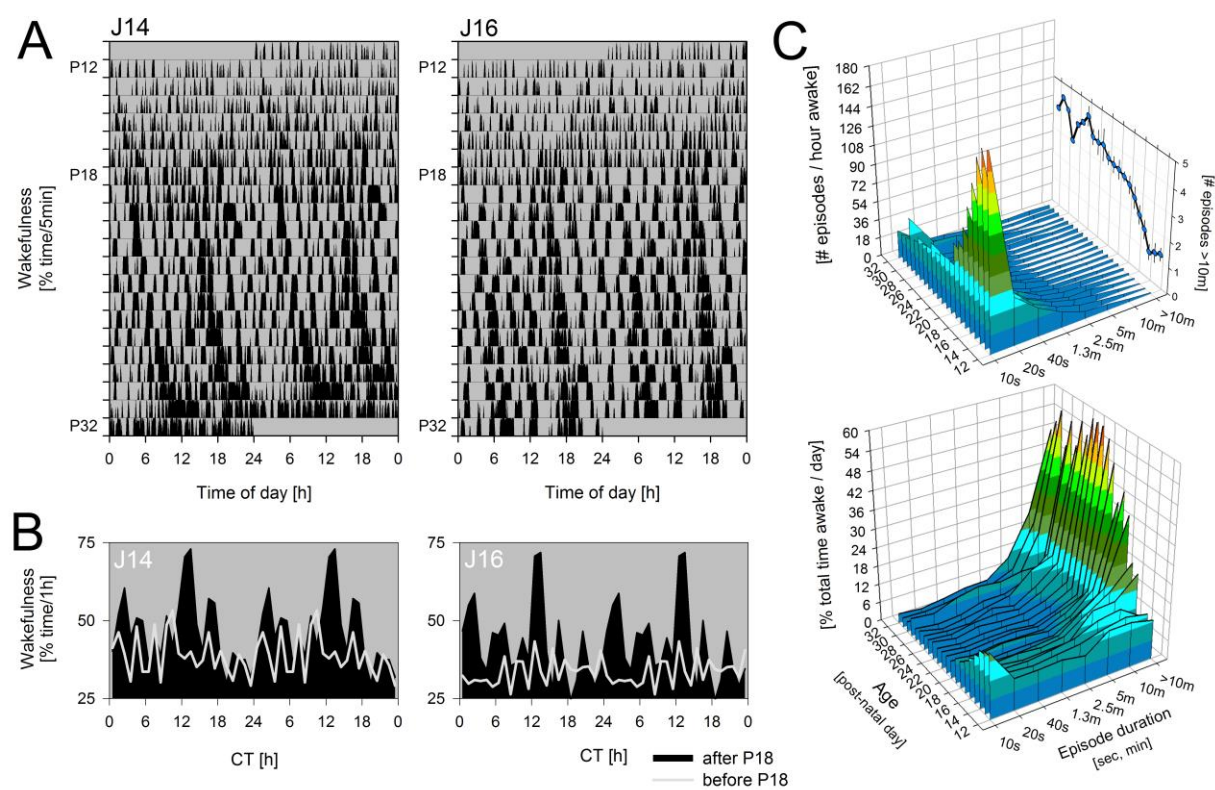


Figure 5

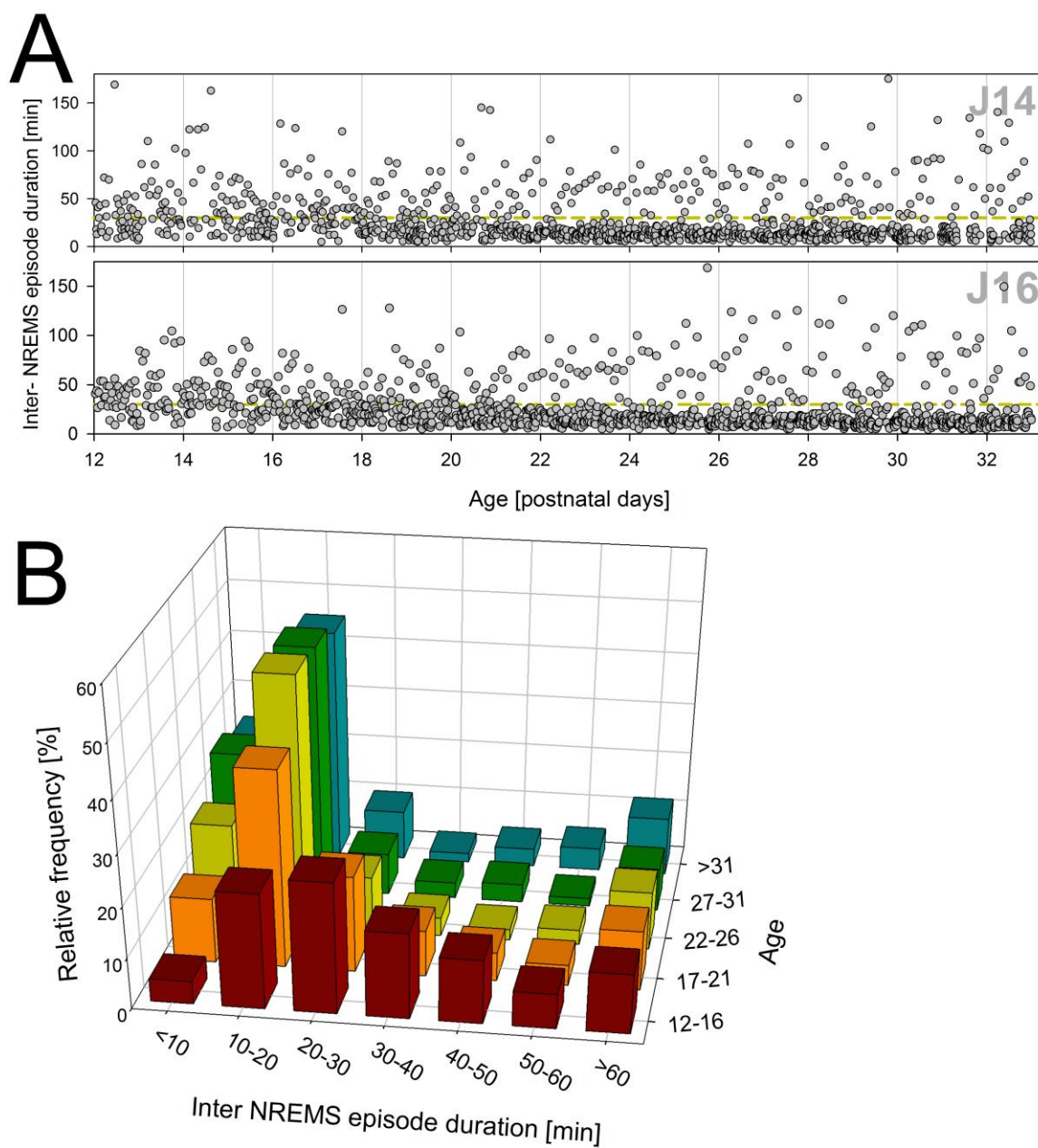


Figure 6

