

## Pandemic influenza A(H1N1)pdm09:

### risk of infection in primary healthcare workers

#### Abstract

##### Background

Healthcare workers in primary care are at risk of infection during an influenza pandemic. The 2009 influenza pandemic provided an opportunity to assess this risk.

##### Aim

To measure the prevalence of seropositivity to influenza A(H1N1)pdm09 among primary healthcare workers in Canterbury, New Zealand, following the 2009 influenza pandemic, and to examine associations between seropositivity and participants' sociodemographic characteristics, professional roles, work patterns, and seasonal influenza vaccination status.

##### Design and setting

An observational study involving a questionnaire and testing for influenza A(H1N1)pdm09 seropositivity in all primary healthcare workers in Canterbury, New Zealand between December 2009 and February 2010.

##### Method

Participants completed a questionnaire that recorded sociodemographic and professional data, symptoms of influenza-like illness, history of seasonal influenza vaccination, and work patterns. Serum samples were collected and haemagglutination inhibition antibody titres to influenza A(H1N1)pdm09 measured.

##### Results

Questionnaires and serum samples were received from 1027 participants, from a workforce of 1476 (response rate 70%). Seropositivity was detected in 224 participants (22%). Receipt of seasonal influenza vaccine [odds ratio (OR) = 2.0, 95% confidence interval (CI) = 1.2 to 3.3], recall of influenza (OR = 1.9, 95% CI = 1.3 to 2.8), and age  $\leq 45$  years (OR = 1.4, 95% CI = 1.0 to 1.9) were associated with seropositivity.

##### Conclusion

A total of 22% of primary care healthcare workers were seropositive. Younger participants, those who recalled having influenza, and those who had been vaccinated against seasonal influenza were more likely to be seropositive. Working in a dedicated influenza centre was not associated with an increased risk of seropositivity.

##### Keywords

influenza A virus, H1N1 subtype; pandemics; primary care; vaccination.

#### INTRODUCTION

The pandemic influenza A(H1N1)pdm09 virus emerged in Mexico in March 2009,<sup>1</sup> and the World Health Organization (WHO) declared a global influenza pandemic on 11 June 2009.<sup>2</sup> The virus arrived in New Zealand in April 2009, and the incidence of influenza increased rapidly from June, peaking in July (Figure 1).<sup>3</sup> During the pandemic period in New Zealand, 1122 patients were admitted to hospital with influenza A(H1N1)pdm09 and there were 49 influenza A(H1N1)pdm09-associated deaths.<sup>4,5</sup>

Health planners in Canterbury (New Zealand's second largest geographic region) had developed a health-system pandemic response and this was triggered in April 2009.<sup>6</sup> A key component of this response was the direction of probable influenza sufferers who needed medical attention to community-based assessment centres, rather than to their usual GP, in an attempt to keep health centres free of influenza.<sup>6</sup> Community-based assessment centres were staffed by GPs, practice nurses, hospital nurses and doctors, and administrative staff. These workers were provided with personal protective equipment (surgical scrubs, disposable surgical gowns, masks, and gloves) to minimise the risk of infection, and they practised good infection control; however,

they are assumed to have had greater exposure to influenza than those who did not work at the community-based assessment centres. Higher rates of seroconversion have been reported previously among front-line hospital healthcare workers compared to hospital staff working in non-front-line positions,<sup>7</sup> but it is not known whether primary care staff may also have been exposed to differential levels of risk.

Healthcare workers may be at greater risk of influenza infection than non-healthcare workers, and staff working in primary care, who have contact with patients early in the course of illness may be at greater risk of infection, since influenza viral shedding is maximal at this stage.<sup>8,9</sup> However, while healthcare workers appear to be at greater risk of serologically confirmed infection, they do not appear to be more likely to experience symptomatic infection, possibly because of greater exposure to multiple strains of influenza, which may confer cross-protection and reduce symptom severity.<sup>8</sup> This may have implications for transmission of infection to vulnerable patients, and for healthcare worker vaccination policy. The design of this study allowed comparison of the proportions of asymptomatic and symptomatic infections.

The effect of prior vaccination against seasonal influenza (which did not include the A(H1N1)pdm09 strain) on the risk of

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### How this fits in

Healthcare workers working in front-line positions during an influenza pandemic may be at increased risk of infection, however, this risk has not been quantified in primary care. This study found no evidence of an increased risk of infection among healthcare workers working in a dedicated 'flu centre', and it appeared that primary healthcare workers were at no greater risk of infection than the general population. Healthcare workers who had received seasonal influenza vaccination, younger healthcare workers, and those who recalled an influenza-like illness were more likely to be seropositive to influenza A(H1N1)pdm09.

infection with influenza A(H1N1)pdm09 is uncertain, and a range of estimates have been made, which range from indicating protection,<sup>10–13</sup> to no effect,<sup>14–23</sup> to increased susceptibility.<sup>24–26</sup> A recent meta-analysis found that prior receipt of seasonal influenza vaccination may have been associated with moderate protection against influenza A(H1N1)pdm09.<sup>27</sup> This study provides an opportunity to compare the risk of pandemic influenza infection among healthcare workers with and without a history of prior seasonal influenza vaccination.

This study aimed to measure the

prevalence of seropositivity to influenza A(H1N1)pdm09 among primary healthcare workers in Canterbury, New Zealand, following the 2009 influenza pandemic, and to examine associations between seropositivity and participants' sociodemographic characteristics, professional roles, work patterns, and seasonal influenza vaccination status.

### METHOD

Sampling was conducted from December 2009 to February 2010. All GPs, practice nurses, and receptionists in Canterbury were invited to participate. All general practices in Canterbury were sent a set of study packs to be distributed to all GPs, practice nurses, and receptionists employed at each practice. The study packs included an information sheet, a consent form, a study questionnaire, identifying labels each with a unique study number, and a pre-printed laboratory request form.

The questionnaire recorded sociodemographic and professional variables, history of seasonal influenza vaccination, employment type within primary care, work patterns, and recall of influenza-like illness during the previous winter. All variables were recorded in pre-coded categories. Participants were also asked if they had experienced any of the following symptoms at any stage between 1 May and 31 October 2009: cough, sore

**Figure 1. Weekly consultation rates for influenza-like illness, all ages, New Zealand, 2007–2009.** Reproduced with permission from Lopez L, Huang Q. *Influenza in New Zealand 2009. Client report FW10019. Institute of Environmental Science and Research, 2010.*<sup>4</sup>



**Table 1. Participant characteristics**

Characteristics	GPs, n (%)	Practice nurses, n (%)	Receptionists, n (%)	Total, N (%)
<b>Age, years<sup>a</sup></b>				
<25	0 (—)	6 (1.5)	17 (5.1)	23 (2.2)
25–35	30 (9.9)	39 (9.9)	27 (8.1)	96 (9.3)
36–45	89 (29.5)	85 (21.7)	56 (16.9)	230 (22.4)
46–55	121 (40.1)	163 (41.6)	120 (36.1)	404 (39.3)
56–65	49 (16.2)	84 (21.4)	98 (29.5)	231 (22.5)
>65	13 (4.3)	15 (3.8)	14 (4.2)	42 (4.1)
Female	162 (53.6)	383 (98.0)	327 (98.2)	872 (85.0)
European	245 (81.1)	359 (91.6)	301 (90.4)	905 (88.1)
Maori	7 (2.3)	9 (2.3)	10 (3.0)	26 (2.5)
Received seasonal flu vaccination <sup>a</sup>	270 (89.7)	324 (82.9)	277 (83.2)	871 (85.0)
Worked in community-based assessment centre <sup>b</sup>	107 (35.9)	71 (18.2)	23 (7.0)	201 (19.7)
Children aged ≤18 years at home <sup>c</sup>	187 (62.3)	169 (43.4)	111 (33.8)	467 (45.9)
Total	302	392	333	1027

<sup>a</sup>1 (0.1%) missing value. <sup>b</sup>8 (0.8%) missing values. <sup>c</sup>9 (0.9%) missing values.

throat, sneezing, fever or chills, runny or blocked nose, muscle aches or pains, and chest discomfort or breathing difficulties. Dummy variable coding was used for each symptom: one for experience of the symptom and zero otherwise.

Participants returned their completed questionnaires, labelled with their unique study number, and their consent forms, using reply-paid envelopes. Blood samples were drawn at the participants' own practice or at a community laboratory collection centre. Samples were also labelled with participants' unique study numbers and delivered to New Zealand's National Influenza Centre (NIC) for serological testing. Participants were able to access their own blood test results online, using their unique study number.

The NIC used an in-house haemagglutination inhibition assay developed and validated for measuring influenza A(H1N1)pdm09 antibodies.<sup>26</sup> This test follows a WHO protocol and uses standardised virus preparation (4 haemagglutinating units per 25 µl), human sera treated with receptor-destroying enzyme (RDE; Vibrio Cholera Neuraminidase) and guinea-pig red blood cells to remove non-specific inhibitors. The reciprocal of the highest dilution causing complete (100%) haemagglutination inhibition is a measure of the antibody level to the H1N1 virus under test, while the cut-off values for the assay were determined using panels of WHO and local human serum samples with known antibody levels. Haemagglutination inhibition antibody titres ≥1:40 were used to define positivity.

Questionnaire data were entered into an Access® database and then exported to SPSS via Excel® spreadsheets. Serology results were provided by the NIC in an Excel spreadsheet, which was then imported into SPSS for analysis.

## RESULTS

Completed questionnaires were received from 1027 healthcare workers out of a workforce of 1476: a response rate of 70%. Blood samples were received from 1005 (98%) of these responders. Participants' demographic and professional characteristics are shown in Table 1.

### Seropositivity

Seropositivity to influenza A(H1N1)pdm09 was detected in 224 (22%) of the participants. Younger age, self-reported influenza diagnosis, and receipt of the 2009 seasonal influenza vaccine were associated with seropositivity (Table 2).

### Factors associated with seropositivity

Binary logistic regression was used to test the hypothesis that the recall of one or more influenza-like symptom was predictive of seropositivity to influenza A(H1N1)pdm09. The outcome was categorised as either seropositive or seronegative. Of the 1034 participants, this analysis included 976 (94.4%) who had complete information for all of the factors included in the model. In addition to the experience of symptoms of influenza-like illness, other factors found to significantly affect immunity to influenza A(H1N1)pdm09 from the univariate analysis included: age (≤45 years versus

**Table 2. Seropositivity to influenza A(H1N1)pdm09**

	Seropositive, <i>n</i> (%)	Seronegative, <i>n</i> (%)	Odds ratio (95% CI)	<i>P</i> -value
<b>Occupation</b>				
GPs	63 (21.4)	231 (78.6)	1.0 (0.7 to 1.4)	0.835
Practice nurses	90 (23.3)	297 (76.7)	1.1 (0.8 to 1.5)	
Receptionists	71 (21.9)	253 (78.1)	Reference	
<b>Age, years</b>				
<45	91 (26.8)	248 (73.2)	1.5 (1.1 to 2.0)	0.016
>45	133 (19.9)	535 (80.1)	Reference	
<b>Sex</b>				
Male	34 (22.7)	116 (77.3)	1.0 (0.7 to 1.6)	0.915
Female	190 (22.2)	667 (77.8)	Reference	
<b>Ethnicity</b>				
European	196 (22.0)	693 (78.0)	0.9 (0.6 to 1.4)	0.725
Other	28 (23.5)	91 (76.5)	Reference	
<b>Seasonal vaccine</b>				
Vaccinated	204 (23.7)	657 (76.3)	2.1 (1.3 to 3.4)	0.003
Not vaccinated	19 (13.0)	127 (87.0)	Reference	
<b>Influenza symptoms</b>				
One or more symptoms	167 (23.3)	550 (76.7)	1.3 (0.9 to 1.8)	0.096
None	57 (19.3)	238 (80.7)	Reference	
<b>Believed had influenza</b>				
Yes	53 (33.5)	105 (66.5)	2.0 (1.4 to 2.9)	0.0004
No	171 (20.0)	682 (80.8)	Reference	
<b>Worked in community-based assessment centre</b>				
Yes	47 (23.7)	151 (76.3)	1.1 (0.8 to 1.6)	0.503
No	173 (21.5)	631 (78.5)	Reference	
<b>Children aged ≤18 years at home</b>				
Yes	104 (22.7)	355 (77.3)	1.1 (0.8 to 1.4)	0.702
No	116 (21.5)	424 (78.5)	Reference	

>45 years), participants' belief that they had had influenza that winter, and receipt of seasonal influenza vaccine in 2009. Forward stepwise logistic regression was performed and variables were allowed to remain in the model if statistically significant at  $P < 0.05$ . Table 3 shows the output from the third and final step of the logistic regression model. The final model included three factors: participants' belief that they had had influenza that winter, receipt of the 2009 seasonal influenza vaccine that year, and age.

Participants who believed they had had influenza during the past winter were 1.9 times ( $P = 0.0005$ ) more likely to be seropositive compared to those who did not. Participants who had received the 2009 seasonal influenza vaccination were twice as likely to be seropositive as those who had not been vaccinated ( $P = 0.008$ ). Younger participants ( $\leq 45$  years) were 1.4 times more likely to be seropositive than participants who were older than 45 years ( $P = 0.029$ ).

### Asymptomatic infection and time off work

Two hundred and twenty-four participants were found to be seropositive, and of these only 53 (24%) recalled having had influenza, and 58 (26%) reported taking time off work.

## DISCUSSION

### Summary

This large serosurvey of front-line primary healthcare workers following the 2009 influenza pandemic found a seroprevalence of 22% to the influenza A(H1N1)pdm09 virus. Factors associated with seropositivity were: receipt of the 2009 seasonal influenza vaccine, age <45 years, and self-reported influenza. Neither employment type, nor working at a community-based assessment centre, was associated with seropositivity. Most participants who had evidence of infection with influenza A(H1N1)pdm09 did not recall having had an influenza-like illness or having taken time off work.

### Strengths and limitations

This is the largest post-pandemic influenza serosurvey of healthcare workers in primary care undertaken or reported. A major strength of this study is that the entire regional primary care workforce was invited to take part, and a high participation rate (70%) was achieved.

The serosurvey was completed before vaccination against influenza A(H1N1)pdm09 was available in New Zealand, so all detected immunity is most likely to be due either to infection during the pandemic or pre-existing immunity. However, it is not

**Table 3. Logistic regression model of predictors of seropositivity**

Risk factors	Odds ratio (95% CI) for seropositivity	<i>P</i> -value
Recalled having influenza that winter	1.9 (1.3 to 2.8)	0.0005
Had a seasonal influenza vaccine	2.0 (1.2 to 3.3)	0.0080
<b>Age group, years</b>		
≤45	1.4 (1.0 to 1.9)	0.0290
>45	Reference	

possible to distinguish between participants whose immunity was conferred during the pandemic and those who had pre-existing immunity. Pre-pandemic immunity to influenza A(H1N1)pdm09 has been demonstrated in 6.5–7.5% of New Zealand adults aged 20–59 years, and in 22.6% of those aged >60 years.<sup>26</sup> A US study found that 34% of participants born before 1950 had pre-existing immunity to influenza A(H1N1)pdm09.<sup>28</sup> In the UK, pre-existing immunity was detected in 9.5% of those aged 25–49 years and in 18.5% of those aged 50–64 years.<sup>29</sup>

Although data were collected on a range of sociodemographic and work-related factors, they were not collected on participants' medical histories. These may have affected participants' vaccination history and work patterns during the pandemic, and thus may have acted as confounders in the association observed between receipt of seasonal influenza vaccination and immunity to influenza A(H1N1)pdm09.

The study sample contained only a small number of non-European participants. Other surveys showed higher rates of infection and hospitalisation among Maori and Pacific peoples than among Europeans,<sup>3,26</sup> so the findings of this study may not be generalisable to these groups.

### Comparison with existing literature

A national New Zealand general population study conducted following the influenza A(H1N1)pdm09 pandemic detected seropositivity in 20–25% of participants aged >20 years, a finding similar to the present one of 22%.<sup>26</sup> The general population study included a small sample of 169 primary care workers, of whom 29.6% were immune to influenza A(H1N1)pdm09, an estimate that is slightly higher than the present one. A study comparing seropositivity among emergency department healthcare workers and non-healthcare workers in New York found seroprevalence of 21% among healthcare workers, and no difference in seroprevalence was detected between healthcare workers and non-healthcare workers.<sup>30</sup> An Australian study similarly found no difference in seroprevalence between clinical and non-clinical tertiary hospital employees;<sup>31</sup> and a Hong Kong study found no difference in seroprevalence between hospital staff and community-based blood donors.<sup>32</sup>

A study of healthcare workers and managers in Scottish hospitals found an influenza A(H1N1)pdm09 seroprevalence of 10.3% at the pandemic peak.<sup>33</sup> This study

did not include primary care staff and may have underestimated total seropositivity, as it was conducted before the end of the pandemic phase. A Singapore study found that staff in an acute hospital had post-pandemic seroprevalence of 7% [95% CI = 5% to 9%].<sup>34</sup> Other estimates of post-pandemic seroprevalence among the general population range from 11% to 15% among English 25–64-year-olds,<sup>29</sup> to 14% to 33% among those aged >25 years in Taiwan.<sup>35</sup>

The effect of receipt of seasonal influenza vaccine on the risk of pandemic influenza infection remains uncertain, and studies examining this effect have produced conflicting results. The present study showed an increased risk of seropositivity to influenza A(H1N1)pdm09 among participants who had received previous seasonal influenza vaccination. Similarly, a higher rate of seropositivity to influenza A(H1N1)pdm09 among recipients of seasonal influenza vaccination was reported in another New Zealand study.<sup>26</sup> This observation may be due to cross-reactivity of antibodies induced by seasonal vaccination to influenza A(H1N1)pdm09, which has been reported,<sup>36</sup> though is not a consistent finding.<sup>37</sup> A Canadian observational study reported that receipt of seasonal influenza vaccination was associated with a higher risk of medically attended influenza-like illness,<sup>24</sup> and it has been suggested that prior receipt of the seasonal vaccine may attenuate the immune response to subsequent infection with pandemic influenza by preventing the induction of heterosubtypic immunity to pandemic strains.<sup>38</sup> However, the observational design of the Canadian study, like the present one, means that the association may be due to confounding or bias.<sup>39</sup> A protective effect of seasonal vaccination against influenza A(H1N1)pdm09 infection has been demonstrated in observational studies from Mexico, Argentina, and the US;<sup>10–13</sup> while other observational studies,<sup>15,16,40</sup> and a randomised controlled trial,<sup>14</sup> have shown no association. A 2012 meta-analysis including 13 studies found no association, but when studies judged to have a moderate or high risk of bias were excluded from the analysis (leaving eight studies), a protective effect on laboratory-confirmed infection was observed.<sup>27</sup>

### Implications for research and practice

Primary care healthcare workers may be reassured that, following an influenza pandemic, they appeared to be at no greater risk of having been infected (as measured by

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### Ethical approval

The study protocol was reviewed and approved by the Upper South B Regional Ethics Committee (ref: URB/09/43/EXP).

### Provenance

Freely submitted; externally peer reviewed.

### Competing interests

The authors have declared no competing interests.

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seropositivity) than the general population. Furthermore, healthcare workers who had worked at the community-based assessment centres, and hence may have been at greater risk of infection, were no more likely to be seropositive than their colleagues who had not worked in that environment.

Seroprevalence of 22% following the pandemic meant that the majority of the primary care workforce was still susceptible to the predicted second wave of influenza. Although influenza A(H1N1)pdm09 continued to circulate during the winter of 2010, a pandemic vaccine, and then a seasonal vaccine that included A(H1N1)pdm09, became available to staff. However, this low level of post-pandemic immunity following the first wave may have implications for vaccination policy for the

health workforce in the event of future pandemics.

Only 24% of seropositive participants recalled influenza symptoms, and only 26% took time off work during the influenza pandemic. Transmission of influenza A(H1N1)pdm09 from asymptomatic individuals has been reported.<sup>41</sup> This may have implications for healthcare worker vaccination policy<sup>42</sup> but the risk of asymptomatic influenza transmission remains controversial.<sup>43</sup>

For some healthcare workers, the need to protect themselves and their families may lead them to consider abandoning their workplaces in the event of a pandemic.<sup>44</sup> Primary healthcare staff faced with the next influenza pandemic may be reassured by the findings of this study that such a response is unnecessary.



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