

## How to identify gene–environment interactions in a multifactorial disease: CHD as an example

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CHD is a multifactorial disease, caused by both genetic and environmental factors. The inherited 'defective' genes will vary from individual to individual, and any single mutation is likely to be making only a small contribution to risk. The context dependency, i.e. the importance of environmental factors in influencing genetic risk, is now becoming evident. Thus, a mutation may have a modest effect on risk in individuals who maintain a low environmental risk, but a major effect in a high-risk environment. Methods of analysing gene–environment interactions on CHD risk will be discussed and illustrated with several examples. *APOE* has three common alleles,  $\epsilon 2$ ,  $\epsilon 3$  and  $\epsilon 4$ . The  $\epsilon 4$  allele has consistently been associated with CHD risk, which has been confirmed by meta-analysis. However, when the effect of genotype on risk was considered in smokers and non-smokers separately, risk in non-smokers was similar in all *APOE* genotypes. By comparison, in the smokers,  $\epsilon 3$  homozygotes, as expected, had an approximately 2-fold higher risk, while for  $\epsilon 4$  carriers there was a significantly greater than additive effect of genotype and smoking on risk ( $P < 0.007$ ). Thus, the impact of the  $\epsilon 4$  allele on CHD risk appears to be confined to current smokers, an effect that has been confirmed in several studies. Another example is the interaction between the alcohol dehydrogenase 3 gene variant and alcohol consumption on CHD risk ( $P < 0.001$ ), showing the context dependency of the effect. Thus, the importance of considering environmental factors as potential genotype-risk modifiers has major public health implications.

**Gene–environment interaction: *APOE*: *ADH3*: Diet: Alcohol consumption: Smoking**

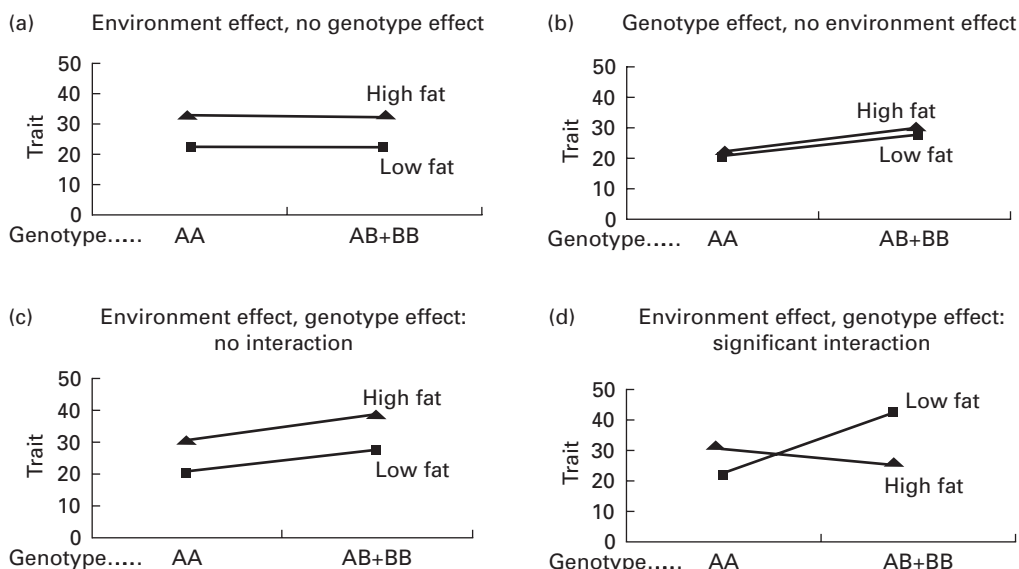
### CHD: an example of a complex disorder

CHD is the major cause of mortality in the Western world and the incidence in the Far East, where prevalence until recently has been low, is rising at disturbing levels. Ethnic or racial difference may account for some of the disparity in mortality rate between the East and the West. However, the recent increase in CHD mortality in Japan and China, for example, must reflect lifestyle changes, since genetic predisposition is stable over many generations. Like most complex diseases of late onset, CHD may be thought of as a failure of adequate homeostasis within a physiological system. This failure may arise as a result of variation at the genetic level (e.g. gene transcription or altered protein function) or environmental exposure (e.g. diet, cigarette consumption etc.), but in practice is a result of the interaction between genetic and environmental factors. Rarely

does CHD arise from a single mutation in a single gene. The most relevant example of monogenic CHD is familial hypercholesterolaemia, which occurs in approximately one in 500 members of the population (Goldstein & Brown, 1989) and results from mutations in one of three genes, the LDL receptor gene (Goldstein & Brown, 1989), the *APOB* gene (Innerarity *et al.* 1990) and the recently-identified *PCSK9* gene that encodes proprotein convertase subtilisin/kexin type 9 (Abifadel *et al.* 2003), all eliciting the same phenotype. More commonly, an individual with CHD will have inherited minor functional mutations or gene variants in several different genes coding for key proteins that are involved in maintaining cardiovascular health. These combined genetic effects account for much of the biological diversity in homeostatic systems, and in their absence all human subjects would respond in an identical manner to an environmental challenge. In reality,

**Abbreviation:** ADH, alcohol dehydrogenase.

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**Fig. 1.** Four options to illustrate gene–environment interaction: (a) genotype (AA compared with AB + BB) is not having an effect on the quantitative trait but the change in environment (e.g. from high-fat diet to low-fat diet) is affecting the trait; (b) environment is not having an effect on the quantitative trait, but genotype is; (c) both genotype and environment are affecting the trait but the effect of environment is the same in both genotypes so there is no interaction; (d) both environment and genotype are affecting the trait but in this case the interaction would be statistically significant, deviating from additive.

this is not the case. For example, some individuals exposed to cigarette smoke with an otherwise identical CHD risk profile, e.g. plasma cholesterol, will go on to develop the disease whilst others will not. Thus, the interaction of functional gene polymorphisms with environmental factors (gene–environment interactions) plays a substantial role in risk.

### Gene–environment interaction and how to analyse the data

Gene–environment interaction implies that in combination the effect of genotype and environment deviates from the additive or multiplicative effects of the two factors. The situation is illustrated in Fig. 1. However, many studies have simply corrected for traditional CHD risk factors such as smoking, cholesterol, blood pressure etc. in the statistical analysis instead of investigating whether there is an interaction.

A simple statistical approach to the analysis of gene–environment interaction when dealing with a quantitative trait (e.g. blood pressure) is to use the statistical test analysis of covariance, with the quantitative trait entered as the dependent variable, genotype and environmental factors entered as main effects and with an interaction term between the genotypic and environmental factor. If this term is statistically significant the implication is that there is a greater (or lesser) than additive effect and interaction is suggested. However, this outcome could be a result of chance alone, which highlights the importance of confirmatory studies. If the *P* value for the interactive term is not significant the implication is that there is a lack of interaction, but it could also simply reflect the lack of

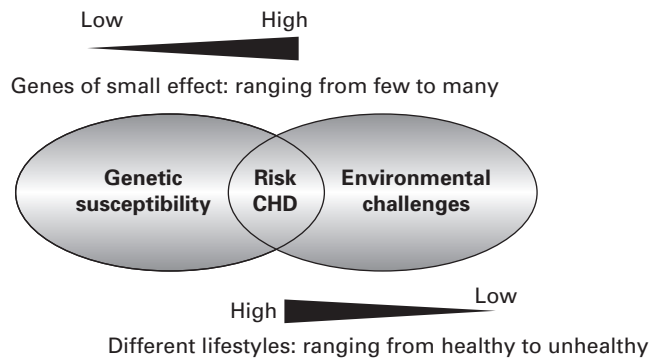
**Table 1.** How to test for interaction of environment (e.g. smoking) and genotype on a quantitative trait (e.g. blood pressure (BP)) and a binary trait (e.g. CHD risk)

Environment	Genotype	Trait BP (mmHg)	Trait BP (mmHg)
Smoking: N	AA	100	100
Y	AA	130	130
N	AB + BB	120	120
Y	AB + BB	150	160
		No interaction: additive	Interaction, deviation from additive
		OR for CHD	OR for CHD
High-fat diet: N	11	1.00	1.00
Y	11	2.00	2.00
N	12 + 22	3.00	3.00
Y	12 + 22	6.00	7.50
		No interaction: multiplicative	Interaction, deviation from multiplicative

N, no; Y, yes; OR, odds ratio.

power in the study to detect an effect (Table 1). For a binary trait, e.g. deep-vein thrombosis, logistic regression or survival analyses are used to look for interaction. Here a significant *P* value implies greater than multiplicative interaction, with the same provisos of lack of power for non-statistical effects and chance for statistically significant outcome (Table 1).

From a mechanistic viewpoint interaction suggests that at the molecular level the effect or byproduct of the environmental insult modifies the molecular function of the product of the gene under observation.



**Fig. 2.** Model for gene–environment interaction. This model proposes that each individual occupies a position on the genetic risk spectrum, depending on how many risk-increasing gene variants have been inherited. Similarly, individuals are exposed to a range of environmental challenges depending on lifestyle choices. It is proposed that risk of CHD only occurs when an individual at high genetic risk enters a high-risk environment, and that the genetic risks and environment risks alone will not trigger a CHD event.

A working model for the interaction of genetic and environmental factors is shown in Fig. 2. The hypothesis is that in the population there is a range of genetic risk profiles, with each individual occupying a position along the risk spectrum from a low to a high genetic risk depending on the number of ‘defective’ alleles at key loci that they have inherited. Individuals adopt a different position on the environmental spectrum of risk by the lifestyle choices they make (e.g. low-fat diet and non-smokers to high-fat diet and smokers). Thus, while the environmental risk factors are modifiable the genetic risk factors are not. The importance of gene–environment interaction is that only when an individual with a high-risk genetic profile enters a high-risk environment will the effect on risk be so great that premature CHD develops. Understanding this gene–environment relationship is one of the big challenges that is being faced. The present review focuses on some recent reports of common genetic variants that are associated with significant effects on CHD risk lipid traits or excess CHD risk when individuals are exposed to a ‘high-risk’ environment.

### Statistical interaction v. biological interaction

It is important not to confuse statistical and biological interaction. Although they both refer to the term ‘interaction’, they are very different.

Statistical interaction is a measure of association heterogeneity, and implies that the statistical description deviates from the expected joint effects, be they additive or multiplicative. Statistical interaction also depends on the statistical test used, e.g. statistical interaction might be evident for risk differences but not risk ratios. Take, for example, a purely hypothetical study looking at the effect of the Factor V Leiden mutation and oral contraception on the risk of deep-vein thrombosis in women. If for example, in the group on oral contraception the relative risk was found to be 12 for those carrying the Factor V Leiden

mutation and 6 for those without the mutation, then the risk ratio would be 2 (12/6). If the relative risk for the women not on oral contraception, but carrying the Factor V Leiden mutation was found to be 4 compared with 2 for the non-carriers, then the risk ratio is also 2 (4:2) and not different from that for those on contraception. If, however, the risk differences were compared, the value would be 6 (12 – 6) for the group on oral contraception but only 2 (4 – 2) for the group not on oral contraception, implying interaction. Thus, the statistical test is crucial for identifying interaction.

Biological interaction, or causal interaction, is the interdependent operation of two or more factors to produce or prevent an effect. Since every disease is measured by multiple components acting together, any cause is impinged on by other causes. An example of this situation is the association between smoking and lung cancer, where only a proportion of smokers develop cancer. The reason for this outcome is that other environmental or genetic factors modulate the effect on smoking. Thus, the outcome can be considered to be the interaction of smoking and other factors on cancer susceptibility. In fact, biological interactions are the basis of all complex diseases, whether they are a result of gene–gene or gene–environment interactions.

### Measuring genetic and environmental factors

#### *Environmental risk factors*

There are several well-documented environmental variables that influence plasma lipid levels and increase CHD risk. These variables include dietary fat (for example, see Ginsberg *et al.* 1998), smoking (for example, see Doll & Hill, 1966), alcohol consumption (for review, see Savolainen & Kesaniemi, 1995) and low exercise (for example, see Haskell, 1986). However, these environmental variables are very difficult to quantify with accuracy in free-living individuals. In small dietary intervention studies or control exercise studies it is possible to estimate energy intake and expenditure with good precision, but such studies have very little power for genetic studies, or for examining gene–environment interactions. Most environmental factors are assessed by questionnaire and may be under-reported, e.g. smoking or alcohol consumption, or over-reported in the case of exercise. In addition, it is hard to adjust for factors such as the tar content of cigarettes (Shields, 2000), which might alter the impact of smoking. With food consumption daily food records may be useful, but assessing the fat content and fatty acid composition of food is not straightforward. What is really needed are biomarkers for environmental factors, i.e. plasma measures of byproducts that can be quantified, such as plasma cotinine as a measure of cigarette consumption or levels of plasma linoleic acid as a measure of dietary compliance (Wallace *et al.* 2000).

#### *Genetic risk factors*

Easy access to the human genome databases has improved the availability of genetic information, and thus designing assays for genotyping new and variant sites in candidate

genes is easier than ever. High-throughput genotyping methodology has also advanced considerably and the ability to genotype large studies at several sites is becoming more straightforward. Genotype error rates are also low, and it has been estimated for a case-control study that genotyping twenty-five individuals would be sufficient to give an approximately unbiased estimate of genotype errors (Rice & Holmans, 2003).

### The impact of smoking on lipid levels and CHD risk

Of the environmental factors studied, smoking is perhaps the easiest and most commonly analysed. Smoking is known to approximately double lifetime risk of CHD (Doll & Hill, 1966), and is thought to increase cardiovascular risk by several different mechanisms, i.e. by directly damaging vascular endothelium, leading to increased secretion of adhesion molecules, and by perturbing lipoprotein metabolism and increasing insulin resistance and lipid intolerance. In addition, smoking-induced lung and endothelial wall damage will lead to an IL-6-mediated inflammatory response, causing hepatic up-regulation of fibrinogen expression and increased risk of thrombosis. As a result of dietary habits, smokers also have lower levels of antioxidants such as ascorbate and tocopherol, and thus smoking would favour the oxidation of LDL (Fickl *et al.* 1996) and increase the risk of atherosclerosis.

### APOE: smoking interaction and CHD risk

APOE with its common variant alleles,  $\epsilon 2$ ,  $\epsilon 3$  and  $\epsilon 4$ , has been the subject of intense interest because of the reported predisposition of  $\epsilon 4$  carriers ( $\epsilon 4+$ ) to both early onset of Alzheimer's disease (Corder *et al.* 1993; Poirier *et al.* 1993) and CHD. A meta-analysis assessing the impact of APOE variants on CHD risk (Wilson *et al.* 1996) considered fourteen published studies, primarily with a case-control design, examining both clinical CHD and angiographically-defined CHD. Compared with  $\epsilon 3$  homozygotes the summary estimates of the odds ratios on CHD events for both sexes combined showed  $\epsilon 4$  to have an odds ratio of 1.26 (95% CI 1.13, 1.41), which was similar to that for both men and women when considered separately. However, none of these studies considered environmental factors as potential genotype-risk modifiers, but simply corrected for non-lipid risk factors, amongst them smoking, when examining the risk association between APOE genotype and CHD.

So, is there evidence for the context dependency of APOE genotype on risk? The effect of smoking and APOE genotype on CHD risk was examined in the UK-based Northwick Park Heart Study of >3000 men followed prospectively for CHD events for >6 years (Humphries *et al.* 2001). The relative risk of smoking alone on CHD risk (expressed as a hazard ratio) was, as expected, 1.94 (95% CI 1.25, 3.01). APOE genotype was associated with effects on cholesterol and apoB levels, with  $\epsilon 4$  carriers having the highest levels and  $\epsilon 2$  carriers the lowest levels, irrespective of smoking status. Compared with all the non-smokers, for whom the hazard ratio was set at 1.00, of the

men who smoked those with the genotype  $\epsilon 3/\epsilon 3$  had a hazard ratio of 1.68 (95% CI 1.01, 2.83) compared with 1.18 (95% CI 0.46, 3.03) for  $\epsilon 2$  carriers and 3.17 (95% CI 1.82, 5.51) for  $\epsilon 4$  carriers. The interaction between smoking status and APOE genotype on CHD risk was significant ( $P = 0.007$ ) and was independent of BMI, blood pressure, lipid levels and markers of inflammation. Risk in men with the  $\epsilon 4$  allele who had quit smoking before the study began was lower, and did not differ from that of never-smokers, emphasising the benefit of smoking cessation. There is good evidence that APOE genotype influences lesion formation independently of its effects on fasting plasma lipid levels. The interaction between the  $\epsilon 4$  allele and smoking suggests that smoking exacerbates this effect. Although a recent large case-control study claimed to refute this high APOE  $\epsilon 4$ -smoking interaction on risk (Keaveny *et al.* 2003), our re-analysis of this data suggests risk is indeed higher in  $\epsilon 4$  carriers who smoke, showing a greater than additive effect and supporting data from the Northwick Park Heart Study (Humphries *et al.* 2003).

The most likely mechanism to explain the  $\epsilon 4$  allele-smoking interaction on CHD risk is through a direct effect on LDL oxidation. Several studies using recombinant apoE have demonstrated that the protection against oxidation *in vitro* is apoE2 > apoE3 > apoE4 (Smith *et al.* 1998). This effect may be because apoE2 has two free SH groups, apoE3 has one and apoE4 none, or may be due to other effects of apoE isoforms on the physico-chemical properties of lipoproteins that promote or protect from oxidation. The differential oxidation of apoE isoforms has now been confirmed *in vitro* with, as expected, apoE4 being more susceptible to oxidation than apoE3, which in turn is more susceptible than apoE2 (Jolivald *et al.* 2000).

### Alcohol consumption, alcohol dehydrogenase gene and CHD risk

Another example of the context dependency of a genetic variant on risk of CHD is the way in which the beneficial effect of moderate alcohol consumption is modified by variation in the gene for alcohol dehydrogenase (ADH). Moderate alcohol consumption has been shown to be cardio-protective, with risk following a 'J'-shaped curve (Doll *et al.* 1997), such that individuals with a low alcohol intake are at greater risk than those who consume moderate amounts of alcohol, but as alcohol intake increases, the risk of CHD increases. ADH is an isoenzyme that oxidises ethanol. It comprises three subunits, ADH1, 2 and 3. Genetic variation in AHD3 is common and is the best studied of the three genes. While the ADH3  $\gamma 1$  allele leads to rapid oxidation of ethanol, the  $\gamma 2$  allele results in a 2.5-fold slower rate of oxidation (Bosron *et al.* 1988). Hines *et al.* (2001) showed in an all-male nested case-control study drawn from the Physicians Health Study (and confirmed in other studies) that moderate alcohol consumption of one drink daily was associated, as expected, with a decreased risk of myocardial infarction. In the same study they also showed that the ADH3 genotype was also associated with a difference in CHD risk. The question under investigation was whether alcohol intake modifies the genotypic effects on CHD risk. In those men who



drank less than one drink per d (low alcohol consumers) there was no difference in the relative risk of a myocardial infarction in  $\gamma 2$  carriers compared with  $\gamma 1$ -homozygous men. However, in the group who consumed one or more drinks daily the relative risk for the  $\gamma 1\gamma 1$  moderate drinkers when compared with the  $\gamma 1\gamma 1$  low alcohol consumers was 0.62 (95% CI 0.34, 1.132), while the relative risk for the  $\gamma 2\gamma 2$  moderate drinkers compared with  $\gamma 2\gamma 2$  low alcohol consumers was only 0.14 (95% CI 0.04, 0.45). Thus, without taking both genotype and environment into account an accurate relative risk would not be obtained. However, in part the risk reduction was explained by a larger increase in HDL levels in the  $\gamma 2\gamma 2$  men ( $P = 0.01$  for interaction), and to what extent this genotype effect could be simply estimated by measures of habitual HDL levels is unclear.

### Conclusion

Thus, the interaction between *APOE* and smoking and *ADH3* and alcohol consumption on CHD risk are analogous. In the studies described earlier genotype, without taking environment into account, was having an effect on risk, with  $\epsilon 4$  carriers having a greater risk than  $\epsilon 3$  homozygotes and *ADH3*  $\gamma 2$  homozygotes having a protective effect on risk compared with  $\gamma 1$  homozygotes. However, when environment was included in the analysis rather than corrected for, and an interaction term was included in the statistical analyses, the results show that *APOE*  $\epsilon 4$  genotype and *ADH3*  $\gamma 2$  genotype do not behave uniformly. In non-smoking *APOE*  $\epsilon 4$  carriers there was no effect of genotype on risk, similarly in low-alcohol-consuming *ADH3* $\gamma 2$  carriers CHD risk was not significantly different from that for  $\gamma 1$  homozygotes. However, in *APOE*  $\epsilon 4$  carriers who smoked there was an increased CHD risk compared with common allele homozygotes who did not smoke, while *ADH3* $\gamma 2$  homozygotes with a moderate alcohol consumption were protected from risk when compared with the reference group.

Gene–environment interaction therefore provides additional insight into the pathophysiology of disease. From a public health viewpoint this factor has great implications, because it emphasises the reduced risk in never-smokers and ex-smokers and promotes the idea of smoking cessation. Similarly, it promotes the benefits of moderate alcohol consumption in all individuals, with a particular benefit in a genotype-specific manner.

So how is the field expected to progress over the next 3–5 years? Concern has been raised about the apparent ‘inconsistency’ of genetic association studies, with genotypes being associated with large effects on CHD risk in the initial published report (often in high-impact-factor journals, but on relatively small samples), but with subsequent (larger) studies failing to confirm this effect, or at best finding an effect of much more modest size. Luckily, this problem is amenable to meta-analysis, and such an analysis of thirty-six associations in CHD, diabetes, hypertension, cancer etc. was recently published (Ioannidis *et al.* 2001). Not surprisingly, the major discriminating factor in predicting whether an initial risk association was subsequently confirmed was the size of the original sample.

Even though the results of such a meta-analysis are confined to published studies, and the difficulty in publishing ‘negative’ studies raises concerns about publication bias, the overall message of this paper is extremely encouraging, i.e. that many of the reported associations between certain genotypes and disease risk do stand up to the test of replication.

The same sort of rules must be applied to the gene–environment interactions. It is obviously worth publishing such interactions, providing they are carried out on a reasonably-sized sample, because they will stimulate workers in the field to try to replicate the observation. It should be recognised, however, that where a particular genotype is only associated with risk in the presence of a particular environment (e.g. high-fat diet, cigarette smoking etc.), the failure to observe an association between this genotype and risk in a second sample may be explained by the relatively lower prevalence of the important environmental factor. Clearly, there is still a lot of work to be done in order to tease out the genetic factors involved in CHD risk in the general population.

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