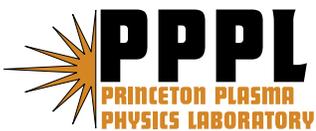

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Formation of field-reversed-configuration plasma with punctuated-betatron-orbit electrons

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Abstract

We describe *ab initio*, self-consistent, 3D, fully electromagnetic numerical simulations of current drive and field-reversed-configuration plasma formation by odd-parity rotating magnetic fields (RMF_o). Magnetic-separatrix formation and field reversal are attained from an initial mirror configuration. A population of punctuated-betatron-orbit electrons, generated by the RMF_o , carries the majority of the field-normal azimuthal electrical current responsible for field reversal. Appreciable current and plasma pressure exist outside the magnetic separatrix whose shape is modulated by the RMF_o phase. The predicted plasma density and electron energy distribution compare favorably with RMF_o experiments.

Electrical currents may be generated in magnetized plasma by a number of electrode-less methods. Inductive, radiofrequency-wave,¹ energetic-beam-injection,^{2,3} and bootstrap⁴ techniques are widely used to drive currents parallel to the magnetic field while perpendicular currents may be generated by beam injection,^{5,6} diamagnetism, the thermoelectric effect,⁷ and rotating magnetic fields (RMF).⁸ The latter group is particularly relevant to field-reversed-configuration (FRC)⁹ plasma, see Figure 1a), unique among toroidal plasma in having only poloidal magnetic field and a magnetic null on the magnetic axis where the plasma energy density is highest. Producing current at the null is particularly difficult.⁷ This paper will provide physical insights, supported by detailed self-consistent calculations, into a novel non-resonant radio-frequency technique whose symmetry properties promote direct and efficient generation of field-normal currents in FRCs, even on-axis.

FRC-like plasmas are frequent in planetary and astrophysical settings.¹⁰ They are also created in the laboratory and therein used to study magnetic relaxation and reconnection processes,¹¹ and stability and transport^{12,13} and to explore FRCs as potential fusion reactors.^{14,15,16} Many powerful methods of plasma theory, including magnetohydrodynamics (MHD), gyrofluid, drift-wave-instability,¹⁷ and even Taylor relaxation¹⁸ models are deficient for FRC plasma because of the distinctive FRC properties.¹⁹ Detailed understanding of and predictive capabilities for FRC plasma behavior require new theoretical tools.

RMFs, primarily of even parity (RMF_e), have been used to form and sustain FRC plasmas and to heat their electrons. Odd-parity RMFs (RMF_o) are predicted to perform the aforementioned and additional functions, such as heating ions,²⁰ improving confinement²¹ and increasing stability.²² Recent experimental studies of RMF_o ^{15,23} have provided limited support for certain of these predictions. The new theoretical tools for FRCs must also properly treat RMF_o , which, amongst other effects, removes axial symmetry and adds a new characteristic time scale, the rotational

period, placing even more stringent demands on a plasma model. Herein, we describe specific reasons for a particle-in-cell²⁴ (PIC) method for modeling the RMF_o/FRC and results uncovered using it. One important result is that the electrical current is not predominantly carried by smoothly drifting or circulating particles but by electrons whose trajectories alternate between fast-circulating, higher energy (low collisionality, v) betatron orbits and slowly drifting, lower energy cyclotron orbits,²⁵ with a net time-averaged azimuthal speed, $\langle v_{\phi e} \rangle$, nearly equal to the RMF_o's. This ratchet-like motion, see Figure 1b) and inset, which we term *punctuated* betatron orbits, has been observed in our earlier single-particle simulations²⁰ but never before in a self-consistent simulation in which the effects of the full electron distribution function on resistivity, microinstability, and transport are included. Moreover, RMF_o current drive does not depend on a wave-particle resonance central to the high efficiency method of Fisch²⁶ hence can operate at a slow wave phase velocity and still generate high-energy low- v electrons.

A distinguishing feature of RMF_o is a time-varying azimuthal electric field, ε_{ϕ} , generated near and on the plasma mid-plane ($z = 0$), which also contains the defining O-point field null line, the FRC's magnetic axis. Importantly, ε_{ϕ} has both clockwise (CW) and counterclockwise (CCW) regions that rotate at ω_R , the RMF_o frequency, see Fig. 1b). Cyclotron-orbit electrons in the CW region $E \times B$ drift towards the null line, become betatron orbits, and then accelerate along the null. These betatron-orbit electrons then enter the CCW region, decelerate, become cyclotron orbits and slowly drift away from the null line, waiting for the RMF_o to bring the CW ε_{ϕ} region back to them to begin the ratchet-like azimuthal motion anew.

MHD or gyrokinetic models cannot properly treat a null or the direct and rapid ε_{ϕ} -driven particle acceleration near the null line. These models are also far from adequate when either the ion or electron gyroradius, $\rho_{i,e}$, is a significant fraction of the separatrix radius, r_s . Test-particle

techniques can accurately model ion or electron dynamics, even in the null region, but neglect the plasma response to the RMF_o , such as whether the RMF_o penetrates the plasma, if RMF_o causes the magnetic-flux-surface shape to evolve, or whether turbulence develops and alters plasma dynamics. By using PIC techniques, the present study avoids these deficiencies and provides the first fully self-consistent description of FRC formation from an initial low- β (ratio of plasma kinetic pressure to magnetic-field energy density) mirror plasma configuration.

For concreteness, we model a specific RMF_o device, the Princeton Field-Reversed Configuration (PFRC)^{15,27}, sketched in Figure 1a). An 80-cm-long Pyrex cylinder is the vacuum vessel. Internal are 6 coaxial magnetic-flux-conserving copper rings (FC), three on each side of the midplane. External to the Pyrex vessel and symmetric about its midplane is the RMF_o antenna. Typical RMF_o characteristics are field strength $B_R \sim 10$ G and frequency $\omega_R / 2\pi = 14$ MHz. At an axial field at the FRC's center of $B_a = 100$ G, $90\omega_{ci} \sim \omega_R \sim \omega_{ce} / 20$, where $\omega_c = qB_a / mc$ is the particle cyclotron frequency, m is the particle mass, q is the particle charge, and subscripts e and i refer to electron and ion, respectively.

A static mirror-configuration magnetic field is created by coaxial coils located near $z = \pm 45$ cm and $z = \pm 105$ cm. Nominally, these coils produce an initial axial bias field of strength $B_o = 50$ G at $z = 0$ cm and 2000 kG at $z = \pm 45$ cm. A necessary goal is for the RMF_o to produce sufficient azimuthal plasma current to reverse the magnetic field at $r = z = 0$ cm. When this occurs, the field ($B_a = -B_e$) at the FRC's center is about twice larger in magnitude than B_o . At the application of RMF_o power to the PFRC, the density rapidly rises. Within the first few μ s, a near steady state is reached in which the plasma parameters are typically $n_e = 0.7-3 \times 10^{12}$ cm⁻³, $T_e = 300-100$ eV, and $T_i \sim 1$ eV.

PIC simulations, now described, were performed with the Large Scale Plasma (LSP) code.^{28,29} LSP uses an explicit PIC algorithm, with standard particle-advance techniques augmented by a novel energy-conserving push³⁰ that avoids the so-called Debye-length numerical instability. LSP uses a temporally implicit, non-iterative, unconditionally stable electromagnetic field solver³¹ and a cloud-in-cell linear interpolation technique between particle locations and grid boundaries. Approximately 200 particles *per* cell are used for each particle species.

The RMF_o antennae are modeled with a sinusoidal current. The applied magnetic fields from the small- and large-bore coils at both ends of the PFRC are pre-calculated from a magnetostatic solution. Particles striking axial, radial and FC boundaries are removed from the simulation.

The spatial extent of the LSP simulation is $r = \{0, 5\}$ cm, $\phi = \{0, 2\pi\}$, and $z = \{-50, +50\}$ cm, with grid spacings of $\Delta r = 0.15$ cm, $\Delta\phi = \pi/4$, and $\Delta z = 0.2$ cm. The explicit time-step limitation requires $\Delta t < \omega_{pe}^{-1}$ ($\sim 10^{-11}$ s), corresponding to about 10^6 time steps. A typical simulation takes 4 days on a 32-processor cluster.

A simulation begins with an $n_e \sim 10^{11}$ cm⁻³, $T_e = 4$ eV hydrogen plasma seeded in the Pyrex vessel, along with room-temperature molecular hydrogen of density 3.5×10^{13} cm⁻³, corresponding to the PFRC fill pressure. The RMF_o causes acceleration of plasma electrons and ionization of the H₂, hence plasma densification and electron heating. H₂⁺ is the dominant ion species formed in these relatively short simulations. Charged-particle collisions are treated using Spitzer rates. Charged-neutral collisions are handled with a Monte-Carlo method utilizing energy-dependent tabular cross sections, σ . Scattering and ionization σ s for e^- -H₂ from the literature are employed; $\sigma_{H_2^+ \leftrightarrow H_2}$ is assigned a constant 10^{-15} cm². Neutral-neutral collisions assumed an isotropic scattering cross section of 7×10^{-16} cm². LSP calculates energy losses by collective radiation, charge exchange,

ionization, as well as conduction and convection to boundaries. Simulations are typically for 5 μs , during which time the neutral density drops about 1%.

Figure 2(a) shows the early time evolution of the total ion charge in the simulation volume for 5 values of the initial external field, labeled by $B_o = B_{z0} \equiv B_z(r,z,t)$ at $t = r = z = 0$. The amplitude of the RMF_o field, B_R , was 10 G. Positive B_{z0} values correspond to the correct B_o direction to form an FRC by the rotation sense of the RMF_o . The figure shows density increasing exponentially with time with higher plasma densities attained at higher B_{z0} , doubling as B_{z0} is increased from 35 to 100 G), consistent with experiment.¹⁵ For positive B_{z0} , there is a temporary decrease in the rate of density rise between 600 and 900 ns, an effect we attribute to increased radial particle losses. Density saturation occurs at about 5 μs , with the exact time depending on fill pressure, B_R , etc. (For the $B_{z0} = 50$ G, $B_R = 10$ G case shown, the density at 5 μs is within 10% of that measured in the experiment.) When B_{z0} is negative, the density rises slightly for 50 ns then decays. This critical simulation shows the importance of consistency between the sense of rotation and the initial B_o direction. Measurements on the PFRC have similarly shown a low density when B_{z0} is negative.

Figure 2(b) shows the axial field strength *versus* time at $z = 0$ and $r = 1$ cm for the five values of B_{z0} . For positive B_{z0} , the axial field strength falls with time and reverses for the lower B_{z0} values. The oscillations in B_z are at the RMF_o frequency and are due to the proximity of the RMF_o antenna's central arm. A fuller appreciation of field reversal can be gained from Figure 3 which presents snapshots in the $r - z$ plane of three parameters, n_e , T_e , and B_z , at 5 times during the simulation with $B_{z0} = 50$ G. The top row shows n_e . Though the total number of ions grows over the entire 2.5 μs period displayed, the radial location of the sharp density gradient shrinks between 50 and 375 ns and then grows until 1000 ns, by which time it reaches 3 cm. After $t = 1$ μs , the n_e profile expands axially at a speed of 2.3×10^7 cm/s, about twice the ion acoustic speed.

The middle row shows T_e (defined as 2/3 of the average electron energy) rapidly rising, reaching over 250 eV in isolated regions beginning at $t \sim 400$ ns. For the next 500 ns, 50% variations in T_e occur over 1-cm-scale -- comparable to $\rho_{e,i}$ and c/ω_{pe} -- axial and radial distances at a frequency above 200 MHz. (ω_{pe} is the electron plasma frequency.) This turbulent period is concurrent with the aforementioned decrease in the density rate-of-rise and is coincident with a large value for the drift parameter, $\gamma_D = \langle v_{\phi e} \rangle / \text{ion thermal speed} \sim 50$. As n_e continues to rise, T_e becomes more homogenous, settling at about 125 eV at 1 μ s. Electron energy fluctuations still occur at a reduced level, *ca.* ± 5 %. The T_e profile inside the FC radius is nearly flat.

The bottom row shows the axial field. In the first 0.5 μ s, little change occurs in B_z , but by $t = 1$ μ s, a 50 % decrease is seen for $r < 2$ cm and $|z| < 10$ cm. At $t = 1.5$ μ s, the azimuthal current has driven the central-region B_z to near zero. At $t = 2.5$ μ s, field reversal is clearly evident in the region $r < 1$ cm, $|z| < 8$ cm.

Local projections of the magnetic field, *i.e.*, contours of $\hat{r}B_r + \hat{z}B_z$ (iron-filing plots), onto two orthogonal $r - z$ planes at $t = 2$ μ s are presented in Figure 4a). In both planes, a fully developed FRC is inferred, with O-point nulls at $r_o = 1.6$ and 2 cm and $r_s = 3.1$ cm, to be compared with $r_s = 1.9$ -3.0 cm reported in Ref. 15. The FRC shape strongly changes with RMF_o phase, as predicted by Ref. 21. In conjunction with Figure 3, these data show a wide scrape-off layer and appreciable plasma pressure outside the separatrix. The changing shape of the separatrix and the oscillating position of the null repeat the intriguing question whether this dynamic variation in the plasma's shape may improve the configuration's stability against the internal tilt mode.²² Exploration of this question will require far longer simulations and a different set of plasma parameters, *e.g.*, higher $r_s\omega_{pi}/Ec$, lower v , and lower B_R/B_a .

Figure 4(b) shows an iron-filing plot of $\hat{r}B_r + \hat{\phi}B_\phi$ in the $r - \phi$ plane at $z = 7$ cm, $t = 2 \mu\text{s}$. These local projections imply RMF_o “penetration” to the FRC major axis. The field projections are twisted nearly 90° at $r \sim 2$ cm, possibly by electron drag on the ions or, as we estimate, more likely on the neutrals. For RMF_e and the assumption of Spitzer resistivity, full penetration³² is predicted to occur when $P \equiv \gamma_c/\lambda > 2$, where λ is the ratio of r_s to the classical skin depth δ , and γ_c is the ratio of ω_R to v . Including only electron-ion collisions $P \sim 6$. P falls to 1 adding electron-neutral collisions.

Figure 4c) shows $\langle v_{\phi,e} \rangle / c$ versus radius for four axial positions, $z = 0, 4, 8, 12$ cm, ± 2 cm, at $t = 2 \mu\text{s}$: $\langle v_{\phi,e} \rangle$ ranges from 50 to 100% of the RMF_o speed, ω_{RR} , with electrons on axis and at larger radii having the higher percentage. Appreciable plasma current exists outside the separatrix because of the high $\langle v_{\phi,e} \rangle$ and n_e there. Inspection of 100’s of individual randomly selected super-particle trajectories from these PIC simulations show that punctuated betatron-orbit electrons contribute about 70% of the current for these low- s RMF_o /FRCs.

The electron energy distribution function (EEDF) at $t = 2 \mu\text{s}$ is shown in Figure 5. From 100 eV to 1 keV, the EEDF is well characterized by a single 120-eV exponential. A higher energy, *ca.* 180 eV, tail appears above 1 keV and is a far better fit to the experimental data than the Hamiltonian results¹⁵ which showed a sharp cut-off in the EEDF at ~ 700 eV.

In summary, a 3D PIC plasma simulation technique has been applied to the study of FRC formation and electron heating by RMF_o . While the net current flows smoothly, individual electrons responsible for the majority of the plasma current have a ratchet-like azimuthal motion, characterized by punctuated-betatron-orbit trajectories. This method of current drive has the potential for high efficiency because of the high energy (low v) of the current-carrying particles. Periods of large amplitude, high frequency, and short wavelength fluctuations in electron energy were observed and correlated with reduced density increase rate. The PIC results agreed well with

the measured plasma density, electron temperature, EEDF and separatrix location and also showed appreciable plasma pressure and azimuthal current outside the separatrix, whose shape was strongly modulated by both the flux conservers and the RMF_0 phase. These observations have strong ramifications for plasma transport and stability.

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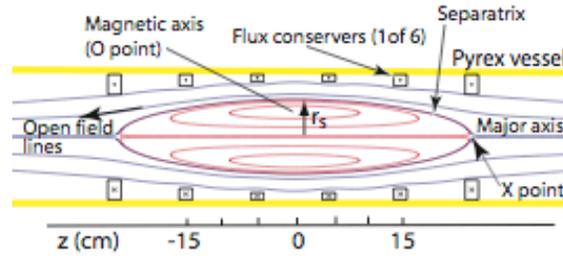


Figure 1a). Cross-section of the PFRC device with field-reversed configuration magnetic field lines shown. Closed field lines, inside the separatrix, are red. The elongation, E , is the X-point location normalized to r_s .

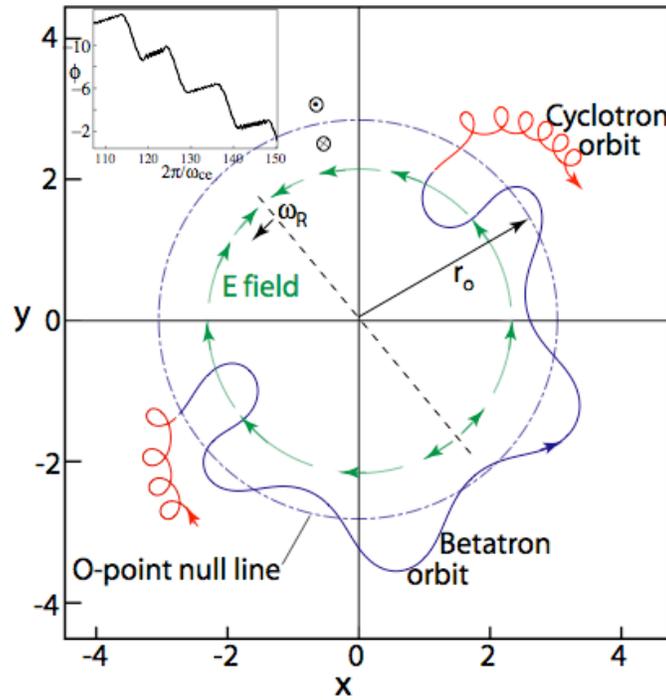


Figure 1b). An electron trajectory in the midplane of an RMF_0 -heated FRC, viewed along the major axis. The trajectory is a betatron orbit (blue), punctuated at beginning and end with counter-drifting cyclotron orbits (red). The RMF_0 -created azimuthal electric field, when the electron is midway in its betatron orbit, is shown (green). The O-point null line, as drawn, is an approximation since it neglects the RMF contribution. (Inset) Electron azimuthal position vs time.

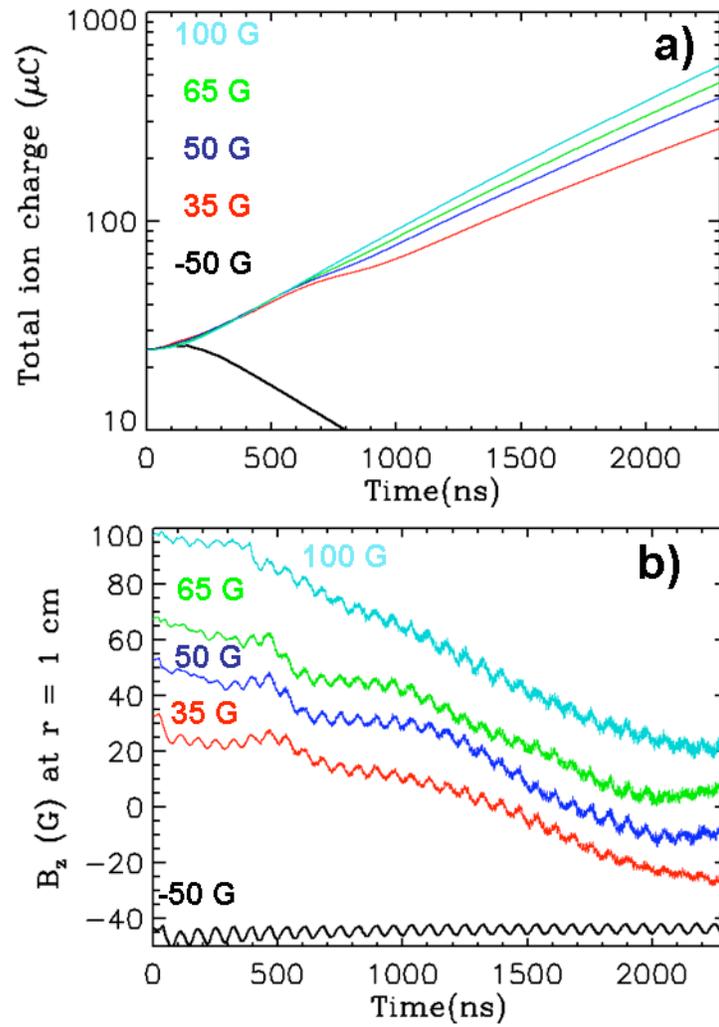


Figure 2. a) Total ion charge in the simulation volume vs time, for 5 values of the initial axial field, B_{z0} . b) Axial field strength at $z = 0$ and $r = 1$ cm vs time, for the same initial values of B_{z0} .

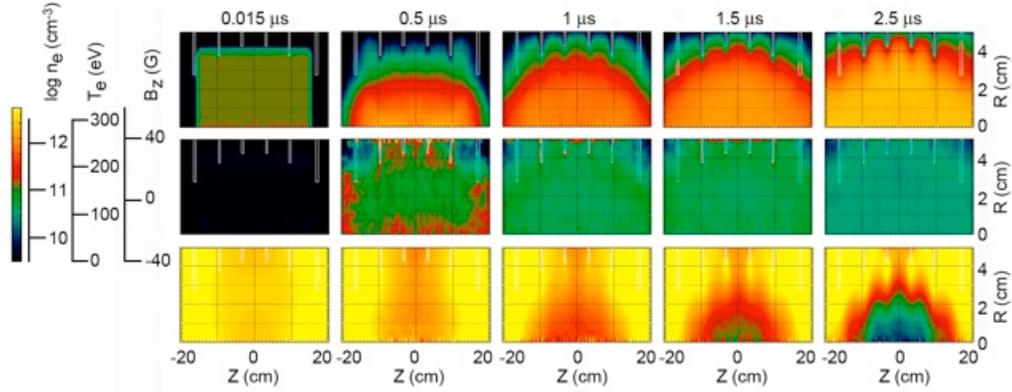


Figure 3. (color online) Top row: $\log_{10} n_e$ (cm^{-3}). Middle row: T_e (eV). Bottom row: B_z (G). The five columns are snapshots at the following times, from left to right: 0.015, 0.5, 1, 1.5, and 2.5 μs . Color-contour scales are to the left.

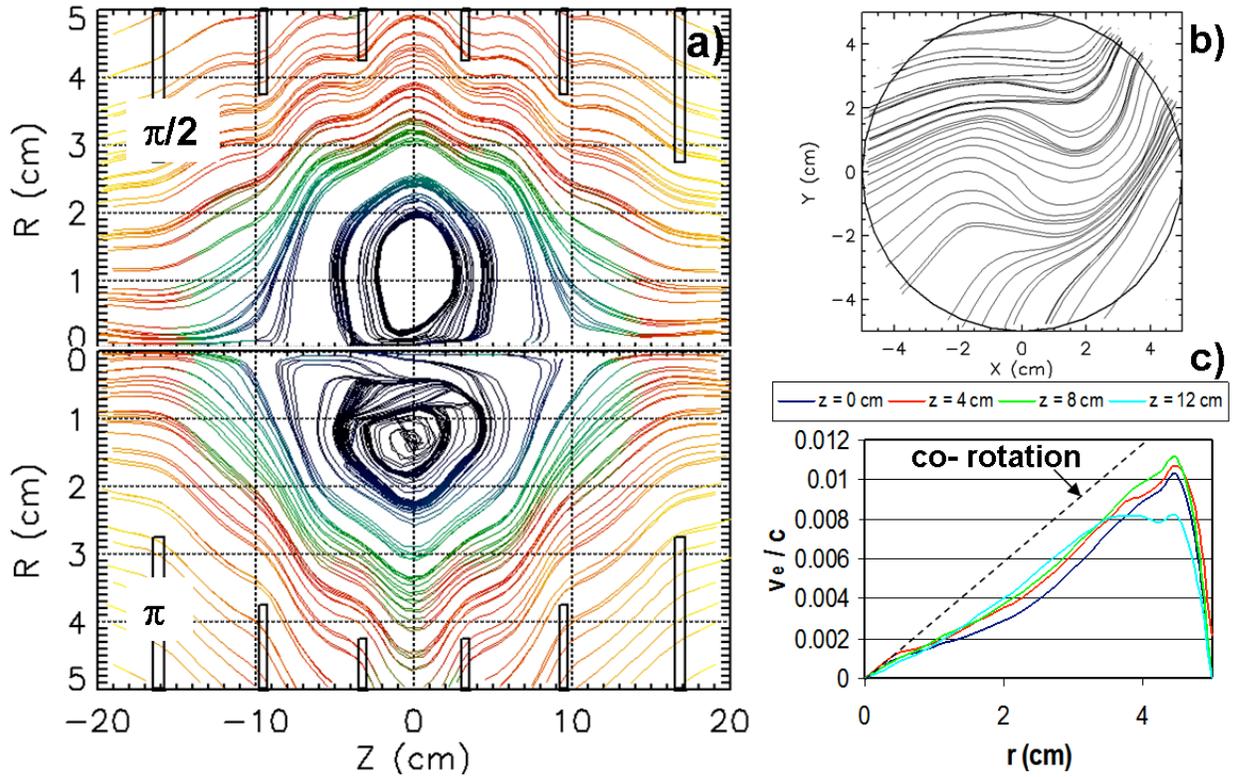


Figure 4. (color online) At $t = 2\mu\text{s}$ (a) Local projections of magnetic field lines in the r - z plane for two RMF phases, 90° apart. (b) Local projections of field lines at $z = 7$ cm in the r - ϕ plane. (c) Average electron azimuthal velocity at four z locations (± 2 cm). The dashed line shows $\omega_R r/c$.

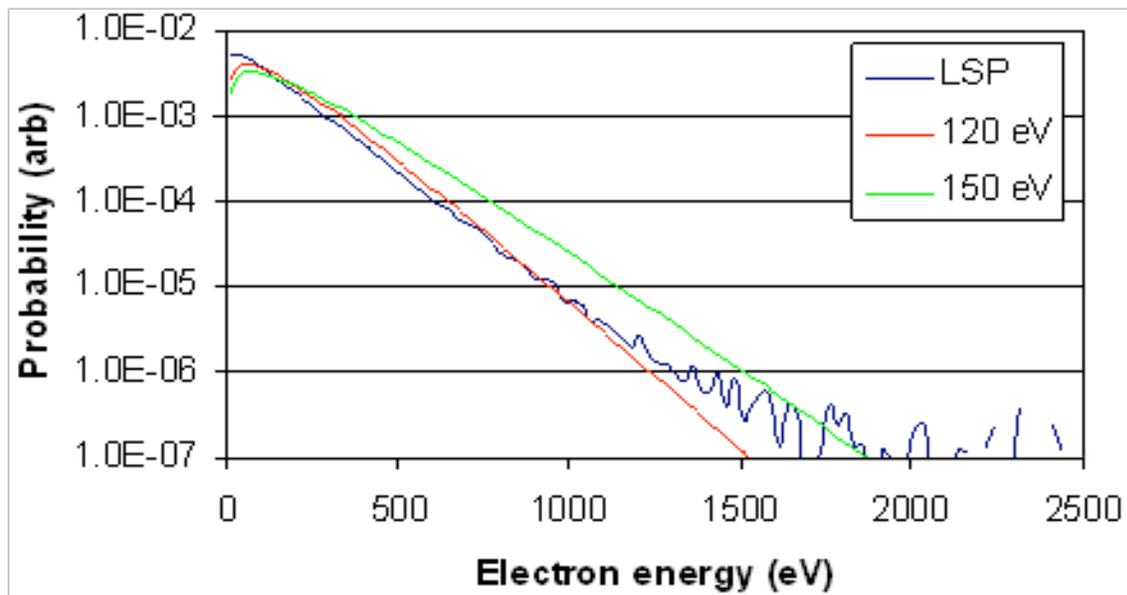


Figure 5. (color online) Calculated electron energy distribution functions (EEDF). The cases labeled 120 and 150 eV are Maxwellians; the LSP curve was taken at $t = 2 \mu\text{s}$ into the simulation.

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