

Negative Hydrogen Ion Source Research and Beam Parameters for Accelerators

Timofey V. Zolkin

Supervisors: Douglas P. Moehs and Charles W. Schmidt
Fermilab, P.O. Box 500, Batavia, IL 60510, U.S.A.

Abstract

H^- beams are useful for multi-turn charge-exchange stripping injection into circular accelerators. Studies on a modified ion source for this purpose are presented. This paper includes some theory about a H^- magnetron discharge, ion-electron emission, emittance and problems linked with emittance measurement and calculations.

Investigated parameters of the emittance probe for optimal performance give a screen voltage of 150 V and a probe step of about 5 mil. Normalized 90% emittance obtained for this H^- source is 0.22π mm-mr, for an extraction voltage of 18 kV at a beam energy of 30 keV and a beam current of 11 mA.

Key Words

Accelerator, beam, plasma, ion source, emittance, ion-electron emission, emittance measurement, negative hydrogen.

INTRODUCTION

At Fermi National Accelerator Laboratory (USA) H^- sources are based on a magnetron discharge. For 30 years it has been the primary source for H^- beams. The Linac uses a source in which the beam is magnetically bent 90 degrees. This magnetic field focuses the beam in one direction and unfortunately defocuses in the other. Now there is an experiment to obtain a beam that is extracted without bending for injection into an RFQ. Initially the source used a flat cathode (like an oval prism), later a half-cylinder groove gave better performance, and now a half-spherical indentation is being used (see Fig. 1). This paper will describe emittance data for the source with a spherical groove.

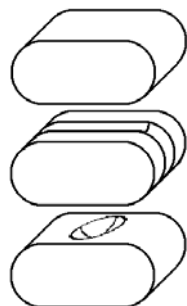


Figure 1: Types of cathodes for the magnetron source.

The second issue we will describe is collecting the data and calculating emittance from raw data.

THEORY

Ion source

One process by which H^- ions are produced will be explained using Fig. 2. A proton or other ion (Cs, Mo, O_2 , N_2 , etc.) from the plasma strikes the cathode removing a desorbed hydrogen atom or as a proton reflects from the cathode surface. As the particles leave the surface some capture an extra electron and leave as a H^- . The H^- ions then pass through the plasma to be extracted. The number of H^- ions which are then extracted from the source depend strongly on the distance through the plasma and destruction reactions (Fig. 3 and Table 1).

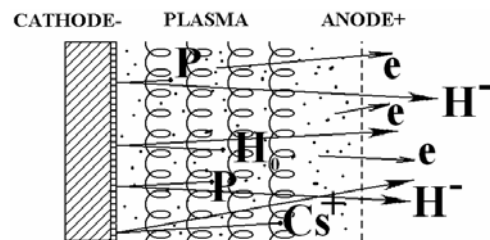


Figure 2: Plasma processes.[6]

Fig. 3 shows the dominate types of processes while table 1 gives the processes, with maximum cross section and corresponding reaction rates.

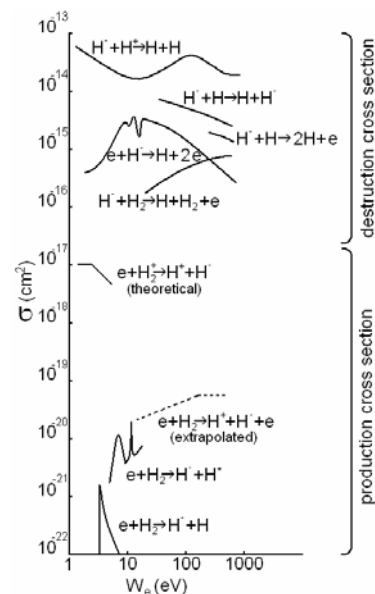


Figure 3: Negative hydrogen production and destruction cross sections as a function of energy.[4]

Process	Reaction	σ_{\max} (cm ²)	Energy for σ_{\max} (eV)	Reaction (at kT) $\langle\sigma v\rangle$ (cm ³ /sec)
Production				
Dissociative attachment	$e + H_2 \rightarrow H^- + H$	1.6×10^{-21}	3.7	5×10^{-13} (4eV)
	$e + H_2 \rightarrow H^- + H^*$	2.1×10^{-20}	14	
Polar dissociation	$e + H_2 \rightarrow H^- + H^+ + e$	1.7×10^{-20} and rising	38	3×10^{-12} (15eV)
Dissociative recombination	$e + H_2^+ \rightarrow H^- + H^+$	10^{-17}	3	3×10^{-10} (3eV)
Charge exchange	$H + H^- \rightarrow H^- + H$	8×10^{-15}	40	
Radiative capture	$e + H \rightarrow H^- + h\nu$	2.6×10^{-22}	0.7	10^{-14} (1eV)
Destruction				
Collisional detachment	$e + H^- \rightarrow H + 2e$	4×10^{-15}	15	7×10^{-7} (15eV)
	$H^- + H \rightarrow 2H + e$	1.6×10^{-15}	500	
Associative detachment	$H^- + H \rightarrow H_2 + e$			10^{-9} (~1eV)
Collisional detachment	$H^- + H_2 \rightarrow H + H_2 + e$	10^{-15}	10^4	
Charge transfer	$H^- + H^+ \rightarrow 2H$	2.5×10^{-13}	0.15 (c.m.)	5×10^{-7} (<1keV)
	$H^- + H_2^+ \rightarrow H + H_2$			$\sim 10^{-7}$
Dissociative attachment	$H^- + H_2O \rightarrow OH^- + H_2$		2	3×10^{-8} (2eV)

Table 1: Maximum values of cross section and corresponding energies for elementary processes leading to the production or destruction of a negative hydrogen ion. The corresponding energy is indicated in parentheses.[4]

The reaction rates are defined as the number of collisions of a given kind per unit density of interacting particles and per unit time. (Note: the reaction rates for the destruction processes are typically several orders larger than the production rates and significantly affect the design of a H^- source). Accordingly one process can only be compared to another, by integrating over the velocity distribution function $f(v)$ of the fast particle

$$\langle\sigma v\rangle = \int_0^{\infty} \sigma(v) v f(v) dv. \quad (1)$$

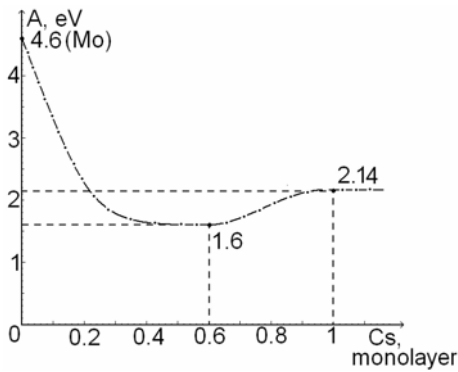


Figure 4: Work function of Mo as a function of a fractional Cs monolayer on a Mo surface.

One of the most important process is when the proton hits the cathode surface, and must collect two electrons. For clean Mo the work function is very large, 4.6 eV, and only one electron is likely to be transferred. To significantly increase the captured electrons, Cs vapor is injected onto the Mo surface to reduce the work function. Fig. 4 shows the change in

work function as Cs is added. The lowest value is with Cs at 0.6 of a monolayer, where the work function has a minimum (about 1.6 eV). Here the H^- production is a maximum.

Details of the FNAL H^- source are shown in figures 5 and 6. Reducing the distance between the cathode and anode causes more H^- particles to be preserved until they are extracted. However, if the distance is less than the Larmor radius of the electrons, they will be lost on the boundaries. This will cause a low density plasma and low H^- production.

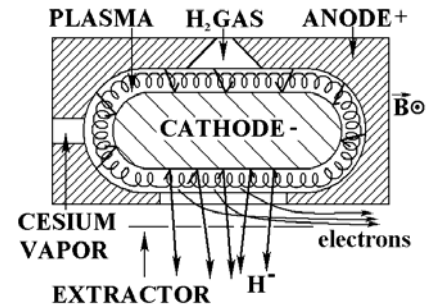


Figure 5 : Magnétron type source.[6]

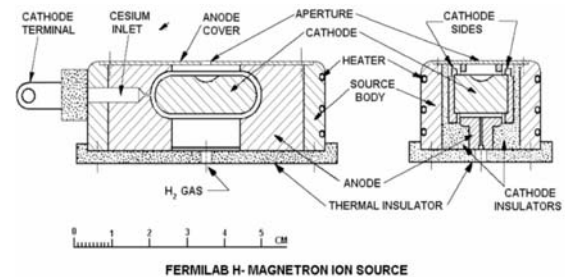


Figure 6 : FERMILAB H^- magnétron source.[6]

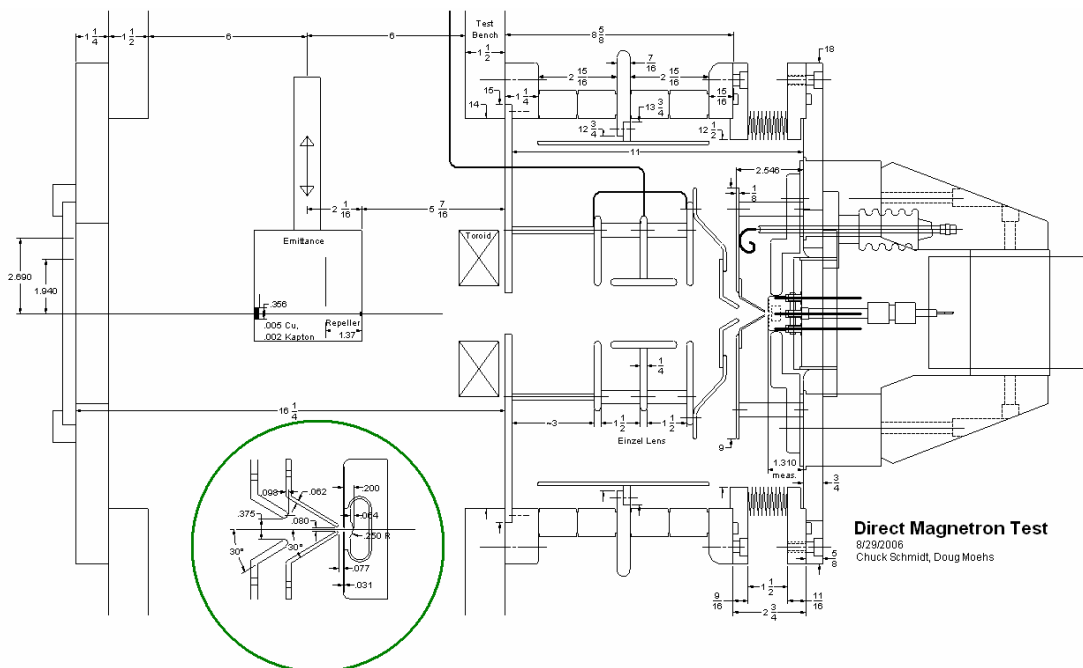


Figure 7: Direct extraction magnetron test.[5]

Setup

The beam is extracted with 18 kV. After extraction the beam accelerates to 30 keV by the voltage gradient between the source and final accelerating electrode, (see fig. 7). Following extraction and acceleration an einzel lens is used for focusing. The lens voltage is usually about 21 kV, but can change depending on parameters of specific experiment. After the lens a toroid measures the beam current. Following the toroid are the two emittance probes for vertical and horizontal measurements.

Emittance probe

An emittance probe consist of an entrance slit, screen electrode and target composed of 50 electrode strips (see Fig. 8 and 9).

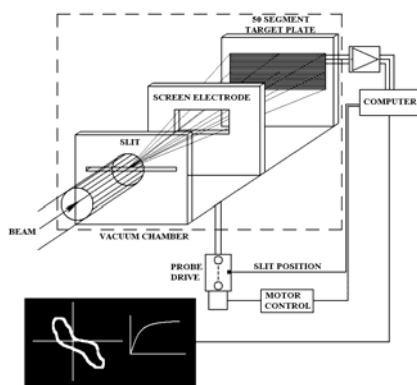


Figure 8: Emittance probe design.

The probe is moved by a stepping motor with the position defined and measured by a computer. When a

particle enters the probe slit and passes the screen electrode it hits one of the target electrodes which defines the particle angle. The screen electrode is used to control the electrons emitted from the target electrodes, when an H^- ion hits an electrode, electrons are emitted and the data can be affected (see Fig. 10). This process is described in the experimental part.

Two probes scan the beam in the vertical and horizontal dimensions.

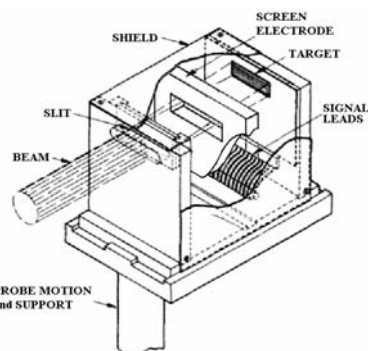


Figure 9: Detail of emittance probe.[6]

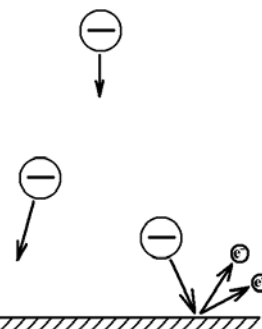


Figure 10: Ion-electron emission.

Beam Emittance

The basic equation of emittance (A_6) is

$$A_6 = \iiint p_x p_y p_z dx dy dz = \text{const}, \quad (2)$$

which is derived from Liouville's law if only conservative forces are acting. Assuming all forces of motion in each direction are decoupled then Liouville's law applies separately to each plane. The definition of 2-dimension emittance becomes:

$$\frac{A_2}{\pi mc} = \frac{1}{\pi mc} \int p_x dx = \frac{1}{\pi} \int \beta_x \gamma_x dx = \epsilon_n \quad (3)$$

where ϵ_n is the normalized emittance, and

$$\beta_x = \frac{v_x}{c} \text{ and } \gamma_x = \frac{1}{\sqrt{1 - \beta_x^2}}. \quad (4)$$

Often emittance is given in terms of "laboratory" emittance, which is:

$$\epsilon = \frac{\epsilon_n}{\beta_x \gamma_x} = \frac{\int \beta_x \gamma_x dx}{\pi \beta_x \gamma_x} = \frac{1}{\pi} \int x' dx. \quad (5)$$

The ideal emittance is equal to zero, but this is unrealistic for real ion beams (see Fig. 11).

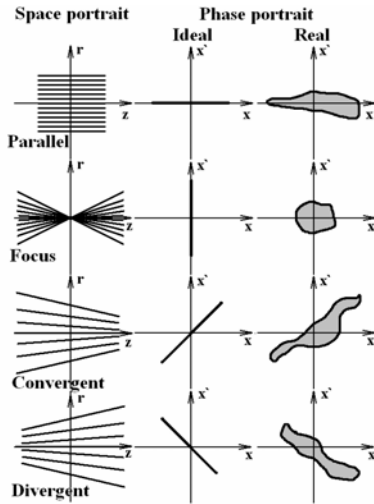


Figure 11: Ideal and real emittance for different cases.

Real emittance is always larger than zero, implying that particles have varied motion in real beams. In theory it is not difficult to calculate emittance. However, when working with real data the situation changes very dramatically. First we shall show what is usually done to calculate emittance and then discuss real situations later.

Emittance is represented by properties of an ellipse in phase space (Fig. 12):

1. Analytical parameters:

$$x' = \pm \frac{b}{a} \sqrt{a^2 - x^2} + cx \Rightarrow \epsilon = a \cdot b \quad (6)$$

$a = x_{\max}$; $b = x'_0$
(for a centered ellipse)

2. Twiss parameters:

$$\tilde{\gamma} x^2 + 2\tilde{\alpha} x x' + \tilde{\beta} x'^2 = \tilde{\epsilon}, \quad (7)$$

with $\tilde{\beta}\tilde{\gamma} - \tilde{\alpha}^2 = 1$; $\tilde{\beta}, \tilde{\gamma} > 0$.

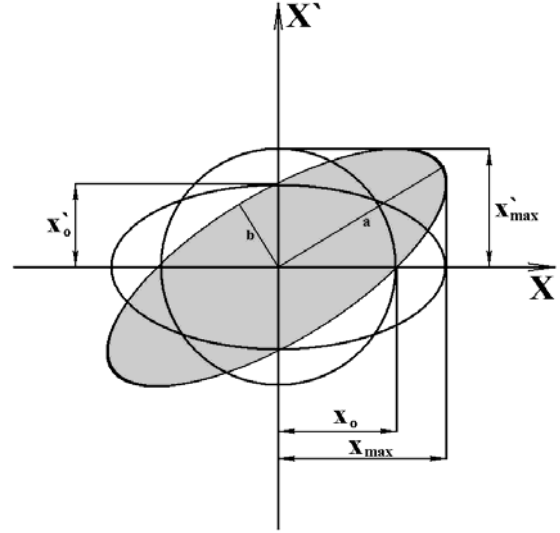


Figure 12: Representation of emittance as an ellipse.

Ellipses with identical parameters α, β have the same shape, even for different ϵ values. Some authors use the area of the ellipse figure, rather than area/π as the emittance. As a compromise for distinguishing true versus area emittance, the factor π is put into the dimension (i.e., $\epsilon = 1.23 \pi \text{ mm-mrad}$).

Often it is necessary to use fractional emittance because it is possible to analyze only part of the beam. For fractional emittance one measures the 100% emittance in $x|x'$ and $y|y'$, then removes particles below a desired current threshold, and finally computes the emittance based on the remaining distributions (see Fig. 13). It is important that this procedure is treated in four dimensions! ($x|x'$ and $y|y'$).

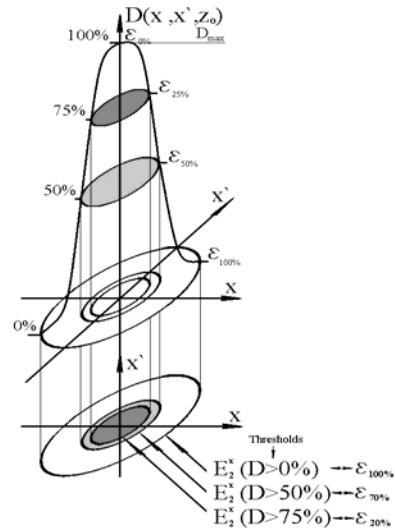


Figure 13: The beam fraction.[1]

To obtain numerical values of “equivalent ellipses”, parameters from discrete particle distributions, the RMS emittance is useful.

$$\epsilon_{\text{RMS}} = \sqrt{\langle x'^2 \rangle \langle x^2 \rangle - \langle xx' \rangle^2}, \quad (8)$$

with

$$\begin{aligned} \langle x^2 \rangle &= \frac{\sum_{\text{all}} x^2 c(x, x')}{\sum_{\text{all}} c(x, x')}, \quad \langle x'^2 \rangle = \frac{\sum_{\text{all}} x'^2 c(x, x')}{\sum_{\text{all}} c(x, x')}, \\ \langle xx' \rangle &= \frac{\sum_{\text{all}} xx' c(x, x')}{\sum_{\text{all}} c(x, x')} \end{aligned} \quad (9)$$

Where $c(x, x')$ is the beam current at a given position and velocity in the beam cross-section, x, x' , with the first moment terms evaluate to be zero, namely:

$$\langle x \rangle = \frac{\sum_{\text{all}} xc(x, x')}{\sum_{\text{all}} c(x, x')} = 0, \quad \langle x' \rangle = \frac{\sum_{\text{all}} x'c(x, x')}{\sum_{\text{all}} c(x, x')} = 0 \quad (10)$$

This renormalization is equivalent to maximizing the beam transmission corresponding to steering of the beam and minimizing the emittance. The orientation and aspect ratio of the RMS-emittance ellipse is described by the Twiss parameters, namely

$$\tilde{\alpha} = -\frac{\langle xx' \rangle}{\epsilon}, \quad \tilde{\beta} = -\frac{\langle x^2 \rangle}{\epsilon}, \quad \tilde{\gamma} = -\frac{\langle x'^2 \rangle}{\epsilon}. \quad (11)$$

The RMS emittance is usually used when the beam distribution is not simple. In these cases it is a method for estimating emittance.

In addition to this part of the theory we must describe different definitions of emittance. Table 2 gives the beam fraction used for some conditions and at some laboratories, CERN and FNAL for example.

Beam size	Emittance	Fraction	
$\pm \langle x^2 \rangle^{1/2}$	$\pi\sigma_h^2$	39.3%	RMS
$\pm 2\langle x^2 \rangle^{1/2}$	$4\pi\sigma_h^2$	86.5%	CERN
$\pm \text{FWHM}$ $(\pm 2.355\langle x^2 \rangle^{1/2})$	$5.54\pi\sigma_h^2$	93.75%	
$\pm \sqrt{6}\langle x^2 \rangle^{1/2}$ $(\pm 2.449\langle x^2 \rangle^{1/2})$	$6\pi\sigma_h^2$	95.0%	FNAL
$\pm 2.145\langle x^2 \rangle^{1/2}$	$4.60\pi\sigma_h^2$	90%	FNAL Linac

Table 2: Emittance fraction used at different places.[3]

EXPERIMENTAL PART

As explained earlier, part of this paper is to understand the emittance measurement process which happens when we collect data. The second purpose is to understand the beam emittance.

Initially there was considerable negative data in the emittance plot believed due to electrons scattered from the target electrodes (Fig. 14) and one could observe significant changes in the low level data with different screen voltages. It became necessary to show what happens for positive and negative screen voltages to explain this process.

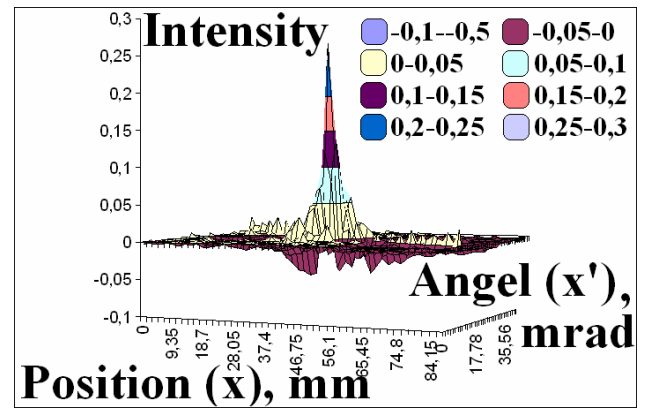


Figure 14: Illustration of typical collected data.

Figures 15 to 17 display raw data with a minus screen voltage in a 3-D plot and sliced plots with angle on the abscissa and intensity on the ordinate. There is a negative hole around the H^- and other peaks. Figure 18 shows what happens. At the center of the beam many H^- particles hit the target electrodes and knock out several electrons per H^- ion. With a minus screen voltage the electric field returns the electrons to various target electrodes. In areas where the beam is low the electrons leave a negative signal (the electrons return to the wires like a splash in water). In this manner the real data is greatly distorted.

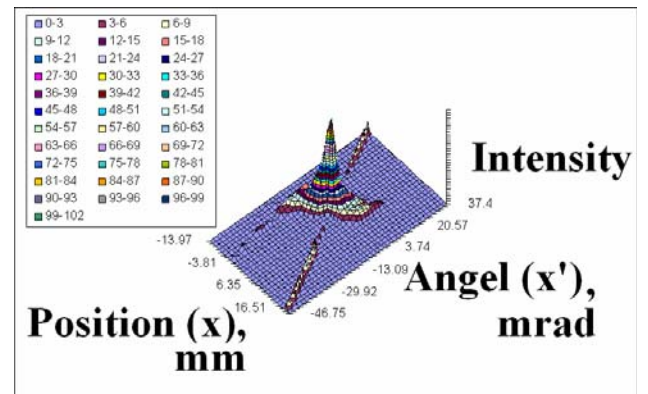


Figure 15: 3-D plot of H^- beam X emittance data with negative screen voltage (-600 V).

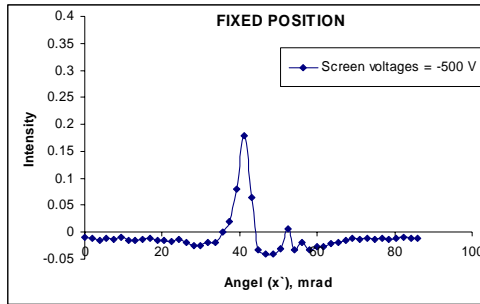


Figure 16: Slice of 3-D plot of H^- beam X emittance data with negative screen voltage (-500 V).

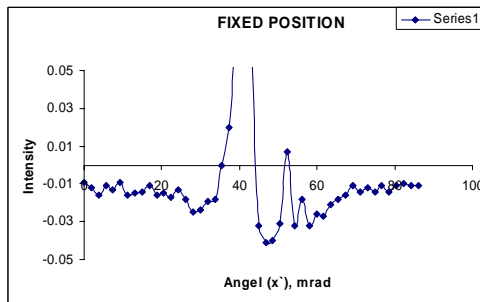


Figure 17: Slice of 3-D plot of H^- beam X emittance data with negative screen voltage (-500 V). (Intensity scale increased)

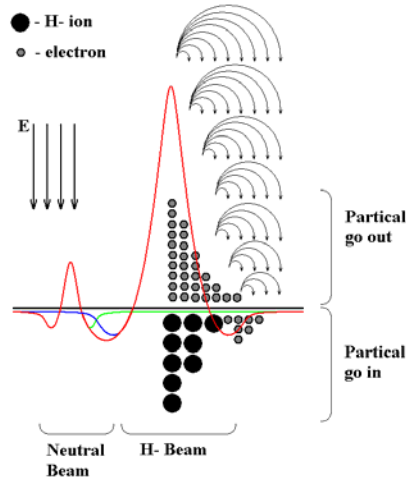


Figure 18: Model of process with negative screen voltages.

The next four figures represent the same test but for a positive screen voltage. There is no negative area in the plots with positive screen voltage at this beam current, see figures 19 to 21. But in the sliced data there are noticeably wide shoulders around the beam area. In Fig. 22, the model is similar to the negative screen voltage but with a strong positive screen voltage the electric field is reversed and this extracts the electrons. Here the signals are slightly stronger but large shoulders seem to appear due to excess electrons being extracted by the high electric field. Therefore it is necessary to find a compromise between these two processes.

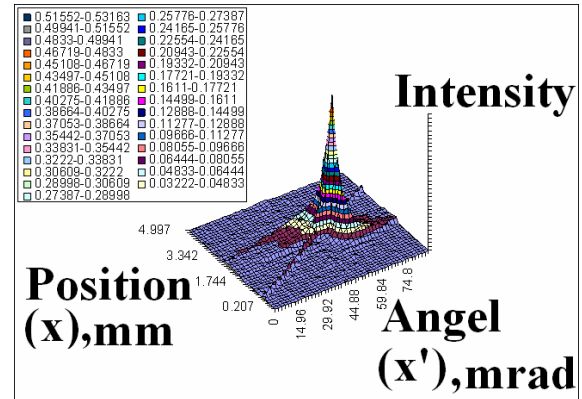


Figure 19: 3-D plot of H^- beam X emittance data with positive screen voltage (1000 V).

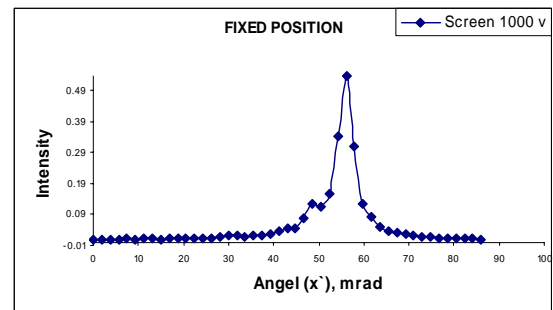


Figure 20: Slice of 3-D plot of H^- beam X emittance data with positive screen voltage (1000 V).

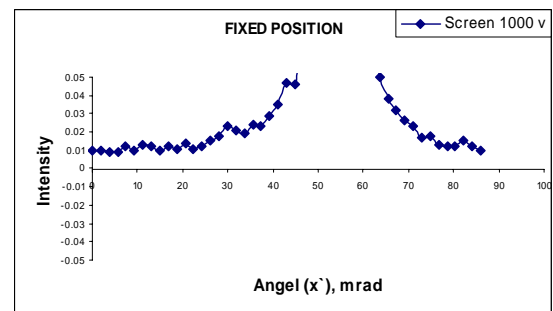


Figure 21: Slice of 3-D plot of H^- beam X emittance data with positive screen voltage (1000 V). (Intensity scale increased)

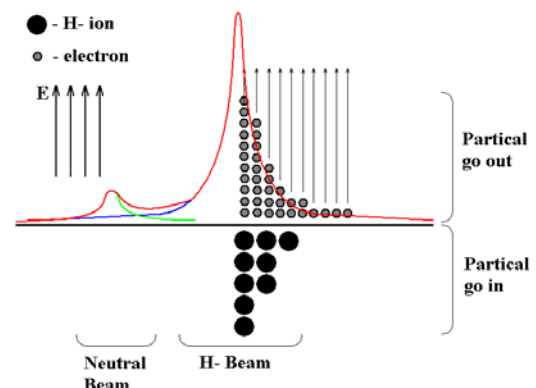


Figure 22: The model of process with positive screen voltages.

Figures 23 and 24 show an increasing positive screen voltage and a similarly growing emittance due to more electrons being removed and accordingly a higher signal produced. Between 50 and 150 V the emittance is essentially flat. When the screen voltage equals 150 V, there are no apparent shoulders or negative holes and accordingly this value for the screen voltage is optimal for collecting data.

Very interestingly, the amplitude signal is asymmetric with the emittance value (clearly in the measurements with a 25 mil probe step as in Fig. 24). This dependence means that the real amplitude is lost in measurements with very wide probe steps. In experiments with 5 mil steps this dependence is smaller. Correspondingly a smaller step size gives a better peak amplitude.

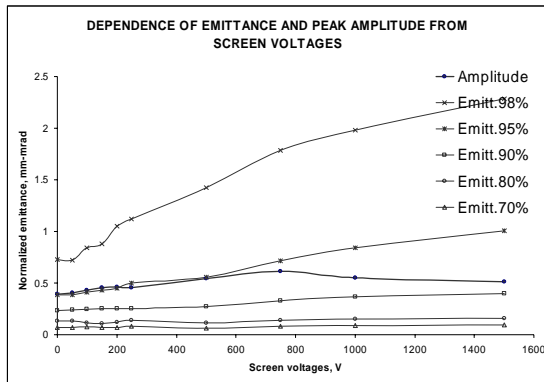


Figure 23: Dependence of emittance and peak amplitude as function of screen voltages (5 mil step).

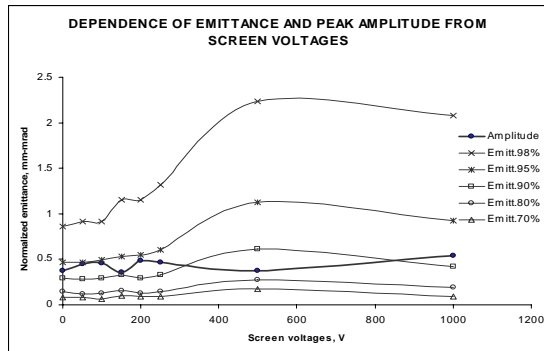


Figure 24: Dependence of emittance and peak amplitude as function of screen voltages (25 mil step).

RMS EMITTANCE CALCULATION

For RMS emittance analyses it is necessary to determine the background parameters very carefully [7].

The first task is to do a histogram analyses (Fig. 25). Current is plotted on the abscissa in arbitrary units (in this case a current is normalized to 100), on the ordinate is the number of measurements. This procedure helps to estimate the global bias for subtraction. The bias means an error in the measurement linked with different zero levels of the amplifiers and data collected with noise. The highest bias is about 0.5, and possible bias is from 0.5 to 4.

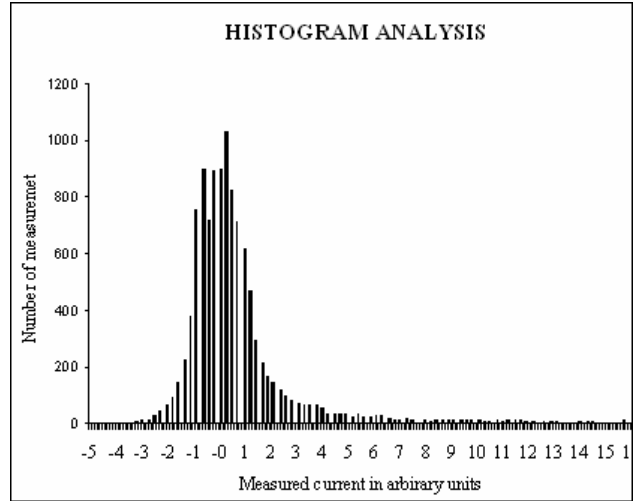


Figure 25: Histogram analyses of raw data for quality emittance estimate

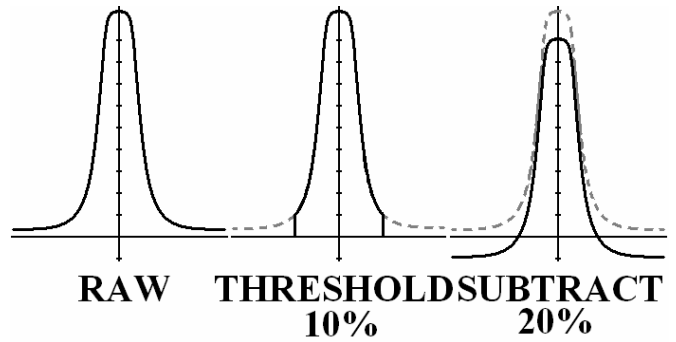


Figure 26: Steps in performing in RMS emittance

Figure 26 shows the different operations with the raw data, thresholding and subtracting bias.

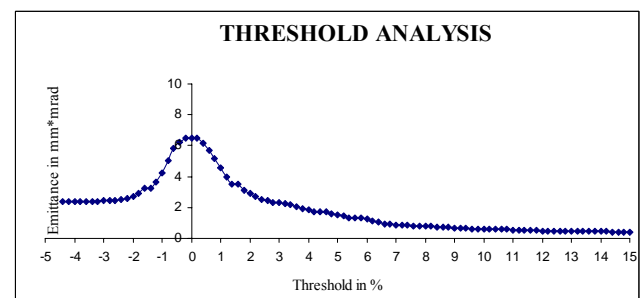


Figure 27: Threshold analysis of raw data for quality emittance estimate

The second task is to make a threshold analyses (Fig. 27). Threshold means cutting off or zeroing all data less than a desired fraction current value (threshold). This procedure can help in understanding the unbiased data. On the abscissa is the threshold in percent of the peak amplitude, on the ordinate is the rms emittance in π mm-mrad. The emittance growth has a threshold from -4 to 0 %, because the quantity of negative numbers decreased. After the zero value of the threshold the emittance goes

down. This means that the obtained data is unbiased, and a zero level is correct.

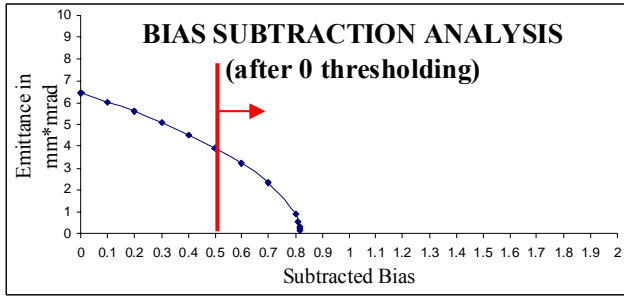


Figure 28: Bias subtraction analysis following 0% threshold of raw data

The next bias subtraction analyses (Fig. 28) shows the sensitivity of this method. After some value (in this case it is 0.82) the emittance will be imaginary and can not be plotted. Using the global bias estimate from the histogram analyses the emittance is less than 4.5π mm-mrad. But there is some questions about the bottom bound.

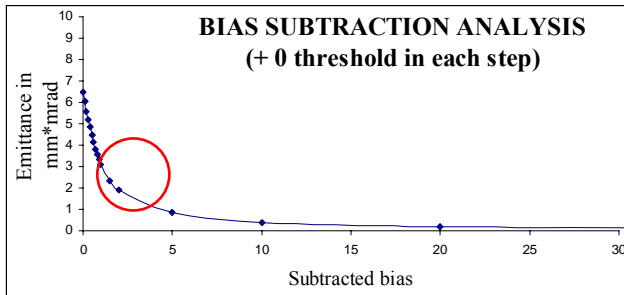


Figure 29: Bias subtraction analysis following after 0% threshold in each step

The last analyses is a bias subtraction analyses with zero threshold in each step (Fig. 29). This type of analyses together with the histogram analyses gives a higher quality estimate. The unnormalized RMS emittance for our beam is $2.5 \pm 1.5 \pi$ mm-mrad.

SUMMARY

We have developed a model for ion-electron emission for a slit emittance scanner. This shows that the emittance is influenced by the screen voltage, but there is a flat region between 50 and 150 V. The optimal screen voltage with which we collect “good” data is about 100-150V.

Also with a large step size the measurement of emittance is not proportional to the measured peak amplitude. The optimal step with which we don’t lose peak data is about 5 mil (1.27 mm).

REFERENCES

- [1] R. Keller “Emittance – Definitions, Procedures and Application”, LANL/GSI, June 26,1985.
- [2] H. Zhang “Ion sources”, Science Press, Springer
- [3] S. Ohnuma “The beam emittance: what we said we would have, what we think we have, and what we hope we could have”, Fermilab, EXP-111, November 28, 1983
- [4] K. Prelec and Th. Sluyters “Formation of Negative Hydrogen Ions in Direct Extraction Sources”, Rev. Sci. Instrum., Vol 44, No10, October 1973.
- [5] D. Moehs, J. Peters, and J. Sherman “Negative Hydrogen Ion Sources for Accelerators”, IEEE Transaction on Plasma Science, vol. 33,no. 6, December 2005.
- [6] C.Schmidt “Proton Ion Sources and Low – Energy Injectors”, Cornell University PHYS 687/487: Selected Topics in Accelerator Technology, November 28, 2000.
- [7]M. Stockli, R.Welton, R. Keller, A. Letchford, R.Thomae, and J. Thomason “Self-consistent, unbiased RMS-emittance estimates for data measured with a single current amplifier”.