

**TITLE: COST-EFFECTIVE METHOD FOR PRODUCING SELF SUPPORTED
PALLADIUM ALLOY MEMBRANES FOR USE IN EFFICIENT PRODUCTION OF
COAL DERIVED HYDROGEN**

QUARTERLY TECHNICAL PROGRESS REPORT

REPORTING PERIOD START DATE: 9/09/03 (PROGRAM START)

REPORTING PERIOD END DATE: 07/30/04

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ABSTRACT

In continuation of efforts from last quarter, processing parameters, used in the formation of Pd-Cu alloy films, were being optimized in a drum (web) coater system with the goal of producing large-area, contiguous, pinhole-free films for H₂ separation membranes. Since the (pre-treatment) functionality of the surface of the plastic backing material is sub-optimal, we tended to produce films in the drum coater that were either not contiguous (disseminates upon release from the polymer backing material) or contain pinholes. Alternative approaches, such as direct deposition onto thermally oxidized silicon wafers, have been attempted to yield pinhole-free films; i.e., formation of a poorly adherent Pd-Cu film on silicon will then directly release from the silicon substrate.

Permeation characteristics of a 25 μm-thick, Pd₆₀Cu₄₀ alloy foil were conducted. After pre-treating the sample to stabilize the FCC β-phase, the hydrogen permeability was determined to be $5.4 \times 10^{-5} \text{ cm}^3 \text{ cm cm}^{-2} \text{ s}^{-1} \text{ cmHg}^{-1/2}$ (In good agreement with the Juda Patent). Thin, 1 - 3 μm-thick Pd-Cu alloy films have been prepared on PS films and samples will be prepared and tested in the next quarter.

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1.0 EXECUTIVE SUMMARY

Refer to abstract.

2.0 EXPERIMENTAL

Pd-Cu alloy Vacuum Deposition – As described in the previous quarterly report, Pd-Cu alloy films were deposited onto both PVA (Solublun) and polystyrene (PS) backing materials using magnetron sputtering and e-beam evaporation. Both magnetron and e-beam Pd-Cu alloy films were deposited onto plastic backing materials in a drum (web) coating configuration. The surface of the plastic was pre-treated in an argon ion beam in order to displace surface-adsorbed moisture and increase surface energy (for improved film wettability).

Pd-Cu alloy films were also magnetron sputtered onto silicon substrates in the drum coater system. Samples were affixed to the drum and held stationary during the deposition process.

H₂ Testing – To enable permeation testing of the membranes at high temperatures (up to 450°C), a high temperature, pure gas transport apparatus has been assembled and feasibility of the apparatus was demonstrated by pre-annealing and testing a 25 μm-thick, commercially available, Pd₆₀Cu₄₀ alloy foil from Wilkinson Company of Post Falls, Idaho. Commercially available Grafoil valve packing material (Figure 1) was used to seal the 25 mm Millipore membrane cell, allowing a 650°C upper use temperature in oxygen-free environments.

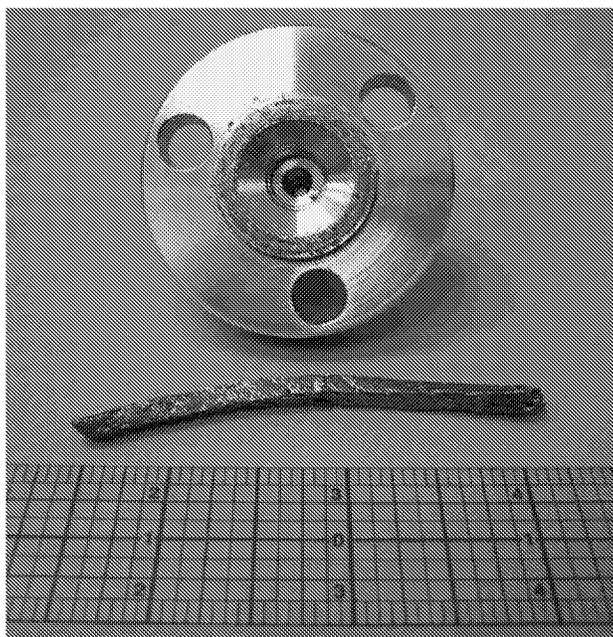


Figure 1. 25 mm Millipore membrane cell with high temperature Grafoil valve packing material used in place of a polymer o-ring. Maximum use temperature of Grafoil material is 650°C.

3.0 RESULTS AND DISCUSSION

3.1 Progress

3.1.1 Pd-Cu Alloy Deposition

Building upon the preliminary results from the previous quarter, we conducted a series of tests using both magnetron sputtering and e-beam evaporation in the drum web coating system. In the case of magnetron sputtering from a 60% Pd, 40% Cu alloy target, films were produced on 25 cm-wide PS and PVA material up to ~2 meter lengths. Although adherent films were produced with very low strain, the films did not remain intact upon release from the backing material; i.e., both films disseminated into small fragments with the Pd-Cu alloy films on PS forming much larger fragments than those on PVA. The fragmentation can be explained by the non-optimized plasma treatment of the plastic surface (functionalization) to increase the surface energy. Also, it is believed the observed differences between the PVA and PS is due to the amount of adsorbed water; i.e., the higher level on PVA creating a more non-wettable surface. Optimization of the surface pre-treatment is in progress and results will be reported next quarter.

In the case of the e-beam evaporated films we reported last quarter, there was the difficulty of forming contiguous alloy layers at deposition rates greater than 5 nm/sec. We therefore, produced pure Cu and pure Pd single layer films in the web coater to demonstrate formation of 25 cm-width, contiguous films. We have subsequently demonstrated the formation of multi-layer (alternating Cu/Pd) films that are contiguous and are in the process of evaluating 25 cm-side, co-evaporated films; results to be presented next quarter.

All Pd-Cu alloy films on plastic to date, have contained some level of defects (pinholes) and until we optimize the pre-treatment conditions to properly functionalize the surface, we will continue to promote formation of these types of defects. Testing will continue next quarter to optimize surface energy (through functionalization) and eliminate surface-adsorbed water.

3.1.2 Pd-Cu Alloy Deposition on Silicon

As an alternative, interim method to address the issue of defects in the Pd-Cu alloy membrane films, films are being deposited onto smooth, thermally oxidized silicon wafers. Particulate and other contaminants can be more readily controlled (i.e., minimized) on a silicon surface in comparison to plastic, and is considerably smoother than plastic. In preliminary experiments using magnetron sputtering, we were able to produce relatively pinhole-free coatings that would easily release from the silicon substrate (poor adherence). Additional tests are in progress to yield larger area samples with no pinholes (i.e., incorporation of clean-room preparation procedures).

3.1.3 H₂ Permeation Testing

Permeation characteristics of a 25 μm-thick, Pd₆₀Cu₄₀ alloy foil were conducted. Prior to measuring the permeation characteristics, the foil was annealed under hydrogen for 5 days at 450°C to facilitate formation of the higher permeability, FCC β-phase, followed by a 1-hour treatment in air at the same temperature. XRD analysis, before and after annealing, clearly indicated that the foil had changed from the α-phase to the β-phase. Pure H₂ permeation tests were carried out with a trans-membrane pressure between 15 and 50 psi, all at 400°C. Figure 2 shows a plot of pure gas permeability versus differential pressure raised to the one-half power. The H₂ permeability was determined to be $5.4 \times 10^{-5} \text{ cm}^3 \text{ cm cm}^{-2} \text{ s}^{-1} \text{ cmHg}^{-1/2}$. This is in good agreement with the Juda Patent (USP 6,238,645). See Figure 3.

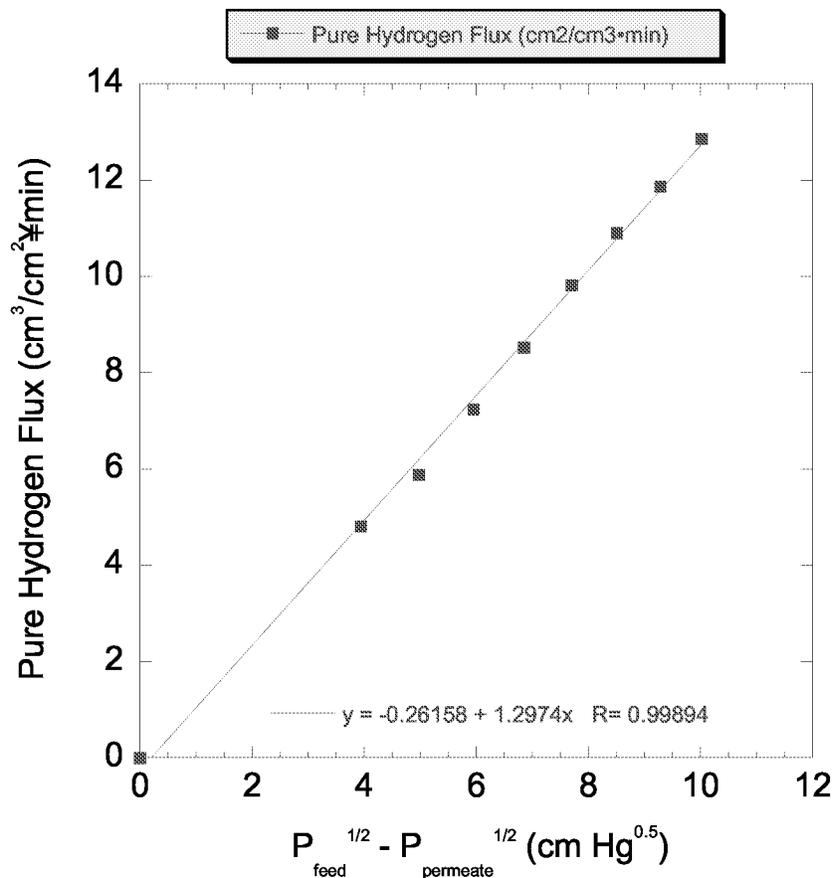


Figure 2. H₂ flux versus differential pressure of 25 μm thick Pd₆₀Cu₄₀ alloy foil at 400°C.

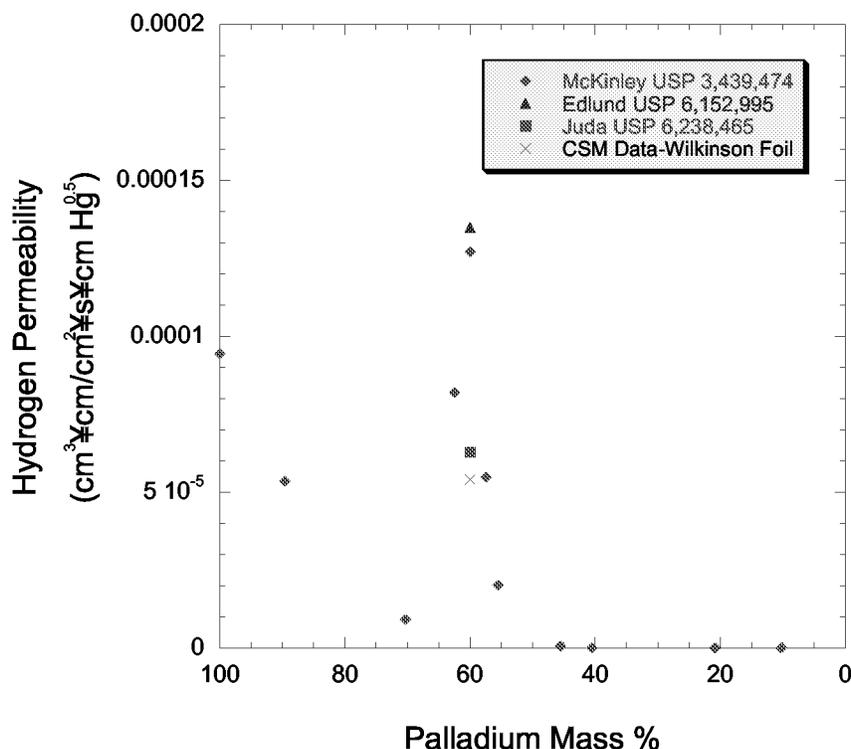


Figure 3. H₂ permeability of Pd₆₀Cu₄₀ foils in the patent literature at 400°C.

3.2 Problems Encountered

With respect to the issue of defects (pinholes) in the membranes, as indicated in the report last quarter, we have implemented parallel development paths to resolve the issue; namely,

- Functionalization of polymer surface as per proposed plan
- Post processing of films (i.e., H₂ annealing/inert sealing material)
- Formation of “poorly adherent” films on atomically smooth, rigid surfaces (as per semiconductor industry practice)
- Use of alternative flexible sacrificial foils, such as aluminum, with incorporated etch stop layers

We have also encountered a problem relating to film damage by the o-ring in the Millipore test cell. As shown in Figure 4, the force exerted on the film by the Viton o-ring pushes the thin metal film into the large pores of the Monel screen support to create a huge leak path. In addition to substituting alternative porous structures for support (i.e., ceramic and stainless steel sponge materials) as one approach to overcome the problem, we are also considering swaging a thin foil, and/or re-flowing a braze alloy, such as Au-Si, into the pores of the Monel screen and in order to provide a smooth sealing surface.

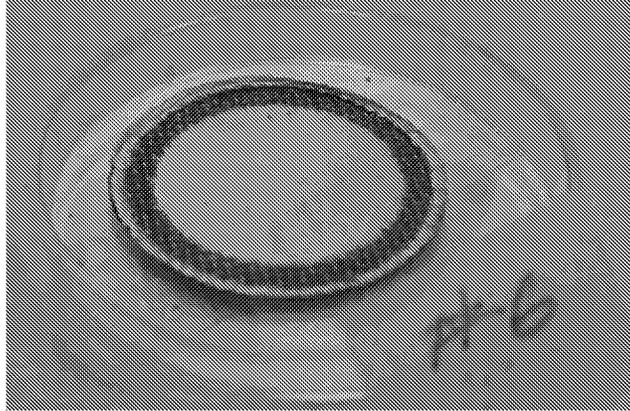


Figure 4. Damage to Cu film caused by pressure from o-ring seal.

3.3 Plans for Next Reporting Period

- Permeation testing with 25 cm², 1-5 mm-thick, Pd-Cu alloy membranes formed on silicon substrates,
- Optimization of Pd-Cu alloy film formation in web coater system (sputtering and e-beam evaporation processes),
- Development of methods and procurement of porous support substrates to eliminate damage to membrane films.

4.0 CONCLUSION

As per the statement of work, we continued developing processing procedures for the formation of 1 - 5 mm-thick, Pd-Cu alloy films on PVA and PS backing materials. Pd-Cu alloy films, up to 25 cm widths have been produced although due to non-optimal functionalization (pre-treatment) of the polymer surface, films are either not contiguous and fall apart during the backing removal process or contain some level of defects. In addition to identifying alternative processing conditions to improve film properties, alternative film fabrication approaches have been identified, such as direct deposition onto silicon wafers with poor adhesion characteristics.

In the H₂ permeation testing of a 25 μm-thick, Pd₆₀Cu₄₀ alloy foil, the permeability was determined to be $3.4 \times 10^{-5} \text{ cm}^3 \text{ cm cm}^{-2} \text{ s}^{-1} \text{ cmHg}^{-1/2}$ (In agreement with results from others); permeation rates should increase proportionately to the decrease in membrane thickness and therefore, we should expect a 10-fold increase in permeation as the film thickness is decreased from 25 μm to < 3 μm as part of this effort.

5.0 REFERENCES

N/A