

Fissile Materials Disposition Program

Plutonium Immobilization Project Baseline Formulation

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1. *Introduction and Summary*

Since 1994 Lawrence Livermore National Laboratory (LLNL), with the help of several other laboratories and university groups, has been the lead laboratory for the Plutonium Immobilization Project (PIP). This involves, among other tasks, the development of a formulation and a fabrication process for a ceramic to be used in the immobilization of excess weapons-usable plutonium.

This report reviews the history of the project as it relates to the development of the ceramic form. It describes the sample test plan for the pyrochlore-rich ceramic formulation that was selected, and it specifies the baseline formulation that has been adopted. It also presents compositional specifications (e.g. precursor compositions and mixing recipes) and other form and process specifications that are linked or potentially linked to the baseline formulation.

The PIP traces its history to the end of the Cold War and agreements between the U.S. and the Russian Federation to reduce their stockpiles of excess fissile material. A study by a National Academy of Sciences committee recommended means for disposition of plutonium, including use in reactor fuel and immobilization in a geological repository. In the U.S., a selection process resulted in the choice of ceramic as the material to be used for the immobilization form. The extensive experience with the Synroc family of nuclear waste forms, together with the high durability of the titanate-based ceramics, led to selection of this type of ceramic for the immobilization of surplus plutonium. Consideration of the composition of the plutonium feed streams and the relative durability of natural analogs led to selection of a pyrochlore-rich Synroc formulation for the ceramic. Early testing in combination with previous experience led to the selection of cold pressing and reactive sintering as the process to be used in fabrication of the ceramic.

An extensive test plan was developed and executed to determine the effects of impurities and processing parameters on the properties of the ceramic, to develop an understanding of the phase equilibria involved, and to produce material for leach testing to provide a basis for repository acceptance. Based on this testing and the programmatic objectives, a baseline formulation was selected and is shown in **Table 1.1**.

Researchers from Lawrence Livermore National Laboratory, the Savannah River Technology Center (SRTC), the Australian Nuclear Science and Technology Organization (ANSTO), Argonne National Laboratory (ANL), and the Pacific Northwest National Laboratory (PNNL) were involved in the development of the ceramic formulation. Supporting calorimetric work was also performed at the University of California at Davis (UCD) and at Brigham Young University (BYU). Thanks to the cooperation and teamwork of this group, a large number of experimental ceramic samples have been fabricated and analyzed, making it possible to define the baseline formulation. This formulation has been shown to have several important attributes:

- Very resistant to chemical dissolution in repository-like environments
- Safe with respect to nuclear criticality in repository degradation analyses

- Able to accommodate PuO_2 feed impurities in the ranges expected
- Amenable to a variety of straight-forward and practical fabrication processes

For all of these reasons, the baseline formulation has been found to be suitable for the mission for which it has been developed.

**Table 1.1 Composition of the
baseline formulation**

Oxide	Weight Percent
CaO	9.488
TiO ₂	37.775
Gd ₂ O ₃	7.580
HfO ₂	11.100
UO ₂	23.286
PuO ₂	10.771
Pu (wt. % of the element)	9.500

2. History and Programmatic Context

The end of the Cold War left the United States and the Russian Federation with large numbers of stockpiled nuclear weapons. A series of arms reduction agreements and unilateral pledges resulted in the reduction of these stockpiles and the classification of large quantities of weapons-usable plutonium and highly enriched uranium as surplus to the needs of national defense. In the U.S., the weapons-usable plutonium is present in a number of chemical and physical forms, having a range of purities and chemical compositions. The more dilute forms of plutonium fall into the category of transuranic (TRU) waste, which is destined for disposal in the Waste Isolation Pilot Plant (WIPP) facility in Carlsbad, New Mexico. The more concentrated forms of plutonium are more attractive from the standpoint of nuclear weapons proliferation, and must be safeguarded. Because of the potential for use of this plutonium in nuclear weapons, as well as its radiological and chemical toxicity and nuclear criticality potential, the more concentrated forms of plutonium cannot be disposed of directly.

In March of 1992, after a briefing by the Committee on International Security and Arms Control (CISAC) of the U.S. National Academy of Sciences, General Brent Scowcroft, the National Security Advisor to President George Bush, asked for a full-scale study of the management and disposition options for plutonium. The Clinton administration confirmed the Committee's mandate in January 1993 [1].

On September 27, 1993, President Clinton announced that "the U.S. will initiate a comprehensive review of long-term options for plutonium disposition, taking into account technical, nonproliferation, environmental, budgetary and economic considerations. Russia and other nations with relevant interests and experience will be invited to participate in this study [2]." On January 24, 1994 Secretary of Energy Hazel O'Leary formed a small cross-cutting project organization within the Department of Energy (DOE) to oversee the U.S. effort on the disposition of excess fissile materials [3]. In October 1994 the Congress established this organization as a permanent office within the DOE by passing the National Defense Authorization Act for Fiscal Year 1995 (P.L.103-335), naming this organization the Office of Fissile Materials Disposition (MD).

Also in early 1994 the CISAC released its report [1] entitled "Management and Disposition of Excess Weapons Plutonium," which became the basis for the DOE-MD plutonium disposition program. The CISAC report identified the most promising disposition options to be (1) the incorporation of plutonium into reactor fuel for use in producing electricity, after which it would become part of the reactor spent fuel stream, and (2) vitrification together with high-level radioactive waste (HLW). Under the Nuclear Waste Policy Act both spent reactor fuel and HLW are destined for disposal in a deep geologic repository. The report also mentioned deep borehole disposal as a less thoroughly studied option. The deep borehole option subsequently was studied at LLNL and was found to be technically viable [4,5]. However, DOE subsequently judged that this option was not politically viable, because it lacked a supportive constituency and would require the siting of boreholes in numerous locations in the U.S., which would likely be unpopular [6].

An important guideline recommended in the CISAC report was that the excess weapons plutonium should be transformed “into a physical form that is at least as inaccessible for weapons use as the much larger and growing stock of plutonium that exists in spent fuel from commercial nuclear reactors [1].” This guideline was called the “spent fuel standard.” The report emphasized that there should be radiological or physical barriers in addition to chemical barriers to the extraction of plutonium from the physical form to be used. The CISAC envisioned that plutonium could be added to the Defense High Level Waste (DHLW) glass already in production at the Defense Waste Processing Facility (DWPF) at Savannah River. The gamma radiation from the fission products (notably cesium-137) in this glass could then serve as a radiation barrier to deter theft and extraction of the plutonium. Although the process for incorporating the majority of the cesium-137 into the HLW glass was not yet operational, it was anticipated that it would become operational in time to meet the schedule for disposition of the plutonium.

DOE-MD later slightly modified the definition of the spent fuel standard to read “The surplus weapons-usable plutonium should be made as inaccessible and unattractive for weapons use as the much larger and growing quantity of plutonium that exists in spent nuclear fuel from commercial power reactors [7].” DOE-MD also expanded the term “vitrification” by changing it to “immobilization,” recognizing that other immobilization forms beside glass should be considered.

LLNL was named by DOE-MD as the lead laboratory for development of the technologies to immobilize excess weapons-usable plutonium. Other laboratories, mainly SRTC, ANL, and PNNL, were also assigned roles in the immobilization program. The ANSTO was also involved in the program through contracts with LLNL.

In March 1995 President Clinton announced that approximately 50 metric tonnes (MT) of plutonium, including about 38 MT of weapons-grade material, was considered surplus to U.S. defense needs.

One of the early steps performed by LLNL (in 1995) was a screening study of waste forms previously considered for immobilizing high level radioactive waste, which technically is a similar problem [8]. This screening process resulted in the selection of glasses (more specifically boro-silicate glasses), as originally envisioned by the CISAC, and also crystalline ceramics (more specifically Synroc’s) as the two classes of materials that would best exhibit the desirable characteristics of a plutonium immobilization form. The characteristics considered include the following:

- a. absence of materials proscribed by the Nuclear Regulatory Commission regulation 10CFR60 for waste forms to be emplaced in a geologic repository (organic materials, free liquids, explosive, pyrophoric, or combustible materials)
- b. absence of materials classified by the Environmental Protection Agency in regulations 40CFR261.30 through 40CFR261.33 as chemically hazardous materials
- c. high solid solubility of actinides in the immobilization form (to effectively bind them while minimizing the total amount of the immobilization form to minimize cost)

- d. high solid solubility of neutron absorbers (to adequately protect against nuclear criticality)
- e. ability to incorporate cesium-137 (This was important for the internal radiation barrier option, discussed later.)
- f. ability to incorporate plutonium oxide feed material into the immobilization form without a significant amount of non-reacted material, using a practical fabrication process and minimizing the necessity to reduce the particle size into the respirable range
- g. tolerance to the impurities in the existing weapons-grade plutonium feed streams without significantly affecting the durability or processability
- h. easy, safe and reliable handling and processing in a glovebox facility, with an experience base to support this assessment
- i. amenability to development of process controls and control models
- j. easy material control and accountability of the plutonium
- k. no adverse effect on the immobilization form from the heating and cooling involved in pouring molten DHLW glass around it (This became important because of the can-in-canister option, described later.)
- l. no adverse effect on the performance of the DHLW glass in the case of options in which the immobilized plutonium would be associated with this glass
- m. durability in a geologic repository environment at least as high as that of borosilicate glass and spent reactor fuels, which are the intended radioactive waste forms to be emplaced, taking into account expected temperatures, radiation damage, and aqueous corrosion
- n. existence of naturally occurring mineral analogues of the form to help in assessing long-term durability
- o. difficult extraction of plutonium for reuse in weapons (to promote nonproliferation goals as well as to assure Russia of serious U.S. intent to remove the plutonium from weapons use)
- p. high cost effectiveness
- q. compliance with environmental, safety, and health requirements
- r. ability to foster cooperation with Russia and other countries
- s. high public and institutional acceptance
- t. ability to be developed in a short time

LLNL and ANSTO engaged in the development of a ceramic immobilization form, based on their prior experience in the development of SYNROC-C [9] and SYNROC-D [10] and on ongoing work on a related mixed waste ceramic [11]. The other partner laboratories, ANL, PNNL, and SRTC, were directed to focus their attention on the development of glass immobilization forms, based on their corresponding experience and capabilities in this field.

As analyses proceeded, it became clear that there would be significant problems in mixing plutonium into the DHLW glass. The DHLW glass formulation had not been designed to incorporate plutonium. It was not clear that plutonium would dissolve fast enough in this glass at the processing temperature in use (1150°C), or that it would be sufficiently soluble in the glass. The boron neutron absorber would be much more soluble in repository water than would the plutonium, raising doubts about long-term criticality safety. The DWPF facility also had not

been designed for plutonium processing, in terms of criticality control (particularly in the large melter in use there) and materials control and accountability. Contamination control would be more difficult with the addition of plutonium, a significant alpha emitter. From a programmatic point of view, the plant was already in production accomplishing the mission of vitrifying DHLW, and changes would be disruptive.

In view of these considerations, other alternatives were explored, including the internal radiation barrier and the external radiation barrier concepts, the latter also known as the can-in-canister concept. The internal barrier scheme involved mixing cesium-137 (from Hanford) into the plutonium immobilization form to serve as a gamma radiation barrier to deter extraction and reuse of the plutonium. Accordingly, this was also called the homogeneous form. The can-in-canister concept involved production of the plutonium immobilization form in a separate facility from the DWPF, without added gamma emitters. This form was thus called the heterogeneous form. In this scheme, the form would be placed in relatively small sealed cans, the external surfaces of which would be uncontaminated. These cans in turn would be mounted on racks inside the empty DWPF canisters, and the molten DHLW glass from the DWPF would then be poured into the canisters and would encapsulate the cans of immobilized plutonium. In this way, the problems listed above would be circumvented, while the gamma-ray emission, physical size, and encapsulation available from the canisters of DHLW glass could still be used to meet the "spent fuel standard." After comparison of the advantages and disadvantages, the can-in-canister concept was shown to be superior on the basis of timeliness, higher technical viability, much lower costs, and to a lesser extent, lower environmental and health risks. The can-in-canister concept could be accomplished using a glove-box facility, whereas the homogeneous concept (using cesium-137) would need a hot cell facility. Fabrication of a plutonium immobilization form would be much simpler if the volatile cesium did not have to be incorporated into it during the fabrication process that occurred at high temperatures.

The CISAC [1] had declared that the existence of the surplus fissile material "constitutes a clear and present danger to national and international security." In response, DOE-MD established an urgent program schedule for the disposition of excess weapons plutonium. This tight schedule did not permit the developers of the immobilization forms to be given the charter, the time or the resources to study additional alternatives or different ceramic or glass formulations and arrive at an optimum choice. Instead, they were constrained to draw upon previous experience and choose what appeared to be the best of the alternative formulations already studied for other purposes. In the case of ceramics, the most developed mineral assemblages from past nuclear waste research and development was the titanate-based SYNROC series of ceramics. Van Konynenburg [12] and Oversby [13] had each independently suggested the use of a titanate mineral assemblage for U.S. plutonium immobilization in February 1994.

SYNROC (which is an abbreviation for SYNthetic ROCK) had been conceived by Ringwood [14]. Development had been carried out by he and his collaborators and later by the staff of the Australian Nuclear Science and Technology Organization (ANSTO) [15,16]. As mentioned above, LLNL had obtained experience with these formulations from its earlier work on SYNROC-D. The various types of SYNROC that have been developed, including those developed by the Plutonium Immobilization Program, are shown in **Table 2.1** [9,10,17-22].

Table 2.1 Summary of SYNROC and related variants

Form Name	Mineralogy*	“Waste” Loading	Fabrication Process
SYNROC-A	40% Ba-feldspar, 30% hollandite, 20% perovskite, 10% zirconia, kalsilite, and/or leucite	10% HLW	Melting and Crystallizing 1330°C
SYNROC-B	40% hollandite, 35% zirconolite, 25% perovskite,	None	Hot Pressing 1200-1400°C
SYNROC-C	33% hollandite, 28% zirconolite, 19% perovskite, 15% rutile, 5% noble metal alloy	20% HLW	Hot Pressing 1150°C
SYNROC-D	46% spinel solid solution, 19% zirconolite, 17% nepheline, 15% perovskite, 3% hollandite	63% HLW sludge	Hot Pressing 1050-1100°C
SYNROC-E	79% rutile, 7% zirconolite, 7% perovskite, 5% hollandite, 2% pyrochlore	7% HLW	Hot Pressing 1300°C
SYNROC-F	90% pyrochlore, 5% hollandite, 5% rutile	50% U-rich HLW	Hot Pressing 1250°C
SYNROC-FA	89% pyrochlore, 8% perovskite, 3% uraninite	50% U-rich HLW	Cold Pressing and Sintering 1250-1400°C
Mixed Waste Ceramic	36% nepheline, 31% spinel solid solution, 12% zirconolite, 12% perovskite, 5% rutile, 4% whitlockite	40% residue	Cold Pressing and Sintering 1150-1200°C
Pu Ceramic Zirconolite-rich	80% zirconolite (with some pyrochlore), 10% hollandite, 10% rutile, <1% PuO ₂	12% Pu	Cold Pressing and Sintering 1325-1400°C
Pu Ceramic Pyrochlore-rich	85% pyrochlore, 10% brannerite, 5% rutile, <1% uraninite solid solution	10% Pu and 21% U	Cold Pressing and Sintering 1275-1400°C

*All percents are given in weight percent.

Of all the SYNROC formulations, SYNROC-C was by far the most studied and the most developed. The strategy of SYNROC is to immobilize the radioactive isotopes of HLW in a mixture of minerals that all have natural analogs in nature that

- have survived for periods exceeding 20 million years in a wide variety of geochemical environments
- have crystal chemical properties that allow them to accept a wide range of elements into their crystalline matrix

- are thermodynamically stable together

There is a wide range of minerals that meet these three criteria. Titanate-rich minerals were selected by Ringwood because they not only meet the above criteria, but are based on one of the most insoluble oxides known, namely TiO_2 .

Actinides, which represent some of the components of HLW, are easily accommodated into SYNROC. Consequently, SYNROC was also an attractive candidate for the more specific problem of immobilizing excess plutonium. Although a very limited amount of work had been carried out on alternative mineral phases for plutonium immobilization, LLNL focused early on the titanate-based ceramics because of their prior successful history. LLNL entered into a contract with ANSTO for assistance with titanate ceramic development and to benefit from ANSTO's extensive experience and expertise.

Over the course of fiscal years 1995 through 1997, development was carried out on both the ceramic and glass candidate immobilization forms, including formulation, processing, some property measurements including thermal stability and corrosion behavior, preconceptual plant design, and nonproliferation evaluation of the can-in-canister concept. The ceramic formulation effort was led by Ebbinghaus, who with coworkers summarized the status of the ceramic work in December 1995 [23]. Initially he focused the work on a formulation based on the mineral zirconolite, because of its successful use as a durable actinide host in SYNROC. On April 8, 1997, during a video conference with ANSTO, LLNL, and SRTC, Ebbinghaus redirected the effort to focus the work on a pyrochlore-based baseline ceramic formulation.

Beginning in June 1997, data from the development work on both glasses and ceramics were collected and submitted to a Technical Evaluation Panel (TEP) made up of representatives of the laboratories working on the immobilization forms. The panel was also given a report projecting the expected radiation effects in the plutonium immobilization ceramic [24]. The panel evaluated and compared the data for glasses and ceramics using several agreed-upon criteria, and on August 8, 1997 the panel issued its draft report. The final version of this report was published later [25]. Based on the TEP draft report and on weighting factors provided by DOE-MD, LLNL immobilization project management performed an assessment of the alternative forms and attributes against the weighted criteria and generated a decision report that recommended selecting of the ceramic form for the immobilization project [26]. A peer review panel made up of independent experts (Matthew Bunn, Donald Langmuir, Ronald Loehman, David Stahl, and Alan Williams) was convened to examine the available data and to review this recommendation. On August 21, 1997 the peer review panel report concluded that "the LLNL recommendation of the ceramic form is adequately supported by the information presented [27]." On August 27, 1997, LLNL transmitted to DOE-MD a formal recommendation of the ceramic form, including the two evaluation reports and the peer review panel's letter [28]. On September 25, 1997, Howard Canter, then the Acting Director of DOE-MD, announced his approval of the LLNL recommendation to select the ceramic form, and directed LLNL "to focus immobilization efforts on the ceramic form using the preferred can-in-canister approach." Canter particularly highlighted the advantages of the ceramic form in the areas of proliferation resistance, potential worker dose, and cost effectiveness [29].

With the new focus, the roles of the other U.S. laboratories were modified to match their capabilities and experience to the tasks within the expanded ceramic development effort.

3. *Form Development Strategy*

To complete the development of the ceramic formulation, an extensive testing program was undertaken. This testing covered a range of compositional and processing variables that are discussed later in this report. In order for a testing program to be implemented, certain assumptions were made concerning the characteristics of the plutonium feed streams, the relevant requirements for the HLW repository, and the selection of the formulation and process.

3.1 Plutonium Feed Assumptions

According to DOE plans, the Materials Disposition Program, which is now identified as NN-60, will receive fissile materials packaged by facilities operated for the Offices of Defense Programs (DP), Environmental Management (EM), and Nuclear Energy (NE). The compositions, forms, and storage packages of surplus plutonium-bearing materials throughout the complex are not well defined. The majority of the separated plutonium that is not in nuclear weapons components is housed in the production plants -- Rocky Flats, Hanford, and Savannah River -- under conditions that are not acceptable for long term storage. These materials will require repackaging, and some will require stabilization or minimal processing to allow safe storage until disposition is complete. Until 1994, complex-wide directives that applied to plutonium storage, including safety and safeguards orders, were general in nature. Therefore, plutonium storage practices varied considerably among the sites. In order to stabilize these materials for long term storage, new standard stabilization, packaging, and surveillance requirements are in the process being implemented at all of the DOE sites [30].

3.1.1 Feed Material Categories

Chemical data for the plutonium feedstocks targeted for disposition vary in completeness. A summary of the best available data by material type is given in **Table 3.1**. Overall about 13 metric tonnes of Pu are planned to be dispositioned by immobilization. In addition, there are about 7 metric tonnes of other actinides and about 5 metric tonnes of other impurities. The potential feed materials have been organized into six different groups of material. They are defined as follows:

- Group I: Materials with purity far exceeding what is required for immobilization.
- Group IIa: Materials with relatively low impurity levels that can be blended easily into acceptable feed stocks for immobilization.
- Group IIb: Materials with higher levels of impurities that require some treatment before blending to remove or deplete the impurities of concern. These materials will be handled in the Plutonium Conversion Section of the Plutonium Immobilization Plant. They include the “chloride oxides” being stored at Rocky Flats and at Hanford.
- Group IIIa: Materials previously identified by internal DOE studies as requiring processing in the SRS canyon (aqueous dissolution and re-precipitation). These materials include fluoride materials and scrub alloy at Rocky Flats as well as sand, slag and

crucible materials at both Rocky Flats and Hanford. (After processing at Savannah River, these Group IIIa materials would move into Group I.)

- Group IIIb. Salt residues from molten salt processing. These have been previously identified as needing removal of the chloride salts for stabilization purposes. (After removal of about 75% of spent chloride salts, this material would meet the description of Group IIa.)
- Group IIIc. There is also a group of materials that have plutonium contents as low as 5 to 10 wt%. These materials will likely be disposed of as transuranic waste at the Waste Isolation Pilot Plant in Carlsbad, New Mexico.

Currently only group I, IIa, and IIb materials will be dispositioned in the Plutonium Immobilization Facility. The portion of plutonium that is in metallic form will be converted to oxide before feeding to the ceramic immobilization process. The group IIIa, IIIb, and IIIc materials will either be purified so that the Plutonium Immobilization Facility can accept them or they will be disposed of as transuranic waste. A total of about 3 metric tonnes of plutonium are present in groups IIIa, IIIb, and IIIc.

Table 3.1. Actinide and estimated impurity contents of Pu feed materials [31]

Category	Sub-Category	Group	Pu (kg)	DU (kg)	NU (kg)	LEU (kg)	EU (kg)	Np (kg)	Am (kg)	Other Impurities (kg)
Plutonium oxide	Short calcine oxide	I	1928							~25
	Long calcine oxide	IIa	2917	3					0.75	~1500
	Chloride wash oxide	IIb	378						0.1	~1000
U/Pu oxide		IIa	859	2859	332		1000			~350
Impure oxide		IIa	1989		1					~1500
Plutonium metal		I	3483					0.5	0.06	~30
Alloys		IIa	269	25	3					~120
Oxide Reactor Fuel		IIa	745	2790						~35
Totals			12566	5677	338	0	1000	0.5	0.9	~4700

DU = Depleted Uranium (~0.2% ²³⁵U), NU = Natural Uranium (~0.7% ²³⁵U), LEU = Low Enriched Uranium (~4% ²³⁵U), EU = Enriched Uranium (~93% ²³⁵U).

3.1.2 Impurities and Isotopics

The isotopic composition of the excess plutonium feed stocks varies from 3% ²⁴⁰Pu to about 40% ²⁴⁰Pu. The plutonium assay in the candidate materials varies from less than 10 wt% to over 99 wt%. The last date of purification of these materials varies from the mid 1960s to the mid 1980s.

Therefore, the ^{241}Am content varies from as little as 200 ppm for the recently purified materials to as much as 20 wt % for some of the older reactor grade or americium-enriched materials. The uranium content varies from trace depleted uranium in the plutonium to trace plutonium in fully enriched (93% ^{235}U) uranium. The best available data to date on the isotopics of the Pu feed materials are given in **Table 3.2**. Isotopics are valid for the date given in the table.

Table 3.2. Average isotopics for various Pu feed materials [31]

Pu Grade	Pu Mass (kg)	^{238}Pu (wt %)	^{239}Pu (wt %)	^{240}Pu (wt %)	^{241}Pu (wt %)	^{242}Pu (wt %)	Date
$^{240}\text{Pu} \leq 4\%$	45		97.00	3.00			1980
$4\% \leq ^{240}\text{Pu} \leq 7\%$	8815	0.012	93.76	5.78	0.400	0.05	1985
$7\% \leq ^{240}\text{Pu} \leq 10\%$	236	0.05	89.8	9.0	1.02	0.13	1965
$10\% \leq ^{240}\text{Pu} \leq 13\%$	1584	0.1	86.1	12.0	1.6	0.2	1965
$13\% \leq ^{240}\text{Pu} \leq 16\%$	251	0.4	79.4	15.0	4.3	0.9	1965
$16\% \leq ^{240}\text{Pu} \leq 19\%$	1368	0.7	72.6	18.0	7.0	1.7	1965
$19\% \leq ^{240}\text{Pu}$	262	1.3	60.3	23.5	12.0	3.0	1965
^{238}Pu	5	80	20				1965

Based on the types of feed materials expected, an average and an extreme impurity composition for the PuO_2 feed has been estimated. These estimated compositions are given in **Table 3.3**. These compositions were calculated from data summaries provided by Riley [32]. These are the best estimates of the impurities that are currently available and they are based on the 17 MT immobilization case and not the 13 MT immobilization case. “Average Feed” is the estimated overall composition if all the feeds were combined into a single batch. The averages given in **Table 3.3** total a little greater than 100% because impurity compositions are only known for a small fraction of the feeds whereas as the actinide content is known for all of the feeds. The fact that the actinide plus impurity content exceeds greater than 100% indicates that the average impurity compositions given in **Table 3.3** are probably greater than the real case. “Max. All Feeds” is the maximum concentration of an impurity in a single container. The maximums given in **Table 3.3** total much greater than 100% because the maximum for each element generally occurs in different feed containers.

In general, the impurities in the existing feed stocks include the following elements: aluminum, carbon, calcium, chlorine, iron, fluorine, gallium, potassium, magnesium, molybdenum, sodium, silicon, tantalum, uranium and tungsten. With the exceptions of some volatile oxides (e.g. MoO_3) and some oxides that form low melting phases (e.g. BaO and SiO_2), fairly high levels of all the impurities listed in **Table 3.3** are tolerated by the immobilization form. Feed blending is required for the more extreme cases to decrease impurity concentrations to values closer to the average. Before the feeds are blended and then immobilized, high levels of volatile materials should be removed or depleted, and high halogen contents should also be removed or depleted.

Table 3.3. Average and extreme impurity contents in the PuO₂ Feed

	Average Feed	Max. All Feeds			Average Feed	Max. All Feeds
Impurity	(wt %)	(wt %)		Impurity	(wt %)	(wt %)
Al	1.58	7.94		Mo	0.50	2.39
Am	1.74	4.00		Na	0.71	2.36
B	0.13	0.77		Ni	0.28	2.67
Ba	0.53	4.48		Nd	1.03	12.57
Be	0.00	0.00		Np	0.41	3.20
C	1.99	40.68		P	0.01	0.69
Ca	2.14	48.34		Pb	1.98	10.65
Cd	0.00	0.00		Si	1.70	26.38
Ce	0.62	2.16		Sn	0.02	0.23
Cl	1.76	12.37		Ta	0.27	6.07
Cr	0.14	1.37		Th	0.00	0.01
Cu	0.43	2.67		Ti	0.07	2.07
F	2.06	29.94		U	20.92	68.39
Fe	0.60	5.66		V	0.00	0.00
Ga	1.19	9.55		W	0.06	2.16
Gd	0.11	0.59		Zn	0.20	2.25
Hf	0.83	0.63		Zr	0.00	0.02
Hg	0.00	0.00				
K	0.77	7.95		Pu	63.13	134.18
La	0.06	1.71		O	11.74	52.13
Mg	1.08	22.49				
Mn	0.00	0.07		Total	120.79	531.79

3.2 Repository Considerations

The immobilization form must provide adequate performance in a geologic repository and be capable of qualification for acceptance by a repository. This implies that the form must:

- Incorporate sufficient neutron absorber(s) to assure long-term criticality safety;
- Be sufficiently durable under disposal conditions;
- Not have a deleterious effect on the repository performance of the surrounding vitrified HLW (assuming the can-in-canister disposition alternative);
- Withstand the thermal cycle associated with the HLW canister cool-down with no adverse effects on performance or the capability to qualify the waste;
- Meet the other applicable requirements for a waste form in a geologic repository as specified by regulations and repository acceptance documents.

3.2.1 Criticality Safety and Durability

If arranged in a suitable configuration, the quantity of Pu in a HLW canister of the current can-in-canister design is more than sufficient for nuclear criticality to occur under certain assumed repository conditions. It is therefore necessary to prevent this by the addition of suitable neutron absorbers. Ideally, one would choose a neutron absorber that has chemical characteristics identical to those of Pu so that ceramic degradation and transport processes will not separate the Pu and absorber from one another. Unfortunately, such a material does not exist. We can, however, choose to incorporate neutron absorbers that are known to be relatively insoluble in groundwater, and will therefore remain in the waste package with the Pu, or its principle daughter ^{235}U , as the ceramic eventually degrades.

In the long term, of course, the Pu will totally decay, primarily to the fissile nuclide ^{235}U . In the case of uranium, it is possible to add the non-fissile ^{238}U to isotopically “dilute” the ^{235}U . The current ceramic formulation does involve addition of depleted or natural U. Although it is not economically feasible to add sufficient ^{238}U to preclude criticality, the added uranium does assist in making far-field criticality even less likely by increasing the quantity of uranium that must be transported and then reprecipitated in a configuration free from the added neutron absorbers. Should further protection be required for the far-field criticality case, it would be feasible to add additional depleted U in the vicinity of the canister during emplacement.

As fabricated, the proposed ceramic formulation is criticality safe in any configuration, even when fully moderated. As long as the form stays intact, criticality safety is assured. It is only when the form begins to degrade by contact with groundwater in a repository that there might be a question of criticality safety. The rate and mechanism by which the ceramic degrades are thus intimately related to the question of long-term criticality safety. Obviously, the more durable the material, the longer one can be assured of criticality safety. However, there are no simple measures of “sufficiency” for either neutron absorber content or ceramic degradation rate. The envelope of acceptable composition and performance can only be determined by analyses that examine the potential consequence of various credible degradation scenarios of the immobilization form. DOE-RW and its contractors are performing such analyses. Their latest series of criticality analyses were conducted using a ceramic composition and Pu loading consistent with those given in this report. [33] The relative degradation rates of the ceramic, HLW glass, and container were varied independently, within realistic limits. They found no physically realizable scenarios in which a nuclear criticality was found to be possible within the waste packages.

3.2.2 Compatibility with High Level Waste

Both the ceramic form and the associated hardware used to contain it in the can-in-canister configuration must be compatible with the vitrified HLW and its canister. Here, compatibility is taken to mean that the can-in-canister materials do not impede the pour of the HLW glass melt into the canister, that they do not react with the melt/glass, and that they do not have a deleterious effect on the performance of the HLW glass under repository conditions. Conversely, the ceramic must be chosen such that the glass does not have a deleterious effect on the performance of the ceramic.

Tests are being conducted within the D&T program [34] to demonstrate that such undesirable interactions do not occur. Testing to date indicates that the ceramic degrades at a rate so much slower than the glass that it can be considered inert from the standpoint of the glass. Similarly, it is not expected that the degradation of the glass will affect the degradation rate of the ceramic. It is possible, however, that colloidal material produced by the alteration of the HLW glass could provide a transport mechanism within the repository for surface-active species such as Pu. Such an interaction, however, would exist for any waste form chosen for Pu disposition in the can-in-canister configuration.

In addition to chemical considerations, the ceramic form must be capable of withstanding the thermal cycle associated with the HLW canister cool-down with no adverse effects on performance or the capability to qualify the waste. Thermal cycling tests conducted with early versions of the current ceramic formulation indicate that no detectable changes occur in mineralogy, chemistry, or grain size of ceramics subjected to simulated cooling histories. Some cracking of the pucks due to thermal shock may occur. The extent to which this occurs may need to be quantified and reported to the repository, as fracturing will change the exposed surface area of the ceramic. Early test results of actual glass pours into canisters containing the ceramic waste form indicate that this cracking does occur as expected, but that it is well within acceptable limits for increasing the surface area.

3.2.3 Other Repository Requirements

The current NRC regulation governing the licensing of a high-level nuclear waste repository is 10CFR60¹. This regulation specifies certain requirements on repository subsystems, including several design requirements that pertain specifically to the contents of the waste packages (including the waste forms). These requirements are that, to the extent that they might compromise the ability of the disposal system to isolate waste, the waste package cannot contain organic materials, free liquids, or explosive, pyrophoric or combustible materials. The ceramic proposed for Pu disposition will easily meet these requirements.

In addition to the regulatory requirements of Part 60, the repository program also requires that any waste form be free of hazardous materials. Specifically, the Producer must determine and report to DOE-EM and DOE-RW the presence or absence of any hazardous waste listed in 40CFR261.31 through 40CFR261.33, in the waste. Any RCRA-listed component in the waste requires the Producer to petition EPA and receive exemption to de-list the waste.

The Producer must also perform the appropriate tests and procedures, as described in 40CFR261.20 through 40CFR261.24, using samples from production runs or prototypical specimens to determine if the immobilized form that will be received by the repository has

¹ The NRC is currently in the process of revising Part 60, and is expected to promulgate new regulations (10-CFR-63) that will supersede Part 60 for the case of licensing a repository at Yucca Mountain. The draft of Part 63, which is currently under review, does not include the subsystem specifications and requirements present in Part 60. Requirements are only placed on the system as a whole. Although the regulatory basis for the waste package design requirements may disappear, they are reasonable, and will probably be maintained as requirements imposed by the repository program itself.

hazardous characteristics. Any waste that is shown to have hazardous characteristics must be treated to remove such characteristics.

3.3 Selection of the Form and Process

Development of the ceramic formulation is dependent, at least in part, on the fabrication process that is used. For example, an important product property, namely the phase assemblage, is determined primarily by the formulation used. However, the fabrication process (e.g., the sintering temperature and atmosphere) also affects the phase assemblage. For this and other similar reasons, it was therefore necessary to develop the formulation and fabrication process of the ceramic in parallel.

3.3.1 Selection of the Formulation

As noted earlier, the program schedule and the charter assigned to LLNL by DOE-MD (now identified as NN-60) did not permit extensive study of alternative phase assemblages for the plutonium immobilization ceramic. Nevertheless, a few samples of alternative mineral compositions were prepared by mixing oxide precursors with cerium (used as a surrogate for plutonium) and uranium oxides, then cold pressing and sintering. The samples were characterized by x-ray diffraction and scanning electron microscopy with energy dispersive x-ray analysis (SEM-EDS). These included samples of zircon, zirconia, and monazite. Note that these materials are representative of materials under investigation at other research sites on an independent basis for similar applications. As an example, Zircon was being championed by William Weber, Rodney Ewing and Werner Lutze in the U.S. [35], and by Boris Burakov, Evgeny Anderson, and others at the Khlopin Radium Institute (KRI) in St. Petersburg, Russia [36]. Zirconia was also part of the KRI-proposed assemblage, and in addition was proposed as the basis for a once-through, disposable reactor fuel for burning plutonium [37]. Monazite had been studied earlier by Lynn Boatner and others at Oak Ridge National Laboratory (ORNL) as a potential waste form for high level waste [38]. All of these immobilization forms have the capability to incorporate significant amounts of plutonium and offer high durability in geologic environments.

Zircon was of interest because of the large number of natural analogs in nature. Zircons are some of the oldest known minerals on earth, some of which have survived for periods in excess of 1 billion years. In these studies, it was found that zircon was more difficult to fabricate than the titanate minerals of zirconolite and pyrochlore. A higher fabrication temperature was necessary for reactive sintering, and even then, the reaction of the precursor materials was not complete. Seed crystals of zircon were used to facilitate sintering, but little improvement was observed. More elaborate fabrication methods, such as the alkoxide-nitrate fabrication process, were not pursued. This preliminary work found that the straightforward cold pressing and sintering process that is effective for the chosen titanate-based ceramic would not work for zircon. While more exotic processing approaches could be developed, it was not felt that the Pu immobilization mission justified the added development expense and uncertainty coupled with a potentially more expensive production processing requirement which would be required to bring zircon to the same readiness state. Whereas, a very reliable and straightforward production process had already been developed and demonstrated for the titanate-based ceramics.

Preparation of well reacted zirconia was achieved at temperatures as low as 1350°C, but the degree of densification was low compared to the titanate-based ceramics. It was not necessary to charge-balance the 3+ lanthanide with a 5+ element in order to achieve a well reacted product. Zirconia in the cubic structure was expected to be very resistant to radiation damage because it is the same structure as uraninite which does not become metamict, even in a nuclear reactor [39-41]. Zirconia appears to be a good host phase for pure plutonium oxide, but higher sintering temperatures would be required in order to achieve low porosity in the product. For impure plutonium oxide, however, little is known about the relative stability of this phase and the accessory phases that would form. Natural analogs of zirconia do exist in nature, but they are very rare. As a result, the behavior of this mineral over geologic time periods is not well understood.

Preparation of well reacted monazite was also achieved at temperatures as low as 1350°C, but again the densification was not complete. Like zirconia, monazite could be an acceptable host phase for plutonium oxide if higher sintering temperatures were used. A number of impurities can be accommodated into the monazite phase, but much more is known about incorporation of impurities into zirconolites and pyrochlores. Unlike zirconia, there are plenty of monazites in nature which have survived over geologic time periods.

Based upon the small amount of work performed on these alternative host phases, none of them appeared to offer an overall advantage over the titanate-based phases, which had already received considerably more study in connection with high-level nuclear waste programs. This reinforced the idea that titanate-based ceramics were the best form for the immobilization of excess weapons plutonium, although one can always presume that the immobilization form could be improved or optimized with additional research, time, and money.

In the development of the titanate-based mineral form, Ebbinghaus initially selected a formulation consisting primarily of the mineral zirconolite (80 weight percent), with smaller amounts of barium hollandite (15 weight percent) and rutile (5 weight percent) [23]. In choosing this formulation, Ebbinghaus adapted the composition of SYNROC-C to the task of plutonium immobilization. He selected zirconolite as the host mineral for plutonium and gadolinium because of its ability to incorporate them into its crystal structure in large amounts (based on previous work by ANU and ANSTO), and because of its high durability. He selected barium hollandite to serve as the host for cesium, as in SYNROC-C, for the internal radiation barrier concept. For the external barrier case (heterogeneous, or can-in-canister), the cesium could be left out of the formulation. The rutile was present as a chemical buffer, to help prevent the formation of less durable phases. The designed mineralogy was as follows:

80 wt % zirconolite ($\text{Ca}_{0.75}\text{Gd}_{0.25}\text{Zr}_{0.75}\text{Pu}_{0.25}\text{Ti}_2\text{O}_7$)
15 wt % hollandite ($\text{Ba}_{1.14}\text{Al}_{2.29}\text{Ti}_{5.71}\text{O}_{16}$)
5 wt % rutile (TiO_2)

The above formulation was then used to calculate the amounts of the precursor components to mix together and process. The precursor is the non-radioactive matrix materials which are generally premixed and then blended with the plutonium and/or uranium oxide to form the

overall desired composition. In this formulation, the fabricated product matched the design mineralogy reasonably well, although traces of pyrochlore were also present. The rutile content appeared to be slightly greater than 5 wt %, and the hollandite content appeared to be slightly less than 15 wt %. Based upon the above formulation, the theoretical maximum density was calculated to be 4.92 g/cm^3 . The actual geometric density observed was about 4.5 g/cm^3 . This initial formulation is now referred to as the zirconolite-based form. A secondary electron image (SEI) of the zirconolite-rich Pu ceramic form is shown in **Figure 3.1**.

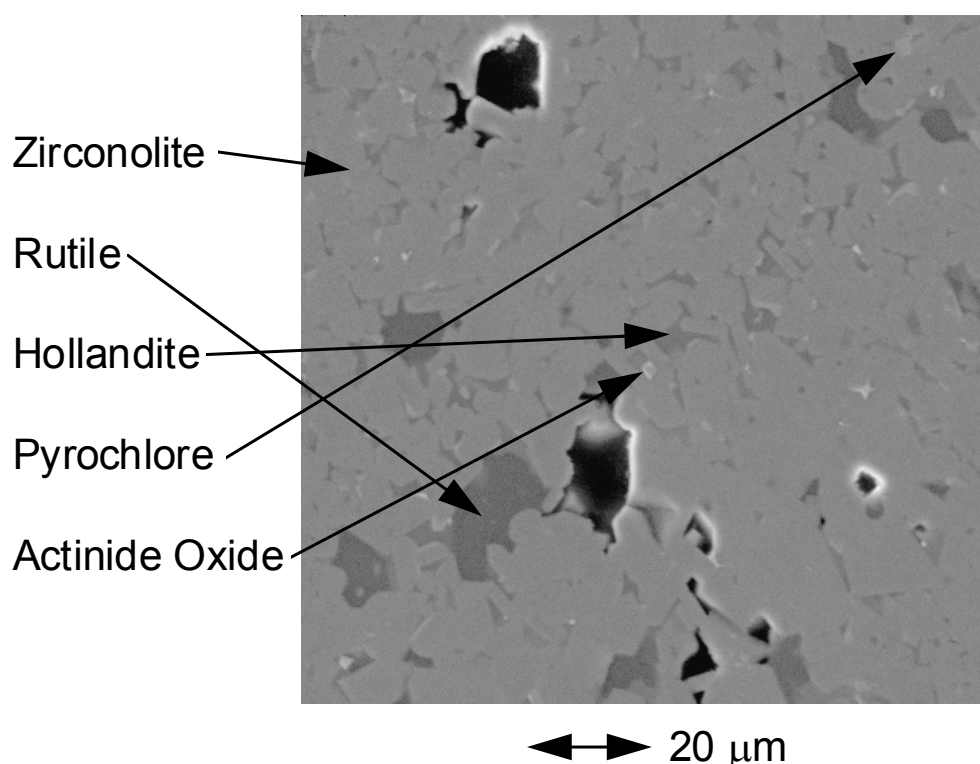


Figure 3.1. Secondary electron image (SEI) of zirconolite-rich form

The initial formulation assumed that the feed stream to the Plutonium Immobilization Plant would be relatively pure PuO_2 . Once the preliminary compositions of the actual feed streams became available, it was apparent that the feed streams targeted for immobilization at that time had on average about equal amounts of uranium (primarily natural and depleted uranium) and plutonium. If the Pu-loading in the form were to remain at about 12 wt %, the excess uranium would stabilize considerable amounts of pyrochlore in the product. The potential for disposing of U-rich HLW had already led to the development of pyrochlore-rich SYNROCs called SYNROC-F [20] and SYNROC-FA [21] (See **Table 2.1**).

At about the same time as the more realistic feed compositions were being assembled, questions about the radiation damage effects in the ceramic form were raised. An initial conservative long-term degradation analysis performed by OCRWM assumed that after a few thousand years, swelling caused by alpha decay of plutonium in the ceramic would make the zirconolite-rich ceramic fracture into a powder at the grain boundaries [42]. The same analysis by OCRWM indicated that, under worst case assumptions, the gadolinium could become soluble, and that a

small concentration of the insoluble neutron absorber hafnium could prevent criticality. In particular, the small amount of hafnium present as a contaminant in the zirconolite phase (2 to 5 wt % of total zirconium) was found to increase, by 50%, the amount of ^{239}Pu that could be carried in a waste package without permitting criticality. It was clear that the ceramic form would benefit from a redesign of its formulation.

On April 8, 1997 during a videoconference including researchers from LLNL, SRTC, and ANSTO, Ebbinghaus proposed a new formulation and an initial impurity test matrix later called the Series A matrix [43]. The following criteria were agreed upon:

- Uranium-to-plutonium mole ratio of approximately 2-to-1 (easily accomodates uranium content in most feed streams)
- Gadolinium-to-plutonium mole ratio of 1-to-1 (same as in zirconolite-rich formulation)
- Hafnium-to-plutonium mole ratio of 1-to-1 (replace zirconium with hafnium and select 1-to-1 ratio as was done with gadolinium, to provide additional criticality safety, i.e. “Double Contingency”)
- Eliminate BaO and hollandite from the form (removes RCRA-controlled elements from the formulation).
- For purposes of calculating the feed composition, assume a pyrochlore form with a small amount of rutile (95 wt % pyrochlore, 5 wt % rutile).
- Plutonium concentration about the same as in the zirconolite-rich form (reduced to about 10 wt % because of the higher density of pyrochlore)

Given the above criteria, the baseline form was designed as follows:

95 wt % pyrochlore ($\text{Ca}_{0.890}\text{Gd}_{0.220}\text{Hf}_{0.230}\text{U}_{0.440}\text{Pu}_{0.220}\text{Ti}_2\text{O}_7$)
5 wt % rutile ($\text{Ti}_{0.913}\text{Hf}_{0.087}\text{O}_2$)

Note that extra hafnia was added because the rutile in the zirconolite-rich formulation had been found to contain about 6 mol % zirconia. In the absence of specific experimental data for hafnia in rutile, it was expected to behave similarly to zirconia and to substitute into the rutile at about the same mol %. To ensure that enough hafnia would be present, the rutile was assumed to contain about 9 mol % hafnia. Based upon the above formulation, the theoretical maximum density for the new formulation was calculated to be 5.96 g/cm^3 . The actual geometric (e.g. bulk) density later observed experimentally was about 5.5 g/cm^3 .

As planned, pyrochlore was to be the primary phase, and rutile was to be present in small amounts. However, the actual form that was produced varied slightly from the design phase assemblage in the respects that brannerite was also formed, and if impurities were present, zirconolite generally formed as well. Although this result was slightly different than expected, zirconolite was known to be a durable phase, and brannerite was expected to be durable as well.

Both have natural mineral analogs that have survived over geologic time periods. Allowing a relatively wide range of pyrochlore, zirconolite, and brannerite abundances in the product made the form much more tolerant to impurities in the PuO_2 feed than a form based nominally on a single phase.

A backscattered electron image of the product produced from this revised formulation is shown in **Figure 3.2**. The actual product formed was composed of about 80 vol. % pyrochlore, with the balance being about 15 vol. % brannerite and about 5 vol. % rutile. At a form development meeting at LLNL on June 9 – 10, 1998, the results of this formulation were reviewed in detail. While further modification of this form could be made by increasing the rutile content to further increase impurity tolerance, it was not felt to be necessary given the expected impurity levels in the feed.

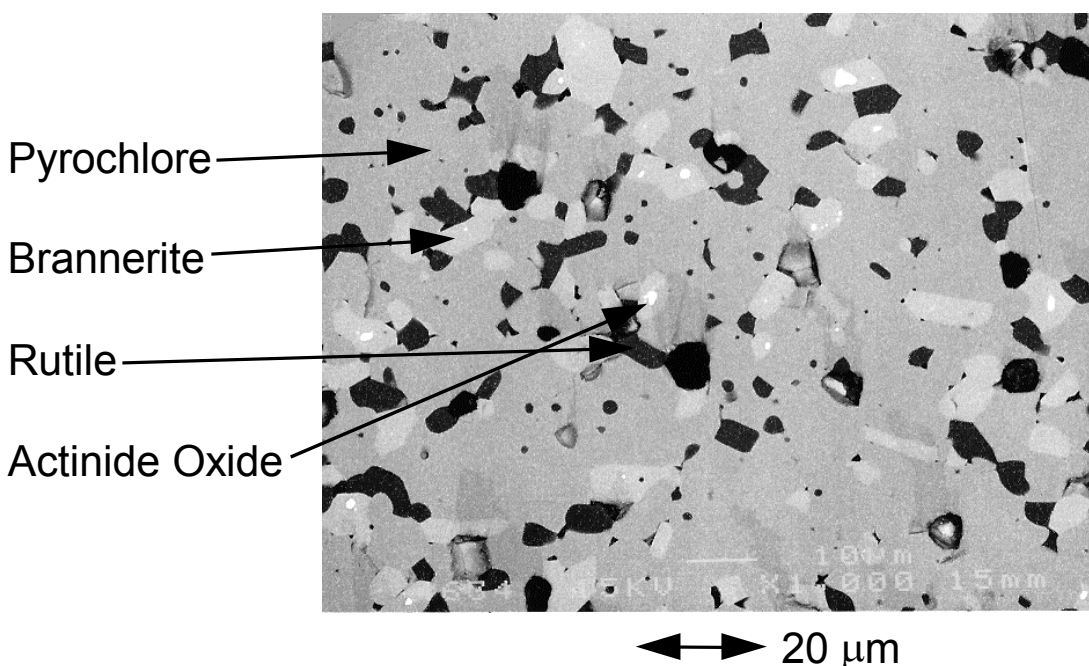


Figure 3.2. Backscattered electron image (BEI) of pyrochlore-rich form

Subsequent to this meeting, this form was modified slightly to satisfy safeguards and security requirements at the DWPF by reducing the Pu loading of the ceramic to less than 10%. This reduced Pu loading, which will result in substantial operational savings at DWPF, was done by decreasing the weight fraction of plutonium in the pyrochlore phase and by increasing the abundance of the rutile phase. With this adjustment, the following phase abundances and compositions constitute the formula design for the current baseline formulation:

90.57 wt. % pyrochlore ($\text{Ca}_{0.890}\text{Gd}_{0.220}\text{Hf}_{0.230}\text{U}_{0.451}\text{Pu}_{0.209}\text{Ti}_2\text{O}_7$)
9.43 wt. % rutile ($\text{Ti}_{0.907}\text{Hf}_{0.088}\text{U}_{0.005}\text{O}_2$)

The theoretical maximum density calculated from these assumed phases is 5.91 g/cm^3 , which is approximately 1% lower than the density of the original baseline composition. As was observed

in the earlier pyrochlore-rich formulation, a small amount of brannerite is formed along with the pyrochlore and rutile. As expected more rutile is formed in this formulation.

3.3.2 Selection of the Fabrication Process

In the past, SYNROC-C had been made primarily by performing hot uniaxial pressing (HUP) of the constituents in a bellows can. This was the scheme used in the ANSTO demonstration plant [16]. Large-scale hot isostatic pressing (HIP) of SYNROC-D in sealed cans had also been demonstrated [44]. Methods such as these, using cans to contain the material in either a hot uniaxial press or a hot isostatic press, are necessary when volatile species such as cesium are to be incorporated into the ceramic. Both HUP and HIP produce a product with a density very near the theoretical maximum value.

In hot pressing, overall throughput favors large product size, because of the long cycle time required in the hot press cycle. Initially, fabrication of the ceramic as uniaxially hot-pressed disks weighing about 30 kg each was considered. These disks would have been about 30 cm (1 foot) in diameter by about 9 cm (3.5 inches) high. However, this large size was not compatible with the can-in-canister process, because it would have obstructed the flow of the molten glass into the canister. Brief consideration was given to using the HIP process to fabricate long and slender ceramic bodies that were compatible with the can-in-canister process [45], but this was not considered feasible due to safety and process complexity for the current application.

One of the standard process operations for commercially fabricating ceramics is cold pressing and sintering. This process is used for making reactor fuel pellets and, in particular, MOX fuel pellets incorporating plutonium oxide. This approach had been demonstrated earlier for SYNROC ceramics by Solomah and coworkers [46]. The initially perceived disadvantages of cold pressing and sintering involved concerns associated with the escape of cesium as a volatile species and possible porosity in the product.

Another approach to fabrication was melting and solidification of the form from the melt. Because of the high melting temperature of the ceramic and the difficulties involved with durability of high temperature crucibles, a cold-crucible approach would have been necessary. Cold crucibles have a significant amount of hold up. As a result, this approach would complicate the materials control and accountability needed for plutonium. If cesium was also to be incorporated, its volatility would be more of a problem at the higher temperatures involved in melting. In addition, melting and solidifying produces a large-grained product that is likely to be less homogeneous and more prone to cracking as a result of differential swelling induced by radiation damage.

As it became clear that the can-in-canister approach offered significant advantages to the program as a whole in terms of simplicity of implementation, cost, and schedule, the problems associated with cesium retention in the ceramic disappeared, thus providing motivation to develop the cold press and sinter approach. The high intrinsic chemical durability of the titanate minerals was expected to prevail in the repository, even if there was a small amount of porosity in the ceramic.

In developing the cold press and sinter process for Pu immobilization, the main differences between the ceramic immobilization product and the mixed (uranium and plutonium) oxide reactor fuel (MOX) are the size and composition of the form. The selection of the size of the ceramic form was based on achieving the largest size possible consistent with ceramic fabrication techniques and the constraints placed on the puck size by the DWPF canister. Early development work in this area indicated that sintered pucks on the order of 2.5 inches in diameter could be made. In considering the canister limitations on form size, care must be taken to minimize interference with the glass stream during pouring which would set an upper limit on the can size containing the form. Even more important was the desire to load the Pu-containing ceramic form into the canister after the DWPF canister had been fully fabricated and qualified. This necessitated loading the ceramic form through the neck of the canister, which was on the order of 4 inches in diameter. Subsequently, a technique for loading the canister was developed which could accommodate a 3 inch outside diameter can containing the ceramic form. Given desired manufacturing tolerances of the form, the size of the sintered form was set at a nominal diameter of $2.65 + 0.125$ or $- 0.225$ inches [47]. The selection of the thickness of the form was arbitrary, and was set at nominally 1 inch. Based on discussions with several ceramic engineers and suppliers of automated presses, forms of this size are believed to be about the largest size that could be fabricated reliably by an automated process using cold pressing and sintering. For convenience and because of the similarity in size and shape of these sintered pellets to hockey pucks, they are referred to as pucks.

As noted earlier, cold pressing and reactive sintering had been demonstrated for SYNROC-FA, and it was also under development at LLNL for the Mixed Waste Management Facility project [22], which aimed at developing a ceramic for disposal of mixed wastes. Cold pressing and sintering had been demonstrated to be a very convenient process for making small samples for testing formulations. These samples demonstrated that excellent reactivity could be achieved by integrally mixing milled materials, and the resulting products had the correct phase assemblage and acceptable porosity. Thus, the basic processes used for sample fabrication were modified and adapted to make the larger sizes needed for production scale immobilization operations.

Important steps in the cold press and sinter fabrication process are the milling/mixing step, the granulation and pressing step, and the binder burnout and sintering steps. There were two options considered for the milling/mixing step. In our early laboratory work, the milling/mixing operation was carried out using a wet ball mill process. For plant operations, one option involves the use of a high energy attritor mill, and the other involves the use of a conventional ball mill. In the MOX fuel industry, a processing option using sequential high energy attritor mills was developed by BNFL and is commonly referred to as the "Short Binderless Route" [48,49]. The MOX manufacturing process option using dry ball mills was developed at Belgonucleaire and Cogema and is commonly referred to as the "Mimas" process [50]. Extensive testing with surrogate and uranium based feed materials and ceramic precursors necessary to produce the Pu immobilization form indicated that milling/mixing with attritor mills is far superior to dry ball milling for the immobilization form. Dry ball milling/mixing was found to involve substantial packing and compaction of the product and was not very effective for milling or mixing the disparate types of oxide powders required to make the plutonium immobilization ceramic. If ball milling/mixing had been selected, it is likely that a wet process would have been required similar to the laboratory process initially employed. However, wet processing involves using more

complicated process operations, thus increasing plant complexity. In addition, it is desirable to avoid wet processes for additional safety against nuclear criticality during processing.

For pressing, it is necessary to add a small amount of binder material to the powder prior to feeding the material to the press to assure green puck integrity. For the pressing step, the objective is to obtain sufficient density to assure puck integrity for sintering. Since the sintering process involves substantial chemical changes in the form, densification of the process is driven more by the preparation of the precursors and the milling/mixing process than it is by the density of the pressed green puck. As a result, the pressures required during the pressing cycle are very low compared to those used in MOX and many other ceramic fabrication processes. Pressures as low as 7 MPa (1000 psi) were demonstrated to be adequate for this immobilization form. Additional process operations, such as granulation to reduce dust and improve powder flow to the automatic press, modify the pressing characteristics. Currently, a tumbling granulation process is being used with the addition of water and binder which produces good green pucks with about 14 MPa (2000 psi) pressing pressure. The puck press will be specifically designed for this process, but will probably be very similar to those used in the MOX industry.

For the binder burnout and sintering step, two types of furnaces were considered – a bottom-loading furnace box furnace and a conveyer-type furnace. The conveyer furnace is often preferred in the MOX industry, but a high-temperature bottom-loading furnace is currently believed to be more suitable for the ceramic immobilization plant due to the size of the pucks and the fact that the pucks, particularly ones high in impurities, will stick to each other if they are in contact during the sintering cycle. Experiments on actinide oxide reaction kinetics using the zirconolite-based formulation indicated that a firing temperature of 1350°C for 4 hours was required to achieve good reaction of PuO₂ particles initially less than 20 microns in size with the ceramic precursors. Later experiments on the pyrochlore-based form indicated that lower temperatures could probably be used, but 1350°C for 4 hours has been retained as the baseline sintering temperature and time to assure that thermodynamic equilibrium is approached in the product form. The sintering atmosphere was initially selected to be argon gas. Later experiments on the pyrochlore-based form indicate that air is also a suitable sintering atmosphere [51], e.g. there is little if any difference in the relative abundance of the phases that form. Since air is lower in cost and easier to use than argon, the preferred sintering atmosphere is now air.

4. *Development of the Ceramic Form*

4.1 Overview

As discussed previously, development of the baseline formulation and baseline process operations are coupled; one cannot be complete without the other. More specifically, the baseline formulation has been designed so that the desired phase assemblage is obtained in the product, while using process operations which are adaptable to production operations.

The Form Development sample test plan [43] described below was designed to develop a detailed understanding of how the selected baseline formulation would be affected by variations in feed composition (including impurities) and processing parameters. At this time, the Form Development activity is essentially complete and the selected baseline formulation has been shown to be sufficiently robust to accommodate expected variations in feed composition and process parameters while producing a product phase assemblage which is sufficiently durable for repository acceptance.

4.1.1 Form Development Tasks

The Form Development activities were divided into the following four task areas.

Task 1. Planning and Facilities

- Establish capabilities for small-scale sample fabrications.
- Define the sample test matrix.

Task 2. Baseline Formulation and Process Parameters

- Define the baseline formulation.
- Provide feed specifications for the PuO_2 feed.
- Provide process data to support scale-up testing and prototype equipment design.

Task 3. Form Qualification Samples

- Provide samples for durability testing.
- Provide samples for thermodynamic data measurements.
- Determine range and composition of phases in the product.

Task 4. Process Control Model Development

- Development of a process control model to ensure that the ceramic fabrication process will produce an acceptable product.
- Provide the necessary sample and characterization data to support process control model development.

4.1.2. Participants and Capabilities

The Form Development participants were LLNL, ANSTO, SRTC, and ANL. PNNL has been involved peripherally, and have provided fabrication and testing of the radiation damage test samples. All of these laboratories have capabilities to make small-scale, plutonium-loaded samples. Supporting calorimetric work was performed at UCD and BYU. The types of samples that were prepared at each site were dependent largely upon the characterization equipment available at the site and on the nature of characterization tests (e.g. durability, thermochemical, and non-destructive evaluation (NDE) tests) that would be performed. Some redundancy was built into the test plan, particularly for high priority samples needed for durability testing.

With the exception of the calorimetric work, analytical capabilities for the non-plutonium work were equivalent at all of the sites. As shown in **Table 4.1**, however, there were significant differences in readily available analytical equipment to perform analyses of plutonium-loaded samples. More specifically, ANSTO and SRTC had the capability to perform X-ray diffraction work. LLNL had the only capability for compositional analysis using an electron microprobe. All of the sites had scanning electron microscopy/energy dispersive spectrometry (SEM/EDS) capability, but ANSTO had the most complete selection of standards suitable for quantitative SEM/EDS work on the plutonium-loaded ceramics. ANL and ANSTO were best set up to perform TEM work. ANL was performing a large fraction of the durability tests. Consequently, many of the samples needed for durability testing were fabricated at ANL. SRTC and ANSTO had the capability for performing immersion density testing. However, this capability is relatively easy to install. With some effort, plutonium-containing samples were able to be shipped among the DOE sites. However, shipment of such samples from ANSTO to any of the DOE sites or from any of the DOE sites to ANSTO was essentially precluded by international shipping regulations.

Table 4.1. Readily available analytical capabilities for Pu-loaded samples

Analytical Capability	Sites with Readily Available Capabilities for working with Pu-loaded Materials^a
X-ray Diffraction Analysis	ANSTO, PNNL, and SRTC ^b
Quantitative Microprobe Analysis	LLNL
Quantitative EDS Analysis	ANSTO ^c
TEM Analysis	ANL and ANSTO
Immersion Density	ANSTO, PNNL, and SRTC
Durability Testing	ANL ^d

^aNote that all sites had additional Pu capabilities that are not listed.

^bANL and LLNL also had Pu X-ray diffraction capabilities. At the time, the LLNL equipment needed to be serviced to perform better. The ANL equipment was outside the normal Pu processing area.

^cANL, LLNL, PNNL and SRTC all had EDS capabilities that could be made quantitative with a better selection of standards that closely matched the compositions of the minerals in the ceramic product.

^dLLNL, PNNL and SRS were also involved in a smaller suite of durability tests.

Calorimetric work was limited to non-plutonium work at UCD and BYU. At UCD standard enthalpies of formation of various phases of interest were determined by drop solution calorimetry. Much of the work was performed on uranium- or thorium-bearing samples. Some measurements on plutonium-bearing samples were planned at LANL but were never accomplished. At BYU standard entropies of various phases of interest were determined by integrating low temperature heat capacity measurements. With the exception of one uranium-bearing sample, this work was performed entirely on non-radioactive samples.

4.2 Sample Test Matrices

Detailed sample test plans or matrices were first developed in late December 1997 at a review meeting at SRTC. The sample test matrices were reviewed and updated at a project-wide form development planning and review meeting at LLNL in early June of 1998. The test matrices comprised a number of test series; i.e., A, B0, B1, etc. The A series originated in FY'97, and the B series originated in FY'98 [52]. A statistically-derived sample test matrix for impurity studies was later developed by SRTC in FY'00 [53]. The series A and B samples are currently complete. Some of the sample series corresponded to a single composition, while others corresponded to a range of compositions or impurity loading levels. For each composition identified, several to approximately a dozen samples were prepared. Some were sintered at different temperatures or under different atmospheres, some were fabricated by different processes, and so on.

In general, each series supported primarily one task (the solid lines) and peripherally supported at least one of the other tasks (dashed lines). The linkages between the sample test matrices and the tasks are shown in **Figure 4.1**.

The development, testing, and refinement of the baseline formulation was dependent upon all of the sample series. However, the main sample series that supported the selection and development of the baseline formulation were the A Series, B1 Series, B4 Series, and B5 Series.

Although a significant number of validation tests were performed with plutonium, the majority of sample fabrications were performed with various non-radioactive surrogates. Surrogates were selected based on similarity of atomic size, melting point of the constituent oxide, and relative stability of the valence states as a function of oxygen partial pressure. The data for plutonium, americium, and various surrogates are shown in **Table 4.2**. Atomic radii were obtained from Shannon [54]. The melting points of CeO_2 , ThO_2 , PuO_2 , and Nd_2O_3 were taken from various compilations [55-57]. The melting point of Am_2O_3 has not been determined, but it is known to be greater than 1200°C [57]. Oxygen partial pressures in equilibrium with the oxide phases were calculated using the FACT program [58].

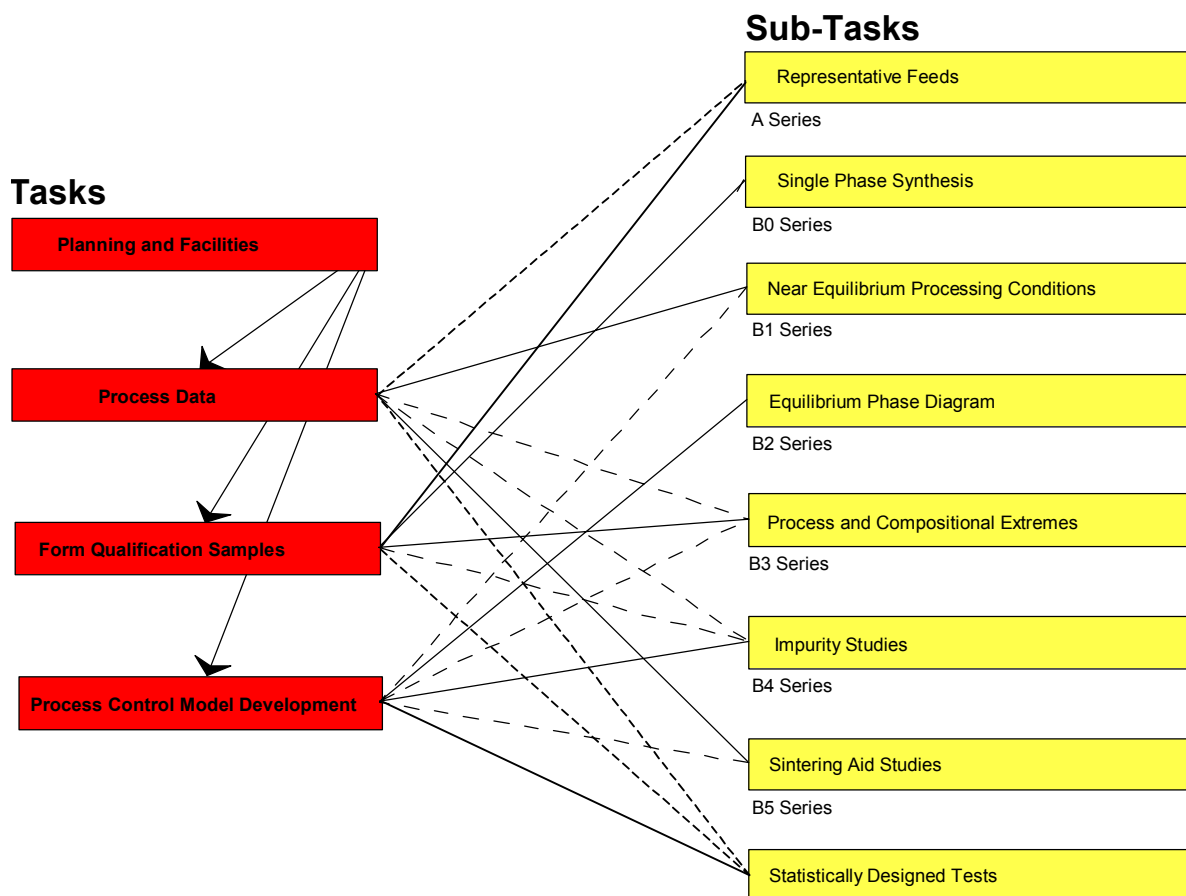


Figure 4.1 Linkages Between Tasks and Sample Test Matrices

In the baseline ceramic, the best surrogate for plutonium is generally cerium. Its ionic size is almost identical to the corresponding value for plutonium, and the melting point of its constituent oxide is comparable to that of plutonium oxide, indicating that the strength of the bonding is approximately equivalent. If conditions in the sample preparation are moderately reducing, however, cerium can be a relatively poor surrogate for plutonium, since it will generally convert to the trivalent ion, while plutonium under the same conditions will generally remain as the tetravalent ion. Under reducing conditions, thorium is believed to be a better surrogate for plutonium than is cerium. Thorium is also preferred over cerium as a surrogate for plutonium in the “near equilibrium” tests. CeO_2 is observed to be much more reactive than PuO_2 during sintering. ThO_2 has a considerably lower reactivity than CeO_2 , much closer to that of PuO_2 . For tests simulating americium-enriched material, neodymium was selected as the best surrogate. Based on the atomic size, melting points, and relative ionic stability, neodymium is expected to behave very similarly to americium in this ceramic.

Table 4.2. Surrogates for plutonium and americium

	CN = 8	AnO₂	An₂O₃/AnO₂
Element	r ⁴⁺ , (nm)	M.P. (°C)	p(O ₂)*, (atm)
Ce	0.110	2730	2.3x10 ⁻¹¹
Th	0.119	3220	N/A
Pu	0.110	2390	2.5x10 ⁻¹⁸
	CN = 8	An₂O₃	An₂O₃/AnO₂
Element	r ³⁺ , (nm)	M.P. (°C)	p(O ₂)*, (atm)
Nd	0.125	2315	>6.9x10 ⁻²
Am	0.123	>1200	2.1x10 ²

*p(O₂) calculated at a temperature of 1350°C.

To clearly designate which surrogates (if any) are used in a sample, the following nomenclature is used. A sample composed of all baseline elements and no surrogates is referred to as a hafnium-plutonium-uranium sample (i.e., Hf-Pu-U). If cerium is used as a surrogate for plutonium, the sample is referred to as a hafnium-cerium-uranium sample (i.e., Hf-Ce-U). Likewise, if thorium is used as a surrogate for plutonium, the sample is referred to as a hafnium-thorium-uranium sample (i.e., Hf-Th-U). A sample in which cerium is used as a surrogate for both plutonium and uranium is referred to as a hafnium-cerium-cerium sample (i.e., Hf-Ce-Ce). If zirconium is used instead of hafnium, the sample is referred to as a zirconium-cerium-cerium sample (i.e., Zr-Ce-Ce).

4.2.1. The A Series

There were 10 compositions in the A Series [52]. This series included the original pyrochlore-rich composition with nominally 10.5% Pu (A-0), six typical impurity feed compositions (A-1 to A-6) and three compositions with all the impurities: an average case (A-7), an extreme case (A-8), and an intermediate case (A-9). The current baseline pyrochlore-rich composition (A-10) can also be considered part of this series.

4.2.2. The B Series

Whereas the smaller set of A Series samples demonstrated that the ceramic form was suitable for the disposition of excess plutonium, the larger B Series [52] was aimed at assisting in the understanding of key parameters of the ceramic form, providing samples for durability testing, and providing data that will be needed to scale-up and qualify the process. As shown in **Table 4.3**, the B Series is divided into six sub-series, each of which is discussed below.

Single-Phase Samples (B0 Series). These samples were used for single-pass, flow-through (SPFT) tests, enthalpy of formation measurements, absolute entropy determinations, radiation damage studies, X-ray standards, and other selected corrosion tests.

Near-Equilibrium Samples (B1 Series). These samples were used to demonstrate that the product obtained by various “plant-like” processes is at or near chemical equilibrium.

Equilibrium Phase Diagrams (B2 Series). These samples were used to define selected phase equilibria in binary and ternary oxide systems. These phase equilibria are essential in developing the process control model.

Process and Compositional Extremes (B3 Series). These are samples prepared at process and compositional extremes. These samples were used for durability and non-destructive evaluation (NDE) testing. Some samples were also used for radiation damage studies.

Impurity Effects (B4 Series). These samples, representing the largest group of the B series, were used to determine feed specifications for the form and to develop the preliminary process control model. The B4 series was divided into three sub series as follows:

- *Impurity Saturation (B4-S).* These samples were used to determine which secondary phases form when the primary phases are saturated with impurities. This work was performed primarily at LLNL.
- *Impurity Equivalence (B4-E).* These samples were used to determine which impurities are similar enough in behavior that they can be grouped together. This work was performed primarily at ANSTO.
- *Impurity Volatility (B4-V).* These samples were used to determine the effect of volatile impurities on the product density. This work was performed primarily at SRTC.

Sintering Aid Studies (B5 Series). A sintering aid may be needed to increase the product density or to make product densities more uniform from sample to sample. These samples were used to determine which impurities act as sintering aids.

Table 4.3 Summary of the B series sample test matrices

Series	I.D.	Sites Involved	Number*
Single Phase Synthesis	B0	ANL, ANSTO, LLNL, PNNL, SRTC, and UCD	33 samples
Near Equilibrium Processing Conditions	B1	ANSTO and LLNL	22 compositions
Equilibrium Phase Diagram	B2	ANSTO and LLNL	16 series
Process and Compositional Extremes	B3	ANL, LLNL, PNNL, and SRTC	22 compositions
Impurity Studies	B4	ANSTO, LLNL, and SRTC	53 series
Impurity Saturation	B4-S	LLNL	35 series
Impurity Equivalence	B4-E	ANSTO	12 series
Impurity Volatility	B4-V	SRTC	6 series
Sintering Aid Studies	B5	LLNL and SRTC	11 series

*Normally between 2 and 12 samples were made for each composition, and between 2 and 12 compositions were made for each series.

In addition to the above samples, various samples were prepared on an as-needed basis to address various technical issues as they arose.

4.2.3. Statistically Designed Tests

The final phase of testing in the Form Development activity involves statistically designed tests that cover a range of compositional variables and a range of response variables (*i.e.*, product properties). For these tests, a number of assumptions were made to limit the test matrix to a reasonable size. These tests consist of 40 compositions involving 11 compositional variables. These compositional variables occur in 5 different impurity categories. The compositional variables and the impurity categories they belong to are summarized in **Table 4.4**. The experimental plan for these tests has been described by Cozzi [53]. These tests are important in the development of the process control model [34, 59], formerly identified as the product control model.

Table 4.4. Impurity categories for statistical tests

Impurity Category	Representative Elements	Elemental Proportions per Valence Group	Maximum Moles Impurity per mole of PuO ₂
Volatile	Cl		1.32
	C		5.48
Pyrochlore Stabilizers	Ta		0.88
	Mo, W	Mo _{0.81} W _{0.19}	0.66
Zirconolite Stabilizers	Fe, Mg, Ni, Zn	Fe _{0.21} Mg _{0.68} Ni _{0.09} Zn _{0.02}	1.39
	Al, Ga	Al _{0.80} Ga _{0.20}	2.17
Rutile Stabilizers	Cr		1.10
Glass Stabilizers	Si		0.33
	F		0.66
	Na, K	Na _{0.61} K _{0.39}	0.33

Based on the impurity equivalence testing performed primarily at ANSTO, certain impurities are grouped as a single category or subcategory. These include the groups of Mo/W, Fe/Mg/Ni/Zn, Al/Ga, and Na/K. The elemental proportions chosen for these equivalent impurities is also given in **Table 4.4**. The compositions are based on the average feed composition given in **Table 3.3**. In the statistical design, the abundances of the impurities are allowed to vary up to the limit given in the Feed Specification report [60]. The compositions were selected independently of any predictive model.

Samples of all the compositions have been prepared at full-scale in the Hf-Ce-U variety and at small scale in the Hf-Pu-U variety. Some of the compositions have also been prepared full-scale in the Hf-Ce-U variety.

After the samples are prepared, they were inspected visually to determine the extent of cracking, if any, and they will be analyzed to determine density and phase abundance. While these tests are being performed, the methodology for projecting the phase assemblage has been developed and refined. This methodology will be used to project the phase assemblage of each of the 40

compositions that are being prepared. The projected phase assemblage will then be compared with those actually observed in the testing.

5. *Immobilization Form Specifications*

5.1. Baseline Formulation

The baseline formulation consists of the composition of the ceramic immobilization form, specifications on the precursors and actinide oxide feeds, impurity tolerances, and projected phase abundance in the product.

5.1.1 Composition

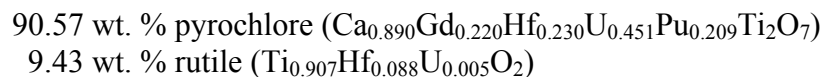
In the original version of this report, dated February 1999 [52], the former baseline composition and two alternative compositions (low-plutonium and high-plutonium) were presented. The former baseline contained 10.488 wt. % plutonium on an elemental basis. The low-plutonium alternative contained 10.000 wt. % plutonium, and the high-plutonium alternative contained 15.000 wt. % plutonium.

The low-plutonium alternative was formulated to be available in the event that safeguards and security guidelines at the DWPF required that there be no more than 10 wt. % plutonium in the ceramic. In this alternative, the abundance of rutile was increased by roughly 5 wt. % compared to the original baseline. The increase in rutile content lowered the plutonium content of the ceramic as a whole, without changing the mineralogy (phases present). The extra rutile also gives this formulation more flexibility to accommodate impurities in the plutonium feed streams.

The high-plutonium alternative was formulated to be available in case a policy decision was made that all 50 metric tonnes of declared excess U.S. weapons-usable plutonium were to be immobilized in ceramic. In this case, the higher plutonium loading would have been cost-effective, because it would have significantly decreased the total amount of ceramic that would have to be produced.

As requirements at DWPF became more firmly established, it became clear that safeguards and security guidelines favored a composition that incorporated less than 10 wt. % plutonium. Furthermore, DOE decided that the U.S. would produce MOX fuel from a large portion of the plutonium designated as excess to the needs of national security. As a consequence, In January, 2000, program management requested a new baseline formulation containing 9.5 wt % Pu rather than 10.488 wt % Pu. The formulation was redesigned using the approach described above for the Low-Plutonium Alternative, together with a slight increase in the ratio of uranium to hafnium in the pyrochlore phase. These changes preserve the phase assemblage (mineralogy) of the former baseline formulation, and thus do not affect the process for making the ceramic or the expected durability of the ceramic in the repository. These changes were made with a high degree of confidence without performing an extensive retesting program because of the foundation established in the earlier work on phase equilibria and leach testing for this ceramic system. Based on this work, the most important feature of the ceramic formulation that governs chemical durability is the identity of the mineral phases present. It has also been determined which elemental substitutions can be made without affecting the phases present.

As mentioned in Section 3.3, the new baseline formulation is based upon the designed mineralogy and phase composition shown below:



The production of this product mineralogy composition requires the input composition as given in **Table 5.1** below. Note that up to about 3 wt % total of sintering aids may still be added to the baseline formulation. The sintering aids, if needed, will be specified after the fabrication process is finalized.

Table 5.1 Feed composition to produce baseline ceramic form

Oxide	Weight Percent
CaO	9.488
HfO ₂	11.100
UO ₂	23.286
PuO ₂	10.771
Gd ₂ O ₃	7.580
TiO ₂	37.774
Pu	9.500

Although the new baseline formulation has a 9.5 wt % plutonium loading, there are a range of other formulations that can be used without departing from the designed phase assemblage (*i.e.* by adding rutile or by exchanging uranium for plutonium or plutonium for uranium in the formulation provided the maximum actinide loading in the form is 31.4 wt %) if there is a further need to increase or decrease the plutonium loading in the immobilization form.

5.1.2 Precursor and Actinide Feed Specifications

The input compositions for the ceramic precursors (*e.g.*, starting materials exclusive of the actinide feed) are given in **Table 5.2**. Also shown are the allowable uncertainties in the chemical composition. A vendor would likely prepare these precursors, and these compositions would be part of the specification used in procuring the precursor material from the vendor. Precursor 1 is the primary precursor, which contains CaO. Precursor 2 is a make-up precursor to offset excess Ca (present as CaO, CaCl₂, or CaF₂) which may be present in the PuO₂ feed streams. Precursor 2 does not contain any CaO.

**Table 5.2 Precursor feed compositions
for the baseline formulation**

	Precursor 1	Precursor 2
Oxide	(wt. %)	(wt. %)
CaO	14.39 ± 0.1	-----
HfO ₂	16.83 ± 0.5	19.66 ± 0.5
Gd ₂ O ₃	11.50 ± 0.5	13.43 ± 0.5
TiO ₂	57.28 ± 0.5	66.91 ± 0.5

All materials added to the immobilization process must meet specifications on chemical form, particle size, and purity. The recommended specifications for the oxides used to prepare the ceramic precursors are given in **Table 5.3**. If the feed materials meet the specifications given, and the precursors are prepared by the process described in Section 5.2.1 and are stored in isolated containers so that carbon dioxide and moisture are not absorbed, the precursors produced will be suitable for use in the plutonium immobilization plant.

Table 5.3 Feed specifications for precursor preparation

Oxide	Form	Particle Size	Purity
CaO	Ca(OH) ₂ (or CaO)	-325 mesh	> 99 % < 5 % CaCO ₃
HfO ₂	HfO ₂	-600 mesh	Hf+Zr > 99.99 % Hf > 95 % U < 1000 ppm
Gd ₂ O ₃	Gd ₂ O ₃	-325 mesh	REE* > 99.99 % Gd > 95%
TiO ₂	TiO ₂ (anatase)	-600 mesh	> 99.95 % < 0.05 % P

*REE = Rare earth element

The specifications for the actinide oxides are given in **Table 5.4**. If the actinide oxides meet the specifications given, an acceptable ceramic product can be obtained. For plutonium oxide, the specifications referenced in **Table 5.6** are the specifications that the oxide must meet after blending and do not specify what will be accepted by the plant. Such plant specifications depend on the degree of processing in the Pu conversion operations and the degree of blending prior to the formation of the ceramic, and a separate set of specifications will be prepared for the plant which will likely be different from those in **Table 5.6**.

Table 5.4 Uranium and plutonium oxides feed specifications

Oxide	Form	Particle Size	Purity
UO ₂	UO ₂ (or U ₃ O ₈)	-100 mesh	> 99.99 %
PuO ₂	PuO ₂ with UO ₂ or U ₃ O ₈	-150 mesh	See Table 5.6

The previous version of this report [52] presented a table showing preliminary impurity specifications for the PuO₂ feed. At a project meeting held on June 21-22, 1999 [60] these specifications were revised, taking advantage of the results of the experimental work that had become available since the preliminary specifications were generated. **Table 5.5** shows the nominally expected ranges for various parameters for the ceramic forms which are being fabricated based on experimental work to date, and establishes the maximum limit criteria which, if satisfied, will result in an acceptable ceramic form for repository acceptance.

On the basis of the tentative product acceptability criteria shown in **Table 5.5** and the combined results of all the impurity studies performed to date, a set of maximum impurity limits was established. The maximum impurity limits for uncompensated impurities are shown in **Table 5.6**. Uncompensated impurities are those which can be added to the mix without adjusting the composition of the precursors.

Table 5.5. Product acceptability criteria used to define feed impurity specifications

	Nominal Expected Values
Density	<ul style="list-style-type: none"> • Puck density > 90% of theoretical
Mineralogy	<ul style="list-style-type: none"> • >50 vol% of pyrochlore, • <50 vol% of brannerite, • <50 vol% of zirconolite (any polytype), • <20 vol% of rutile plus hafnium titanate, • <1 vol% of actinide oxide, and • < 10 vol% of other phases
Composition	<ul style="list-style-type: none"> • Overall composition of each puck is within the range analyzed by RW • Mole fraction of Pu < mole fraction of Hf plus Gd in all mineral phases within each puck
Grain Size	<ul style="list-style-type: none"> • Generally less than 20 microns
Processability	<ul style="list-style-type: none"> • For each puck, little or no melting is observed
Integrity	<ul style="list-style-type: none"> • For each puck, little or no cracking is observed

In addition to the impurity specifications shown in **Table 5.6**, more detailed impurity specifications are given in the Feed Specification Tables [60]. The impurity specifications given in this report and in the Feed Specification Tables [60] supercede those given in previous reports [52,61] and will form the bases for the acceptability of both feed receipt for the plant and the batched feeds from blending prior to acceptance for the ceramification process.

The PuO₂ feed impurity specifications in **Table 5.6** were prepared based on experimental work performed with an earlier formulation which was designed for 10.5 wt % Pu loading as discussed earlier, and are conservative specifications for the new baseline formulation specified in this report. For other formulations which may be considered in the future, particularly those where it is desired to increase Pu loading to greater than 10.5 wt %, the specifications must be modified by the following relationship:

$$(\text{Modified Specification}) = (\text{Table 5.6 Specification}) \times 10.5/(\text{Pu wt \% in Other Formulation})$$

If the plutonium loading is raised, the impurity specifications are lowered. For the new baseline formulation the specifications given in **Table 5.6** are conservative by about 10 % and could, if desired, be raised by a factor of 1.10 (e.g. 10.5/9.5)

Table 5.6 Limits on uncompensated impurities

Impurity Category	Elements in Category	Maximum Limit	Allowed Uncertainty
		Moles Impurity per mole of Pu ₂	
<i>Volatiles</i>	<i>Halides/2 + Carbon/5 + Metals</i>	0.80	----
<i>Pyrochlore Stabilizers</i>	+3/+4, +5, +6 Elements	1.50	----
<i>Zirconolite Stabilizers</i>	+2, +3, +4 Elements	3.25	----
<i>Rutile Stabilizers</i>	Titanium, Other +4 Elements	Not Limited	----
<i>Glass Stabilizers (Si with +1, +2, +3 Elements)</i>	Silica, Fluoride, +1, +2, +3 Elements	1.90	----
<i>Silica Stabilizers (No +1, +2, +3 Elements)</i>	Si (Ge)	0.85	0.15
<i>Whitlockite/Vanadate Stabilizers</i>	P, V	1.00	0.10
<i>BaTi₄O₉ Stabilizers</i>	Ba, (Sr)	0.35	0.05
<i>Bromellite Stabilizers</i>	(Be)	(Not Limited)	0.50
<i>Alloy Stabilizers</i>	(Rh, Pd, Ag, Ir, Pt, Au)	(Not Limited)	0.50

5.1.3. Product Phase Assemblage

The approximate phase abundances in the baseline product are given in **Table 5.7**. When impurities are present in the PuO₂ feed, the relative abundances of the minerals can vary substantially from those of the baseline. Within the original A Series samples, the ranges of observed phase abundances varied approximately as given in **Table 5.7**. The expected ranges, which should also be acceptable as currently proposed, are also given in the table. Based on the durability and process data currently available, it is not likely that the expected ranges will be narrowed from those given here.

Table 5.7 Phase abundances in baseline and product extremes

	Nominal Baseline	Observed Range	Expected Range
Phase	(vol %)	(vol %)	(vol %)
Pyrochlore	80	40 – 95	> 50
Brannerite	15	0 – 50	0 – 50
Zirconolite	0	0 – 40	0 – 50
Rutile	5	0 – 15	0 – 20
Actinide Oxide	0.5	0 – 1	0 – 1
Other Minor Phases	0	0 – 10	0 – 10

Baseline: The observed mineral abundances in the baseline product without impurities.

Observed Range: The approximate observed range of phase abundances in the product with the addition of various impurities.

Expected Range: The expected range, which will be the targeted range of control for the Process Control Model.

As indicated in **Table 5.7**, the ceramic product contains a mixture of three actinide-bearing phases (pyrochlore, zirconolite, and brannerite), some rutile, and a trace amount of partially or unreacted actinide oxide. The primary actinide-bearing phases all have natural mineral analogs that have survived for geologic time periods, which suggests that they are suitable actinide host phases for geologic disposal. The experimental work in this program has developed single phase samples or samples dominated by a given phase of these principle phases for durability testing. Testing to date on these single phase materials indicates that all of the principle phases (pyrochlore, zirconolite, brannerite, and rutile) are sufficiently durable to meet the repository acceptability requirements. Note that, depending upon the impurity loadings in the PuO_2 feed, a variety of other phases could be present in small amounts.

The properties of these principle phases are discussed in more detail below.

Pyrochlore

Pyrochlore has a cubic structure which is similar to the fluorite structure. The empirical formula unit is given as $\text{A}_2\text{B}_2\text{O}_6\text{X}$. The space group symmetry is $\text{Fd}\bar{3}\text{m}$, and each unit cell contains 8 formula units. The coordination numbers of the A and B sites are 8 and 6, respectively [62]. For the plutonium immobilization ceramic, the A site can be occupied by Ca^{2+} , Gd^{3+} , U^{4+} , Pu^{4+} , and Hf^{4+} . The B site is occupied primarily by Ti^{4+} , and the X site is occupied by O^{2-} .

Pyrochlore is a relatively common mineral in nature. Natural pyrochlores are grouped into three varieties, pyrochlore (niobium-rich), microlite (tantalum-rich), and betafite (titanium- and uranium-rich) [63]. Of the three varieties, betafite most closely matches the composition of the pyrochlore phase in the plutonium immobilization ceramic.

Some alteration in natural betafites has been observed, resulting from the loss of relatively soluble matrix species such as NaF , KF , and CaO , but actinides are effectively retained by most betafites for geologic time periods up to 1.4 billion years [64]. If sufficient alteration has occurred by depletion of the soluble matrix species, a second stage of alteration can begin in which up to 30% of the original amount of uranium is lost. A large fraction of this uranium is retained in nearby phases. The nominal compositions of betafite [64-66] and the plutonium pyrochlore phases are shown in **Table 5.8**. Although the compositions are similar, there are some significant differences between the compositions of the natural pyrochlores and the pyrochlores in the plutonium immobilization ceramic. Most notably the natural pyrochlores have substantial amounts of niobium and/or tantalum while the pyrochlores in the plutonium immobilization ceramic do not contain any of these elements unless they are present as impurities in the PuO_2 feed stream. Natural pyrochlores also contain small but significant amounts of sodium,

potassium, and fluoride ions. These ions are generally the first to be depleted in natural pyrochlores that have undergone geochemical alteration.

Zirconolite

Zirconolite has many polytypes (*i.e.* structural variants) [67,68]. The most common polytype is zirconolite-2M, which is also the polytype that is generally found in the plutonium immobilization ceramic. Zirconolite-2M has a monoclinic structure. Zirconolite-4M, which is also monoclinic, and zirconolite-3O, which is orthorhombic, can also be found in the ceramic. However, the other known polytypes (*i.e.* zirconolite-3T and zirconolite-6T) have not yet been observed in the plutonium immobilization ceramic. All the zirconolite polytypes and the pyrochlore structure are closely related to each other by the stacking of a common fundamental unit of TiO_6 octahedra that form a linked plane of hexagonal and triangular rings [66,68]. The hexagonal rings are joined to form planar layers. The polytypes differ in the way the layers are stacked. The most symmetric stacking of layers forms pyrochlore. All of the other stacking arrangements produce various zirconolite polytypes.

The empirical formula unit is given as ABC_2O_7 . The space group symmetry for zirconolite-2M is C2/c and each unit cell contains 8 formula units. The coordination numbers of the A and B sites are 8 and 7, respectively. There are three different C sites. Two of the C sites have a coordination number of 6. One of the C sites has a coordination number of 5 [68]. For the plutonium immobilization ceramic, the A site can be occupied by Ca^{2+} , Gd^{3+} , and Pu^{3+} . The B site can be occupied by Hf^{4+} , Gd^{3+} , U^{4+} , and Pu^{4+} , and the C sites are occupied primarily by Ti^{4+} .

Zirconolite minerals are also found in nature. Natural zirconolites up to 650 million years in age have been found. With the exception of metamictization, no alteration has been observed, and the actinides and decay products have been retained in the mineral, *e.g.* they are concordant [69]. The nominal compositions of natural zirconolite [69-71] and the zirconolite phases in the plutonium immobilization ceramic are shown in **Table 5.8**. For the most part, the compositions of the natural zirconolites and the zirconolites in the plutonium immobilization ceramic are comparable.

Brannerite

The empirical formula unit of brannerite is given as AB_2O_6 . Brannerite has a monoclinic structure, and its space group symmetry is C2/m . There are 2 formula units per unit cell. Coordination numbers of the A and B sites are both 6 [72]. In the Pu ceramic, the A site can be occupied by U^{4+} , Pu^{4+} , and lesser amounts of Hf^{4+} and Gd^{3+} . The B site is occupied primarily by Ti^{4+} .

Brannerites are also found in nature. Lumpkin, et al. [73] has studied natural brannerites with ages ranging from approximately 20 million to 1.6 billion years old. Samples up to about 190 millions years old show no loss of the actinide or decay products, *e.g.* they are concordant. Older samples are significantly altered with up to 80 % loss of the lead decay product. In general, natural brannerites are equal to or less durable than natural pyrochlores, and natural pyrochlores are equal to or less durable than natural zirconolites [73]. The nominal compositions of natural brannerites [72-75] and the brannerite phases in the plutonium immobilization ceramic are

shown in **Table 5.8**. For the most part, the compositions of the natural brannerites and the brannerites in the plutonium immobilization ceramic are comparable.

Table 5.8 Nominal composition of Pu ceramic and natural analog phases

	Pyrochlore Pu Ceramic	Pyrochlore Natural		Zirconolite Pu Ceramic	Zirconolite Natural		Brannerite Pu Ceramic	Brannerite Natural
Element	(mole)	(mole)		(mole)	(mole)		(mole)	(mole)
Na,K	----	0.06 ± 0.09		----	0.01 ± 0.04		----	----
Ca	0.97 ± 0.04	0.41 ± 0.38		0.73 ± 0.06	0.74 ± 0.11		0.07 ± 0.02	0.24 ± 0.09
Y,REE ^a	0.24 ± 0.06	0.04 ± 0.03		0.19 ± 0.02	0.08 ± 0.10		0.15 ± 0.04	0.07 ± 0.10
Th	----	0.02 ± 0.02		----	0.10 ± 0.12		----	0.04 ± 0.05
U	0.39 ± 0.03	0.50 ± 0.18		0.16 ± 0.02	0.02 ± 0.02		0.45 ± 0.04	0.56 ± 0.14
Pu	0.22 ± 0.03	----		0.09 ± 0.02	----		0.20 ± 0.03	----
Zr,Hf ^b	0.17 ± 0.04	0.002±0.003		0.62 ± 0.06	1.01 ± 0.08		0.09 ± 0.02	0.000±0.002
Mg,Mn,Fe	----	0.23 ± 0.27		----	0.36 ± 0.24		----	0.18 ± 0.08
Al, Ga	0.01 ± 0.01	0.05 ± 0.07		0.31 ± 0.12	0.04 ± 0.03		0.05 ± 0.04	0.02 ± 0.03
Ti	2.00 ± 0.05	1.33 ± 0.34		1.91 ± 0.06	1.38 ± 0.29		2.00 ± 0.03	1.69 ± 0.11
Nb,Ta	----	1.29 ± 0.34		----	0.25 ± 0.23		----	----
Pb	----	0.04 ± 0.02		----	0.003±0.007		----	0.03 ± 0.02
Si	----	0.02 ± 0.08		----	0.001±0.002		----	0.15 ± 0.13
F	----	0.12 ± 0.15		----	0.01 ± 0.03		----	----
O (calc)	7.10 ± 0.04	7.41 ± 0.59		7.11 ± 0.07	6.83 ± 0.12		6.06 ± 0.02	5.79 ± 0.13

^aFor the Pu ceramic Y, REE is Gd only.

^bFor the Pu ceramic Zr,Hf is Hf and for the natural minerals Zr,Hf is Zr with a trace of Hf.
Uncertainties are given as one standard deviation.

Rutile

The empirical formula unit of rutile is given as AO_2 . Rutile has a tetragonal structure and a space group symmetry of $\text{P4}_2/\text{mm}$. Each unit cell contains 2 formula units. In the plutonium immobilization ceramic, the A site can be occupied by Ti^{4+} and lesser amounts of Hf^{4+} . Rutile does not accommodate any plutonium or any significant amount of uranium in its structure.

Actinide Oxide

The actinide oxides have a cubic fluorite structure. The empirical formula unit of actinide oxide is AO_2 . The space group symmetry is Fm-3m , and each unit cell contains 4 formula units. The coordination number of the A site is 8. In the plutonium immobilization ceramic, the A site can be occupied by U^{4+} and Pu^{4+} and lesser amounts of Hf^{4+} and Gd^{3+} .

Other Minor Phases

Depending upon the impurities present in the PuO_2 feed, any of the following phases could be present in small amounts in the ceramic product:

- Silicate Glasses (Calcium-Aluminum-Titanium-Silicates)
- Hafnium Titanate (HfTiO_4)
- Loveringite ($\text{CaTi}_{21}\text{O}_{38}$)
- Magnetoplumbite ($\text{CaAl}_{12}\text{O}_{19}$)
- Perovskite (CaTiO_3)
- Pseudobrookite (Al_2TiO_5) - Armalcolite (Mg_2TiO_5)
- Scheelite (CaWO_4) – Powellite (CaMoO_4)

Ulvospinel(TiFe_2O_4) - Spinel(MgAl_2O_4)
Whitlockite ($\text{Ca}_3(\text{PO}_4)_2$) – Monazite (GdPO_4)

Example end-member compositions of these minerals are given in parentheses. Of these phases, the most common in the plutonium immobilization ceramic are glasses, perovskites, and pseudobrookite-armalcolites.

Phase Relationships

The acceptable processing range is a potentially important boundary yet to be finalized for the plutonium immobilization ceramic. The boundary given in **Table 5.7** is depicted in the simplified ternary diagram in **Figure 5.1**. Note that the baseline ceramic has six oxide components. To reduce the six-variable system to three variables, the following assumptions are made:

- UO_2 and PuO_2 behave similarly enough that they can be treated as one oxide, AnO_2
- TiO_2 is always in excess, so the TiO_2 activity is fixed at unity
- Gd_2O_3 is distributed relatively evenly among the actinide bearing phases, so it is neglected in the phase equilibria

Each of these assumptions reduces the variables by one, thus resulting in a three-variable system (*e.g.*, CaO , HfO_2 , and UO_2+PuO_2) which can be plotted on the ternary diagram shown in **Figure 5.1**. The Baseline Precursor 1 composition is at 23.8 mole % HfO_2 , 76.2 mole % CaO , and 0 mole % AnO_2 in the figure. Addition of UO_2/PuO_2 moves the composition in a straight line toward AnO_2 . The intersection across the green region is the acceptable compositional regime as it is currently defined. Thus, amounts between about 30 and 50 mole % AnO_2 can be added to the baseline product, and an acceptable product will be produced. These boundaries are modified slightly by the addition of impurities.

To help ensure that the immobilized plutonium is not separated from the neutron absorbers over time in the repository, it is important that the most abundant plutonium-bearing phases also incorporate significant quantities of the neutron absorbers. Although not as important, it will be more defensible in the repository license application if the less abundant plutonium-bearing phases also accommodate significant quantities of neutron absorbers. For each mineral phase that has been observed in the plutonium immobilization ceramic, its ability to accommodate Gd, Hf, U, and Pu is summarized in **Table 5.9**. Data are given in weight percent of oxide in each phase. Except for the residual actinide oxide, all of the primary phases accommodate more neutron absorber atoms (Gd + Hf) than plutonium atoms. The other minor phases also accommodate more neutron absorber atoms (Gd + Hf) than plutonium atoms. The only possible exceptions are the whitlockite, magnetoplumbite, and perovskite phases. These phases can accommodate significant amounts of Pu if present in the +3 valence state. When sintered in air, Pu is in the +4 valence, so under oxidizing conditions Pu is not observed in the whitlockite, magnetoplumbite, or perovskite phases. These are also the only phases that can accommodate significant amounts of plutonium without accommodating uranium. These phases prefer trivalent actinides because the substitution occurs on the Ca site, and trivalent actinide ions are much closer in size to the

Ca^{2+} ions than the tetravalent actinides and the trivalent cations are more easily charge balanced.

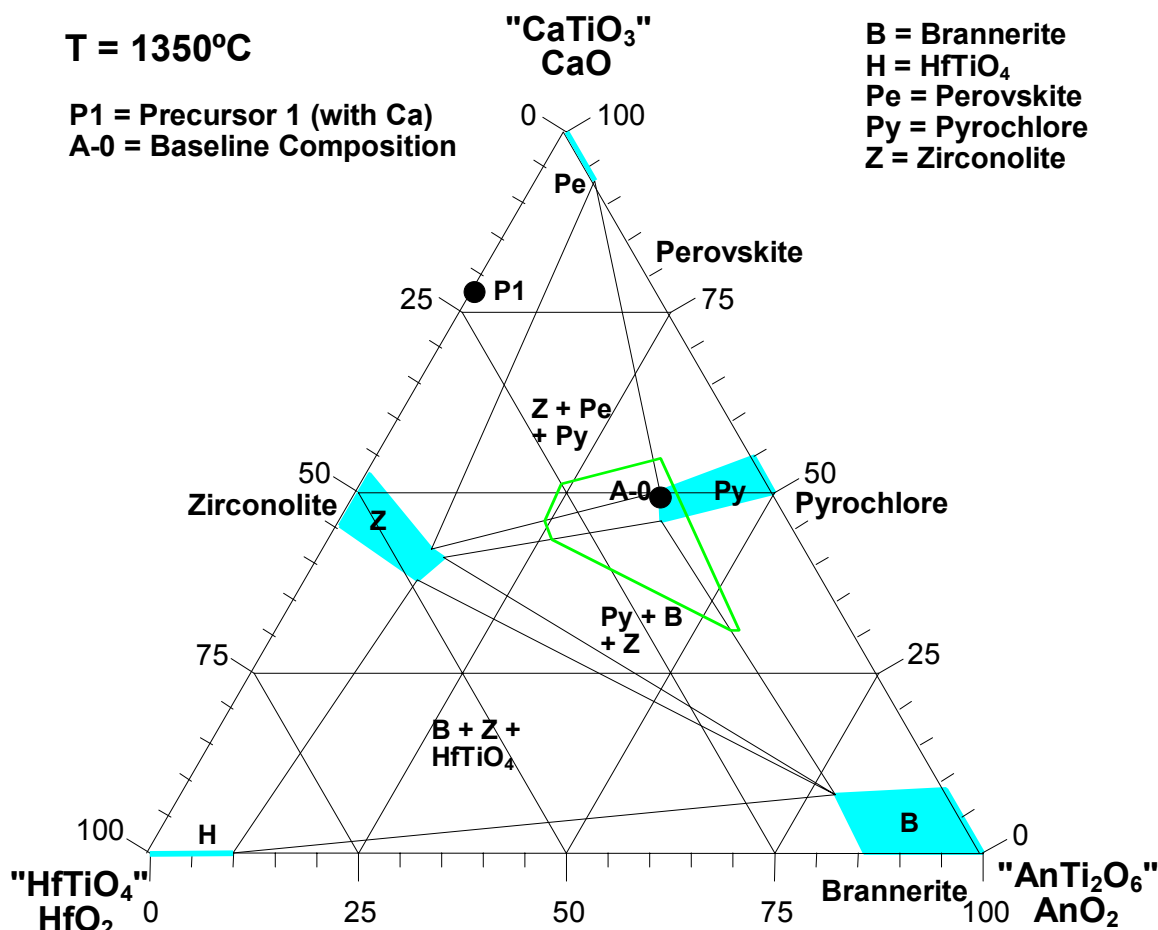


Figure 5.1 Depiction of the processing regime

5.2 Baseline Fabrication Process

As noted earlier, the baseline formulation could not be finalized without some definition of how the material is to be processed. As a result, the baseline fabrication process is an integral part of this report. The fabrication process has been the subject of intense development, and is now well developed and currently being adapted to plant production operations at the present time. The baseline formulation given in Section 5.1 is valid provided that the following four criteria are met in the baseline fabrication process:

- No significant processing changes are introduced at a later date which depart from the process described here.
- The fabricated ceramic product is at or near thermodynamic equilibrium at the end of the sintering step. In the process, this is largely controlled by controlling the degree of actinide milling and mixing prior to pressing and sintering.

- The redox conditions during sintering are not altered significantly from those described herein.
- The sintering temperature and time are not altered significantly from those described herein.

Table 5.9 Composition of phases in the ceramic

	CaO	Gd₂O₃	HfO₂	UO₂	PuO₂	TiO₂
Primary Phases	(wt %)	(wt %)	(wt %)	(wt %)	(wt %)	(wt %)
Pyrochlore	11.8 ± 0.7	9.4 ± 2.6	7.9 ± 1.9	22.6 ± 1.9	12.6 ± 1.6	34.6 ± 1.1
Brannerite	1.0 ± 0.4	7.0 ± 1.7	5.0 ± 1.2	31.1 ± 2.9	13.7 ± 1.8	40.9 ± 1.1
Zirconolite-2M	9.1 ± 0.9	7.6 ± 0.5	29.0 ± 1.8	9.6 ± 1.2	5.5 ± 0.9	33.9 ± 1.3
Rutile	0.1 ± 0.1	0.1 ± 0.1	14.2 ± 4.8	4.4 ± 4.9	0.1 ± 0.05	79.1 ± 8.4
Actinide Oxide	0.1 ± 0.3	1.0 ± 1.6	0.8 ± 0.7	0.6 ± 25	98.4 ± 25	0.3 ± 0.3
Other Minor Phases						
Glass-Silica	14.1 ± 5.9	1.1 ± 1.2	1.1 ± 0.7	3.3 ± 2.4	0.9 ± 1.3	8.5 ± 6.0
Hafnium Titanate	0.0	0.0	53.5 ± 4.2	7.1 ± 1.3	1.0 ± 1.3	38.4 ± 2.5
Loveringite	3.1 ± 0.5	0.0	5.0 ± 0.7	5.6 ± 1.7	1.0 ± 0.9	52.3 ± 13.3
Magnetoplumbite	5.7 ± 1.0	0.8 ± 0.7	1.8 ± 1.8	0.8 ± 1.2	0.4 ± 0.6	13.9 ± 3.7
Perovskite	31.9 ± 1.9	9.4 ± 2.9	1.8 ± 0.2	0.6 ± 0.1	1.2 ± 0.4	54.3 ± 1.1
Pseudobrookite/Armalcolite	0.8 ± 1.0	0.5 ± 0.9	2.3 ± 1.5	0.9 ± 1.0	0.7 ± 1.0	50.9 ± 12.1
Scheelite/Powellite	24.5 ± 4.3	0.8 ± 0.1	0.2 ± 0.2	0.1 ± 0.1	0.1 ± 0.4	0.2 ± 0.1
Ulvospinel/Spinel	0.0	0.0	0.8 ± 0.2	0.0	0.0	28.8 ± 0.3
Whitlockite/Monazite	42.6 ± 1.4	9.7 ± 2.2	0.2 ± 0.2	0.4 ± 0.3	0.6 ± 0.4	0.4 ± 0.2

Uncertainties are given as one standard deviation.

Significant changes in the fabrication process could also affect the tolerance of the form to impurities which in turn would alter the allowable PuO₂ feed specifications. The greater the deviation from thermodynamic equilibrium in the as-fabricated ceramic product, the greater the product properties are dependent on how the product was made. This trend would create a greater dependence on the control of processing variables that affect product properties. If the as-fabricated ceramic product is at or near thermodynamic equilibrium, however, only changes in feed composition, redox conditions during sintering, or sintering temperature could significantly alter the product phase assemblage.

The term “baseline fabrication process” as used here applies not only to the immobilization process, but also to the preparation of the precursor materials. The compositions of the precursors were given in Section 5.1.2. The mixing recipes and conditions for precursor preparation, uranium oxide, and plutonium oxide are given in Sections 5.3.1 and 5.3.2.

5.2.1. Ceramic Precursor Preparation

A commercial vendor will likely supply the oxide precursors to the Plutonium Immobilization Facility. The recommended precursor preparation process is shown in **Figure 5.2**. The recommended process consists of wet mixing/milling of the precursors, drying of the precursor

slurry, and pulverization (i.e. size reduction) of the dried clumps as necessary. A final calcination step is performed to partially react the precursor materials and to remove residual materials that would be volatile during the sintering process. Some flexibility in the preparation process may be desirable to allow the vendor to arrive at an optimally cost-effective process. Alternative preparation processes are acceptable, so long as an acceptable ceramic product can be made from the precursors that are supplied. The process recommended here has been used successfully numerous times on full-scale fabrications of Hf-Ce-Ce and Hf-Ce-U formulations (*i.e.*, ceramics in which cerium is used as an analog for plutonium or for both plutonium and uranium) and on several full-scale Hf-Pu-U fabrications. More details on the precursor requirements can be found in the report prepared by Herman [76].

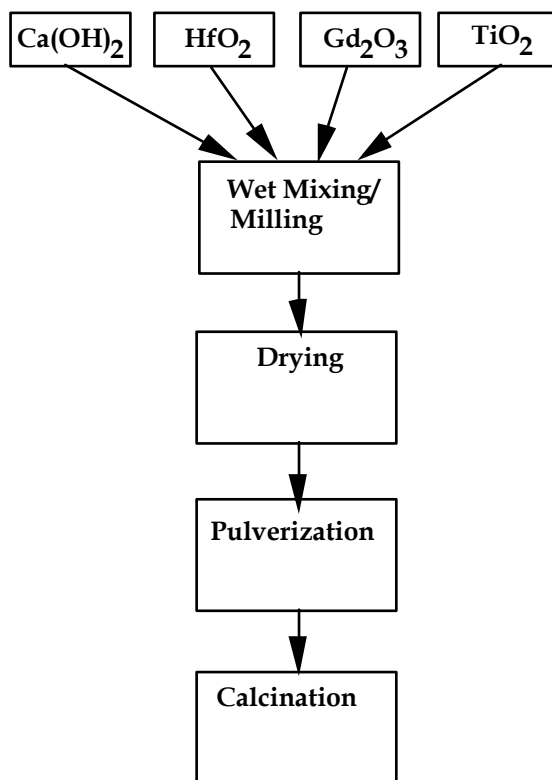


Figure 5.2 Recommended process for preparing ceramic precursors

Wet Mixing/Milling

In this step, the precursor feeds are weighed, mixed together, and ball milled wet for a minimum of 1 hour or until uniformly blended. To minimize contamination potentially incompatible with the ceramic form, the preference is to use a zirconia milling jar and zirconia grinding media. However, other milling jars (*e.g.*, alumina, porcelain, Teflon, and high density polyethylene) are routinely used and have been shown to produce an acceptable product.

Drying

In this step the wet slurry is transferred to a tray and dried in an oven at about 110°C overnight (approximately 16 hours). Convection drying is preferred over vacuum drying.

Pulverization

After the drying step, a friable cake is formed. This cake must be size-reduced to a granular and flowable powder. This pulverization step can be accomplished using a flake breaker followed by a disk pulverizer.

Calcination

Calcination is used to decompose compounds and impurities that would release gas during the sintering process and to partially react the precursor material. Volatile impurities include, but are not limited to, nitrates and volatile salts. Partially reacting the precursor and removing excess volatile materials reduces the likelihood of crack formation during sintering. The key to calcination is to heat-treat the powdered material at a temperature high enough such that volatile substances are released as gases, but low enough that most of the reactivity of the powder is retained. For this precursor composition, calcination between 700 and 800°C for 1 hour in air is recommended. If performed in a tray rather than a rotary calciner, the layer of powder should not be more than about 5 cm (2 inches) thick during calcinations to allow water and other volatile species to escape.

Processing of the precursors will be performed under appropriate quality assurance controls with limits placed on the acceptable impurities (See **Table 5.3**). After completion of all processing steps, the precursor materials will be packaged for shipment to the Plutonium Immobilization Facility. Appropriate packaging will be required so that no excess moisture or impurities can enter the containers.

5.2.2. Baseline Immobilization Process

The envisioned Process Flow Diagram for the Plutonium Immobilization Facility is shown in **Figure 5.3**. In summary, the process consists of milling/mixing the actinide oxide powders with commercially fabricated precursors, granulating (if needed) the milled/mixed powders, pressing the conditioned powders, and sintering the pressed pellets/pucks.

When the commercially supplied precursor is received at the Plutonium Immobilization Plant, it is anticipated that each lot of the vendor-supplied materials will be analyzed to ensure that the precursors are within acceptance specifications (See section 5.1.2.). Preliminary specifications have been written to cover the targeted chemical composition, phase assemblage, impurity limits, flowability constraints, moisture limits, and particle size of the precursor materials [76].

Acceptance specifications for the precursor materials will be similar to those currently in place for the glass frit used in the Defense Waste Processing Facility (DWPF). It is anticipated that no batch of precursor material will be processed in the Plutonium Immobilization Facility without first undergoing acceptance testing to ensure that an acceptable product can be made.

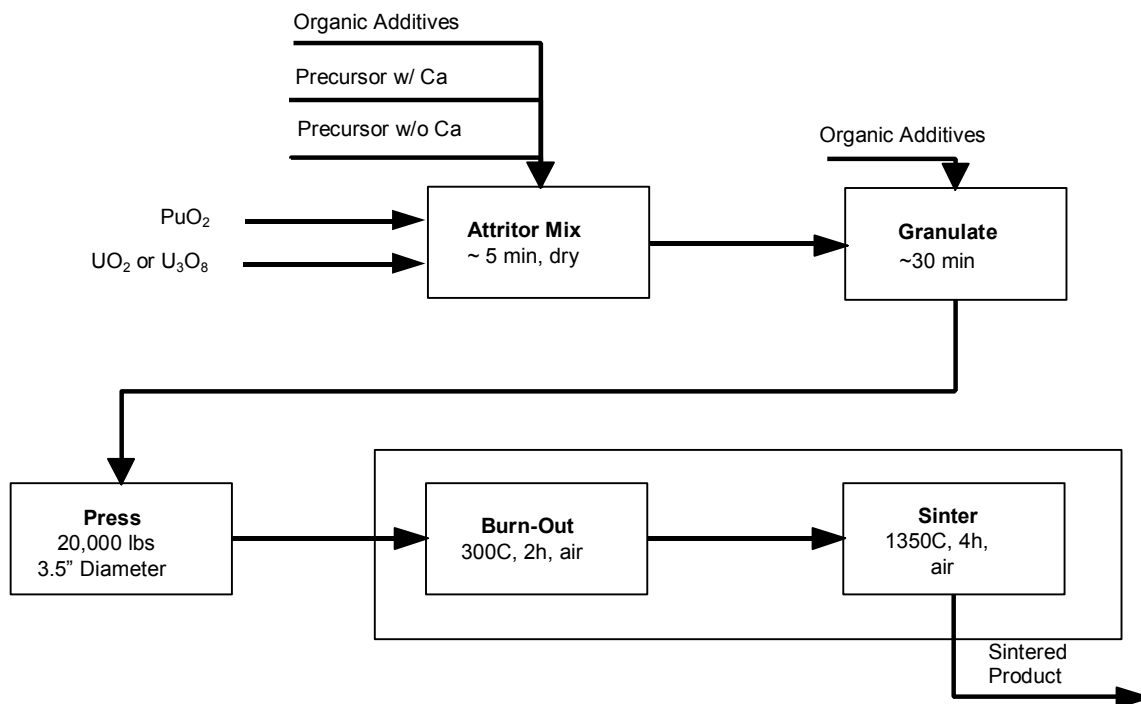


Figure 5.3 The ceramic immobilization process flow diagram

The current process flow diagram is based on receiving blended PuO_2 which has an impurity content which meets established limits. The PuO_2 powder will be less than 100 mesh since all feeds will be milled to less than 100 microns. The impurity content is controlled by operations in the Pu conversion operation and calcining feed materials at high temperatures in air. Batches of feed material will be prepared in a large batch blender, and samples will be taken to characterize the batch for impurities which can impact the process operations or repository acceptance of the ceramic product. In addition, the blending of the feed streams minimizes compositional variations and the effects of impurities on the plutonium immobilization ceramic associated with the incoming PuO_2 feed stream. The blended PuO_2 must meet the specifications in **Table 5.6** before it can be immobilized. Out-of-specification material will be reblended.

In addition to the PuO_2 feed and precursor, Uranium oxide (depleted or natural) will also be added in the process to fabricate the plutonium immobilization ceramic. The uranium oxide that is to be immobilized will most likely be from commercial fuel fabricators or from well-characterized excess DOE stock. The preferred form is UO_2 , but U_3O_8 is also acceptable.

Attritor Milling/Mixing

The attritor milling and mixing operation is critical for the preparation of the materials into a powder form in which the mixing of actinides and precursors on the sub-micron scale. This sub-micron mixing assures that the product ceramic will approach thermodynamic equilibrium (due to minimized reaction distances) provided the feed specifications are met for actinide feed and precursors and the product is sintered according to specifications. Note that, while milling and mixing occurs on a sub-micron scale, the actual particle size distribution of the powder that exits

the mill is much larger, being on the order of 5 microns due to clumping of the finely milled powder as it exits the mill.

The amounts of precursors and actinide oxides to be blended and milled will be determined by the equations given in Section 5.3. If the correct amounts of the specified precursors are blended with actinide oxide which also meets the feed specifications given in Section 5.1.2, acceptable phases will be produced. The range of acceptable phases are given in Section 5.1.3.

Studies to date have shown that blending of precursors and actinide oxides on a micro-scale is necessary to produce dense, fully reacted (approaching thermodynamic equilibrium), and high-integrity pellets. Of the options tested (V-blender, wet ball mill, dry ball mill, and attritor), the attritor has been shown to be the most favorable milling option to achieve micro-scale blending. The high energy of the attritor provides excellent mixing with minimal time required resulting in high product throughput and a high degree of product consistency.

The current equipment undergoing development and testing for the milling/mixing operation is an attritor mill manufactured by Union Process. The attritor mill is best described as a high-energy stirred ball mill. A rotating ribbed shaft stirs the media at high-speed, causing shearing and impact forces on the material, resulting in size reduction and dispersion. The high speed of the attritor mill imparts a large amount of energy to the feed powder. This high energy dramatically reduces the time required to mill--from hours down to minutes. Another advantage of the attritor mill is that milling/blending can be accomplished with a completely dry process which greatly simplifies follow-on process operations. The attritor mill is manufactured in various sizes to accommodate different feed batch sizes, and scale-up of the attritor mill has proven to be easily accomplished.

Due to the high efficiency of the mixing in the attritor, the two precursor feeds and the two actinide oxide feeds can be fed to the blending attritor as four separate feed streams. Additional macro-scale blending equipment will not be necessary. The attritor mill has also been shown to be highly effective at co-milling and mixing. Therefore, two process steps (*i.e.*, milling of the actinide oxides and mixing of the actinide oxides with the ceramic precursors) have been combined into one processing step using a single piece of equipment. In testing with uranium oxide, the use of only one attritor has been shown to be effective in achieving the desired actinide milling while simultaneously creating a micron-scale blended product with the precursors.

In operation, a discharge additive is necessary during the milling/mixing operations to assist with feed discharge from the mill. Currently, the baseline additive for this step is 8 wt. % Airvol 21-205 solution added to the precursor. The Airvol 21-205 additive is an approximately 21 wt % solution of polyvinyl alcohol in a balance of water. The precursor is dried before milling/mixing in the attritor mill. This results in about 1.6 wt % polyvinyl alcohol in the precursors, and the total amount of organic present is about 1.2 wt % after the actinide oxides have been added to the precursors.

Granulation

The milled and blended powder must be fed to a press to produce the ceramic pucks for subsequent sintering. To condition the powders for pressing, a granulation step is currently

being used on the blended powder. The purposes of the granulation step are to improve the powder flowability into the die set, to minimize dusting of the powder, and to assist with even filling of the die set. Granulation is currently being accomplished using a Gemco double cone blender or equivalent operating in a tumbling mode. While the blender is tumbling the milled powder, an organic additive (roughly 50 vol% Airvol 21-205/50 vol% water, equivalent to about 10 wt% polyvinyl alcohol/90 wt% water), is sprayed onto the fine powder. The amount of binder/water solution that is added to the powders is about 10 wt %. This additive causes the powder to agglomerate into larger particles. The combination of agglomeration coupled with the tumbling action of the blender produces an acceptable granular product with reduced dustiness and improved flow characteristics.

Pressing

The prepared powder must be pressed into the green ceramic puck shape prior to sintering. The baseline pressing process utilizes a nominal 8.89-cm (3.5-inch) diameter die for pressing the feed powders, which has been shown to produce the nominal sintered puck target diameter of 2.625" (+0.125, -0.225). The die size is subject to change, depending on feed impurities, amount of recycle, if any, and any variations in the powder treatment prior to pressing.

The press configuration is double-action pressing. This double-action pressing provides more even density distribution in the green pellet than a single-action press. This press configuration is expected to minimize cracking that can occur during the sintering operation.

The milled, blended, and granulated powder is pressed to form a green puck for sintering. The minimum pressure required will be that necessary to maintain green puck integrity and which also results in high-density pucks with the appropriate mineral phases and minimal porosity. With the current granulated powders being produced, the nominal force used is 62 kN (14,000 pounds force) or 13.8 MPa (2,000 psi) pressing pressure to produce an 8.89-cm (3.5-inch) diameter green puck. A dwell time of 10 seconds is currently used.

From the press, the green pucks will be transferred to the sintering furnaces using remote handling equipment. The transfer operation also includes a measurement step which verifies puck dimensions, weight, and green density for process control.

Binder Burn-out and Sintering

The baseline sintering schedule and temperature are defined as shown in **Figure 5.4**. This sintering cycle has been found to produce pucks of sufficiently high density such that the internal porosity is closed. However, 100% of theoretical density is also not desired since radiation damage will cause some swelling of the crystalline structure, and some residual porosity will be needed to help reduce swelling and micro cracking resulting from alpha-decay damage of the ceramic over time. The theoretical maximum density of the pucks has been calculated to be 5.91 g/cm³. Densities in excess of 90% of theoretical are normally achieved in prototype production operations.

The baseline sintering specification is 1350°C for four hours in air. Heating rate is currently about 5°C/minute, with a slower initial rate and hold at 300°C during heat-up to burn out the binder. Cooling rate is currently 5°C/minute or slower. The sintering time and temperature will

not change, but the ramp rates and binder burn-out schedule are still subject to change pending investigations with the full-scale furnace. The sintering atmosphere is air.

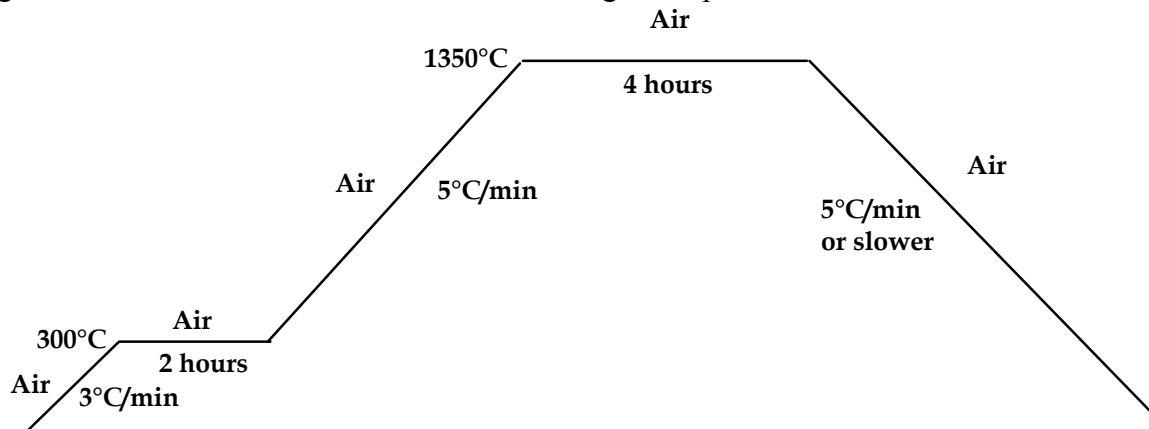


Figure 5.4 The overall baseline firing schedule

The parameters for the baseline burn-out schedule are given in **Table 5.10** and those for the baseline sintering schedule are given in **Table 5.11**. These processes are assumed to be performed sequentially to give the overall firing schedule shown in **Figure 5.4**. To reduce the cycle time in the Plutonium Immobilization Plant, it is currently assumed that the sintered samples will be removed before they cool completely to room temperature. The maximum temperature at which the sintered pucks can be removed will depend on the heat removal design of the processing equipment, but should be in the range of 100 to 200°C.

Table 5.10 Baseline burn-out schedule

	Start Temp.	End Temp.	Duration	Atmosphere
Segment	(°C)	(°C)	(min)	
1	30	300	90	Air
2	300	300	120	Air

Table 5.11 Baseline sintering schedule

	Start Temp.	End Temp.	Duration	Atmosphere
Segment	(°C)	(°C)	(min)	
1	300	1350	210	Air
2	1350	1350	240	Air
3	1350	300	210 or longer	Air

Further description of the fabrication process can be found in the draft System Design Description for Ceramic Immobilization [77].

5.3 Mixing Recipes

In the attritor mill, a relatively pure depleted or natural UO_2 feed will be blended with the relatively impure PuO_2 feed and the two precursor feed streams. The amount of UO_2 added to the PuO_2 will depend upon how much ^{238}U is already present in the PuO_2 feed. Depleted or natural UO_2 will be added to maintain a 2.17-to-1 molar ratio of U-to-Pu. ThO_2 impurity in the PuO_2 will be counted as $^{238}\text{UO}_2$ on a 1-to-1 molar basis. NpO_2 , $^{233}\text{UO}_2$, $^{235}\text{UO}_2$, and $\text{AmO}_{1.5}$ will be counted as PuO_2 on a 1-to-1 molar basis.

In the attritor, the actinide oxides will be blended with the two precursor feed streams. The relative amount of Precursor 2 is dependent upon the amount of calcium in the PuO_2 feed. The amount of Precursor 1 is dependent upon the amounts of plutonium and calcium in the blended PuO_2 feed. The three parameters needed are defined as follows:

X_{Ca} : Total mass of Ca in the PuO_2 feed

X_{NF} : Total mass of ^{238}U in the PuO_2 feed

X_{F} : Total mass of Pu in the PuO_2 feed
(Atomic mass of Pu is assumed to be 239.10 g/mol)

It is expected that the ^{235}U , Np, Pu, and Am masses will be determined by material control and accountability (MC&A) equipment after blending. The Th and ^{238}U contents will be determined either by MC&A or analytical sampling of the blended PuO_2 feed material. The Ca content will also need to be determined quantitatively. Note also that the amounts of all the other impurities do not affect the amounts of UO_2 , Precursor 1, or Precursor 2 that will be added to the processes. The result is that the impurities will be added over and above all the other components and will not be compensated for by varying the feed composition of any of the primary precursor constituents.

5.3.1 Attritor Mill/Mixer Recipe

The amount of depleted or natural UO_2 that will be added to the attritor mill/mixer is given by the **Eqn. (5.1)**.

$$(5.1) \quad W_{\text{UO}_2} = 2.4514X_{\text{F}} - 1.1344X_{\text{NF}}$$

Where: X_{F} and X_{NF} are defined above
 W_{UO_2} : Mass of UO_2 (depleted or natural) added to the attritor mill.

(If U_3O_8 is used instead of UO_2 , multiply W_{UO_2} by 1.0395 to get $W_{\text{U}_3\text{O}_8}$).

The amount of Precursor 1 and Precursor 2 that will be added to the attritor mill/mixer is given by the **Eqns. (5.2) and (5.3)**, respectively.

$$(5.2) \quad W_{\text{Precursor 1}} = 6.9415X_{\text{F}} - 9.7244X_{\text{Ca}}$$

Where: X_F and X_{Ca} are defined above

$$(5.3) \quad W_{\text{Precursor 2}} = 8.3252X_{Ca}$$

Where: X_F and X_{NF} are defined above.

$W_{\text{Precursor 1}}$: Mass of Precursor 1 (Ca-containing) to add to the attritor mill/mixer.

$W_{\text{Precursor 2}}$: Mass of Precursor 2 (Ca-free) to add to the attritor mill/mixer.

Precursor 1 is the primary component added to the attritor mixer/blender. Precursor 2 does not contain any calcium and is used to offset calcium that is present in the PuO_2 feed stream. All of the calculations given in **Eqns (5.1), (5.2), and (5.3)** will be performed automatically by the process control model.

For other actinides the above relationships are adjusted on a mole per mole bases using the appropriate molecular weights which are given in **Table 5.12**.

Table 5.12. Table of molecular weights

Element/ Isotope	Molecular Weight (g/mol)
Th	232.0380
Nat-U	238.0290
^{233}U	233.0396
^{235}U	235.0439
^{238}U	238.0508
Np	237.0480
Pu	239.1000
Am	241.0567

5.3.2 Calculated Ceramic Compositions

As an illustration of how **Eqns (5.1), (5.2), and (5.3)** are used, they are applied here to yield product compositions for the baseline formulation with three different PuO_2 feed streams as shown below.

- Clean PuO_2 with no impurities
- An overall average feed stream
- An extreme case of all the impurities

The compositions of the average and extreme feed streams which are expected to be received by the plant have been given in **Table 3.3**. The UO_2 that is added is assumed in this case to be from natural uranium. These impurity combinations are given in **Table 5.13** below. For simplicity, all the impurities have been grouped together. They are in the same ratio as given in **Table 3.3**. The

compositions given are those present before sintering. Some of the impurities (e.g., chlorine, fluorine, and zinc) are volatilized at the sintering temperature and will be partially or completely lost, thus reducing slightly the total quantity of impurities in the sintered product. As expected, the composition calculated for clean PuO_2 corresponds approximately to the baseline composition shown in **Table 5.1**. The slight deviation arises because the ^{235}U in the natural uranium is counted as plutonium. The calculated isotopic composition for the uranium and plutonium elements are given in **Table 5.14**. The isotopic composition for uranium is calculated from the data in **Table 3.1** and by the amount of natural uranium that is added. The isotopic composition for plutonium is calculated just by the data in **Table 3.1**.

Table 5.13 Calculated product compositions

	Clean PuO_2	Average Feed	Maximum All Feeds
Oxide	(wt %)	(wt %)	(wt %)
<i>Nonradioactive</i>			
CaO	9.502	9.107	7.652
TiO_2	37.830	36.273	30.637
Gd_2O_3	7.591	7.293	6.147
HfO_2	11.117	10.784	8.989
<i>Actinide</i>			
ThO_2	0.000	0.000	0.001
UO_2	23.321	22.779	19.310
NpO_2	0.000	0.061	0.182
PuO_2	10.639	9.459	7.640
Am_2O_3	0.000	0.253	0.221
<i>Impurity</i>			
Total *	0.000	3.990	19.222

*Assumes that impurities are not lost by volatilization

Table 5.14 Calculated isotopic compositions

	Clean PuO₂	Average Feed	Maximum All Feeds
Element/Isotope	(wt %)	(wt %)	(wt %)
<i>Uranium</i>			
²³⁵ U	0.700	2.478	3.306
²³⁸ U	99.300	97.522	96.694
<i>Plutonium</i>			
²³⁸ Pu	0.165	0.165	0.165
²³⁹ Pu	89.411	89.411	89.411
²⁴⁰ Pu	8.496	8.496	8.496
²⁴¹ Pu	1.600	1.600	1.600
²⁴² Pu	0.328	0.328	0.328

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Appendix A

List of Acronyms

ANL	Argonne National Laboratory
ANSTO	Australian Nuclear Science and Technology Organization
ANU	Australian National University
BEI	backscatter electron image
BNFL	British Nuclear Fuels Limited
BYU	Brigham Young University
CISAC	Committee on International Security and Arms Control
D&T	development and testing
DHLW	defense high-level waste
DOE	Department of Energy
DOE-DP	Department of Energy Office of Defense Programs
DOE-EM	Department of Energy Office of Environmental Management
DOE-MD	Department of Energy Office of Material Disposition
DOE-NE	Department of Energy Office of Nuclear Energy
DOE-NN	Department of Energy Office of Nuclear Nonproliferation
DOE-RW	Department of Energy Office of Radioactive Waste Management
DWPF	Defense Waste Processing Facility
EDS	energy dispersive spectroscopy
EPA	Environmental Protection Agency
FY	Fiscal Year
HIP	hot isostatic pressing
HLW	high-level waste
HUP	hot uniaxial pressing
KRI	Khlopin Radium Institute
LANL	Los Alamos National Laboratory
LLNL	Lawrence Livermore National Laboratory
MC&A	material control and accountability
MOX	mixed oxide (fuel)
MT	metric tonnes
NDE	nondestructive evaluation
NRC	Nuclear Regulatory Commission
OCRWM	Office of Civilian Radioactive Waste Management
ORNL	Oak Ridge National Laboratory
PIP	Plutonium Immobilization Program
PNNL	Pacific Northwest National Laboratory
RCRA	Resource Conservation and Recovery Act
REE	rare earth element
SEI	secondary electron image
SEM	scanning electron microscopy
SPFT	single-pass flow-through (test)
SRS	Savannah River Site
SRTC	Savannah River Technology Center
SYNROC	synthetic rock
TEM	transmission electron microscopy
TEP	technical evaluation panel
TRU	transuranic (waste)
UCD	University of California at Davis
WIPP	Waste Isolation Pilot Plant