

# Optimal Controller Design of Legless Piezo Capsubot Movement

Regular Paper

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**Abstract** This paper presents an optimal control method based on control effort minimization for a legless piezo capsobot. The capsobot is an underactuated nonlinear dynamics system which is driven by an internal impact force and friction. Here, the motion mechanism of the capsobot is divided into two stages. In the first stage, the aim is to design an optimal controller minimizing energy consumption. In the second stage, optimization is not an objective and instead a four-step strategy for inner mass of the capsobot is proposed. Then, based on the proposed motion strategy, a trajectory profile is given. Using this trajectory profile, the capsobot moves in the desired direction. To evaluate the performance of the proposed control scheme, a comparative study has been performed by means of simulation. Simulation results show that the proposed approach is promising as compared to the Open-Loop Control (OLC) approach and Close-Loop control (CLC) approach which are widely used in the literature for control of capsobots.

**Keywords** Minimum Effort, Optimal Control, Capsule Robot, Capsubot, Feedback Linearization

## 1. Introduction

Recently, due to enormous advances in science and technology, autonomous mobile robots have attracted

researchers' attention [1], [2], [3]. A capsobot is a type of autonomous mobile robot which can explore fields inaccessible to humans and transmit useful data for analysis [4]. In medical applications, some tiny capsules can be equipped with a miniature camera and can be taken down by the patient to diagnose diseases while causing less pain [5]. In general, two types of capsobots exist: legged capsobots and legless capsobots. The former has an external driving mechanism outside the capsule [6], [7] while the latter is driven by an internal impact force and friction [9], [10]. The disadvantage of the legged capsobot is the complex structure of its mechanism which makes the control difficult in rigorous environments [8]. On the other hand, the legless capsobot has a simple motion structure and can be positioned precisely in a complex environment. While the problem of the design and driving methods of the legless capsobot have received the attention of the researchers, the problem of optimization and control of the legless capsobot has not received the attention it deserves [4]. Optimal control is playing an increasingly important role in the design of modern systems. This method aims to maximize the system efficiency and minimize the predesigned cost function [11].

A seven-step motion strategy in control of capsobot systems is proposed in [4]. With this strategy, three control approaches are investigated: the Open-Loop

Control (OLC) approach, the Closed-Loop Control (CLC) approach using the partial feedback linearization technique and a Simple Switch Control (SSC) approach. Moreover, a variable structure control of a capsbot system is proposed in [5]. In [12], positioning control of a capsbot using sliding mode control is designed because of its robustness to parameter changes. An iterative learning control scheme was designed for a capsbot in [13] and a classic model predictive control approach for a time delay capsbot system is presented in [14] which uses a linearized model for predicting system behaviour. In [15], a four-step acceleration profile for the inner mass of a capsbot system is proposed.

In this paper, the modelling problem of a legless capsbot is investigated. Then optimal control is used to reduce the energy consumption in the capsbot motion. Based on the cost function considered for the optimal control strategy, we can see that less energy is consumed as compared to the OLC and CLC approaches in [4]. In addition, the input force is smoother than the variable structure control in [5], sliding mode control in [12], iterative learning control in [13] and the model predictive control approach in [14].

The remainder of this paper is organized as follows.

Section 2 gives a dynamic model of the capsbot. In Section 3 the motion mechanisms and idea of control are proposed. Controller design is proposed in Section 4. In Section 5 simulation results and the test results are presented. Section 6 concludes the paper.

## 2. Capsbot dynamics model

The schematic of the capsbot system is shown in Fig.1.

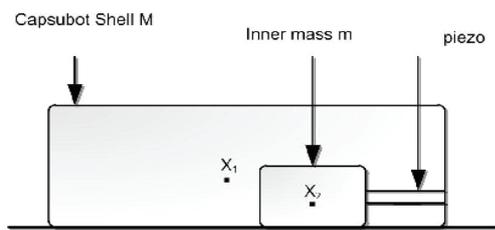


Figure 1. Structure schematic of the legless scapsbot system.

This capsbot has three main parts: the first main part is the capsbot shell with mass  $M$  and position  $x_1$ , the second main part is the inner mass with mass  $m$  and position  $x_2$  and the third main part is the piezoelectric element which generates propulsion force  $u$ . This force acts between the capsbot shell and the inner mass. In order to discern the static friction and kinetic friction in the model, two friction parameters are defined,  $\mu_{1s}$  is the static friction coefficient,  $\mu_{1k}$  is the kinetic friction coefficient between the capsbot shell and the ground,

$\mu_{2s}$  represents the coefficient of the static friction,  $\mu_{2k}$  represents the coefficient of the kinetic friction between the capsbot shell and the inner mass [13].

Using Newton's second law, the following relation is obtained

$$\begin{aligned} F_M = M\ddot{x}_1 \Rightarrow \\ u - \mu_{1k}(M+m).g.\text{sign}(\dot{x}_1) \\ + \mu_{2k}m.g.\text{sign}(\dot{x}_2 - \dot{x}_1) = M\ddot{x}_1 \end{aligned} \quad (1)$$

The inner mass of the capsbot is obtained in a similar way

$$\begin{aligned} F_m = m\ddot{x}_2 \Rightarrow \\ m\ddot{x}_2 + \mu_{2k}m.g.\text{sign}(\dot{x}_2 - \dot{x}_1) = -u \end{aligned} \quad (2)$$

where  $g$  is the acceleration due to gravity. The argument  $t$  in  $x(t)$  is dropped for brevity.

Substituting (2) in (1) and rewriting it gives

$$M\ddot{x}_1 + m\ddot{x}_2 + \mu_{1k}(M+m).g.\text{sign}(\dot{x}_1) = 0 \quad (3)$$

Therefore

$$\begin{cases} M\ddot{x}_1 + m\ddot{x}_2 + \mu_{1k}(M+m).g.\text{sign}(\dot{x}_1) = 0 \\ m\ddot{x}_2 + \mu_{2k}m.g.\text{sign}(\dot{x}_2 - \dot{x}_1) = -u = \tau \end{cases} \quad (4)$$

The legless capsbot is an underactuated system because it has one control input generated by the piezoelectric part while the legless capsbot has two variables - the capsbot shell position and the inner mass position have to be controlled. Therefore, we obtain the state space equations of the system as

$$\begin{aligned} x_1 = y_1 \Rightarrow \dot{x}_1 = \dot{y}_1 = y_2, \dot{x}_1 = y_2 \\ x_2 = y_3 \Rightarrow \dot{x}_2 = \dot{y}_3 = y_4, \dot{x}_2 = y_4 \end{aligned} \quad (5)$$

$$\begin{cases} \dot{y}_1 = y_2 \\ \dot{y}_2 = -\frac{\mu_{1k}}{M}(M+m).g.\text{sign}(y_2) \\ \quad + \frac{\mu_{2k}}{M}m.g.\text{sign}(y_4 - y_2) + \frac{1}{M}u \\ \dot{y}_3 = y_4 \\ \dot{y}_4 = -\mu_{2k}g.\text{sign}(y_4 - y_2) - \frac{1}{m}u \end{cases}$$

## 3. Motion mechanism

In this section, the motion mechanism of the capsbot is presented. Here, the motion mechanism of the capsbot is divided into two stages. In the first stage, the fast backward motion of the inner mass leads to forward motion of the capsbot. In the second stage, the slow forward motion of the inner mass leads to no change in capsbot movement. The aim of this paper is to design an

optimal controller which minimizes energy consumption in the first stage of the motion mechanism.

### 3.1 Capsubot forward motion mode, $t \in [0; t_2]$

In this stage, the main goal of the capsubot is to move forward. In this mode, two phases are defined.

*Phase I:* In this phase, acceleration of the capsubot is positive.

*Phase II:* In this phase, acceleration of the capsubot is negative.

1. *Phase I,  $t \in [0, t_1]$ :* In this phase, acceleration of the capsubot is positive. To simplify, assume that  $y_2 = \alpha t + f_1(t)$ , where  $\alpha$  is the acceleration. In order to optimize the energy consumption, we consider the following cost function in this phase:

$$J_1 = \int_0^{t_1} \left[ \left( \frac{1}{2} u(t) \right)^2 + (y_2 - \alpha t)^2 \right] dt \Rightarrow$$

$$J_1 = \int_0^{t_1} \left[ \left( \frac{1}{2} u(t) \right)^2 + (y_2 - f_1(t))^2 \right] dt$$

2. *Phase II,  $t \in [t_1; t_2]$ :* In this phase, acceleration of the capsubot is negative. To simplify, we assume that  $y_2 = -\beta t + \gamma + f_2(t)$ , where  $\beta$  is acceleration and  $\gamma$  is the velocity at  $t_1$ . In order to optimize energy consumption, we consider the following cost function in this phase:

$$J_2 = \int_{t_1}^{t_2} \left[ \left( \frac{1}{2} u(t) \right)^2 + (y_2 + \beta t - \gamma)^2 \right] dt \Rightarrow$$

$$J_2 = \int_{t_1}^{t_2} \left[ \left( \frac{1}{2} u(t) \right)^2 + (y_2 - f_2(t))^2 \right] dt$$

We assume that the capsubot velocity is zero at the end of this phase ( $t = t_2$ ).

### 3.2 Stationary mode, $t \in [t_2; t_3]$ :

In this stage, optimization is not an objective; however, the following conditions are satisfied:

- Inner mass returns to the initial condition.
- Capsubot during this mode does not move.

This mode includes four phases as follows [5]:

*Phase I,  $t \in [t_2; t_{21}]$ :* In this phase,  $\dot{y}_3 < 0$  and  $0 < \dot{y}_4 \leq a_m$  which indicates small backward decelerated motion of the inner mass.

*Phase II,  $t \in [t_{21}; t_{22}]$ :* In this phase,  $\dot{y}_3 > 0$  and  $0 < \dot{y}_4 \leq a_m$  which means small forward accelerated motion of the inner mass.

*Phase III,  $t \in [t_{22}; t_{23}]$ :* In this phase,  $\dot{y}_3 = v_0$  and  $\dot{y}_4 = 0$  which illustrates uniform motion of the inner mass with a small velocity.

*Phase IV,  $t \in [t_{23}; t_3]$ :* In this phase,  $\dot{y}_3 > 0$  and  $-a_m \leq \dot{y}_4 < 0$  which means small forward accelerated motion of the inner mass.

$a_m$  is the maximal acceleration of the inner mass where the capsubot shell remains stationary. Here,  $V_0$  and  $a_m$  in the stationary mode have been calculated in [4].

## 4. Control design

The sign-function used in (5) is not continuous which creates difficulties in differentiation and solving the optimal control problem. Therefore, we use the function  $\tanh(y/\varepsilon)$ ,  $\varepsilon \rightarrow 0$ , instead.

A control input  $u$  is chosen so that it minimizes the following objective function:

$$J(u) = h(y(t_f), t_f) + \int_{t_0}^{t_f} g(y(t), u(t), t) dt$$

where  $t_0$  and  $t_f$  are the initial and final time;  $h$  and  $g$  are scalar functions.

The system state equations are as follows:

$$\dot{y}(t) = a(y(t), u(t), t)$$

Using the Hamiltonian definition [11] we can write

$$H(y(t), u(t), p(t), t) = g(y(t), u(t), t) + p^T(t) [a(y(t), u(t), t)] \quad (6)$$

where  $p(t)$  is a vector of costate variables of the same dimension as the state variables  $y(t)$ , and costate variables are part of the solution.

Using (5) and (6) we can obtain

$$\lim_{\varepsilon \rightarrow 0} H(y(t), u(t), p(t), t) = \frac{1}{2} u^2(t) + (y_2 - f_1(t))^2 + p_1(t) y_2 + p_2(t) \left\{ -\frac{\mu_{1k}}{M} (M + m) g \cdot \tanh\left(\frac{y_2}{\varepsilon}\right) + \frac{\mu_{2k}}{M} m g \cdot \tanh\left(\frac{y_4 - y_2}{\varepsilon}\right) + \frac{1}{M} u \right\} + p_3(t) y_4 + p_4(t) \left\{ -\mu_{2k} g \cdot \tanh\left(\frac{y_4 - y_2}{\varepsilon}\right) - \frac{1}{m} u \right\} \quad (7)$$

$$i = 1, 2$$

The necessary condition for optimality can be obtained as

$$\begin{cases} \dot{y}^*(t) = \frac{\partial H}{\partial p}(y^*(t), u^*(t), p^*(t), t) \\ \dot{p}^*(t) = -\frac{\partial H}{\partial y}(y^*(t), u^*(t), p^*(t), t) \\ 0 = \frac{\partial H}{\partial u}(y^*(t), u^*(t), p^*(t), t) \end{cases} \quad (8)$$

where  $(t \in [t_0; t_f])$  boundary conditions are as follows:

$$\begin{aligned} & \left[ \frac{\partial h}{\partial y}(y^*(t_f), t_f) - p^*(t_f) \right]^T \delta y_f \\ & + [H(y^*, u^*, p^*, t_f) + \frac{\partial h}{\partial t}(y^*(t_f), t_f)] \delta t_f = 0 \end{aligned} \quad (9)$$

Therefore, the necessary conditions and boundary conditions for the first stage are obtained as follows:

$$\begin{cases} \dot{y}_1^* = y_2^* \\ \dot{y}_2^* = -\frac{\mu_{1k}}{M}(M+m)g \cdot \tanh\left(\frac{y_2^*}{\varepsilon}\right) \\ \quad + \frac{\mu_{2k}}{M}mg \cdot \tanh\left(\frac{y_4^* - y_2^*}{\varepsilon}\right) + \frac{1}{M}u \\ \dot{y}_3^* = y_4^* \\ \dot{y}_4^* = -\mu_{2k}g \cdot \tanh\left(\frac{y_4^* - y_2^*}{\varepsilon}\right) - \frac{1}{m}u \end{cases} \quad (10)$$

Hence, we let

$$\begin{aligned} \dot{p}_1^*(t) &= -\frac{dH}{dy_1} = 0 \\ \dot{p}_2^*(t) &= -\frac{dH}{dy_2} = -p_1^*(t) - 2 \times (y_2 - f_1(t)) \\ & + p_2^*(t) \times \frac{\mu_{1k}}{M}(M+m)g \times \frac{1}{\varepsilon(1 + \cosh^2(\frac{y_2}{\varepsilon}))} \\ & - p_2^*(t) \times \frac{\mu_{2k}}{M}mg \times \frac{-1}{\varepsilon(1 + \cosh^2(\frac{y_4 - y_2}{\varepsilon}))} \\ & + p_4^*(t) \times \mu_{2k}g \times \frac{-1}{\varepsilon(1 + \cosh^2(\frac{y_4 - y_2}{\varepsilon}))} \\ \dot{p}_3^*(t) &= -\frac{dH}{dy_3} = 0 \\ \dot{p}_4^*(t) &= -\frac{dH}{dy_4} = \\ & -p_2^*(t) \times \frac{\mu_{2k}}{M}mg \times \frac{-1}{\varepsilon(1 + \cosh^2(\frac{y_4 - y_2}{\varepsilon}))} \\ & - p_3^*(t) + p_4^*(t) \times \mu_{2k}g \times \frac{-1}{\varepsilon(1 + \cosh^2(\frac{y_4 - y_2}{\varepsilon}))} \\ \frac{dH}{du} &= u^* + p_2^*(t) \times \frac{1}{M} - p_4^*(t) \times \frac{1}{m} = 0 \\ \Rightarrow u^* &= p_4^*(t) \times \frac{1}{m} - p_2^*(t) \times \frac{1}{M} \\ \left[ \frac{\partial h}{\partial y}(y^*(t_f), t_f) - p^*(t_f) \right]^T \delta y_f \\ & + [H(y^*, u^*, p^*, t_f) + \frac{\partial h}{\partial t}(y^*(t_f), t_f)] \delta t_f = 0 \\ i &= 1, 2 \end{aligned}$$

The above nonlinear equations are solved by numerical methods (run in MATLAB Ver.7.12.0.635).

In stage 2, the partial feedback linearization control approach is used [4]. So, in stationary mode the desired velocity of the inner mass ( $\dot{y}_{3d}(t)$ ) can be written as [5]:

$$\dot{y}_{3d}(t) = y_{4d}(t) = \begin{cases} \frac{v_1(t-t_{21})}{t_{21}-t_{22}} & t \in [t_2, t_{21}) \\ \frac{v_0(t-t_{21})}{t_{22}-t_{21}} & t \in [t_{21}, t_{22}) \\ v_0 & t \in [t_{22}, t_{23}) \\ \frac{v_0(t_3-t)}{t_3-t_{23}} & t \in [t_{23}, t_3) \end{cases}$$

For calculating the parameters in the desired velocity profile, we have

- $v_1$  and  $t_{21}$ :

Due to the continuity in the velocity of the inner mass, we can write

$$\frac{v_1(t-t_{21})}{t_{21}-t_{22}} \Big|_{t=t_2} = \dot{y}_3(t_2) \Rightarrow v_1 = -\dot{y}_3(t_2)$$

and

$$\frac{d}{dt} \left( \frac{v_1(t-t_{21})}{t_{21}-t_{22}} \right) = a_m \Rightarrow t_{21} = \frac{1}{a_m} (v_1 + a_m t_2)$$

- $t_{22}$ :

$$\frac{d}{dt} \left( \frac{v_0(t-t_{21})}{t_{22}-t_{21}} \right) = a_m \Rightarrow t_{22} = \frac{1}{a_m} (v_0 + a_m t_{21})$$

- $t_3$  and  $t_{23}$ :

$$\frac{d}{dt} \left( \frac{v_0(t_3-t)}{t_3-t_{23}} \right) = -a_m \Rightarrow t_3 = \frac{1}{a_m} (v_0 + a_m t_{23})$$

In this phase, the maximal deceleration ( $-a_m$ ) is used.

The initial and final velocity of the inner mass are  $\omega_0$  and zero respectively. In phase II, the maximal acceleration ( $a_m$ ) is used. The initial and final velocity of the inner mass are zero and  $v_0$  respectively. So we can write

$$t_3 - t_{33} = t_{32} - t_{31} \Rightarrow t_{33} = t_3 - t_{32} + t_{31}$$

So, from (5), we can write

$$\begin{aligned} \dot{y}_4 &= -\frac{1}{m}u - \mu_{2k}g \cdot \text{sign}(y_4 - y_2) \Rightarrow \\ \dot{y}_4 &= -\frac{1}{m}u - \mu_{2k}g \cdot \text{sign}(\dot{y}_3 - \dot{y}_1) \end{aligned} \quad (11)$$

Using the partial feedback linearization control approach, the control law can be selected as follows [16]:

$$u = \alpha \tau' + \beta \quad (12)$$

where  $u$  is the input force. We choose

$$\begin{aligned} \alpha &= -m \\ \beta &= -\mu_{2k} m \cdot g \cdot \text{sign}(\dot{x}_2 - \dot{x}_1) \end{aligned}$$

Let  $E = y_3 - y_{3d}$  be the tracking error; choosing

$$\begin{aligned} \tau' &= \dot{y}_{4d} - k_v(\dot{y}_3 - \dot{y}_{3d}) - k_p(y_3 - y_{3d}) \Rightarrow \\ \tau &= \dot{y}_{4d} - k_v(y_4 - y_{4d}) - k_p(y_3 - y_{3d}) \end{aligned} \quad (13)$$

Applying (13) to (11) gives the error equation; it is quite easy to show that the closed-loop system is characterized by the error equation

$$\ddot{E} + k_v \dot{E} + k_p E = 0 \quad (14)$$

The value of  $k_v$  and  $k_p$  can be selected such that the inner mass tracks the desired trajectory profile.

### 5. Simulation results

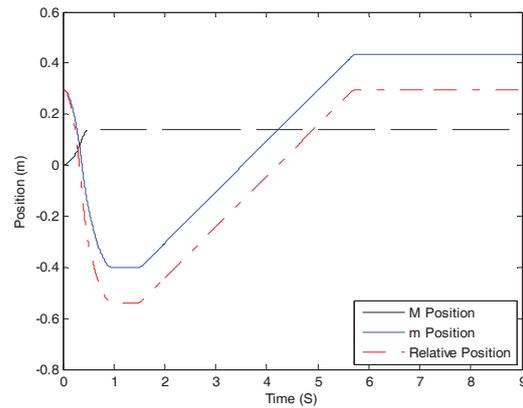
In this section, capsbot movement is simulated in two cases. In Case 1, Open-Loop Control (OLC) and Close-Loop Control (CLC) are used with parameters given in [14] and in Case 2, the proposed optimal control strategy is used. In this paper, it is supposed that the static friction coefficient is about twice the kinetic friction coefficient. Thus,  $\mu_{1s}=2\mu_{1k}$  and  $\mu_{2s}=2\mu_{2k}$  are used in the model for simulation [13]. The simulation is carried out using MATLAB Ver.7.12.0.635 for  $T_s=1ms$ . The parameters used for the optimal control approach in this paper are given in Table 1 where the common parameters are taken from [14].

$M(\text{kg})$ Capsbot mass	$m(\text{kg})$ Inner mass	$\mu_{1s}(\text{N/m/s})$	$\mu_{2s}(\text{N/m/s})$
0.9	0.6	0.166	0.016
$a_m(\text{m/s}^2)$	$v_0(\text{m/s})$	$v_1(\text{m/s})$	$t_1(\text{s})$
2.034	0.2	0.7343	0.4
$t_2(\text{s})$	$t_{21}(\text{s})$	$t_{22}(\text{s})$	$t_{23}(\text{s})$
0.572	0.933	1.01314	3.2
$t_3(\text{s})$	$\alpha(\text{m/s}^2)$	$\beta(\text{m/s}^2)$	$\gamma(\text{m/s})$
3.2983	0.55	-1.4544	0.71267

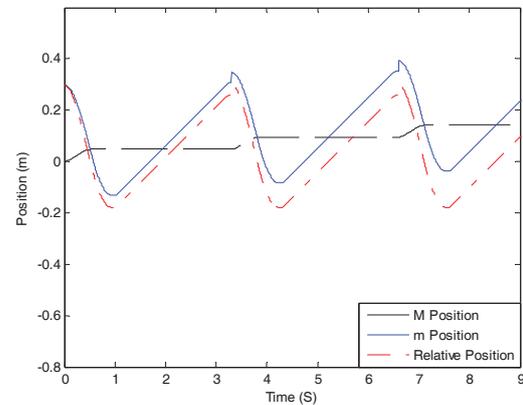
**Table 1.** Parameters of the simulated capsbot system.

To compare the OLC, CLC [4], and the optimal control approaches with each other, we must consider the same displacement for them. Because the capsbot displacement in the OLC and CLC approaches are the same, we use a figure to depict displacement of the capsbot in CLC and OLC. So Fig. 2 shows the capsbot motion in one cycle using OLC and CLC, and Fig. 3 shows the capsbot motion in three cycles using optimal control.

As depicted in Fig. 2 and Fig. 3, the amount of displacement using the OLC and CLC approaches are equal to the optimal control approach in the same time interval.

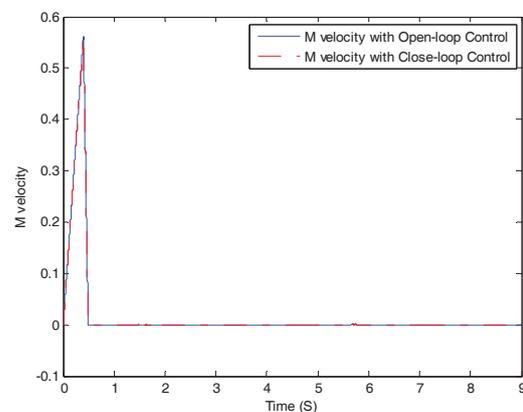


**Figure 2.** Trajectories of the capsbot positions in one cycle using OLC and CLC.

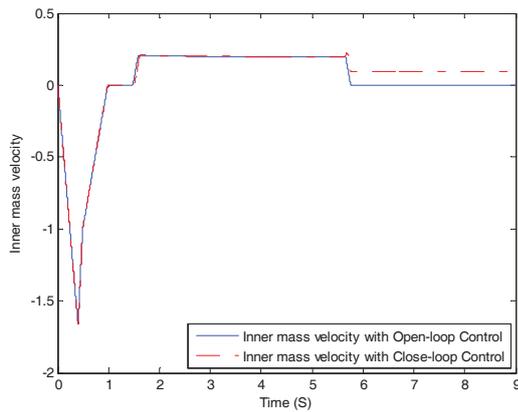


**Figure 3.** Trajectories of the capsbot positions in three cycles using optimal control.

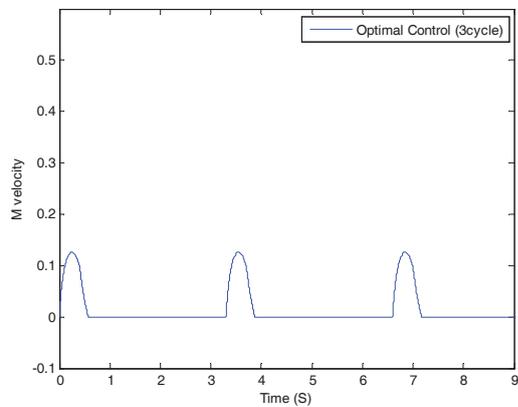
Fig. 4 and Fig. 5 illustrate the capsbot velocity and the inner mass velocity respectively using both OLC and CLC approaches. Fig. 6 and Fig. 7 show the capsbot velocity and the inner mass velocity respectively using optimal control.



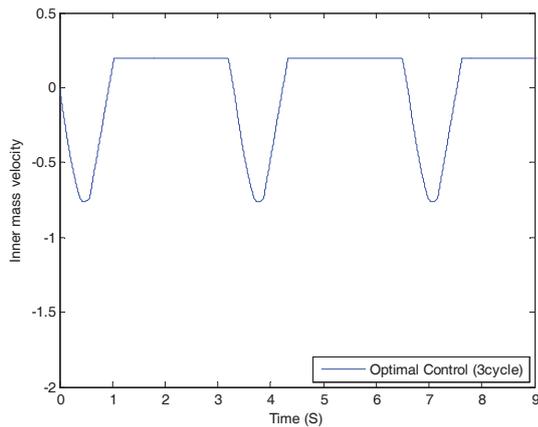
**Figure 4.** The capsbot velocity in one cycle using OLC and CLC.



**Figure 5.** The inner mass velocity in one cycle using OLC and CLC.



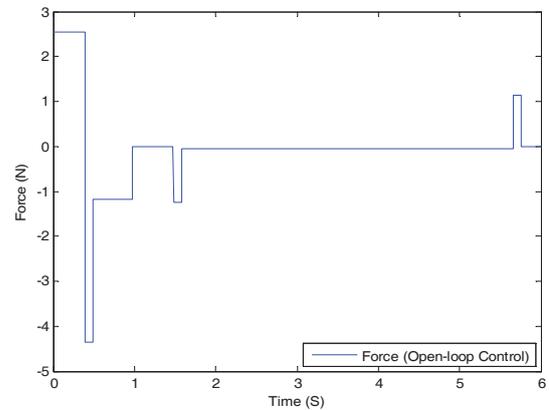
**Figure 6.** The capsbot velocity in three cycles using optimal control.



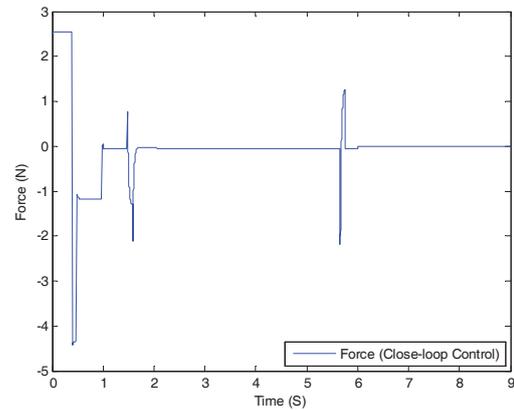
**Figure 7.** The inner mass velocity in three cycles using optimal control.

Comparing the performances of these three controllers for the same displacement, it is obvious that the capsbot and the inner mass velocities using the optimal control approach are smoother than the OLC and CLC approaches. In addition, the absolute peaks in the former approaches are more than the latter one.

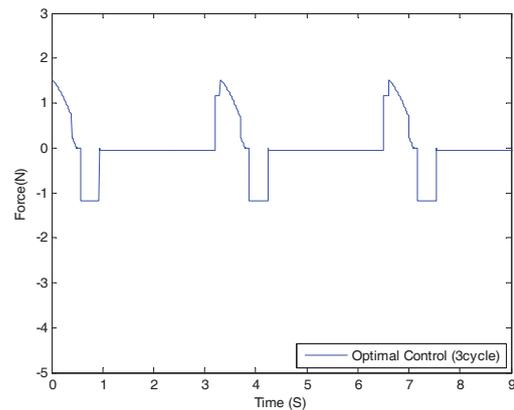
The forces generated by piezoelectric element in OLC, CLC and optimal control are shown in Fig.8, Fig.9 and Fig.10, respectively.



**Figure 8.** Force used in the OLC in one cycle.



**Figure 9.** Force used in the CLC in one cycle.



**Figure 10.** Force used in the optimal control in three cycles.

Energy consumption can be calculated as follows:

$$W = \int_0^{L_f} |F| \cdot dl \approx \sum_{i=0}^N |F_i| \cdot \Delta l_i$$

where  $L_f$  is final position of the capsbot. We can see that, energy consumption for the same displacement in the OLC, CLC and optimal control approaches are 0.3968J, 0.3932J and 0.1363J, respectively. Additionally, the absolute peak of force input in OLC is 4.35N, in CLC is 4.4158N and in optimal control is 1.5188N. So we can use a cheaper piezoelectric element as an actuator.

## 6. Conclusions

This paper presented an optimal control approach for the capsbot system. Simulation results show a significant improvement in smoothness and energy consumption in the proposed approach leading to selection of cheaper piezoelectric element as an actuator.

Further work on this field includes robust control with parameter uncertainty and disturbance, adaptive control with extended parameter uncertainty, and testing of the device in a real complex environment.

## 7. References

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