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Continuum-wise expansive diffeomorphisms and conservative systems

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Abstract

We prove that C^1 -generically, continuum-wise expansive diffeomorphisms satisfy both Axiom A and the no-cycle condition. Moreover, (i) if a volume-preserving diffeomorphism belongs to the C^1 -interior of the set of all continuum-wise expansive volume-preserving diffeomorphisms then it is Anosov, and (ii) C^1 -generically, every continuum-wise expansive volume-preserving diffeomorphism is transitive Anosov.

MSC: 37C20; 37D20

Keywords: Axiom A; expansive; continuum-wise expansive; Anosov; transitive; generic property

1 Introduction

Let $\text{Diff}(M)$ be the space of diffeomorphisms of closed C^∞ -manifolds M endowed with the C^1 -topology, and let d denote the distance on M induced from a Riemannian metric $\|\cdot\|$ on the tangent bundle TM . In dynamical systems, expansivity is a useful notion to study of the stability. Roughly speaking, if two points stay near for future and past iterates, then they must be equal. We say that f is *expansive* if there is $e > 0$ such that for any pair of distinct points $x, y \in M$, $d(f^n(x), f^n(y)) > e$ for some $n \in \mathbb{Z}$. The number $e > 0$ is called an *expansive constant* for f .

For a point $x \in M$, we say that x is a *non-wandering point* if for any neighborhood U of x , there is $n \in \mathbb{Z}$ such that $f^n(U) \cap U \neq \emptyset$. Denote by $\Omega(f)$ the set of all non-wandering points of f . It is clear $\overline{P(f)} \subset \Omega(f)$, where $P(f)$ is the set of the periodic points of f , and $\overline{P(f)}$ is the closure of $P(f)$. We say that f satisfies *Axiom A* if $\Omega(f) = \overline{P(f)}$ is hyperbolic. We say that f is *quasi-Anosov* if for any $v \in TM$ ($v \neq 0$) the set $\{\|Df^n(v)\| : n \in \mathbb{Z}\}$ is unbounded. It follows that f satisfies Axiom A.

For expansivity, in [1], Mañé showed that a diffeomorphism belongs to the C^1 -interior of the set of all expansive diffeomorphisms if and only if f is quasi-Anosov.

In this paper, we study the notion of continuum-wise expansivity which was introduced by Kato in [2]. Let Λ be a closed set of M . A set Λ is *nondegenerate* if the set Λ is not reduced to one point. We say that $\Lambda \subset M$ is a *subcontinuum* if it is a compact connected nondegenerate subset Λ of M . A diffeomorphism f on M is said to be *continuum-wise expansive* if there is a constant $e > 0$ such that for any nondegenerate subcontinuum A there is an integer $n = n(A)$ such that $\text{diam} f^n(A) \geq e$, where $\text{diam } S = \sup\{d(x, y) : x, y \in S\}$ for any subset S of M . Such a constant α is called a *continuum-wise expansive constant* for f . Note that every expansive homeomorphism is continuum-wise expansive diffeomorphism, but

its converse is not true (see [3, Example 3.5]). For diffeomorphisms, we introduce an example. It is well known that S^2 does not admit an expansive diffeomorphism, but it admits a continuum-wise expansive diffeomorphisms (see [4]).

2 Continuum-wise diffeomorphisms

Let M be as before, and let $f \in \text{Diff}(M)$. Denote by $\mathcal{E}(M)$ and $\mathcal{CW}\mathcal{E}(M)$ the set of all expansive diffeomorphisms and the set of all continuum-wise expansive diffeomorphisms, respectively. Sakai [5] proved that $f \in \mathcal{CW}\mathcal{E}(M)$ if and only if the diffeomorphism is quasi-Anosov. By Mañé's result [1], we know the following.

Theorem 2.1 *The C^1 -interior of $\mathcal{CW}\mathcal{E}(M)$ coincides with the C^1 -interior of $\mathcal{E}(M)$.*

We say that Λ is *transitive set* if there is a point $x \in \Lambda$ such that $\omega_f(x) = \Lambda$, where $\omega_f(x)$ is the ω -limit set of x . Let $\Lambda \subset M$ be an f -invariant closed set. We say that Λ admits a *dominated splitting* if the tangent bundle $T_\Lambda M$ has a continuous Df -invariant splitting $E \oplus F$ and there exist constants $C > 0$ and $0 < \lambda < 1$ such that

$$\|D_x f^n|_{E(x)}\| \cdot \|D_x f^{-n}|_{F(f^n(x))}\| \leq C\lambda^n$$

for all $x \in \Lambda$ and $n \geq 0$. Recently, Lee [6] showed that if a transitive set Λ is C^1 -stably continuum-wise expansive then it admits a dominated splitting.

A subset $\mathcal{R} \subset \text{Diff}(M)$ is called *residual* if it contains a countable intersection of open and dense subsets of $\text{Diff}(M)$. A dynamic property is called C^1 -*generic* if it holds in a residual subset of $\text{Diff}(M)$. We use the terminology for C^1 -*generic* f to express *there is a residual subset $\mathcal{R} \subset \text{Diff}(M)$, and $f \in \mathcal{R}$.*

Recently, in [7], Arbieto proved that for C^1 -generic $f \in \text{Diff}(M)$, f is expansive then f is Ω -stable, that is, obeys Axiom A and the no-cycle condition. We stated the above fact.

Theorem 2.2 *For C^1 -generic f , if f is expansive then f satisfies both Axiom A and the no-cycle condition.*

In this spirit, we show that C^1 -generically, every continuum-wise expansive diffeomorphism satisfies both Axiom A and the no-cycle condition. This is a generalization of the remarkable result in [7].

Theorem A *For C^1 -generic f , if f is continuum-wise expansive then f satisfies both Axiom A and the no-cycle condition.*

3 Continuum-wise volume-preserving diffeomorphisms

Let M be a closed C^∞ Riemannian manifold endowed with a volume form ω . Let μ denote the Lebesgue measure associated to ω , and let d denote the metric induced on M by the Riemannian structure. Denote by $\text{Diff}_\mu(M)$ the set of diffeomorphisms which preserves the Lebesgue measure μ endowed with the Whitney C^1 -topology. Note that in volume-preserving diffeomorphisms, the non-wandering set $\Omega(f) = M$ by recurrent theorem. We say that Λ is *hyperbolic* if the tangent bundle $T_\Lambda M$ has a Df -invariant splitting $E^s \oplus E^u$ and there exist constants $C > 0$ and $0 < \lambda < 1$ such that

$$\|D_x f^n|_{E_x^s}\| \leq C\lambda^n \quad \text{and} \quad \|D_x f^{-n}|_{E_x^u}\| \leq C\lambda^n$$

for all $x \in \Lambda$ and $n \geq 0$. Moreover, if $\Lambda = M$ then f is Anosov. Note that f is Anosov then f is expansive, and so, f is continuum-wise expansive. In [8], Bessa *et al.* proved that a volume-preserving diffeomorphism belongs to the C^1 -interior of the set of all expansive volume-preserving diffeomorphisms if and only if it is Anosov. For the another conservative cases, that is, geodesic flow and a Hamiltonian system, Bessa *et al.* have shown in [9] that if a Hamiltonian system belongs to the C^2 -interior of the set of all expansive Hamiltonian systems then it is Anosov. And Ruggiero [10] showed that if a geodesic flow belongs to the C^1 -interior of the set of all expansive geodesic vector fields then it is Anosov.

Let $\mathcal{CWE}_\mu(M)$ be the set of all continuum-wise expansive volume-preserving diffeomorphisms. In this paper, we study the continuum-wise expansive case, and if f belongs to the C^1 -interior of $\mathcal{CWE}_\mu(M)$, then f is Anosov. Let $\text{int } \mathcal{CWE}_\mu(M)$ denote the C^1 -interior of the set of all continuum-wise expansive volume preserving diffeomorphisms. In this paper, we prove the following theorem.

Theorem B *The set $\mathcal{AN}_\mu(M)$ of Anosov diffeomorphisms in $\text{Diff}_\mu(M)$ coincides with the C^1 -interior of the set of continuum-wise expansive diffeomorphisms in $\text{Diff}_\mu(M)$; that is, $\mathcal{AN}_\mu(M) = \text{int } \mathcal{CWE}_\mu(M)$.*

In diffeomorphisms, Arbieto [7] proved that C^1 -generically, if f is expansive then f is Ω -stable. It is well known that for a Ω -stable diffeomorphism, there is a diffeomorphism such that the diffeomorphism is not expansive. However, for volume-preserving diffeomorphisms, the phenomenon cannot happen since $\Omega(f) = M$. In $\dim M = 2$, for C^1 -generic f , if a C^1 -neighborhood $\mathcal{U}(f)$ of f , there is $g \in \mathcal{U}(f)$ such that g has a periodic point p_g with homoclinic tangency q_g then f has a periodic point p with homoclinic tangency q . In fact, it is closely related to the conjecture of Smale (see [11]). Note that if $\dim M = 2$ then it does not exist normally hyperbolic. In this paper, we consider $\dim M \geq 3$. Recently, Bessa *et al.* [8] proved that $\dim M \geq 3$, for C^1 -generic f , if $f \in \text{Diff}_\mu(M)$ is expansive then f is Anosov. For a Hamiltonian system, Lee [12] showed that C^2 -generically, an expansive Hamiltonian system is Anosov. In this spirit, we study the continuum-wise expansiveness for generic view point. Then we have the following.

Theorem C *For C^1 -generic f , iff is continuum-wise expansive then it is transitive Anosov.*

4 Proof of Theorem A

Let $\dim M \geq 3$ and let $f \in \text{Diff}(M)$. We prepare several lemmas to arrive at Theorem A. The Franks lemma [13] will play an essential role in our proofs.

Lemma 4.1 *Let $\mathcal{U}(f)$ be any given C^1 -neighborhood of f . Then there exist $\varepsilon > 0$ and a C^1 -neighborhood $\mathcal{U}_0(f) \subset \mathcal{U}(f)$ of f such that for given $g \in \mathcal{U}_0(f)$, a finite set $\{x_1, x_2, \dots, x_N\}$, a neighborhood U of $\{x_1, x_2, \dots, x_N\}$ and linear maps $L_i : T_{x_i}M \rightarrow T_{g(x_i)}M$ satisfying $\|L_i - D_{x_i}g\| \leq \varepsilon$ for all $1 \leq i \leq N$, there exists $\hat{g} \in \mathcal{U}(f)$ such that $\hat{g}(x) = g(x)$ if $x \in \{x_1, x_2, \dots, x_N\} \cup (M \setminus U)$ and $D_{x_i}\hat{g} = L_i$ for all $1 \leq i \leq N$.*

Let p be a periodic point of f , and let $0 < \delta < 1$. We say p has a δ -weak eigenvalue if $D_p f^{\pi(p)}$ has an eigenvalue λ such that $(1 - \delta)^{\pi(p)} < |\lambda| < (1 + \delta)^{\pi(p)}$. The following lemma will also play a crucial role in our proof.

Lemma 4.2 [7, Lemma 5.1] *There exists a residual set $\mathcal{R}_1 \subset \text{Diff}(M)$ such that for any $f \in \mathcal{R}_1$,*

- (1) *for any $\delta > 0$, if for any C^1 -neighborhood $\mathcal{U}(f)$, there is $g \in \mathcal{U}(f)$ which has a hyperbolic $p_g \in P(g)$ with a δ -weak eigenvalue, then f has a hyperbolic point $p \in P(f)$ with a 2δ -weak eigenvalue;*
- (2) *for any $\delta > 0$, if f has a hyperbolic point $q \in P(f)$ with a δ -weak eigenvalue, then f has a hyperbolic point $p \in P(f)$ with a δ -weak eigenvalue, whose eigenvalues are all real.*

Remark 4.3 *If f has a normally hyperbolic, then by Hirsh *et al.* [14] and Mañé [15], it is C^1 -robust, that is, for any g C^1 -close to f , g has a normally hyperbolic then f also has a normally hyperbolic (see also [16]).*

Lemma 4.4 *There exists a residual set $\mathcal{R}_2 \subset \text{Diff}(M)$ such that for $f \in \mathcal{R}_2$ if f is continuum-wise expansive, then there exists $\delta > 0$ such that f has no δ -weak eigenvalue.*

Proof Let $\mathcal{R}_2 = \mathcal{R}_1$, and let $f \in \mathcal{R}_2$ be continuum-wise expansive for f . Suppose, by contradiction, that for any $\delta > 0$ there is a periodic point p of f such that p has a δ -weak eigenvalue. Let $\varepsilon > 0$, and let $\mathcal{V}(f) \subset \mathcal{U}_0(f)$ be a C^1 -neighborhood of f which is given by Lemma 4.1 with respect to $\mathcal{U}_0(f)$. Then there exist $g \in \mathcal{U}(f)$ and a non-hyperbolic periodic point q of g such that an eigenvalue λ of $D_q g^{\pi(q)}$ with $|\lambda| = 1$, and $T_q M = E^c(q) \oplus E^s(q) \oplus E^u(q)$, where $E^\sigma(q)$, $\sigma = c, s, u$, are $D_q g^{\pi(q)}$ -invariant subspaces corresponding to eigenvalues λ of $D_q g^{\pi(q)}$ for $|\lambda| = 1$, $|\lambda| < 1$, and $|\lambda| > 1$, respectively. Let $\mathcal{W}(f) \subset \mathcal{V}(f)$ be the C^1 ε_0 -ball of f . Set $C = \sup_{x \in M} \{\|D_x g\|\}$. For $0 < \varepsilon_1 < \varepsilon_0$, we can obtain a linear automorphism $\mathcal{O} : T_q M \rightarrow T_q M$ such that

- (i) $\|\mathcal{O} - \text{id}\| < \frac{\varepsilon_1}{C}$,
 - (ii) \mathcal{O} keeps E^σ invariant, where $\sigma = c, s, u$,
 - (iii) all eigenvalues of $\mathcal{O} \circ D_q g^{\pi(q)}$, say $\mu_j, j = 1, 2, \dots, c$, are roots of unity.
- Let F be the finite set $\{q, g(q), \dots, g^{\pi(q)-1}(q)\}$. Define

$$L_j = \begin{cases} D_{g^j(q)} g, & j = 0, 1, \dots, \pi(q) - 2, \\ \mathcal{O} \circ D_{g^{\pi(q)-1}(q)} g, & j = \pi(q) - 1. \end{cases}$$

Observe that $\|L_{\pi(q)-1} - D_{g^{\pi(q)-1}(q)} g\| \leq \|\mathcal{O} - \text{id}\| \cdot \|D_{g^{\pi(q)-1}(q)} g\| < \varepsilon_0$. Thus $\|L_j - D_{g^j(q)} g\| < \varepsilon_0$ for all $j = 0, 1, \dots, \pi(q) - 1$. By Lemma 4.1, we can find a diffeomorphism $g_1 \in \mathcal{W}(f)$ and $\delta_0 > 0$ such that

- (a) $B_{4\delta_0}(g^i(q)) \cap B_{4\delta_0}(q) = \emptyset, 0 \leq i \neq j \leq \pi(q) - 1$,
- (b) $g_1 = g$ on $F \cup (M - \bigcup_{j=0}^{\pi(q)-1} B_{4\delta_0}(g^j(q)))$,
- (c) $g_1 = \exp_{g^{j+1}(q)} \circ L_j \circ \exp_{g^j(q)}^{-1}$ on $B_{\delta_0}(g^j(q)), 0 \leq j \leq \pi(q) - 1$.

Define

$$L = \mathcal{O} \circ D_q g^{\pi(q)} = \prod_{j=0}^{\pi(q)-1} L_j,$$

where $B_\delta(p)$ denotes the δ -neighborhood of p .

Then by (iii) we can find $m > 0$ such that $L^m|_{E^c(q)} = \text{id}|_{E^c(q)}$. Choose a small δ_1 satisfying $0 < 4\delta_1 < \delta_0$ such that

$$L^{mk}(T_q M(4\delta_1)) \subset T_q M(\delta_0),$$

where $T_q M(\delta_1) = \{v \in T_q M \mid \|v\| \leq \delta_1\}$. Then by (c) we have

$$(g_1^{\pi(q)})^m = g_1^{m\pi(q)} = \exp_q \circ G^m \circ \exp_q^{-1}$$

on $\exp_q(T_q M(4\delta_1))$.

We write

$$T_q M(\delta_1) = E^c(q, \delta_1) \oplus E^s(q, \delta_1) \oplus E^u(q, \delta_1),$$

where $E^\sigma(q, \delta_1) = E^\sigma(q) \cap T_q M(\delta_1)$, $\sigma = c, s, u$. Then $\exp_q(E^c(q, 4\delta_1))$ is $(g_1^k)^m$ -invariant. Since $f \in \mathcal{R}_1$, we assume that the eigenvalue $\lambda \in \mathbb{R}$.

Put $\exp_q(E^c(q, 4\delta_1))$ is an arc \mathcal{I}_q centered at q . Observe that $(g_1^k)^m = \text{id}$ on $\exp_q(E^c(q, 4\delta_1))$. By our construction, $(g_1^k)^m$ is the identity on the arc \mathcal{I}_q . It is clear that the small arc \mathcal{I}_q is normally hyperbolic for g_1 . By Remark 4.3, for any g C^1 -close to f , if g has a normally hyperbolic then f has a normally hyperbolic, that is, it is C^1 -robust. Then we know that f has a small arc \mathcal{J}_q which centered at q with $f^{\pi(q)}(\mathcal{J}_q) = \mathcal{J}_q$. Note that if f is continuum-wise expansive then f^k is continuum-wise expansive for any $k \in \mathbb{Z}$ (see [2, Proposition 2.6]). Denote by $l(A)$ the length of A . Take $e = 2l(\mathcal{J}_q)$. Since \mathcal{J}_q is $f^{\pi(q)}$ -invariant, for all $n \in \mathbb{Z}$,

$$\text{diam}(f^n(\mathcal{J}_q)) < e.$$

This is a contradiction. □

We say that f satisfies *star condition* if there is a C^1 -neighborhood $\mathcal{U}(f)$ such that for any $g \in \mathcal{U}(f)$, every $p \in P(g)$ is hyperbolic. We denote by $\mathcal{F}(M)$ the set of diffeomorphisms satisfying star condition.

Lemma 4.5 *There is a residual set $\mathcal{R}_3 \subset \text{Diff}(M)$ such that for any continuum-wise expansive map $f \in \mathcal{R}_3$, $f \in \mathcal{F}(M)$.*

Proof Let $\mathcal{R}_3 = \mathcal{R}_2$, and let $f \in \mathcal{R}_3$ be continuum-wise expansive. Proof by contradiction, we may assume that $f \notin \mathcal{F}(M)$. Then by Lemma 5.1, there is g C^1 -close to f and $p_g \in P(g)$ such that for any $\delta > 0$, p_g has a $\delta/2$ -weak eigenvalue. By Lemma 4.2, $p \in P(f)$ has a δ -weak eigenvalue. This is a contradiction by Lemma 4.4. □

Proof of Theorem A Let $f \in \mathcal{R}_3$ be continuum-wise expansive. By Lemma 4.5, $f \in \mathcal{F}(M)$. Since $f \in \mathcal{F}(M)$, By Aoki [17] and Hayashi [18], we know that f satisfies both Axiom A and the no-cycle condition. Thus it is Ω -stable. □

5 Proof of Theorem B and Theorem C

Let M and let $f \in \text{Diff}_\mu(M)$ be as before. To prove our result, we use the Franks lemma, which is proved in [19, Proposition 7.4].

Lemma 5.1 *Let $f \in \text{Diff}_\mu^1(M)$, and \mathcal{U} be a C^1 -neighborhood of f in $\text{Diff}_\mu^1(M)$. Then there exist a C^1 -neighborhood $\mathcal{U}_0 \subset \mathcal{U}$ of f and $\varepsilon > 0$ such that if $g \in \mathcal{U}_0$, any finite f -invariant set $E = \{x_1, \dots, x_m\}$, any neighborhood U of E and any volume-preserving linear maps $L_j : T_{x_j} M \rightarrow T_{g(x_j)} M$ with $\|L_j - D_{x_j} g\| \leq \varepsilon$ for all $j = 1, \dots, m$, there is a conservative diffeomorphism $g_1 \in \mathcal{U}$ coinciding with f on E and out of U , and $D_{x_j} g_1 = L_j$ for all $j = 1, \dots, m$.*

We denote by $\mathcal{F}_\mu(M)$ the set of diffeomorphisms $f \in \text{Diff}_\mu(M)$ which have a C^1 -neighborhood $\mathcal{U}(f) \subset \text{Diff}_\mu(M)$ such that for any $g \in \mathcal{U}(f)$, every periodic point of g is hyperbolic.

Very recently, Arbieto and Catalan [20] proved that every volume-preserving diffeomorphism in $\mathcal{F}_\mu(M)$ is Anosov.

Theorem 5.2 [20, Theorem 1.1] *Every diffeomorphism in $\mathcal{F}_\mu(M)$ is Anosov.*

To prove Theorem B, it is enough to show that a continuum-wise expansive volume-preserving diffeomorphism $f \in \mathcal{F}_\mu(M)$.

Remark 5.3 Let $f \in \text{Diff}_\mu^1(M)$. From the Moser theorem (see [21]), we can find a smooth conservative change of coordinates $\varphi_x : U(x) \rightarrow T_x M$ such that $\varphi_x(x) = 0$, where $U(x)$ is a small neighborhood of $x \in M$.

Lemma 5.4 *If $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$, then $f \in \mathcal{F}_\mu(M)$.*

Proof Take $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$, and $\mathcal{U}(f)$ a C^1 -neighborhood of f . Let $\varepsilon > 0$ and $\mathcal{V}(f) \subset \mathcal{U}(f)$ be corresponding number and C^1 -neighborhood given by Lemma 5.1. To derive a contradiction, suppose that there is a non-hyperbolic periodic point $p \in P(g)$ for some $g \in \mathcal{V}(f)$. To simplify the notation in the proof, we may assume that $g(p) = p$. Then there is at least one eigenvalue λ of $D_p g$ such that $|\lambda| = 1$. By making use of Lemma 5.1, we linearize f at p with respect to Moser's theorem, that is, by choosing $\alpha > 0$ sufficiently small we construct g_1 C^1 -nearby g such that

$$g_1(x) = \begin{cases} \varphi_p^{-1} \circ D_p g \circ \varphi_p(x) & \text{if } x \in B_\alpha(p), \\ g(x) & \text{if } x \notin B_{4\alpha}(p). \end{cases}$$

Then $g(p) = g_1(p) = p$. Thus $T_p M = E^c \oplus E^\sigma$, where E_p^c associated to $\lambda = 1$ and E_p^σ associated to eigenvalues less than one and greater than one. Take $\eta = \alpha/4$. Then we define $E^c(\eta) \cap \varphi_p(B_\alpha(p)) = E^c(\eta)$.

Case 1. $\dim E_p^c = 1$.

Since p is non-hyperbolic for g_1 , by our construction, we may assume that there is $l > 0$ such that $D_p g_1^l(v) = v$ for any $v \in E_p^c(\eta) \cap \varphi_p(B_\alpha(p))$. Take $v \in E_p^c(\eta)$ such that $\|v\| = \eta/4$. Then we can find a small arc $\mathcal{I}_p = \varphi_p^{-1}(\{tv : 1 \leq t \leq \eta/4\}) \subset B_\alpha(p)$ such that

- (i) $g_1^i(\mathcal{I}_p) \cap g_1^j(\mathcal{I}_p) = \emptyset$ if $0 \leq i \neq j \leq l-1$,
- (ii) $g_1^l(\mathcal{I}_p) = \mathcal{I}_p$, that is, $g_1^l|_{\mathcal{I}_p}$ is the identity map,
- (iii) \mathcal{I}_p is normally hyperbolic.

For simplicity, we assume that $g_1^l = g_1$. Take $e = \eta$. Then for all $n \in \mathbb{Z}$,

$$\text{diam}(g_1^n(\mathcal{I}_p)) < e.$$

This is a contradiction.

Case 2. $\dim E_p^c = 2$.

In the proof of the second case, to avoid notational complexity, we consider the case $g(p) = p$. By Lemma 5.1, there is $\alpha > 0$ and $h \in \mathcal{U}(f)$ such that $h(p) = g(p) = p$ and $h(x) = \varphi_p^{-1} \circ D_p g \circ \varphi_p(x)$ if $x \in B_\alpha(p)$. With a small modification of $D_p g$, we may assume that there

is $l > 0$ such that $D_p g^l(v) = v$ for any $v \in E_p^c(\alpha)$ by Lemma 5.1. We can choose $v \in E_p^c(\alpha)$ such that $\|v\| = \alpha/4$ and we set $\mathcal{D}_p = \varphi_p^{-1}(\{tv : 1 \leq t \leq \alpha/4\}) \subset B_\alpha(p)$. Then the disk \mathcal{D}_p satisfies the following conditions:

- (i) $h^i(\mathcal{D}_{p_h}) \cap h^j(\mathcal{D}_{p_h}) = \emptyset$ if $0 \leq i \neq j \leq l-1$,
- (ii) $h^l(\mathcal{D}_{p_h}) = \mathcal{D}_{p_h}$, that is, $h^l|_{\mathcal{D}_{p_h}}$ is the identity map,
- (iii) \mathcal{D}_p is normally hyperbolic.

As in the proof of the $\dim E_p^c = 1$, we can derive a contradiction. □

Proof of Theorem B Suppose that $f \in \text{int} \mathcal{CW}\mathcal{E}_\mu(M)$. By Lemma 5.4, $f \in \mathcal{F}_\mu(M)$. Thus by Theorem 5.2, f is Anosov. □

Proof of Theorem C The proof of Theorem C is parallel the proof of Theorem A. Indeed, to prove Theorem A we use previous results - Lemmas 4.2, 4.4 and 4.5. Then we have a volume-preserving diffeomorphism $f \in \mathcal{F}_\mu(M)$. Thus f is Anosov. □

In diffeomorphisms, there is an open problem: are Anosov diffeomorphisms transitive? In [13] Franks and [22] Newhouse proved it for codimension one Anosov diffeomorphisms. It was announced in Xia in a talk, Anosov diffeomorphisms are transitive, an invited talk of the Rocky Mountain Conference on Dynamical Systems, May 12-14, 2008, that every Anosov diffeomorphism is transitive. It has not been published yet. Nevertheless, in the volume-preserving diffeomorphism, an Anosov diffeomorphism has the non-wandering set equal to the whole manifold M by the Poincaré theorem. By the shadowing property of the hyperbolic sets the periodic points are dense in M . And by the Smale spectral decomposition theorem, we have a single piece equal to M , and so, we have transitivity. Thus, the Anosov volume-preserving diffeomorphism is transitive, which is a direct consequence of classic hyperbolic dynamics. But in volume-preserving diffeomorphisms Bonatti and Crovisier proved that C^1 -generically, a volume-preserving diffeomorphism is transitive.

Theorem 5.5 [23, Theorem 1.3] *There is a residual set $\mathcal{R}_4 \subset \text{Diff}_\mu(M)$ such that for any $f \in \mathcal{R}_4$, f is transitive and M is a unique homoclinic class.*

We say that f is *transitive* if there is a point $x \in M$ such that $\omega(x) = M$, where $\omega(x)$ is the omega limit set.

Remark 5.6 In [24, Theorem 1.3], Newhouse showed that C^1 -generic volume-preserving diffeomorphisms in surfaces are Anosov or else the elliptical points, nonreal eigenvalues conjugated and of norm one, are dense.

By [8] and Theorem A, we have the following.

Corollary 5.7 *There is a residual set $\mathcal{G} \subset \text{Diff}_\mu(M)$ such that for any $f \in \mathcal{G}$, the following are equivalent:*

- (a) f is expansive,
- (b) f is transitive Anosov.

Moreover, if $\dim M \geq 3$ then

- (c) f is continuum-wise expansive,

- (d) f has the shadowing property,
- (e) f has the weak specification property.

Proof Let $f \in \mathcal{G} = \mathcal{R}_3 \cap \mathcal{R}_4$ is continuum-wise expansive. By Theorem A, f is Anosov. Since $f \in \mathcal{R}_4$, by Lemma 5.5, f is transitive. Thus if f is continuum-wise expansive, then f is transitive Anosov. By Bessa *et al.* [8], f is expansive, then f is Anosov, and so, f is transitive Anosov. If $\dim M \geq 3$, then by Bessa *et al.* [8] if f has the shadowing property and f has the weak specification property, then f is Anosov and since $f \in \mathcal{R}_4$, also f is transitive. Thus f is transitive Anosov. \square

Competing interests

The author declares to have no competing interests.

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