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Variational approach to a class of impulsive differential equations

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Abstract

In this article, the author discusses the existence of solutions for a class of impulsive differential equations by means of a variational approach different from earlier approaches.

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1 Introduction

The theory of impulsive differential equations has been emerging as an important area of investigation in recent years [1–3]. There is a vast literature on the existence of solutions by using topological methods, including fixed point theorems, Leray-Schauder degree theory, and fixed point index theory [4–15]. But it is quite difficult to apply the variational approach to an impulsive differential equation; therefore, there was no result in this area for a long time. Only in the recent five years, there appeared a few articles which dealt with some impulsive differential equations by using variational methods [16–20]. Motivated by [17], in this article we shall use a different variational approach to discuss the existence of solutions for a class of impulsive differential equations and we only deal with classical solutions.

Consider the boundary value problem (BVP) for the second-order nonlinear impulsive differential equation:

$$\begin{cases} -u''(t) = f(t, u(t)), & \forall t \in J', \\ \Delta u|_{t=t_k} = c_k & (k = 1, 2, 3, \dots, m), \\ \Delta u'|_{t=t_k} = d_k & (k = 1, 2, 3, \dots, m), \\ u(0) = u(1) = 0, \end{cases} \quad (1)$$

where $J = [0, 1]$, $0 < t_1 < \dots < t_k < \dots < t_m < 1$, $J' = J \setminus \{t_1, \dots, t_k, \dots, t_m\}$, c_k and d_k ($k = 1, 2, \dots, m$) are any real numbers, $f(t, u)$ is a real function defined on $J \times R$, where R denotes the set of all real numbers, and $f(t, u)$ is continuous on $J' \times R$, left continuous at $t = t_k$, i.e.

$$\lim_{t \rightarrow t_k^-, w \rightarrow u} f(t, w) = f(t_k, u)$$

for any $u \in R$ ($k = 1, 2, \dots, m$), and the right limit at $t = t_k$ exists, i.e.

$$\lim_{t \rightarrow t_k+0, w \rightarrow u} f(t, w)$$

(denoted by $f(t_k^+, u)$) exists for any $u \in R$ ($k = 1, 2, \dots, m$). $\Delta u|_{t=t_k}$ denotes the jump of $u(t)$ at $t = t_k$, i.e.

$$\Delta u|_{t=t_k} = u(t_k^+) - u(t_k^-),$$

where $u(t_k^+)$ and $u(t_k^-)$ represent the right and left limits of $u(t)$ at $t = t_k$, respectively. Similarly,

$$\Delta u'|_{t=t_k} = u'(t_k^+) - u'(t_k^-),$$

where $u'(t_k^+)$ and $u'(t_k^-)$ represent the right and left limits of $u'(t)$ at $t = t_k$, respectively. Let $PC[J, R] = \{u : u \text{ is a real function on } J \text{ such that } u(t) \text{ is continuous at } t \neq t_k, \text{ left continuous at } t = t_k, \text{ and } u(t_k^+) \text{ exists, } k = 1, 2, \dots, m\}$ and $PC^1[J, R] = \{u \in PC[J, R] : u'(t) \text{ is continuous at } t \neq t_k \text{ and } u'(t_k^+), u'(t_k^-) \text{ exist, } k = 1, 2, \dots, m\}$. A function $u \in PC^1[J, R] \cap C^2[J', R]$ is called a solution of BVP (1) if $u(t)$ satisfies (1).

Let us list some conditions.

(H₁) There exist $p > 2$, $a > 0$ and $b > 0$ such that

$$|f(t, u)| \leq a + b|u|^{p-1}, \quad \forall t \in J, u \in R.$$

(H₂) There exist $0 < c < \frac{\pi^2}{4}$ and $d > 0$ such that

$$\int_0^u f(t, v) dv \leq cu^2 + d, \quad \forall t \in J, u \in R.$$

Lemma 1 $u \in PC^1[J, R] \cap C^2[J', R]$ is a solution of BVP (1) if and only if $v \in C[J, R]$ is a solution of the integral equation

$$v(t) = \int_0^1 G(t, s)g(s, v(s)) ds, \quad \forall t \in J, \quad (2)$$

where

$$G(t, s) = \begin{cases} s(1-t), & \forall 0 \leq s \leq t \leq 1; \\ t(1-s), & \forall 0 \leq t < s \leq 1, \end{cases} \quad (3)$$

$$g(t, v) = f(t, v + a(t) - a(1)t), \quad \forall t \in J, v \in R \quad (4)$$

and

$$v(t) = u(t) - a(t) + a(1)t, \quad a(t) = \sum_{0 < t_k < t} [c_k + (t - t_k)d_k], \quad \forall t \in J. \quad (5)$$

Proof For $u \in PC^1[J, R] \cap C^2[J', R]$, we have the formula (see [21], Lemma 1(b))

$$\begin{aligned} u(t) &= u(0) + tu'(0) + \int_0^t (t-s)u''(s) ds \\ &\quad + \sum_{0 < t_k < t} \{ [u(t_k^+) - u(t_k)] + (t-t_k)[u'(t_k^+) - u'(t_k^-)] \}, \quad \forall t \in J. \end{aligned} \quad (6)$$

So, if $u \in PC^1[J, R] \cap C^2[J', R]$ is a solution of BVP (1), then, by (1) and (6), we have

$$\begin{aligned} u(t) &= tu'(0) - \int_0^t (t-s)f(s, u(s)) ds + \sum_{0 < t_k < t} [c_k + (t-t_k)d_k] \\ &= tu'(0) - \int_0^t (t-s)f(s, u(s)) ds + a(t), \quad \forall t \in J. \end{aligned} \quad (7)$$

It is clear, by (5), that

$$a(t) = 0, \quad \forall 0 \leq t \leq t_1; \quad a(1) = \sum_{k=1}^m [c_k + (1-t_k)d_k], \quad (8)$$

so

$$v'(0) = u'(0) + a(1). \quad (9)$$

Substituting (9) into (7), we get

$$\begin{aligned} v(t) &= tv'(0) - \int_0^t (t-s)f(s, u(s)) ds \\ &= tv'(0) - \int_0^t (t-s)f(s, v(s) + a(s) - a(1)s) ds \\ &= tv'(0) - \int_0^t (t-s)g(s, v(s)) ds, \quad \forall t \in J. \end{aligned} \quad (10)$$

By virtue of (5), we see that $v \in C[J, R]$ (in fact, $v \in C^1[J, R]$) and

$$v(1) = u(1) - a(1) + a(1) = u(1) = 0,$$

so, letting $t = 1$ in (10), we find

$$v'(0) = \int_0^1 (1-s)g(s, v(s)) ds. \quad (11)$$

Substituting (11) into (10), we get

$$\begin{aligned} v(t) &= \int_t^1 t(1-s)g(s, v(s)) ds + \int_0^t s(1-t)g(s, v(s)) ds \\ &= \int_0^1 G(t, s)g(s, v(s)) ds, \quad \forall t \in J, \end{aligned}$$

so $v(t)$ is a solution of the integral equation (2).

Conversely, suppose that $v \in C[J, R]$ is a solution of (2), i.e.

$$v(t) = (1-t) \int_0^t sg(s, v(s)) ds + t \int_t^1 (1-s)g(s, v(s)) ds, \quad \forall t \in J. \quad (12)$$

By (4), it is clear that $g(t, v(t))$ is continuous on J' , so differentiation of (12) gives

$$\begin{aligned} v'(t) &= - \int_0^t sg(s, v(s)) ds + (1-t)tg(t, v(t)) \\ &\quad + \int_t^1 (1-s)g(s, v(s)) ds - t(1-t)g(t, v(t)) \\ &= - \int_0^t sg(s, v(s)) ds + \int_t^1 (1-s)g(s, v(s)) ds, \quad \forall t \in J'. \end{aligned} \quad (13)$$

Differentiating again, we get

$$v''(t) = -tg(t, v(t)) - (1-t)g(t, v(t)) = -g(t, v(t)), \quad \forall t \in J'. \quad (14)$$

From (13) we see that $v'(t_k^+)$ and $v'(t_k^-)$ ($k = 1, 2, \dots, m$) exist and

$$v'(t_k^+) = v'(t_k^-) = - \int_0^{t_k} sg(s, v(s)) ds + \int_{t_k}^1 (1-s)g(s, v(s)) ds. \quad (15)$$

It follows from (4), (5), (12), (14), and (15) that $u \in PC^1[J, R] \cap C^2[J', R]$ and $u(t)$ satisfies (1). \square

Lemma 2 *Let condition (H_1) be satisfied. If $v \in L^p[J, R]$ is a solution of the integral equation (2), then $v \in C[J, R]$.*

Proof It is clear, for function $a(t)$ defined by (5),

$$|a(t)| \leq a_0, \quad \forall t \in J; \quad a_0 = \sum_{k=1}^m (|c_k| + (1-t_k)|d_k|). \quad (16)$$

By (4), (5), (16), and condition (H_1) , we have

$$\begin{aligned} |g(t, v)| &\leq a + b|v + a(t) - a(1)t|^{p-1} \leq a + b(|v| + 2a_0)^{p-1} \\ &\leq a + b(2 \max\{|v|, 2a_0\})^{p-1} \leq a + b2^{p-1}(|v|^{p-1} + (2a_0)^{p-1}), \quad \forall t \in J, v \in R, \end{aligned}$$

so,

$$|g(t, v)| \leq a_1 + b_1|v|^{p-1}, \quad \forall t \in J, v \in R, \quad (17)$$

where

$$a_1 = a + b2^{2(p-1)}a_0^{p-1}, \quad b_1 = b2^{p-1}.$$

It is clear that $g(t, v)$ satisfies the Caratheodory condition, i.e. $g(t, v)$ is measurable with respect to t on J for every $v \in R$ and is continuous with respect to v on R for almost $t \in J$ (in fact, $g(t, v)$ is discontinuous only at $t = t_k$ ($k = 1, 2, \dots, m$)), so (17) implies [22, 23] that the operator g defined by

$$(gv)(t) = g(t, v(t)), \quad \forall t \in J \quad (18)$$

is bounded and continuous from $L^p[J, R]$ into $L^q[J, R]$, where $\frac{1}{p} + \frac{1}{q} = 1$ ($q > 1$).

Let $v \in L^p[J, R]$ be a solution of the integral equation (2). Then by the Hölder inequality,

$$|v(t_1) - v(t_2)| \leq \left(\int_0^1 |G(t_1, s) - G(t_2, s)|^p ds \right)^{\frac{1}{p}} \left(\int_0^1 |g(s, v(s))|^q ds \right)^{\frac{1}{q}}, \quad \forall t_1, t_2 \in J,$$

which implies by virtue of the uniform continuity of $G(t, s)$ on $J \times J$ that $v \in C[J, R]$. \square

2 Variational approach

Theorem 1 *If conditions (H_1) and (H_2) are satisfied, then BVP (1) has at least one solution $u \in PC^1[J, R] \cap C^2[J', R]$.*

Proof By Lemma 1 and Lemma 2, we need only to show that the integral equation (2) has a solution $v \in L^p[J, R]$. The integral equation (2) can be written in the form

$$v = Ggv, \quad (19)$$

where G is the linear integral operator defined by

$$(Gv)(t) = \int_0^1 G(t, s)v(s) ds, \quad \forall t \in J, \quad (20)$$

and the nonlinear operator g is defined by (18), which is bounded and continuous from $L^p[J, R]$ into $L^q[J, R]$ ($\frac{1}{p} + \frac{1}{q} = 1$). It is well known that $G(t, s)$ is a L^2 positive-definite kernel with eigenvalues $\{\frac{1}{n^2\pi^2}\}$ ($n = 1, 2, 3, \dots$) and, by the continuity of $G(t, s)$, we have

$$\int_0^1 \int_0^1 [G(t, s)]^p ds dt < \infty, \quad (21)$$

so [22, 23] the linear operator G defined by (20) is completely continuous from $L^2[J, R]$ into $L^2[J, R]$ and also from $L^q[J, R]$ into $L^p[J, R]$, and $G = HH^*$, where $H = G^{\frac{1}{2}}$ (the positive square-root operator of G) is completely continuous from $L^2[J, R]$ into $L^p[J, R]$ and H^* denotes the adjoint operator of H , which is completely continuous from $L^q[J, R]$ into $L^2[J, R]$. We now show that (19) has a solution $v \in L^p[J, R]$ is equivalent to the equation

$$u = H^*gHu \quad (22)$$

has a solution $u \in L^2[J, R]$. In fact, if $v \in L^p[J, R]$ is a solution of (19), i.e. $v = HH^*gv$, then $H^*gv = H^*gHH^*gv$, so, $u = H^*gv \in L^2[J, R]$ and u is a solution of (22). Conversely, if $u \in L^2[J, R]$ is a solution of (22), then $Hu = HH^*gHu = GgHu$, so, $v = Hu \in L^p[J, R]$ and v is a

solution of (19). Consequently, we need only to show that (22) has a solution $u \in L^2[J, R]$. It is well known [22, 23] that the functional Φ defined by

$$\Phi(u) = \frac{1}{2}(u, u) - \int_0^1 dt \int_0^{(Hu)(t)} g(t, v) dv, \quad \forall u \in L^2[J, R] \quad (23)$$

is a C^1 functional on $L^2[J, R]$ and its Fréchet derivative is

$$\Phi'(u) = u - H^*gHu, \quad \forall u \in L^2[J, R]. \quad (24)$$

Hence we need only to show that there exists a $u \in L^2[J, R]$ such that $\Phi'(u) = \theta$ (θ denotes the zero element of $L^2[J, R]$), i.e. u is a critical point of functional Φ .

By (4), (5), (16), and condition (H_1) , we have

$$\int_0^u g(t, v) dv = \int_0^{u+a(t)-a(1)t} f(t, w) dw - \int_0^{a(t)-a(1)t} f(t, w) dw, \quad \forall t \in J, u \in R \quad (25)$$

and

$$\begin{aligned} \left| \int_0^{a(t)-a(1)t} f(t, w) dw \right| &\leq |a(t) - a(1)t| (a + b|a(t) - a(1)t|^{p-1}) \\ &\leq 2a_0(a + b2^{p-1}a_0^{p-1}) = a_2, \quad \forall t \in J. \end{aligned} \quad (26)$$

So, (25), (26), and condition (H_2) imply

$$\begin{aligned} \int_0^{(Hu)(t)} g(t, v) dv &\leq \int_0^{(Hu)(t)+a(t)-a(1)t} f(t, w) dw + a_2 \\ &\leq c\{(Hu)(t) + a(t) - a(1)t\}^2 + d + a_2 \\ &\leq 2c\{[(Hu)(t)]^2 + [a(t) - a(1)t]^2\} + d + a_2 \\ &\leq 2c[(Hu)(t)]^2 + 8ca_0^2 + d + a_2, \quad \forall u \in L^2[J, R], t \in J. \end{aligned} \quad (27)$$

It is well known [24],

$$\|G\| = \lambda_1 = \frac{1}{\pi^2}, \quad (28)$$

where G is defined by (20) and is regarded as a positive-definite operator from $L^2[J, R]$ into $L^2[J, R]$, and λ_1 denotes the largest eigenvalue of G . It follows from (23), (27), and (28) that

$$\begin{aligned} \Phi(u) &\geq \frac{1}{2}(u, u) - 2c(Hu, Hu) - 8ca_0^2 - d - a_2 \\ &= \frac{1}{2}(u, u) - 2c(Gu, u) - 8ca_0^2 - d - a_2 \geq \frac{1}{2}(u, u) - \frac{2c}{\pi^2}(u, u) - 8ca_0^2 - d - a_2 \\ &= \left(\frac{1}{2} - \frac{2c}{\pi^2}\right)\|u\|^2 - 8ca_0^2 - d - a_2, \quad \forall u \in L^2[J, R], \end{aligned} \quad (29)$$

which implies by virtue of $0 < c < \frac{\pi^2}{4}$ (see condition (H_2)) that

$$\lim_{\|u\| \rightarrow \infty} \Phi(u) = \infty. \quad (30)$$

So, there exists a $r > 0$ such that

$$\Phi(u) > \Phi(\theta) = 0, \quad \forall u \in L^2[J, R], \|u\| > r. \quad (31)$$

It is well known [22, 23] that the ball $T(\theta, r) = \{u \in L^2[J, R] : \|u\| \leq r\}$ is weakly closed and weakly compact and the functional $\Phi(u)$ is weakly lower semicontinuous, so, there exists $u^* \in T(\theta, r)$ such that

$$\Phi(u^*) = \inf_{u \in T(\theta, r)} \Phi(u) \leq \Phi(\theta). \quad (32)$$

It follows from (31) and (32) that

$$\Phi(u^*) = \inf_{u \in L^2[J, R]} \Phi(u).$$

Hence $\Phi'(u^*) = \theta$ and the theorem is proved. \square

Example 1 Consider the BVP

$$\begin{cases} -u''(t) = \frac{9}{2}u(t)\sin(t-u(t)) - t^3, & \forall t \in J', \\ \Delta u|_{t=t_k} = c_k & (k = 1, 2, \dots, m), \\ \Delta u'|_{t=t_k} = d_k & (k = 1, 2, \dots, m), \\ u(0) = u(1) = 0, \end{cases} \quad (33)$$

where $J = [0, 1]$, $0 < t_1 < \dots < t_k < \dots < t_m < 1$, $J' = J \setminus \{t_1, \dots, t_k, \dots, t_m\}$, c_k and d_k ($k = 1, 2, \dots, m$) are any real numbers.

Conclusion BVP (33) has at least one solution $u \in PC^1[J, R] \cap C^2[J', R]$.

Proof Evidently, (33) is a BVP of the form (1) with

$$f(t, u) = \frac{9}{2}u\sin(t-u) - t^3. \quad (34)$$

It is clear that $f \in C[J \times R, R]$. By (34), we have

$$|f(t, u)| \leq \frac{9}{2}|u| + 1, \quad \forall t \in J, u \in R. \quad (35)$$

Moreover, it is well known that

$$|u| \leq \frac{1}{2}(1 + u^2), \quad \forall u \in R. \quad (36)$$

So, (35) and (36) imply that

$$|f(t, u)| \leq \frac{9}{4}u^2 + \frac{13}{4}, \quad \forall t \in J, u \in R,$$

and consequently, condition (H_1) is satisfied for $p = 3$, $a = \frac{13}{4}$ and $b = \frac{9}{4}$. On the other hand, choose ϵ_0 such that

$$0 < \epsilon_0 < \frac{1}{4}(\pi^2 - 9). \quad (37)$$

For $|u| \geq \frac{1}{\epsilon_0}$, we have $|u| \leq \epsilon_0 u^2$, so,

$$|u| \leq \epsilon_0 u^2 + \frac{1}{\epsilon_0}, \quad \forall u \in R. \quad (38)$$

By (35), we have

$$\int_0^u f(t, v) dv \leq \frac{9}{4} u^2 + |u|, \quad \forall t \in J, u \in R. \quad (39)$$

It follows from (38) and (39) that

$$\int_0^u f(t, v) dv \leq \left(\frac{9}{4} + \epsilon_0 \right) u^2 + \frac{1}{\epsilon_0}, \quad \forall t \in J, u \in R. \quad (40)$$

Since, by virtue of (37),

$$0 < \frac{9}{4} + \epsilon_0 < \frac{\pi^2}{4},$$

we see that (40) implies that condition (H_2) is satisfied for $c = \frac{9}{4} + \epsilon_0$ and $d = \frac{1}{\epsilon_0}$. Hence, our conclusion follows from Theorem 1. \square

By using the Mountain Pass Lemma and the Minimax Principle established by Ambrosetti and Rabinowitz [25, 26], we have obtained in [23] the existence of a nontrivial solution and the existence of infinitely many nontrivial solutions for a class of nonlinear integral equations. Since (2) is a special case of such nonlinear integral equations, we get the following result for (2).

Lemma 3 (Special case of Theorem 1 and Theorem 2 in [23]) *Suppose the following.*

(a) *There exist $p > 2$ and $a > 0, b > 0$ such that*

$$|g(t, v)| \leq a + b|v|^{p-1}, \quad \forall t \in J, v \in R.$$

(b) *There exist $0 \leq \tau < \frac{1}{2}$ and $M > 0$ such that*

$$\int_0^v g(t, w) dw \leq \tau v g(t, v), \quad \forall t \in J, |v| \geq M.$$

(c) *$\frac{g(t, v)}{v} \rightarrow 0$ as $v \rightarrow 0$ uniformly for $t \in J$ and $\frac{g(t, v)}{v} \rightarrow \infty$ as $|v| \rightarrow \infty$ uniformly for $t \in J$.*

Then the integral equation (2) has at least one nontrivial solution in $L^p[J, R]$. If, in addition,

(d) *$g(t, -v) = -g(t, v), \forall t \in J, v \in R$.*

Then the integral equation (2) has infinite many nontrivial solutions in $L^p[J, R]$.

Let us list more conditions for the function $f(t, u)$.

(H_3) There exist $0 \leq \tau < \frac{1}{2}$ and $M > 0$ such that

$$\int_0^u f(t, v + a(t) - a(1)t) dv \leq \tau u f(t, u + a(t) - a(1)t), \quad \forall t \in J, |u| \geq M.$$

(H₄) $\frac{f(t, u+a(t)-a(1)t)}{u} \rightarrow 0$ as $u \rightarrow 0$ uniformly for $t \in J$, and $\frac{f(t, u+a(t)-a(1)t)}{u} \rightarrow \infty$ as $|u| \rightarrow \infty$ uniformly for $t \in J$.

(H₅) $f(t, -u + a(t) - a(1)t) = -f(t, u + a(t) - a(1)t)$, $\forall t \in J, u \in R$.

Theorem 2 Suppose that conditions (H₁), (H₃), and (H₄) are satisfied. Then BVP (1) has at least one solution $u \in PC^1[J, R] \cap C^2[J', R]$. If, in addition, condition (H₅) is satisfied, then BVP (1) has infinitely many solutions $u_n \in PC^1[J, R] \cap C^2[J', R]$ ($n = 1, 2, 3, \dots$).

Proof In the proof of Lemma 2, we see that condition (H₁) implies condition (a) of Lemma 3 (see (17)). On the other hand, it is clear that conditions (H₃), (H₄), (H₅) are the same as conditions (b), (c), (d) in Lemma 3, respectively. Hence the conclusion of Theorem 2 follows from Lemma 3, Lemma 2, and Lemma 1. \square

Example 2 Consider the BVP

$$\begin{cases} -u''(t) = \begin{cases} [u(t) - t]^3, & \forall 0 \leq t < \frac{1}{2}; \\ [u(t) + 3t - 3]^3, & \forall \frac{1}{2} < t \leq 1, \end{cases} \\ \Delta u|_{t=\frac{1}{2}} = 1, \\ \Delta u'|_{t=\frac{1}{2}} = -4, \\ u(0) = u(1) = 0. \end{cases} \quad (41)$$

Conclusion BVP (41) has infinite many solutions $u_n \in PC^1[J, R] \cap C^2[J', R]$ ($n = 1, 2, 3, \dots$).

Proof Obviously, (41) is a BVP of form (1). In this situation, $J = [0, 1]$, $m = 1$, $t_1 = \frac{1}{2}$, $J' = [0, 1] \setminus \{\frac{1}{2}\}$, $c_1 = 1$, $d_1 = -4$, and

$$f(t, u) = \begin{cases} (u - t)^3, & \forall 0 \leq t \leq \frac{1}{2}; \\ (u + 3t - 3)^3, & \forall \frac{1}{2} < t \leq 1. \end{cases} \quad (42)$$

It is clear that $f(t, u)$ is continuous on $J' \times R$, left continuous at $t = t_1$, and the right limit $f(t_1^+, u)$ exists. By (42), we have

$$\begin{aligned} |f(t, u)| &\leq \left(|u| + \frac{3}{2}\right)^3 \leq \left(2 \max\left\{|u|, \frac{3}{2}\right\}\right)^3 \\ &\leq 2^3 \left(|u|^3 + \left(\frac{3}{2}\right)^3\right) = 8|u|^3 + 27, \quad \forall t \in J, u \in R, \end{aligned}$$

so, condition (H₁) is satisfied for $p = 4$, $a = 27$ and $b = 8$. By (5), we have

$$a(t) = \begin{cases} 0, & \forall 0 \leq t \leq \frac{1}{2}; \\ 3 - 4t, & \forall \frac{1}{2} < t \leq 1, \end{cases} \quad (43)$$

so, $a(1) = -1$ and (42) and (43) imply

$$f(t, u + a(t) - a(1)t) = u^3, \quad \forall t \in J, u \in R, \quad (44)$$

and, consequently, (H₃) is satisfied for $\tau = \frac{1}{4}$ and any $M > 0$. On the other hand, from (44) we see that conditions (H₄) and (H₅) are all satisfied. Hence, our conclusion follows from Theorem 2. \square

Competing interests

The author declares that they have no competing interests.

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